

# Learning How to Actively Learn: A Deep Imitation Learning Approach

Ming Liu

Joint Work with: Wray Buntine and Reza Haffari  
Monash University, Australia

{ming.m.liu, wray.buntine, gholamreza.haffari} @monash.edu



# Roadmap

- Introduction to active learning (AL)
- Markov decision process (MDP) for agent-based AL
- Deep imitation learning to train the AL policy
- Experiments & Analysis

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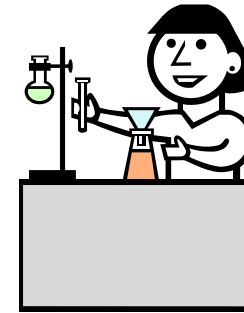
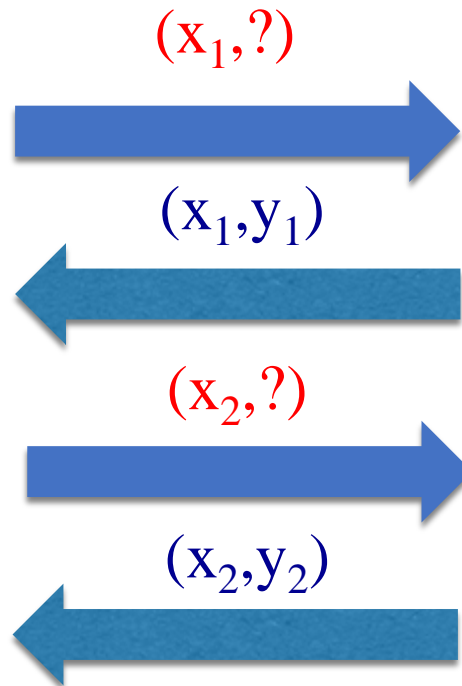
# Introduction



Raw unlabeled data points  $x_1, x_2, \dots$



Classifier

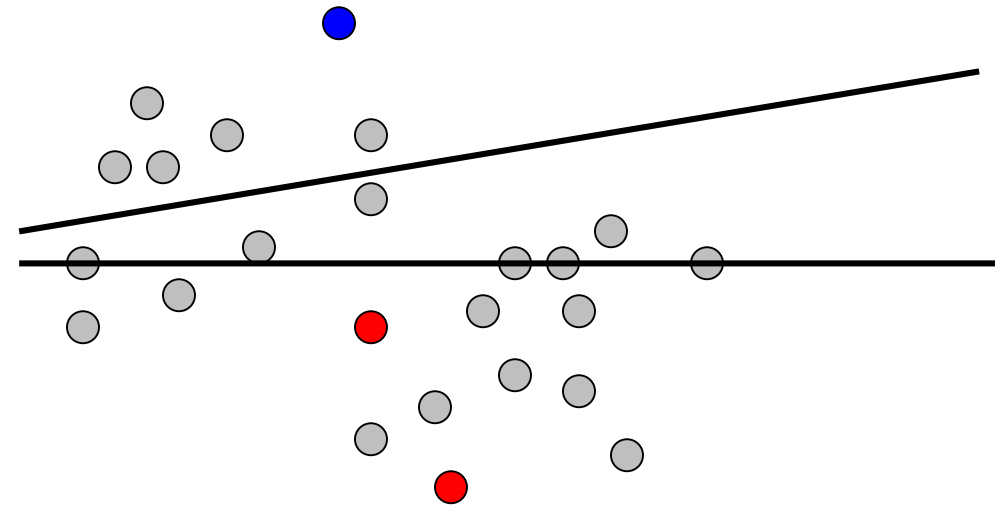


Oracle/Expert:  
Provides labels for queries



# Introduction

- At any time during the AL process, we have a current guess for the classifier



- **AL Strategy:** Query the point closest to the decision boundary

# Introduction

**Warnings:**

- Not clear whether heuristics lead to optimal querying behavior
- Not clear which hard coded heuristic is good for a task at hand

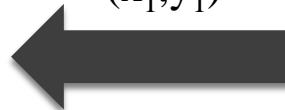


Classifier

**AL Heuristics** ( $x_1, ?$ )



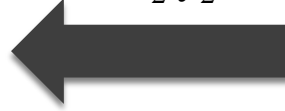
$(x_1, y_1)$



**AL Heuristics** ( $x_2, ?$ )



$(x_2, y_2)$



...



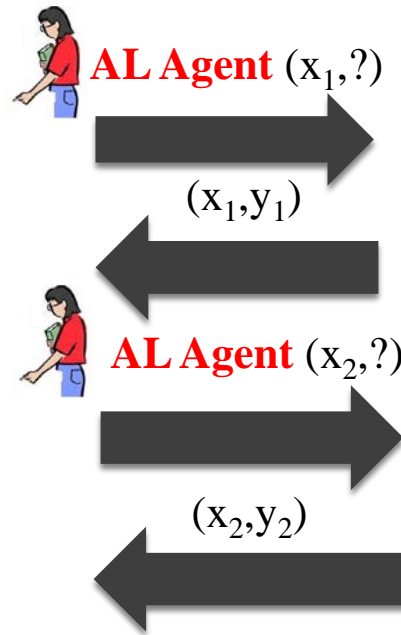
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# Introduction

Can we learn the best active learning strategy ?



Classifier



...



Oracle/Expert:  
Provides labels for queries

# Roadmap

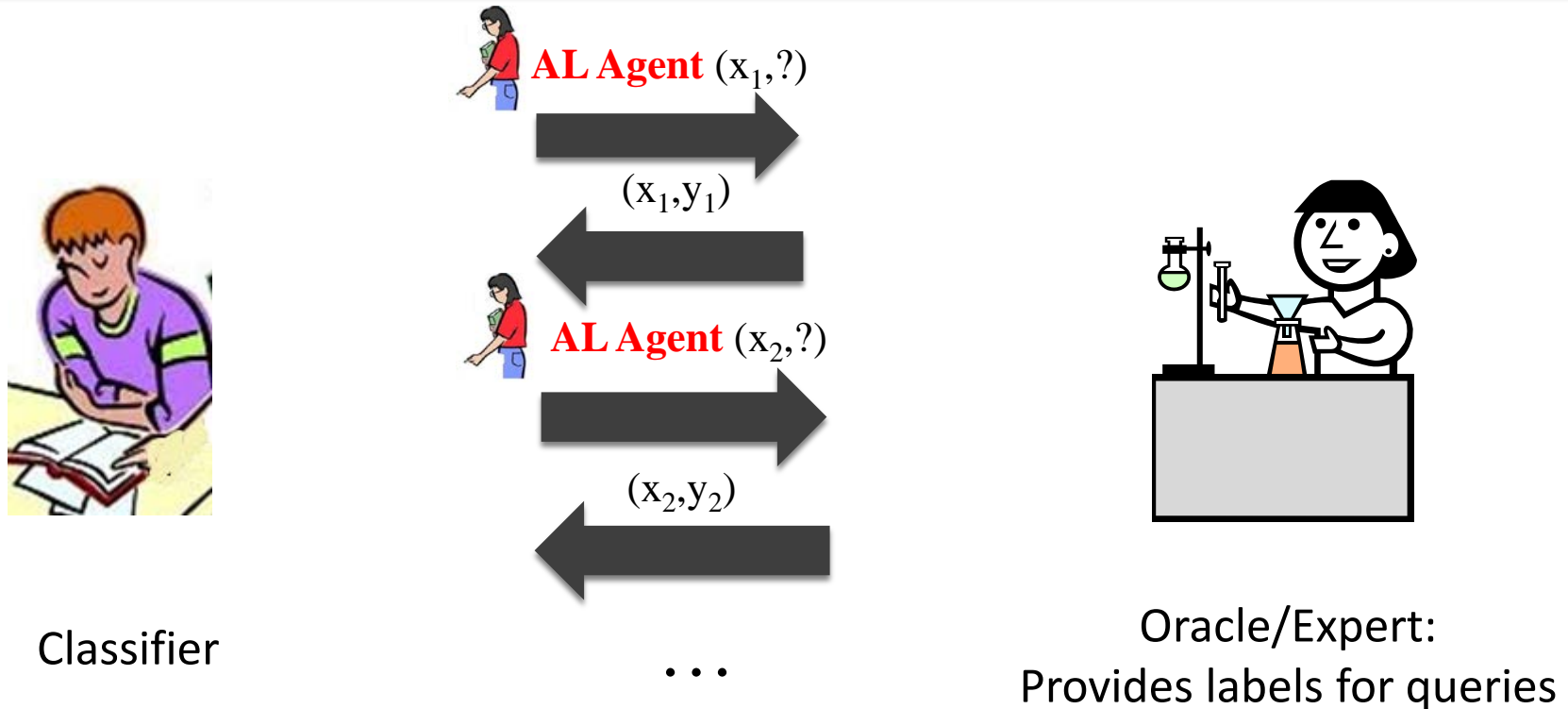
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# Agent-based Active Learning

Need to train an **AL agent** to tell what data to select next, given

- the previously selected data
- the pool of unlabeled data available
- the underlying classifier, learned so far



# AL Query Strategy by an Agent



Raw unlabeled data points  $x_1, x_2, \dots$

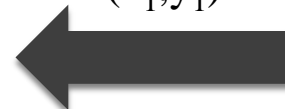


The Tutoring AL  
Agent & Learning  
Student (Classifier)

**AL Agent** ( $x_1, ?$ )



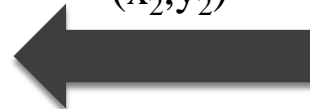
$(x_1, y_1)$



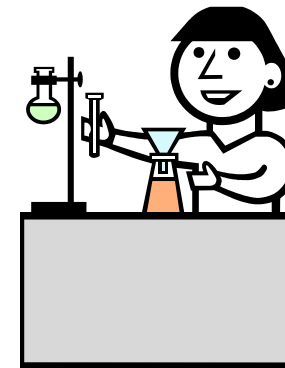
**AL Agent** ( $x_2, ?$ )



$(x_2, y_2)$

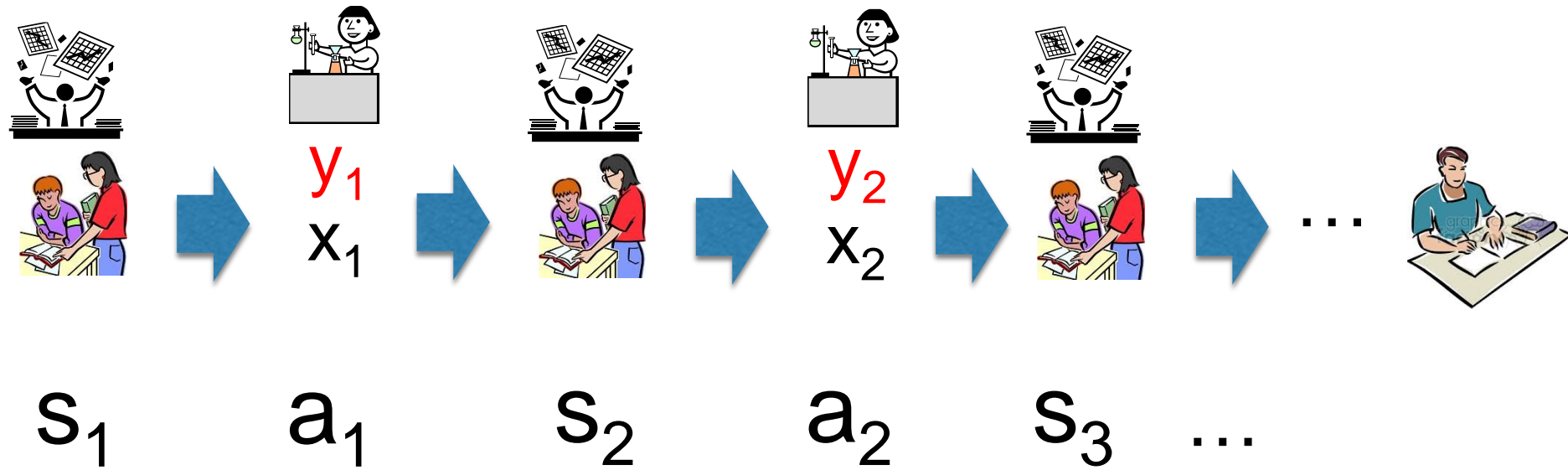


...



Oracle/Expert:  
Provides labels for queries

# Agent Operates in Markov Decision Process



Reward: Accuracy (  ,  )

Learn the Optimal Query Policy

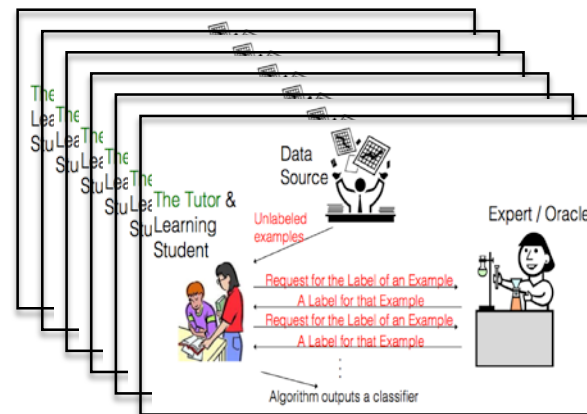
$$\mathbb{E}_{\pi_{\theta}} \left[ \sum_{t=1}^{\mathcal{B}} R(\mathbf{s}_t, a_t, \mathbf{s}_{t+1}) \right]$$

# Roadmap

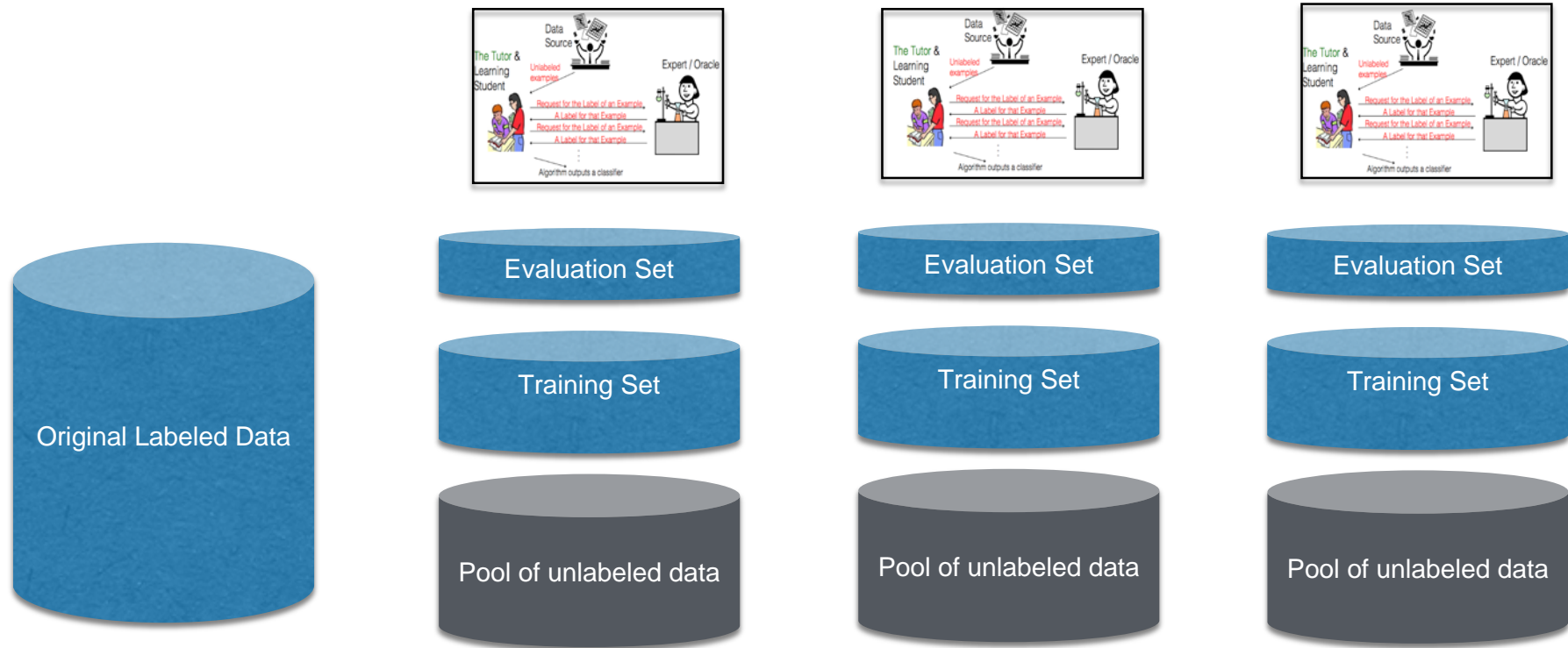
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# Training Agent's Policy

- **IDEA**: Let's train the agent based on AL simulation for a rich-data task and then transfer it to AL problem of interest
- This is **Meta-Learning**: Learning to Actively Learn
  - Synthesize many AL problems
  - Use **Imitation/Reinforcement Learning** algorithms



# Synthesizing AL Problems

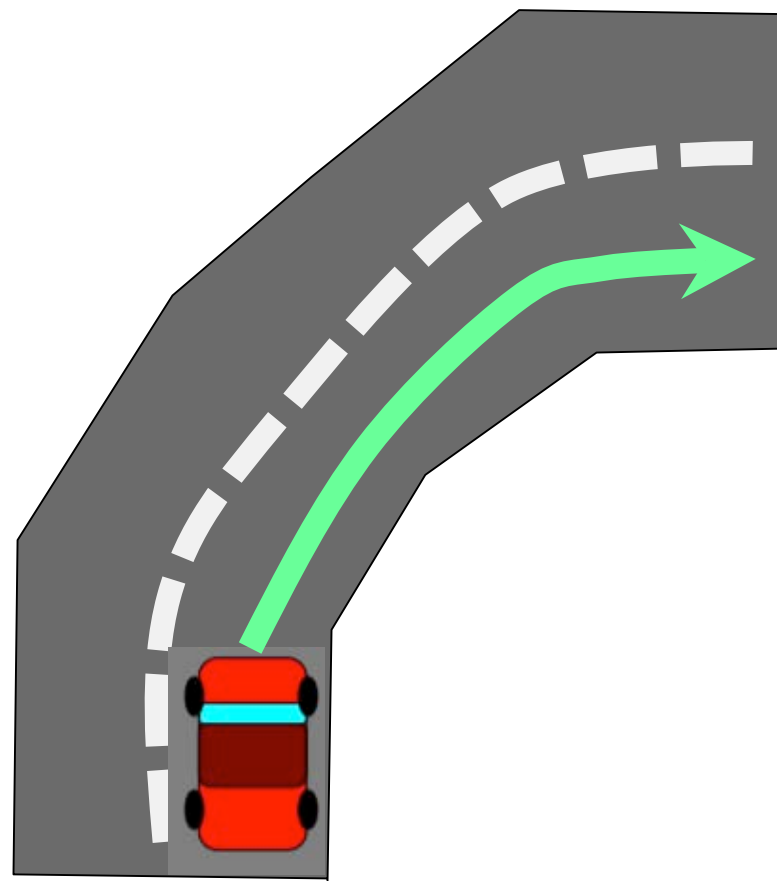
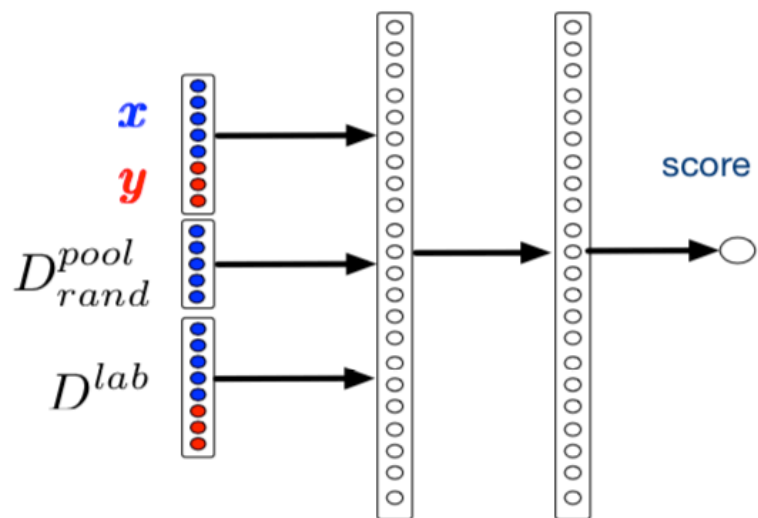


$$\mathbb{E}_{(D^{lab}, D^{unl}, D^{evl}) \sim \mathcal{D}} \left[ \mathbb{E}_{\pi_{\theta}} \left[ \sum_{t=1}^{\mathcal{B}} R(\mathbf{s}_t, a_t, \mathbf{s}_{t+1}) \right] \right]$$



# Imitation Learning

- The **algorithmic oracle** gives the correct action in each world state
- Train the **agent (policy network)** to prefer the “correct” action compared to “incorrect” ones (i.e. classification)



# Algorithmic Oracle

- It computes the correct action in each world state
  - Re-train the underlying model using all possible queries/actions
  - Mark the one leading to the most accurate prediction on the evaluation set

$$\operatorname{argmax}_{(x_i, y_i) \text{ in Pool}} \text{Accuracy} \left( \text{Retrain} \left( \img alt="Illustration of a person sitting at a desk with a laptop, representing the retraining process." data-bbox="544 528 611 642" , x_i, y_i \right) , \img alt="A blue cylinder representing the Evaluation Set." data-bbox="688 556 824 611" \right)$$

- **Too slow** for typical large pools of data
- **IDEA:** Randomly sample a **subset** and maximize over it
  - Leads to efficient training and effective learned policies



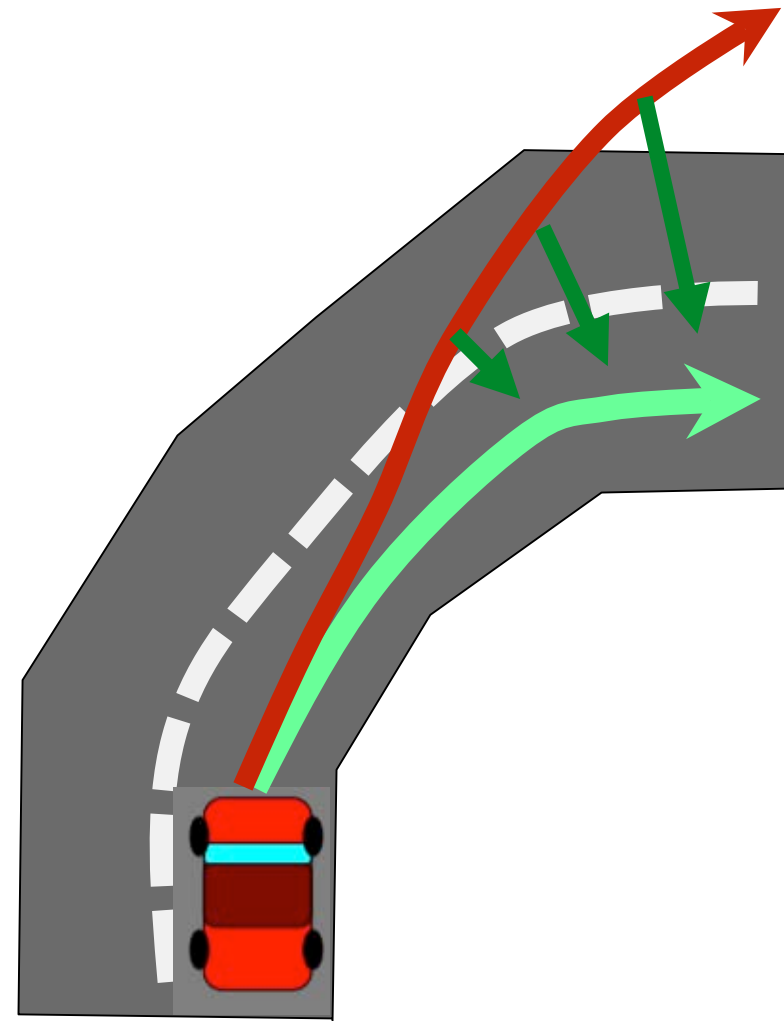


# Imitation Learning DAGGER

- The collected state-action pairs are not i.i.d. hence problematic for classifier learning
- **Data Aggregation (DAGGER)**: Once in a while, use the predicted action by the policy network during training (Ross et al 2011)

$$\pi_{\tau} = \beta_{\tau} \tilde{\pi}^* + (1 - \beta_{\tau}) \hat{\pi}_{\tau}$$

- This is to make sure the policy sees **bad states** and the correct action to **recover** from them in the training time



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# Experiments (Task 1: text classification)

- **Sentiment Classification:** Positive/Negative sentiment of a review
  - Train the AL policy on one product, and apply to the reviews of another
- **Authorship Profiling:** Gender of the author of a tweet
  - Train the AL policy on one language, and apply to another

src	tgt	doc. (src/tgt)	
		number	avg. len. (tokens)
elec.	music dev.	27k/1k	35/20
book	movie	24k/2k	140/150
en	sp	3.6k/4.2k	1.15k/1.35k
en	pt	3.6k/1.2k	1.15k/1.03k

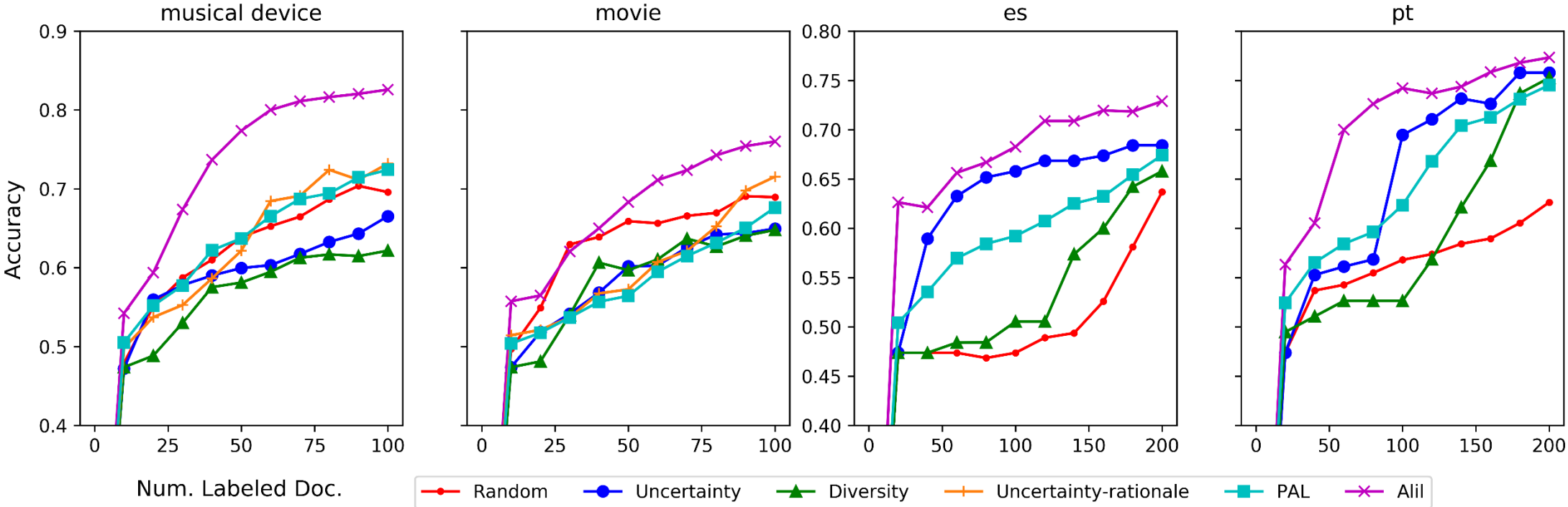


# Experiments (Baseline methods)

- Random sampling
- Uncertainty-based sampling
- Diversity-based sampling
- PAL (Fang et al., 2017) : A deep reinforcement learning based approach, they designed a Q-network for stream-based AL

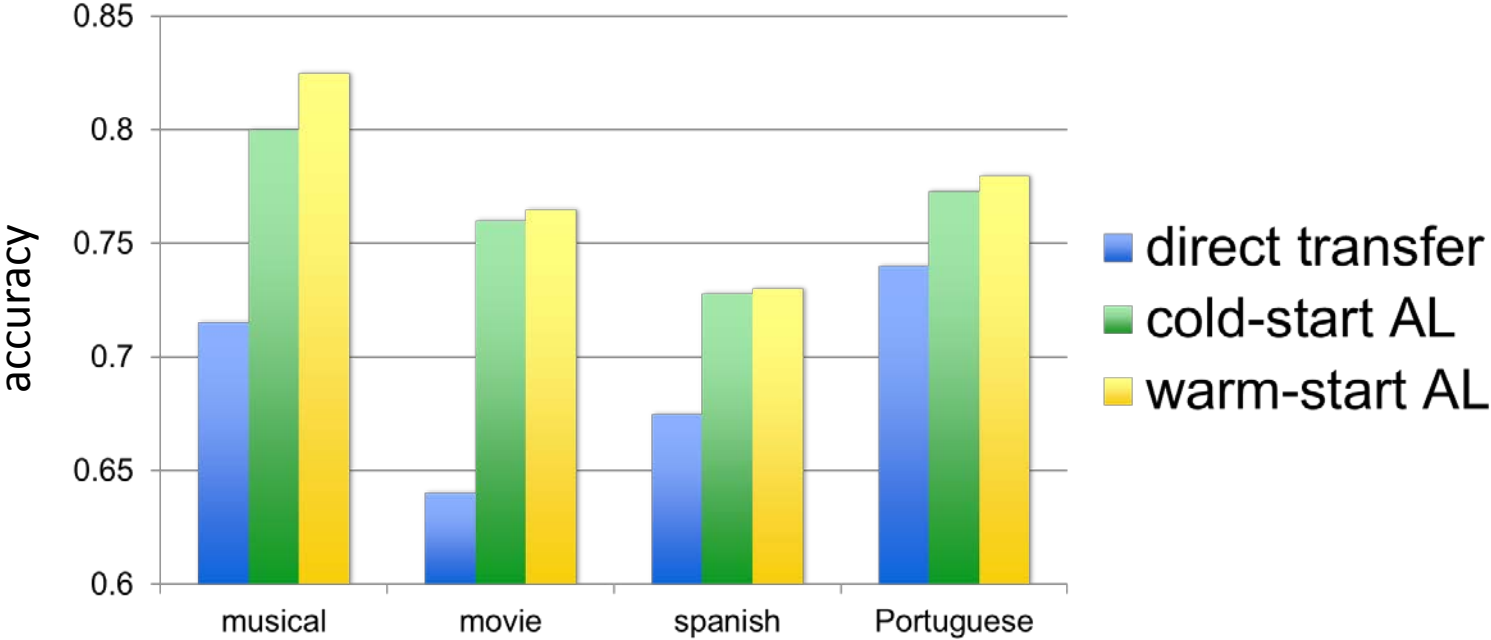


# Experiments (Task 1: text classification)





# Experiments (Task 1: text classification)



- **Direct transfer:** Initialize the classifier on the source data, without AL
- **Cold-start:** Start training the classifier from random initialization, continue training with AL agent
- **Warm-start:** Start training the classifier from the pre-trained model on the source data, continue training with AL agent



# Experiments (Task 2: Named Entity Recognition)

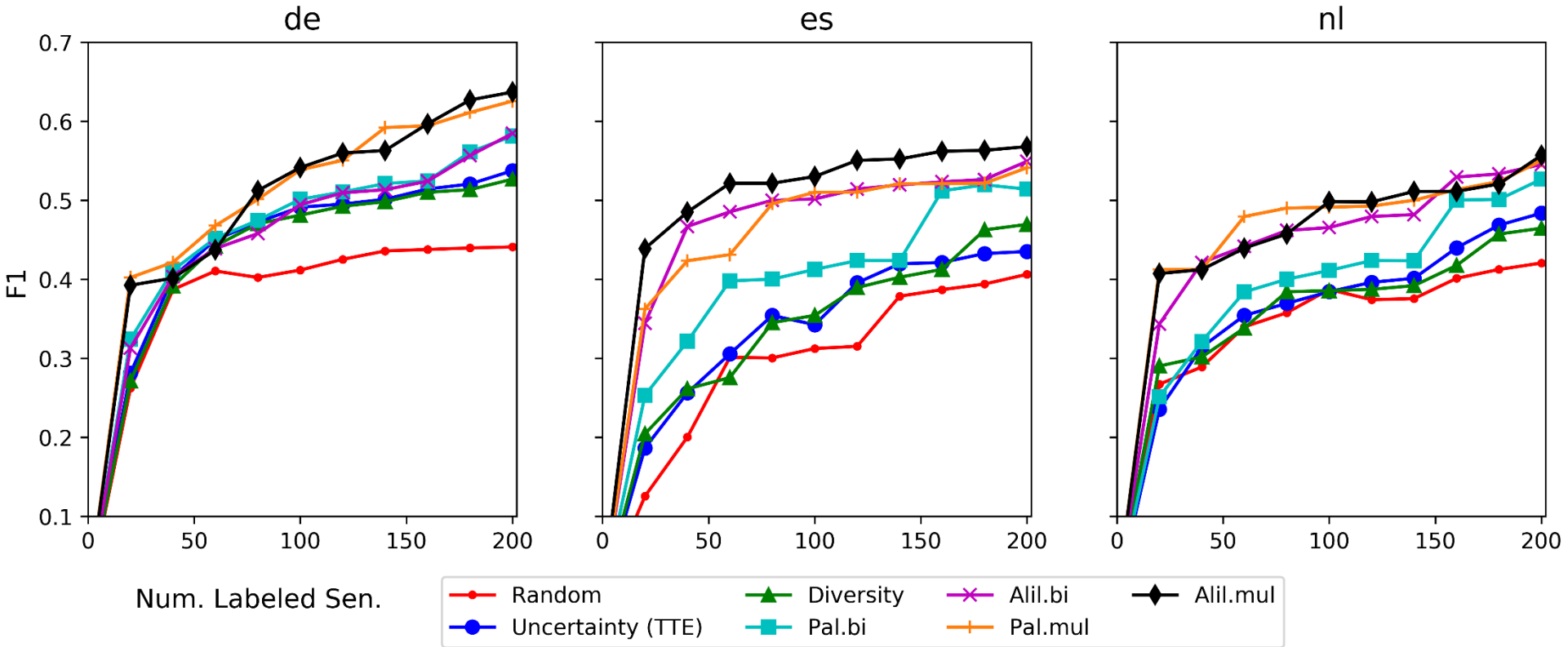
- Data sets: CoNLL 2002/2003

Bilingual		Multilingual	
tgt	src	tgt	src
de	en	de	en,nl,es
nl	en	nl	en,de,es
es	en	es	en,de,nl

Table 2: Experimental settings for cross lingual NER, in which source language (src) is used for policy training.



# Experiments (Task 2: Named Entity Recognition)







# Analysis: Insight on the selected data

$$\text{acc} = \frac{\text{total \# of overlapped examples}}{\text{budget}}$$

$$\text{MRR} = \frac{1}{|Q|} \sum_{i=1}^{|Q|} \frac{1}{\text{rank}_i}$$

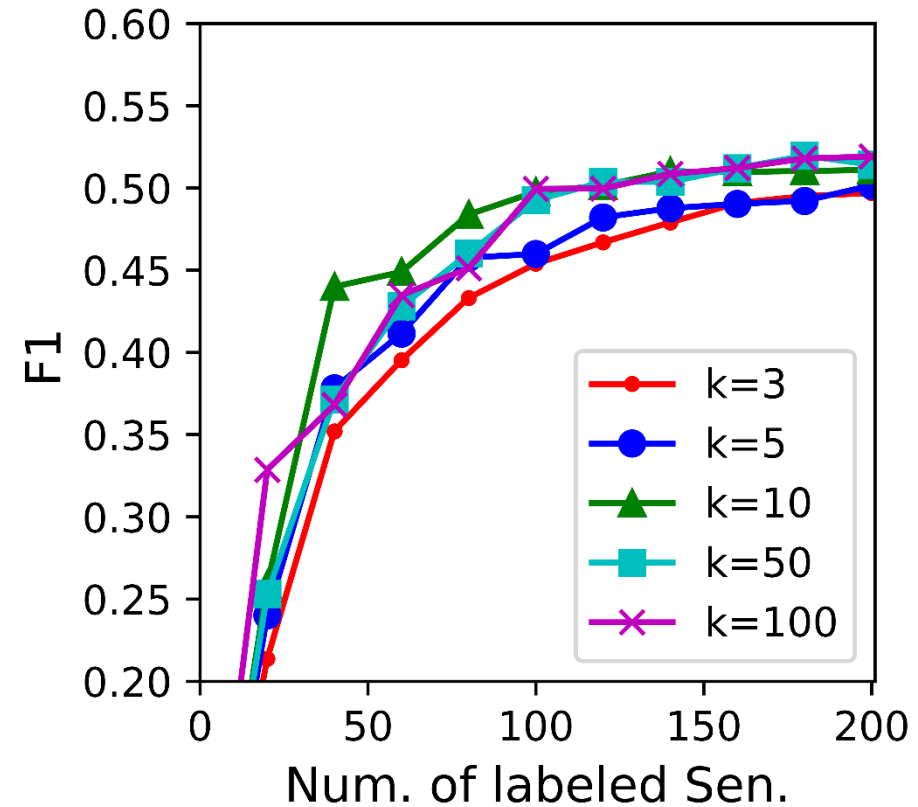
	movie sentiment	gender pt	NER es
acc Unc.	0.06	0.58	0.51
MRR Unc.	0.083	0.674	0.551
acc Div.	0.05	0.52	0.45
MRR Div.	0.057	0.593	0.530
acc PAL	0.15	0.56	0.52

We use MRR(Mean reciprocal rank) and acc to show the agreement of queried data points returned by our AL agent and other strategies.



# Analysis: Sensitivity to K (size of unlabeled subset)

K: size of subset from the original unlabelled set

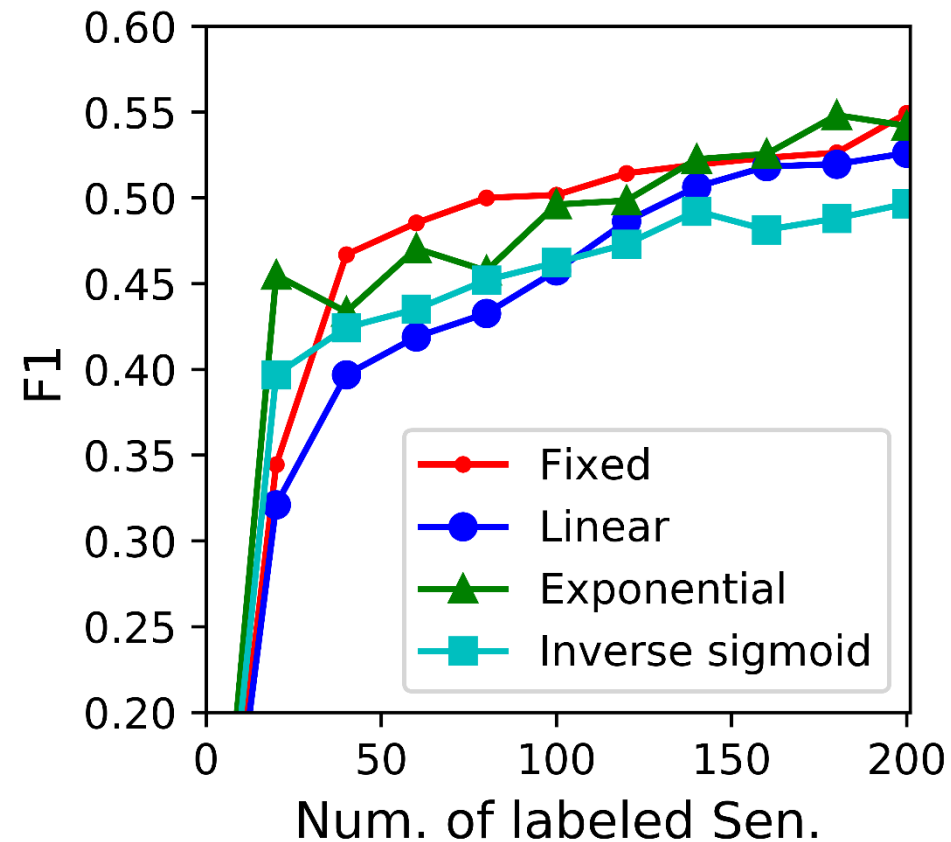


# Analysis : $\beta$ (schedule parameter for the policy)

$$\pi_{\tau} = \beta_{\tau} \tilde{\pi}^* + (1 - \beta_{\tau}) \hat{\pi}_{\tau}$$

Options for  $\beta$

- Fixed:  $\beta=0.5$
- Linear:  $\beta_{\tau} = \max(0.5, 1 - 0.01\tau)$
- Exponential:  $\beta_{\tau}=0.9^{\tau}$
- Inverse sigmoid:  $\beta_{\tau} = \frac{5}{5 + \exp(\tau/5)}$





# Related work

- **Meta learning** eg learning to learn without gradient descent by gradient descent (Chen et al 2016)
- **Stream-based** AL as MDP; learning the policy with reinforcement learning (Fang et al, 2017) **suffers from the credit assignment problem** (Bechman et al 2017)
- **Imitation Learning:** Learning from expert demonstrations eg (Schaal 2009, Abbeel & Ng 2004, Silver et al 2008)

# Conclusion

- Use heuristics or learn an agent for the AL query strategy.
- Agent-based AL as a Markov Decision Process.
- Formulate learning AL strategies/policies as an imitation learning problem.
- Our imitation learning approach performs better than previous heuristic-based and RL-based methods.

Thanks