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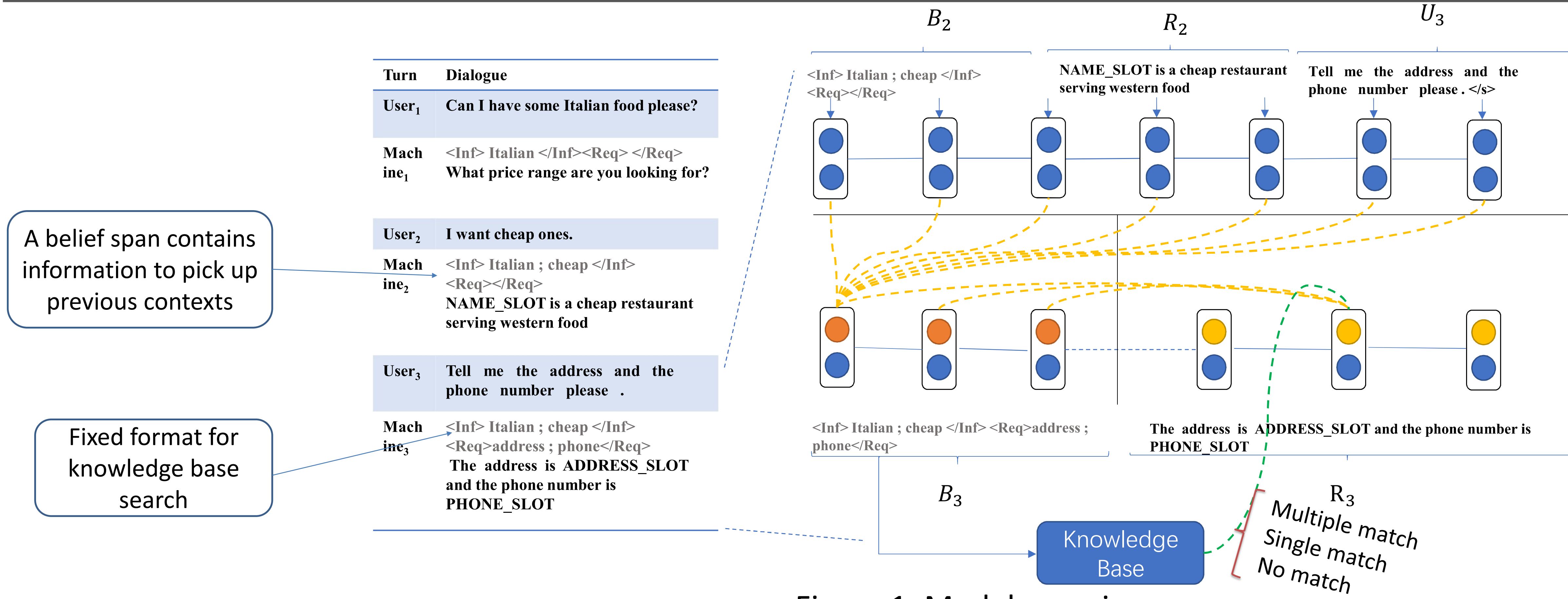


Figure 1. Model overview.

Motivation

Advantages	Sequicity	Neural Pipeline Design	Traditional Pipeline Design
Simple belief tracker	✓	✓	✗
Holistic	✓	✓	✗
Natural response	✓	✗	✗

Belief Trackers

Food style	Price range	...	Address	Phone Number	...
Chinese	Expensive	...	Yes	Yes	...
Japanese	Cheap	...	No	No	...
French	Moderate

(a) Informable slots

(b) Requestable slots

Table 1. Examples of slots

Belief Spans

- A text span to record Informable Slots and Requestable Slots to enable a RNN to decode it. For example:
 $\langle \text{Inf} \rangle \text{Chinese; Expensive} \langle \text{Inf} \rangle \langle \text{Req} \rangle \text{Address; Phone} \langle \text{Req} \rangle$
- Roles: knowledge base search and response conditioning.

Sequicity Formalization

- Source-target sequence pair:
 $\{B_0R_0U_1, B_1R_1\}, \{B_1R_1U_2, B_2R_2\}, \dots, \{B_{t-1}R_{t-1}U_t, B_tR_t\}$.
- Two-stage decoding:
 $B_t = \text{Seq2seq}(B_{t-1}R_{t-1}U_t)$
 $R_t = \text{Seq2seq}(B_{t-1}R_{t-1}U_t | B_t, \text{KB search results})$

Notations:
 B : belief spans
 U : user utterances
 R : machine responses

Experiment Settings

- Sequicity instantiation: two-stage CopyNets (Gu et al., 2016) (TSCP) optimized by joint log-likelihood and policy gradient.

Dataset	Cam676		
Size	Train : 408 / Test : 136 / Dev : 136		
Domains	restaurant reservation		
Slot types	price, food style etc.		
Distinct slot values	99		
Dataset	KVRET		
Size	Train : 2425 / Test : 302 / Dev : 302		
Domains	calendar	weather info.	POI
Slot types	date, etc.	location, etc.	poi, etc.
Distinct slot values	79	65	140

Table 2. Datasets

Main Experiment Results

	CamRes676					KVRET				
	Mat.	BLEU	Succ. F ₁	Time _{full}	Time _{N.B.}	Mat.	BLEU	Succ. F ₁	Time _{full}	Time _{N.B.}
(1) NDM (Wen et al., 2017b)	0.904	0.212	0.832	91.9 min	8.6 min	0.724	0.186	0.741	285.5 min	29.3 min
(2) NDM + Att + SS (Wen et al., 2016a)	0.904	0.240	0.836	93.7 min	10.4 min	0.724	0.188	0.745	289.7 min	33.5 min
(3) LIDM (Wen et al., 2017a)	0.912	0.246	0.840	97.7 min	14.4 min	0.721	0.173	0.762	312.8 min	56.6 min
(4) KVRN (Eric et al., 2017b)	N/A	0.134	N/A	21.4 min	—	0.459	0.184	0.540	46.9 min	—
(5) TSCP	0.927	0.253	0.854	7.3 min	—	0.845	0.219	0.811	25.5 min	—
(6) Att-RNN	0.851	0.248	0.774	7.2 min	—	0.805	0.208	0.801	23.0 min	—
(7) TSCP \ k _t	0.927	0.232	0.835	7.2 min	—	0.845	0.168	0.759	25.3 min	—
(8) TSCP \ RL	0.927	0.234	0.834	4.1 min	—	0.845	0.191	0.774	17.5 min	—
(9) TSCP \ B _t	0.888	0.197	0.809	22.9 min	—	0.628	0.182	0.755	42.7 min	—

Table 3. Model performances. Mat. is for match rate. Rows 1-4 are baselines where rows 1-3 are a family of neural pipeline-designed models. Row 5 is our final two stage CopyNets. We also performs ablation studies (rows 6-9). Row 6 drops copy mechanism and rows 7-8 drops knowledge base search results, reinforcement learning separately. Row 9 drops belief spans and concatenates all past utterances to record contexts.

OOV Testing

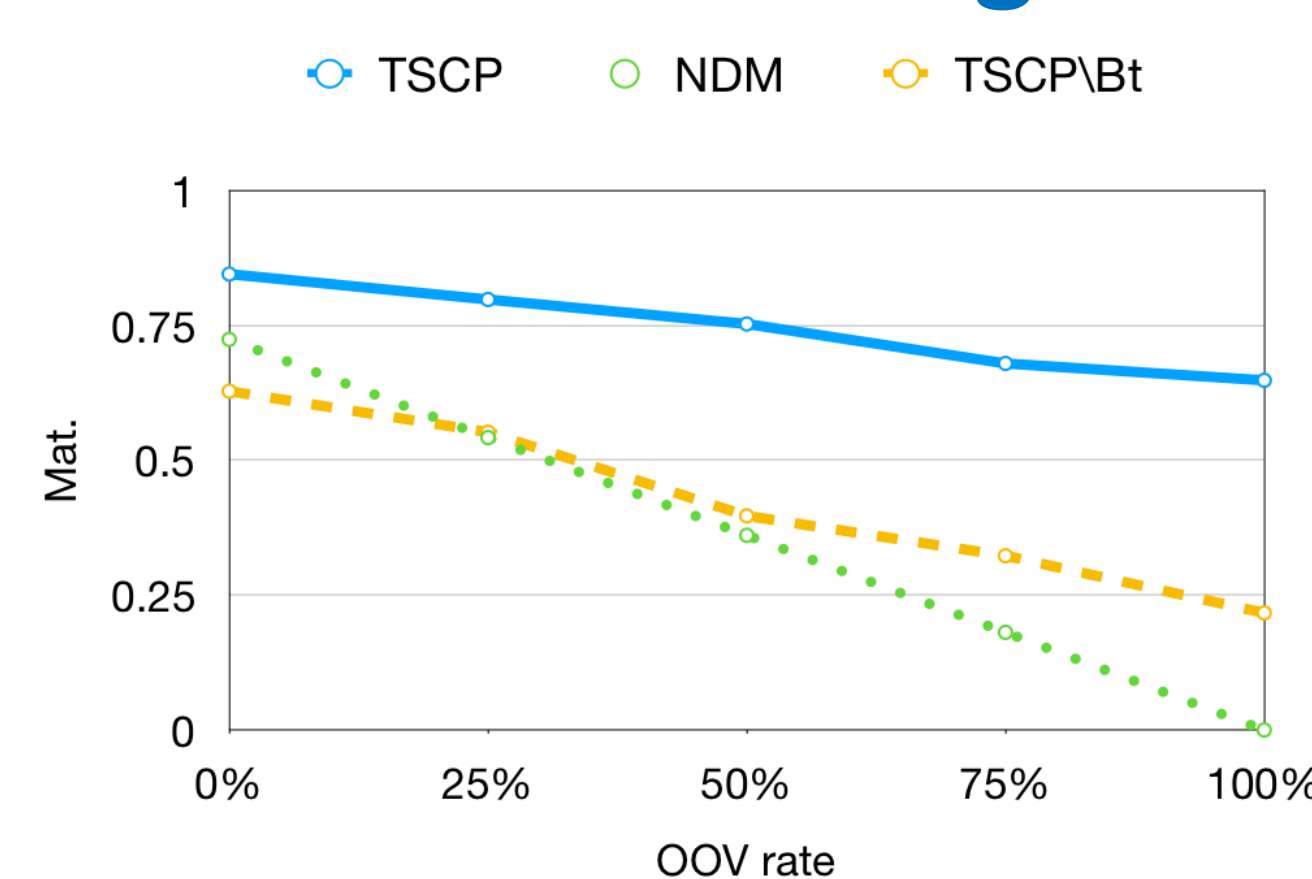


Figure 2. OOV testing with KVRET.

Model Size

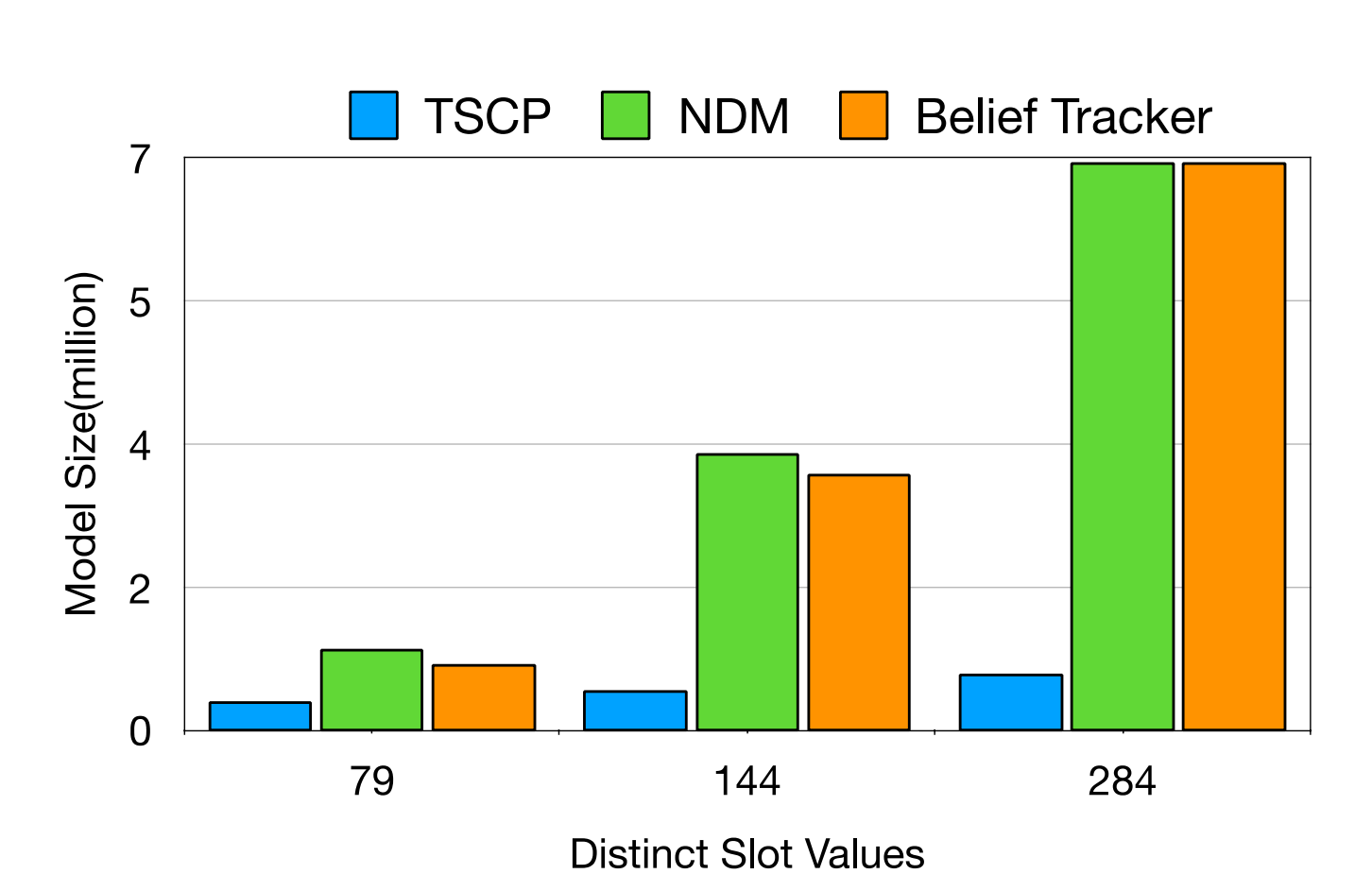


Figure 3. Model size sensitivity with respect to KVRET.

Conclusion

- Proposing Sequicity framework, enabling task-oriented dialogue system to be holistically optimized in a single seq2seq model.
- TSCP, our instantiation of Sequicity demonstrates good properties of Sequicity: better effectiveness, scalability and an additional capability of handling OOV requests.

References

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