

# IRT-based Aggregation Model of Crowdsourced Pairwise Comparisons for Evaluating Machine Translations: Appendix

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## Abstract

This document complements the main paper “IRT-based Aggregation Model of Crowdsourced Pairwise Comparisons for Evaluating Machine Translations”. We follow the same notations presented in the main paper.

## A Generative Judgment Model

The generative probability of judgment  $u_{i,j,k}$  is defined as the difference in the BCC, that is,

$$\begin{aligned} P_{jkc}(\theta_i) &= P(u_{i,j,k} = c | \theta_i, b_j, a_k) \\ &= P_{jkc-1}^*(\theta_i) - P_{jkc}^*(\theta_i). \end{aligned}$$

Thus, they can be written as,

$$\begin{aligned} P(u_{i,j,k} = 1 | \theta_i, b_j, a_k) &= 1 - \frac{1}{1 + \exp(-a_k(\theta_i - b_{j1}))} \\ P(u_{i,j,k} = 2 | \theta_i, b_j, a_k) &= \frac{1}{1 + \exp(-a_k(\theta_i - b_{j1}))} \\ &\quad - \frac{1}{1 + \exp(-a_k(\theta_i - b_{j2}))} \\ P(u_{i,j,k} = 3 | \theta_i, b_j, a_k) &= \frac{1}{1 + \exp(-a_k(\theta_i - b_{j2}))}. \end{aligned}$$

## B Parameter Estimation

We find the values of the parameters to maximize the log likelihood based on obtained judgments  $U$ .

$$\mathcal{L}(\theta, \xi) = \log P(U, \theta, \xi)$$

### B.1 Marginal Likelihood Maximization of Judge Sensitivity and Matchup Difficulty

We first estimate the parameters  $\xi$  to maximize the marginal log likelihood w.r.t. the system performance  $\theta$ .

$$\begin{aligned} m\mathcal{L}(\xi) &= \log P(U, \xi) \\ &= \sum_{i \in \mathcal{I}} \log \int_{-\infty}^{\infty} P(\theta) P(U_i | \theta, \xi) d\theta + \log P(\xi). \end{aligned}$$

The equation above can be approximated using Gauss-Hermite quadrature, i.e.,

$$\begin{aligned} m\mathcal{L}(\xi) &\approx \sum_{i \in \mathcal{I}} \log \sum_{t=1}^T \frac{1}{\sqrt{\pi}} w_t P(U_i | \tau x_t, \xi) + \log P(\xi) \\ w_t &= \frac{2^{T-1} T! \sqrt{\pi}}{T^2 (H(x_t))^2} \\ H(x_t) &= \left( 2x_t - \frac{d}{dx_t} \right)^{T-1} \cdot 1, \end{aligned}$$

where  $x_t$  and  $w_t$  were precomputed as shown in Table 1.

We solve the optimization problem using the gradient descent methods to maximize the approximated marginal likelihood.

$$\begin{aligned} \frac{\partial}{\partial a_k} m\mathcal{L} &= \sum_{i \in \mathcal{I}} (\tilde{P}(U_i | \xi))^{-1} \sum_{t=1}^T \frac{1}{\sqrt{\pi}} w_t \frac{\partial}{\partial a_k} P(U_i | \tau x_t, \xi) \\ &\quad + \frac{\partial}{\partial a_k} \log P(a_k) \\ &= \sum_{i \in \mathcal{I}} \sum_{t=1}^T W_{it} \frac{\partial}{\partial a_k} \log P(U_i | \tau x_t, \xi) + \frac{\partial}{\partial a_k} \log P(a_k), \end{aligned}$$

$t$	$x_t$	$w_t$
1	-5.5503518730	3.7203650700E-014
2	-4.7739923430	8.8186112000E-011
3	-4.1219955470	2.5712301800E-008
4	-3.5319728770	2.1718848980E-006
5	-2.9799912080	0.0000747840
6	-2.4535521250	0.0012549820
7	-1.9449629490	0.0114140658
8	-1.4489342510	0.0601796467
9	-0.9614996344	0.1921203241
10	-0.4794507071	0.3816690740
11	0.0000000000	0.4790237031
12	0.4794507071	0.3816690740
13	0.9614996344	0.1921203241
14	1.4489342510	0.0601796467
15	1.9449629490	0.0114140658
16	2.4535521250	0.0012549820
17	2.9799912080	0.0000747840
18	3.5319728770	2.1718848980E-006
19	4.1219955470	2.5712301800E-008
20	4.7739923430	8.8186112000E-011
21	5.5503518730	3.7203650700E-014

Table 1: Values of  $x_t$  and  $t_t$  used in the Gauss-Hermite quadrature.

where

$$\begin{aligned}\tilde{P}(U_i|\xi) &= \sum_{t=1}^T \frac{1}{\sqrt{\pi}} w_t P(U_i|\tau x_t, \xi). \\ W_{it} &= \frac{w_t P(U_i, \xi|\tau x_t)/\sqrt{\pi}}{\tilde{P}(U_i, \xi)} \\ &= \frac{w_t P(U_i|\tau x_t, \xi)}{\sum_{t'=1}^T w_{t'} P(U_i|\tau x_{t'}, \xi)}.\end{aligned}$$

In the same way,

$$\begin{aligned}\frac{\partial}{\partial b_{jc}} m\mathcal{L} &= \sum_{i \in \mathcal{I}} \sum_{t=1}^T W_{it} \frac{\partial}{\partial b_{jc}} \log P(U_i|\tau x_t, \xi) \\ &\quad + \frac{\partial}{\partial b_{jc}} P(b_{jc}). \quad (c = 1, 2)\end{aligned}$$

The inequality constraints on the parameters are handled by adding log barrier functions to the objective function.

## B.2 Maximum A Posteriori (MAP) Estimation of System Performance

Given the estimates of  $\xi$ , we estimate the system performance  $\theta$  by using MAP estimation. We maximize the objective function,

$$\begin{aligned}\mathcal{L}(\theta) &= \log P(U, \theta; \xi) \\ &= \sum_{i \in \mathcal{I}} \log P(\theta) + \sum_{i \in \mathcal{I}} \log P(U|\theta; \xi).\end{aligned}$$

The estimates of  $\theta$  are obtained using the gradient descent method. The gradient for parameter  $\theta_i$  ( $i \in \mathcal{I}$ ) is

$$\begin{aligned}\frac{\partial \mathcal{L}}{\partial \theta_i} &= -\frac{2\theta_i}{\tau^2} + \sum_{j \in \mathcal{J}} \sum_{k \in \mathcal{K}} \sum_{c \in \mathcal{C}} \frac{u_{i,j,k,c}}{P_{jkc}(\theta_i)} \frac{\partial}{\partial \theta_i} P_{jkc}(\theta_i) \\ &= -\frac{2\theta_i}{\tau^2} + \sum_{j,k,c} \frac{u_{i,j,k,c}}{P_{jkc}(\theta_i)} a_k (P_{jkc}^* Q_{jkc}^* - P_{jkc+1}^* Q_{jkc+1}^*),\end{aligned}$$

where  $Q^* = 1 - P^*$ .