

## A Note on Categorical Grammar, Disharmony and Permutation

Crit Cremers

Leiden University, Department of General Linguistics  
 P.O. box 9515, 2300 RA Leiden, The Netherlands  
 cremers@rullet.leidenuniv.nl

Disharmonious Composition (DishComp) is definable as  
 $X/Y \ Y/Z \Rightarrow X/Z \quad Y/Z \ X/Y \Rightarrow X/Z$   
 (and is condemned by Carpenter 1998: 202 and Jacobson 1992: 139ff )

Harmonious Composition (HarmComp) is defined as  
 $X/Y \ Y/Z \Rightarrow X/Z \quad Y/Z \ X/Y \Rightarrow X/Z$   
 (and is generally adored)

Lambek Calculus (Lambek) has the following basis:

axiom:  $X \Rightarrow X$   
 rules: if  $X \ Y \Rightarrow Z$  then  $X \Rightarrow Z/Y$   
           if  $X \ Y \Rightarrow Z$  then  $X \Rightarrow Z \backslash Y$   
           if  $X \Rightarrow Z/Y$  then  $X \ Y \Rightarrow Z$   
           if  $X \Rightarrow Z \backslash Y$  then  $Y \ X \Rightarrow Z$

Permutation Closure of language L (PermL)

$\text{PermL} = \{ s \mid s' \text{ in } L \text{ and } s \text{ is a permutation of } s' \}$  and  $L \subseteq \text{PermL}$   
 (but nice languages are not PermL for any L)

Fact 1

DishComp is not a theorem of Lambek but HarmComp is  
 (as you can easily check)

Fact 2

DishComp + Lambek = Lambek + Permutation = undirected Lambek (Moortgat 1988, Van Benthem 1991; Lambek is maximal, but contextfree)

$\Leftrightarrow$

For any assignment A of categorial types to the atoms of language L, if Lambek recognizes

L under A, Lambek + DishComp recognizes PermL under A  
 (so disharmony is always too much for Lambek)

Generalized Composition (GenComp) (Joshi et al. 1991, Steedman 1990)

primary type	secondary type	composition
$X/Y$	$(..(Y Z_1)..) Z_n$	$\Rightarrow (..(X Z_1)..) Z_n$
secondary type	primary type	composition
$(..(Y Z_1)..) Z_n$	$X \backslash Y$	$\Rightarrow (..(X Z_1)..) Z_n$

while | is \ or / and is conserved under composition.

(Summarizing combinatory categorial grammar:)

Fact 3

GenComp entails DishComp  
 (and you need it for the famous crossing dependencies in Dutch, but)

Fact 4

It is **not** the case that for any assignment A of categorial types to the atoms of language L, if GenComp recognizes L with respect to A, GenComp recognizes PermL with respect to A  
 (as you can see from:)

MIX

MIX = PermTRIPLE, where TRIPLE =  $\{a^n b^n c^n : n > 0\}$   
 (- which is more than mildly context-sensitive; Joshi et al. 1991 - and)

Fact 5

Consider the assignment  $A_b$  of categories to the lexicon  $\{a,b,c\}$  s.t.  $A_b(a) = a$ ,  $A_b(c) = c$ ,  $A_b(b) = \{ (s/a)/c, ((s/a)/c)/s,$

...,  $((s\backslash c)/s)\backslash a$ , ...  $((s\backslash s)\backslash c)\backslash a$ ,  $(s\backslash c)\backslash a$ , i.e.  $A_b(b) = \{s|x|y, s|v|w|t \mid \{x,y\} = \{a,b\}, \{v,w,t\} = \{a,c,s\} \text{ and } | \text{ is } \backslash \text{ or } /\}; b$ , then, is said to be fully functional, since it has all relevant functional types.

**GenComp does not recognize MIX with respect to assignment  $A_b$ .**

For example: GenComp does not derive *baaccb* and *abaacbc* with respect to  $A_b$

Fact 6

Let  $A_{bc}(a) = A_b a$ ,  $A_{bc}(b) = A_b(b)$ ,  $A_{bc}(c) = \{ (s/a)/b, ((s/a)/b)/s, \dots, ((s\backslash b)/s)\backslash a, \dots ((s\backslash s)\backslash b)\backslash a, (s\backslash b)\backslash a \}$  (both  $b$  and  $c$  are fully functional).

**GenComp recognizes MIX with respect to assignment  $A_{bc}$ .**

(Now consider the grammar exhibiting the following features.)

Primitive Cancellation Constraint

$X/Y \ Y \Rightarrow X$  iff  $Y$  is primitive

(- in order to be more restrictive - and)

Directed Stacks (example)

$((X\backslash Y)/W)\backslash U/V$  is written as

$X\backslash[U,Y]/[V,W]$

(- in order to be more transparent - and)

Transparent Primary Category (examples)

$X\backslash[A]/[Y,B] \ Y\backslash[C]/[D] \Rightarrow X\backslash[A,C]/[B,D]$  or

$X\backslash[A]/[Y,B] \ Y\backslash[C]/[D] \Rightarrow X\backslash[C,A]/[B,D]$  or

$X\backslash[A]/[Y,B] \ Y\backslash[C]/[D] \Rightarrow X\backslash[A,C]/[D,B]$  or

$X\backslash[A]/[Y,B] \ Y\backslash[C]/[D] \Rightarrow X\backslash[C,A]/[D,B]$

(- in order to gain expressivity - make GenComp into)

Categorial List Grammar (CatListGram)

(Cremers 1993 and at [fonetiek-6.leidenuniv.nl/hijzlnr/delilah.html](http://fonetiek-6.leidenuniv.nl/hijzlnr/delilah.html))

GenComp + Primitive Cancellation Constraint + Directed Stacks + Transparent Primary Category

(but nevertheless)

Fact 7

Fact 4, Fact 5 and Fact 6 also hold *mutatis mutandis* for CatListGram. In these aspects, CatListGram and GenComp are weakly equivalent.

CONCLUSIONS

None of the additional characteristics for CatListGram affects the weak capacity of a categorial grammar; i.e.:

- exclusive cancellation of primitives does not affect recognition capacity
- maintaining more than one argument stack does not affect recognition capacity
- merging argument stacks of primary and secondary category does not affect recognition capacity

and it takes more than disharmony to induce permutation closure.

References

Benthem, J. van, *Language in Action*, North Holland, 1991

Carpenter, B., *Type-Logical Semantics*, MIT Press, 1997

Cremers, C., *On Parsing Coordination Categorially*, HIL diss, Leiden University, 1993

Jacobson, P., 'Comment Flexible Categorial Grammars', in: R. Levine (ed.), *Formal grammar: theory and implementation*, Oxford Univ. Press, 1991, p. 129 - 167

Joshi, A.K., K. Vijay-Shanker, D. Weir, 'The Convergence of Mildly Context-Sensitive Grammar Formalisms', in: P. Sells, S.M. Shieber, T. Wasow (eds), *Foundational Issues in Natural Language Processing*, MIT Press, 1991, pp. 31 - 82

Moortgat, M., *Categorial Investigations*, Foris, 1988

Steedman, M., 'Gapping as Constituent Coordination', *Linguistics and Philosophy* 13, p. 207 - 263