Planning Reference Choices for Argumentative Texts

Xiaorong Huang
Fachbereich Informatik, Universität des Saarlandes
Postfach 15 11 50, D-66041 Saarbrücken, Germany
Email: huang@cs.uni-sb.de

Abstract

This paper deals with the *reference choices* involved in the generation of argumentative text. A piece of argumentative text such as the proof of a mathematical theorem conveys a sequence of derivations. For each step of derivation, the premises (previously conveyed intermediate results) and the inference method (such as the application of a particular theorem or definition) must be made clear. The appropriateness of these references crucially affects the quality of the text produced.

Although not restricted to nominal phrases, our reference decisions are similar to those concerning nominal subsequent referring expressions: they depend on the *availability* of the object referred to within a context and are sensitive to its *attentional hierarchy*. In this paper, we show how the current context can be appropriately segmented into an attentional hierarchy by viewing text generation as a combination of *planned* and *unplanned* behavior, and how the discourse theory of Reichmann can be adapted to handle our special reference problem.

1 Introduction

This paper describes how reference decisions are made in *PROVERB*, a system that verbalizes machine-found natural deduction (ND) proofs. A piece of argumentative text such as the proof of a mathematical theorem can be viewed as a sequence of derivations. Each such derivation is called a *proof communicative act* (PCA), following the viewpoint that speeches are actions. By reference choices we mean the explicitness of the verbalization of certain entities in the PCAs. Concretely, such decisions must be made for intermediate conclusions used as premises, as well as for the inference method. As an example, let us look at the PCA with the name *Derive* below:

> (Derive Derived-Formula: $u * 1_U = u$
> Reasons: $(unit(1_U, U, *),\ u \in U)$
> Method: Def-Semigroup*unit)

Here, *Derived-Formula* is filled by a new intermediate conclusion the current PCA aims to convey, which is derivable by applying the filler of *Method*, with the filler of *Reasons* as premises. While the new conclusion will usually be handed over unchanged for verbalization, there are alternatives for referring to both the *Reasons* and the

Method. Depending on the discourse history, the following are two of the possible verbalizations:

1. (inference method omitted): *"Since 1_u is the unit element of U, and u is an element of U, $u * 1_U = u$."*

2. (reasons omitted): *"According to the definition of unit element, $u * 1_U = u$."*

Note that, an explicit reference to a premise or an inference method is not restricted to a nominal phrase, as opposed to the traditional subsequent references. Despite this difference, the choices to be made here have much in common with the choices of subsequent references discussed in more general frameworks [Rei85, GS86, Dal92]: they depend on the *availability* of the object to be referred to in the context and are sensitive to the segmentation of the current context into an *attentional hierarchy*. Although this observation is widely agreed upon for subsequent references, no consensus about where the segment boundaries lie has been reached. In *PROVERB*, we attack this problem by viewing text generation as a combination of hierarchical planning [Hov88, Moo89, Rei91, Dal92] and local organization [Sib90]. Following [GS86], moreover, we assume that every posting of a new task by the hierarchical planning mechnism creates a new attentional unit. As a consequence, the attentional hierarchy is equivalent to the *plan hierarchy*. Based on this segmentation of context, *PROVERB* makes reference choices according to a discourse theory adapted from that of Reichman [Rei85, Hua90].

2 The System *PROVERB*

PROVERB is a text planner that verbalizes natural deduction (ND) style proofs [Gen35]. Several similar attempts can be found in previous work. The system EXPOUND [Che76] is an example of *direct translation*: Although a sophisticated linearization is applied on the input ND proofs, the steps are then translated locally in a template driven way. ND proofs were tested as inputs to an early version of MUMBLE [McD83], the main aim however, was to show the feasibility of the architecture. A more recent attempt can be found in THINKER [EP93], which implements several interesting but isolated proof presentation strategies. *PROVERB* therefore can be seen

as the first serious attempt to devise a comprehensive computational model that produces adequate argumentative texts from ND style proofs.

Most current NL text planners assume that language generation is planned behavior and therefore adopt a hierarchical planning approach [Hov88, Moo89, Dal92, Rei91]. Nevertheless there is psychological evidence that language has an unplanned, spontaneous aspect as well [Och79]. Based on this observation, Sibun [Sib90] implemented a system for generating descriptions of objects with a strong domain structure, such as houses, chips and families. Once a discourse is started, local structures suggest the next objects available. From a computational point of view, a hierarchical planner elaborates recursively on the initial communicative goal until the final subgoals can be achieved by applying primitive operators. Local organization, on the other hand, chooses a part of the remaining task and carries it out.

2.1 The Planning Framework

PROVERB combines both of these approaches in a uniform planning framework [Hua94c]. The *hierarchical planning* is realized by so-called top-down presentation operators that split the task of presenting a particular proof into subtasks of presenting subproofs. While the overall planning mechanism follows the RST-based planning approach [Hov88, Moo89, Rei91], the planning operators resemble more the schemata in schema-based planning [McK85, Par88]. Bottom-up presentation operators are devised to simulate the *unplanned aspect*, where the next intermediate conclusion to be presented is chosen under the guidance of the local focus mechanism. It is called bottom-up since one new intermediate conclusion or subproof is chosen and presented, using previously presented intermediate conclusions as premises.

The two kinds of *presentation operators* are treated differently. Since top-down operators embody explicit communicative norms, they are given a higher priority. Only when no top-down presentation operator is applicable, will a bottom-up presentation operator be chosen. The overall planning framework is realized by a function called `Present`. Taking as input a subproof, `Present` repeatedly executes a basic planning cycle until the input subproof is conveyed. Each cycle carries out one presentation operator, where `Present` always tries first to choose and apply a top-down operator. If impossible, a bottom-up operator will be chosen. The function `Present` is first called with the entire proof as the presentation task. The execution of a top-down presentation operator may generate subtasks by calling it recursively.

2.2 The Discourse Model and the Attentional Hierarchy

The discourse carried out so far is recorded in a *discourse model*. Rather than recording the semantic objects and their properties, the intermediate conclusions of a ongoing

argument or mathematical proof are stored. Therefore, our discourse model consists basically of the part of the input proof tree which has already been conveyed. The segmentation of the discourse is described in section 3. The following are some notions useful for the formulation of the presentation operators:

- *Task* is the subproof in the input proof whose presentation is the current task.

- *Local focus* is the intermediate conclusion last presented, the semantic objects involved in the local focus are called the *focal centers.*

2.3 Proof Communicative Acts

PCAs are the primitive actions planned to achieve communicative goals. When enriched with reference decisions, they are called *preverbal messages* (PM). Like speech acts, PCAs can be defined in terms of the communicative goals they fulfill as well as their possible verbalizations. Based on analysis on proofs in mathematical textbooks, thirteen PCAs are identified and employed in *PROVERB*, see [Hua94b] for details. The simplist one conveying the derivation of a new intermediate conclusion is illustrated in the introduction. There are also PCAs that update the global attentional structure. These PCAs also convey a partial plan for the further presentation. For instance, the PCA

```
(Begin-Cases Goal:   Formula
             Assumptions:  (A B))
```

creates two attentional units with A and B as the assumptions, and *Formula* as the goal by producing the verbalization:

"To prove *Formula*, let us consider the two cases by assuming A and B."

2.4 Top-Down Planning

Top-down presentation operators express communicative norms concerning how a proof to be presented can be split into *subproofs*, as well as how the hierarchically structured subproofs can be mapped onto some linear order for presentation. Because it is not the main concern of this paper, we will look at only one such operator, which handles proof segments containing cases. The corresponding schema of such a proof tree is shown in Figure 1, where the subproof rooted at $?L_4$ leads to $F \vee G$, while subproofs rooted at $?L_2$ and $?L_3$ are the two cases proving Q by assuming F or G, respectively. Under two circumstances a writer may recognize that he is confronted with a proof segment containing cases. First, when the subproof that has the structure as given above is the current presentation task, tested by (task $?L_1$)[1]. Second,

---

[1] Labels stand for the corresponding nodes

146

$$\frac{\cdots \qquad \frac{F}{\vdots} \qquad \frac{G}{\vdots}}{\dfrac{?L_4 : F \vee G \quad ?L_2 : Q \quad ?L_3 : Q}{?L_1 : \triangle \vdash Q}}\text{CASE}$$

Figure 1: Proof Schema Case

when the disjunction $F \vee G$ has just been presented in the bottom-up mode, tested by (local-focus $?L_4$). In both circumstances, a communication norm motivates the writer to first present the part leading to $F \vee G$ (in the second case this subgoal has already been achieved), and then to proceed with the two cases. This norm also requires that certain PCAs be used to mediate between parts of proofs. This procedure is captured by the presentation operator below.

Case-Implicit

- Proof: as given in Figure 1

- Applicability Condition: ((task $?L_1$) ∨ (local-focus $?L_4$)) ∧ (not-conveyed ($?L_2$ $?L_3$))

- Acts:

  1. if $?L_4$ has not been conveyed, then present $?L_4$ (subgoal 1)

  2. a PCA with the verbalization: "First, let us consider the first case by assuming F."

  3. present $?L_2$ (subgoal 2)

  4. a PCA with the verbalization: "Next, we consider the second case by assuming G."

  5. present $?L_3$ (subgoal 3)

  6. mark $?L_1$ as conveyed

- features: (top-down compulsory implicit)

2.5   Bottom-up Presentation

The *bottom-up presentation* process simulates the unplanned part of proof presentation. Instead of splitting presentation goals into subgoals, it follows the local derivation relation to find a proof node to be presented next.

2.5.1   The Local Focus

The node to be presented next is suggested by the mechanism of *local focus*. Although logically any proof node having the local focus as a child could be chosen for the next step, usually the one with the greatest semantic overlap with the *focal centers* is preferred. As mentioned above, focal centers are semantic objects mentioned in the proof node which is the local focus. This is based on the observation that if one has proved a property about some semantic objects, one will tend to continue to talk about these particular objects, before turning to new objects. Let us examine the situation when the proof below is awaiting presentation.

$$\frac{\dfrac{[1] : P(a,b)}{[2] : Q(a,b)}' \quad \dfrac{[1] : P(a,b), \ [3] : S(c)}{[4] : R(b,c)}}{[5] : Q(a,b) \wedge R(b,c)}$$

Assume that node [1] is the local focus, $\{a, b\}$ is the set of focal centers, [3] is a previously presented node and node [5] is the current task. [2] is chosen as the next node to be presented, since it does not (re)introduce any new semantic element and its overlapping with the focal centers ($\{a, b\}$) is larger than the overlap of [4] with the focal centers ($\{b\}$).

2.5.2   The Bottom-Up Presentation Operators

Under different circumstances the derivation of the next-node is also presented in different ways. The corresponding presentation knowledge is encoded as bottom-up presentation operators. In this paper, we only examine the most frequently used bottom-up operator below:

Derive-Bottom-Up

- Proof: $\dfrac{?Node_1, \ldots, ?Node_n}{?Node_{n+1}} ?M$

- Applicability Condition: $?Node_{n+1}$ is suggested by the focus mechanism as the next node, and $?Node_1$, ..., $?Node_n$ are conveyed.

- Acts: a PCA that conveys the fact that the conclusion $?Node_{n+1}$ is derived from the premises $?Node_1$, ..., $?Node_n$ by applying the method $?M$.

- Features: (bottom-up general explicit detailed)

If the conclusion, the premises and the method are instantiated to $a \in S_1$, ($a \in S_2$, $S_1 \in S_2$), and *def-subset* respectively, the following verbalization can be produced: *"Since a is an element of S_1, and S_1 is a subset of S_2, a is an element of S_2 according to the definition of subset."*

Currently seven bottom-up operators are integrated in *PROVERB*.

3   The Attentional Hierarchy

The distinction between planned and unplanned presentation leads to a very natural segmentation of the discourse into an *attentional hierarchy*, since following the theory of Grosz and Sidner [GS86], there is a one-to-one correspondence between the intentional hierarchy and the attentional hierarchy. In this section, we illustrate the attentional hierarchy with the help of an example, which will be used to discuss reference choices.

The input proof in Figure 2 [2] is an ND style proof at the *assertion level*, abstracted from a machine-generated ND proof [Hua94a], for a theorem taken from a mathematical textbook.

---

[2] The first 6 lines are definitions and theorems use, which are omitted

NNo	S;D		Formula	Reason
7.	7;	⊢	$group(F,*) \land subgroup(U,F,*) \land unit(F,1,*) \land unit(U,1_U,*)$	(Hyp)
8.	7;	⊢	$U \subset F$	(Def-subgroup 7)
9.	7;	⊢	$1_U \in U$	(Def-unit 7)
10.	7;	⊢	$\exists_x x \in U$	(∃ 9)
11.	;11	⊢	$u \in U$	(Hyp)
12.	7;11	⊢	$u * 1_U = u$	(Def-unit 7 11)
13.	7;11	⊢	$u \in F$	(Def-subset 8 11)
14.	7;11	⊢	$1_U \in F$	(Def-subset 8 9)
15.	7;11	⊢	$semigroup(F,*)$	(Def-group 7)
16.	7;11	⊢	$solution(u,u,1_U,F,*)$	(Def-solution 12 13 14 15)
17.	7;11	⊢	$u * 1 = u$	(Def-unit 7 13)
18.	7;11	⊢	$1 \in F$	(Def-unit 7)
19.	7;11	⊢	$solution(u,u,1,F,*)$	(Def-solution 13 17 18 15)
20.	7;11	⊢	$1 = 1_U$	(Th-solution 17 16 19)
21.	7;	⊢	$1 = 1_U$	(Choice 10 20)
22.	;	⊢	$group(F,*) \land subgroup(U,F,*) \land unit(F,1,*) \land unit(U,1_U,*) \Rightarrow 1 = 1_U$	(Ded 7 21)

Figure 2: Abstracted Proof about Unit Element of Subgroups

Theorem:

Let F be a group and U a subgroup of F. If 1 and 1_U are unit elements of F and U respectively, then $1 = 1_U$.

The definitions of *semigroup*, *group*, and *unit* are obvious. *solution*$(a, b, c, F, *)$ should be read as "c is a solution of the equation $a * x = b$ in F."

The proof to be presented is represented in a linearized version of ND proofs. In this formalism, every proof is a sequence of proof lines, each of them is of the form:

Label $\triangle$ $\vdash$ *Conclusion* (*Justification reason-pointers*)

where *Justification* is either an ND inference rule, a definition or theorem, which justifies the derivation of the *Conclusion* using formulas in lines pointed to by *reason-pointers* as the premises. $\triangle$ can be ignored for our purpose.

The corresponding discourse model after the completion of the presentation is a proof tree shown in Figure 3. Children of nodes are given in the order as they have been presented. The circles denote nodes which are first derived at this place, and nodes in the form of small boxes are copies of some previously derived nodes, which are used as premises again. The big boxes represent attentional units called *proof units*, created during the presentation process. The naturalness of this segmentation is largely due to the naturalness of the top-down presentation operators. For example, unit U2 has two subordinate units U3 and U4. This reflects a natural shift of attention between a subproof that derives a formula of the pattern $\exists_x P(x)$ (node 10, $\exists_x x \in U$), and the subproof that proceeds after assuming a new constant u satisfying $P(u)$ (node 11, $u_1 \in U$). There are also elementary units composed of multiple PCAs, such as U5 and U6. They produce two important premises required by a theorem about the concept *solution*, which are applied at node 20. It is interesting to node that elementary attentional units that contain multiple PCAs would be impossible, if we did not distinguish between hierarchical planning and local organization.

Adapting the theory of Reichman for our purpose

[Rei85], we assume that each proof unit may have one of the following status:

- a unit is said to be *open*, if its root is still awaiting to be conveyed.

  - The *active proof* unit is the innermost proof unit containing the local focus. There is exactly one active proof unit at any moment.

  - The *controlling proof unit* is the innermost proof unit containing the active unit.

  - *precontrol* proof units are proof units containing the controlling proof unit.

- *Closed units* are proof units containing only conveyed proof nodes.

4 A Classification of Reference Forms

This section presents a classification of the possible forms with which mathematicians refer to intermediate conclusions previously proved (called reasons) or to methods of inference. The classification is based on our analysis of proofs presented in mathematical textbooks.

4.1 Reference Forms for Reasons

Three reference forms have been identified for *reasons* in naturally occurring proofs:

1. The *omit* form: where a reason is not mentioned at all.

2. The *explicit* form: where a reason is literally stated. For instance, if the omit form and the explicit form are adopted for the first respectively second reason in the PCA in Section 1, the sentence may be produced: "*Since u is an element in U, $u * 1_U = u$.*"

3. The *implicit* form: By an implicit form we mean that although a reason is not verbalized directly, an implicit
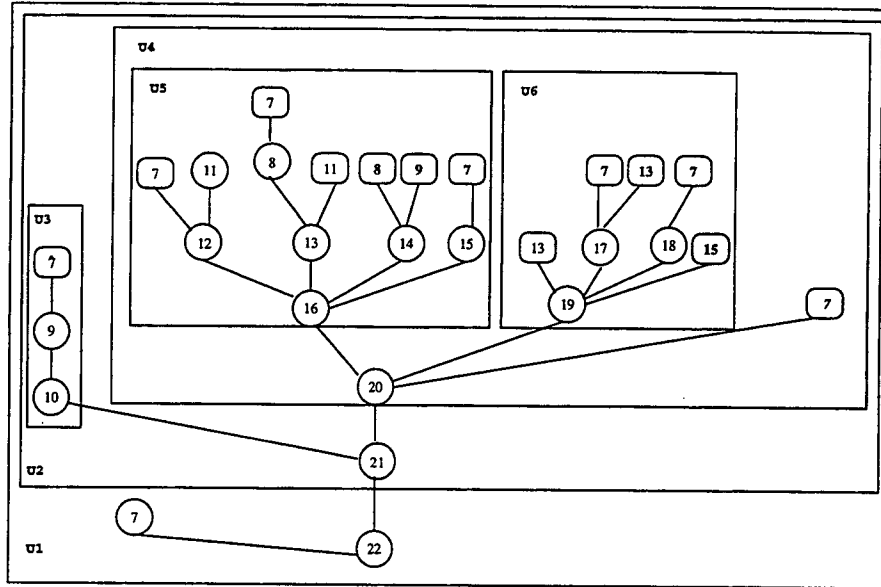
148

Figure 3: Proof Tree for Satz 1.9

hint is nevertheless given in other components of the PCA. That is, either in the verbalization of the inference method, or in that of the conclusion. For example, in the verbalization below

"Since u is an element in U, $u * 1_U = u$ by the definition of unit."

the first reason of the PCA in Section 1, "since 1_U is the unit element of U" is hinted at by the inference method which reads "by the definition of unit".

Note that although omit and implicit forms lead to an identical surface structure, the existence of an implicit hint in the other part of the verbalization affects a reader's understanding.

4.2 Reference Forms for Methods

PROVERB must select referring expressions for methods of inference in PCAs as well. Below are the three reference forms identified, which are analogous to the corresponding cases for reasons:

1. the *explicit* form: this is the case where a writer may decide to indicate explicitly which inference rule he is using. For instance, explicit translations of domain-specific rules could look like: *"by the definition of unit element", or "by the uniqueness of solution."* ND rules have usually standard verbalizations.

2. the *omit* form: in this case a word such as "thus" or "therefore" will be used.

3. The *implicit* form: Similar to the implicit form for reasons, an implicit hint to a domain-specific inference method can be given either in the verbalization of the reasons, or in that of the conclusion.

5 Making Reference Choices for Reasons

Because reasons are intermediate conclusions proved previously in context, their reference choices have much in common with the problem of choosing *anaphoric* referring expressions in natural language generation in general. A number of theories have been put forward to account for the pronominalization, which is usually ascribed to the focus mechanism. For this purpose, concepts like activatedness, foregroundness and consciousness have been introduced. More recently, the shift of focus has been further investigated in the light of a more structured flow of discourse [Rei85, GS86, Dal92]. The issue of salience is also studied in a broader framework in [PC93]. Apart from salience, it is also shown that referring expressions are strongly influenced by other aspects of human preference. For example, easily perceivable attributes and basic-level attributes values are preferred [DH91, Dal92, RD92].

Common to all discourse based theories, the update of the focus status is tightly coupled to the *factoring* of the flux of text into segments. As illustrated in section 3, we basically follow the approach of Grosz and Sidner [GS86] in that a direct correspondence between the plan hierarchy and the attentional spaces is assumed.

With the segmentation problem settled, the reference choices in our theory largely follow the approach of Reichman. Reichman handles the reference problem in a more general framework of her discourse grammar [Rei85]. Based on empirical data, Reichman argues that the choice of referring expressions is constrained both by the *status of the discourse space* and by the object's *level of focus* within this space. In her theory, there are seven status assignments a discourse space may have at any given time. Within a discourse space, four levels of focus can be as-

signed to individual objects: *high, medium, low,* or *zero,* since there are four major ways of referring to an object using English, namely, by using a pronoun, by name, by a description, or implicitly. Thirteen rules are formulated to assign level of focus to objects when they are activated, either with the initialization of a discourse unit or when they are added to the active unit. Four rules further reassign the level of focus on reentrance to a suspended discourse space. Based on the status assignment of discourse spaces, as well as the level of focus of individual objects, four rules are formulated constraining adequate referring expressions.

In short, Reichman takes into account both the foreground and background status of discourse spaces as well as the level of focus of individual objects. As a simplification for argumentative discourse, the notions of *structural closeness* and *textual closeness* are introduced.

The *structural closeness* of a reason reflects the foreground and background character of the innermost proof unit containing it. Intuitively, reasons that may still remain in the focus of attention at the current point from the structural perspective are considered as *structurally close.* Otherwise they are considered as *structurally distant.* If a reason, for instance, is last mentioned or proved in the active proof unit (the unit a writer is currently working on), it is more likely that this reason should still remain in his focus of attention. On the other hand, if the reason is in a closed unit, and is not the root, it is very likely that the reason has already been moved out of the writer's focus of attention. Although the notion of fore- and backgroundness might actually be a continuum, our theory only distinguishes between reasons residing in proof units which are *structurally close* or *structurally distant.* Rules assigning this *structural status* are given as following.

1. Reasons in the *active* unit are structurally close.

2. Reasons in the *controlling* unit are structurally close.

3. Reasons in *closed* units:

   (a) reasons that are the root of a closed proof unit immediate subordinate to the active unit are structurally close.
   (b) Other reasons in a closed unit are structurally distant.

4. Reasons in *precontrol* proof units are structurally distant.

Note that, the rules are specified with respect to the innermost proof unit containing a proof node. Rule 3 means that only the conclusions of closed subordinated subproofs still remain in the focus of attention. As a special treatment, premises of the entire theorem will be defined as both structurally distant and far in distance, if they are not repeated at the beginning of the proof.

The *textual closeness* is used as an approximation to the level of focus of an individual reason. In general, the level of focus of an object is established when it is activated, and decreases with the flow of discourse. In Reichman's theory, although four levels of focus can be established upon activation, only one is used in the formulation of the four reference rules. In other words, it suffices to track the status *high* alone. Based on the discussion above, we use only two values to denote the level of focus of individual intermediate conclusions, depending solely on the *textual distance* between the last mentioning of a reason and the current sentence where the reason is referred to.

In summary, we assume that each intermediate conclusion is put into high focus when it is presented as a newly derived result or cited as a reason supporting the derivation of a further intermediate result. This level of focus decreases, either when a proof unit is moved out of the foreground of discussion, or with the increase of textual distance. On account of the above, the four *reference rules* used in our computational model are given below.

Choices for Referring Expressions for Reasons

1. If a reason is both structurally and textually close, it will be omitted.

2. If a reason is structurally close but textually far, first try to find an implicit form, if impossible, use an explicit form.

3. If a reason is structurally distant but textually close, first try to find an implicit form, if impossible, omit it.

4. An explicit form will be used for reasons that are both structurally and textually far.

Notice that the result of applying rule 2 and rule 3 depends on the fact that an implicit form is available, which often interacts with the verbalization of the rest of the PCA. In particular, it interacts with the reference choices for inference methods. In *PROVERB* as it currently stands, the interaction is realized by associating a keyword with the verbalization of every predicate, function, and assertion. For instance, suppose the verbalization of $unit(F, 1, *)$ as a reason is "since 1 is an unit element of F", and the verbalization of the definition of unit element as an inference method is "by the definition of the unit element". Both the predicate *unit* and the definition are associated with the same keyword "unit". Based on this information, *PROVERB* assumes that the verbalization of the reason $unit(F, 1, *)$ and the verbalization of the definition of unit element hint at each other. Distance is currently calculated in an ad hoc way by counting the PCAs uttered after the corresponding reason was last explicitly mentioned.

150

6 Making Reference Choices for Inference Methods

Like the reference to a reason, the explicitness or implicitness of referring to an inference method at a particular point depends on whether the particular method can be easily called into the foreground of the focus of attention. In contrast to references to reasons, this is evidently irrelevant to the particular discourse structure concerned. Actually it is less concerned with the proof context than with the user's familiarity with the particular inference method. This referring behavior remains the same throughout a whole discourse, similar to the referring behavior relating to the so-called canonical salience [PC93]. In the case of applications of definitions or theorems, it depends on the reader's familiarity with the corresponding definition or theorem. This is found to be sensitive to the global hierarchy of the mathematical theories. As it currently stands, *PROVERB* distinguishes only between assertions in the *underlying theories* and assertions belonging to the *current theory*. The reference choice rules for inference methods currently incorporated are listed as follows.

Choices for Referring Expressions for Methods

1. Reference Choices for *ND Inference Rules*

   (a) All non-structural ND rules (such as eliminations of quantifiers) will be omitted (In the case of PCA `DERIVE`, a word like "thus", "hence", etc. will be used), because the readers are supposed to be familiar with the elementary logic.

   (b) All structural ND rules (such as the one dividing proofs into cases) will be explicitly given. Although they are also familiar to the readers, they provide landmarks for the overall proof structure.

2. Reference Choices for *Applications of Assertions*

   Readers are assumed to be familiar with definitions and theorems of the "underlying theories" upon which the current theory is based. For example, when we are reasoning about properties of group theory we assume that the users are familiar with basic set theory:

   (a) Applications of definitions and theorems of *underlying theories* will be omitted.

   (b) For applications of definitions or theorems of the *current theory*, try first to find an implicit form. If impossible, an explicit indication will be given.

7 An Integrated Algorithm for Reference Choices

As illustrated above, reference choices for reasons and for methods *interact* with each other. This section describes an algorithm that combines the reference choice rules for

reason and the reference choice rules for methods, to produce *preverbal messages* (PMs) from PCAs. As such, the main task is to utilize the interaction between the two sets of reference rules to eliminate the indeterminacy in both of the rule sets. The indeterminacy lies in reference rule 1 and 2 in Section 5 and in reference rule 2(b) in Section 6, which need information on decisions made by the other set of rules. In other words, decisions in one rule set may help to narrow the alternatives in the other set. *PROVERB* first makes the reference choice for the inference method. While doing so, it looks ahead and takes the possible reference choices for reasons into account. If still no unique choice can be made, the decision is made according to a predetermined order. Concretely the explicit form will be chosen for rule 2(b) in Section 6. After the reference form of the method has been determined, a unique reference form can always be determined for the reasons. The concrete algorithm is omitted due to space restrictions.

Now we continue with the subgroup example introduced in section 2. The PCA below aims to convey the derivation of proof node 9 ($1_U \in U$) from a part of node 7 ($unit(1_U, U, *)$), justified by the application of the definition of the unit element in semigroups.

```
(Derive Derived-Formula: 1_U ∈U
        Reasons: unit(1_U,U,*)
        Method: Def-Semigroup*Unit)
```

The current unit is U3. U2 and U1 are the controlling and precontrol unit, respectively, see Figure 3. Since node 7 is in the controlling unit and is mentioned last only two steps earlier, it is therefore judged as both structurally close and near in distance. Rule 1 in Section 5 is applicable and the *omit* form is chosen. Since the definition of the unit element resides in the current theory, Rule 2(b) in Section 6 suggests that its application be referred to either implicitly or explicitly. Because the implicit option is ruled out by the omit form for reasons, the explicit form is chosen. The PM below is therefore generated:

```
(Derive Derived-Formula: 1_U ∈U
        Method: Def-Semigroup*Unit)
```

Next let us jump over some steps and consider the PCA below.

```
(Derive Derived-Formula: u * 1_U = u
        Reasons: (unit(1_U,U,*), u ∈U)
        Method: Def-unit)
```

This PCA is generated to convey that node 12 ($u * 1_U$) can be derived from part of node 7 ($unit(1_U, U, *)$) and node 11 ($u \in U$) by applying the definition of the unit element. U5 is now the current unit, with U4 and U2 as controlling and precontrol unit. U3 is the unique closed unit. Reason node 7 is now structurally distant but still near in distance, and node 11 is in the current unit, and is the node last conveyed, therefore, both 7 and

11 are omitted. The reference form for the definition of unit element is decided upon as above. The PM below is generated:

```
(Derive Derived-Formula: u * 1_U = u
        Method: Def-Semigroup*Unit)
```

Fifteen preverbal messages are generated by *PROVERB* for our example. One sentence in English is generated from each PM by the surface generator TAG-GEN [Kilger94]. The text as generated follows.

Let F be a group, U be a subgroup of F, 1 be a unit element of F and 1_U be a unit element of U. According to the definition of unit element, $1_U \in U$. Therefore there is an X, $X \in U$. Now suppose that u_1 is such an X. According to the definition of unit element, $u_1 * 1_U = u_1$. Since U is a subgroup of F, $U \subset F$. Therefore $1_U \in F$. Similarly $u_1 \in F$, since $u_1 \in U$. Since F is a group, F is a semigroup. Because $u_1 * 1_U = u_1$, 1_U is a solution of the equation $u_1 * X = u_1$. Since 1 is a unit element of F, $u_1 * 1 = u_1$. Since 1 is a unit element of F, $1 \in F$. Because $u_1 \in F$, 1 is a solution of the equation $u_1 * X = u_1$. Since F is a group, $1_U = 1$ by the uniqueness of solution. This conclusion is independent of the choice of the element u_1.

8 Conclusion

This paper describes the way in which *PROVERB* refers to previously conveyed intermediate results and inference methods while verbalizing natural deduction style proofs. By distinguishing between the planned and unplanned part of NL generation, *PROVERB* achieves a natural segmentation of context into an attentional hierarchy. Based on this segmentation, *PROVERB* makes reference decisions basically according to a discourse theory adapted from Reichmann for this special application. The first experience shows that output texts are of good quality.

Currently, it proves difficult to compare text generated by *PROVERB* with naturally occurring texts, since the latter are usually at a still higher level of abstraction then the assertion level proofs we can reconstruct [Hua94a]. Nonetheless it might still be useful to build up a small corpus of texts. On the other hand, the naturalness of references could also be improved by further experimenting with different settings of the ad hoc thresholds in the system. We are also exploring more flexible lexicon choices as well as refinement of text planning process.

References

[Che76] D. Chester. The translation of formal proofs into English. *AI*, 7, 1976.

[Dal92] R. Dale. *Generating Referring Expressions*. MIT Press, 1992.

[DH91] R. Dale and N. Haddock. Content determination in the generation of referring expressions. *Computational Intelligence*, 7(4), 1991.

[EP93] A. Edgar and F. J. Pelletier. Natural language explanation of natural deduction proofs. In *Proc. of the 1th Conf. of the Pacific Assoc. for Comp. Linguistics*. Simon Fraser University, 1993.

[Gen35] G. Gentzen. Untersuchungen über das logische Schließen I. *Math. Zeitschrift*, 39, 1935.

[GS86] B. J. Grosz and C. L. Sidner. Attention, intentions, and the structure of discourse. *Computational Linguistics*, 12(3), 1986.

[Hov88] E. H. Hovy. *Generating Natural Language under Pragmatic Constraints*. Lawrence Erlbaum, 1988.

[Hua90] X Huang. Reference choices in mathematical proofs. In *Proc. of ECAI-90*, Pitman, 1990.

[Hua94a] X. Huang. Reconstructing proofs at the assertion level. In *Proc. of 12th CADE*. 1994, forthcoming.

[Hua94b] X. Huang. *Human Oriented Proof Presentation: A Reconstructive Approach*. PhD thesis, University of Saarbrücken, 1994, forthcoming.

[Hua94c] X. Huang. Planning argumentative text. In *Proc. of 15th COLING*. 1994, forthcoming.

[Kilger94] A. Kilger. Using UTAGs for Incremental and Parallel Generation. *Computational Intelligence*, 1994, forthcoming.

[Lev89] W. J. M. Levelt. *Speaking: From Intention to Articulation*. MIT Press, 1989.

[McD83] David D. McDonald. Natural language generation as a computational problem. In *Brady/Berwick: Computational Models of Discourse*. MIT Press, 1983.

[McK85] K. R. McKeown. *Text Generation*. Cambridge University Press, 1985.

[Moo89] J. D. Moore. *A Reactive Approach to Explanation in Expert and Advice-Giving Systems*. PhD thesis, Univ. of California, 1989.

[Och79] E. Ochs. Planned and unplanned discourse. *Syntax and Semantics*, 12, 1979.

[Par88] C. Paris. Tailoring object descriptions to a user's level of expertise. *Computational Linguistics*, 14, 1988.

[PC93] T. Pattabhiraman and N Cercone. Decision-theoretic salience interactions in language generation. *Proc. of IJCAI-93*, Morgan Kaufmann, 1993.

[RD92] E. Reiter and R. Dale. A fast algorithm for the generation of referring expressions. In *Proc. of COLING-92*, 1992.

[Rei85] R. Reichman. *Getting Computer to Talk Like You and Me*. MIT Press, 1985.

[Rei91] N. Reithinger. *Eine parallele Architektur zur inkrementeller Dialogbeiträge*. PhD thesis, University of Saarbrücken, 1991.

[Sib90] P. Sibun. The local organization of text. In *Proc. of the 5th International Natural Language Generation Workshop*, 1990.