

# UWB at SemEval-2016 Task 5: Aspect Based Sentiment Analysis

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## Abstract

This paper describes our system used in the Aspect Based Sentiment Analysis (ABSA) task of SemEval 2016. Our system uses Maximum Entropy classifier for the aspect category detection and for the sentiment polarity task. Conditional Random Fields (CRF) are used for opinion target extraction. We achieve state-of-the-art results in 9 experiments among the constrained systems and in 2 experiments among the unconstrained systems.

## 1 Introduction

The goal of Aspect Based Sentiment Analysis (ABSA) is to identify the aspects of a given target entity and estimate the sentiment polarity for each mentioned aspect. In recent years the aspect-based sentiment analysis has undergone rapid development mainly because of competitive tasks such as SemEval 2014 (Pontiki et al., 2014) and SemEval 2015 (Pontiki et al., 2015). The highest ranking participants are for SemEval 2014 (Kiritchenko et al., 2014; Brun et al., 2014; Castellucci et al., 2014; Toh and Wang, 2014; Wagner et al., 2014; Brychcín et al., 2014) and for SemEval 2015 (Saias, 2015; Toh and Su, 2015a; San Vicente et al., 2015; Zhang and Lan, 2015).

In the current ABSA task - SemEval 2016 task 5 (Pontiki et al., 2016) has attracted 29 participating teams competing in 40 different experiments among 8 languages. The task has three subtasks: Sentence-level (SB1), Text-level (SB2) and Out-of-domain ABSA (SB3). The subtasks are further divided into three slots:

- 1) Aspect Category Detection – the category consists of an entity and attribute (E#A) pair.
- 2) Opinion Target Expression (OTE)
- 3) Sentiment Polarity (positive, negative, neutral, and for SB2 conflict)

In phase A we solved slots 1 and 2. In phase B we were given the results for slots 1 and 2 and solved slot 3. We participate in 19 experiments including Chinese, English, French, and Spanish.

## 2 System Description

Our approach to the ABSA task is based on supervised Machine Learning. Detailed description for each experiment can be found in Section 2.2 and Section 2.3.

### 2.1 Features

Our system combines a large number of features to achieve competitive results. In this section we will describe the features in detail.

#### 2.1.1 Semantics Features

We use semantics models to derive word clusters from unlabeled datasets. Similarly to (Toh and Su, 2015b) we use the Amazon product reviews from (Blitzer et al., 2007) and the user reviews from the Yelp Phoenix Academic Dataset<sup>1</sup> to create semantic word clusters. Additionally, we use a review Opentable dataset<sup>2</sup>. In this paper we consider the following semantics models.

<sup>1</sup>[https://www.yelp.com/dataset\\_challenge](https://www.yelp.com/dataset_challenge)

<sup>2</sup>downloaded from <http://opentable.com>

	Dimension	Window	Iterations
GloVe	300	10	100
CBOW	300	10	100
LDA	–	sentence	1000

Table 1: Model settings.

**Global Vectors (GloVe)** (Pennington et al., 2014) use the ratios of the word–word co-occurrence probabilities to encode the meanings of words.

**Continuous Bag-of-Words (CBOW)** (Mikolov et al., 2013) is model based on Neural Network Language Model (NNLM) that tries to predict the current word using a small context window around the word.

**Latent Dirichlet Allocation (LDA)** (Blei et al., 2003) discovers the hidden topics in text. We experiment with 50, 100, 200, 300, 400, and 500 topics.

The settings of the GloVe and CBOW models reflect the results of these methods in their original publications (Pennington et al., 2014; Mikolov et al., 2013). The detailed settings of all these methods are shown in Table 1.

We used the GloVe implementation provided on the official website<sup>3</sup>, CBOW model uses the Word2Vec<sup>4</sup> implementation and the LDA implementation comes from the MALLET (McCallum, 2002) software package.

CLUTO software package (Karypis, 2003) is used for words clustering with the  $k$ -means algorithm and cosine similarity metric. All vector space models in this paper cluster the word vectors into four different numbers of clusters: 100, 500, 1000, and 5000.

The following features are based on the word clusters created using the semantic models.

**Clusters (C)** – The occurrence of a cluster at a given position.

**Bag of Clusters (BoC)** – The occurrence of a cluster in the context window.

**Cluster Bigrams (CB)** – The occurrence of cluster bigram at a given position.

**Bag of Cluster Bigrams (BoCB)** – The occurrence of cluster bigram in the context window.

### 2.1.2 Constrained Features

**Affixes (A)** – Affix (length 2-4 characters) of a word at a given position with a frequency  $> 5$ .

**Aspect Category (AC)** – extracted aspect category. We use separately the entity, attribute, and the E#A pair.

**Aspect Target (AT)** – listed aspect target.

**Bag of Words (BoW)** – The occurrence of a word in the context window.

**Bag of Words filtered by POS (BoW-POS)** – The occurrence of a word in the context window filtered by POS tags.

**Bag of Bigrams (BoB)** – The occurrence of a bigram in the context window.

**Bag of Words around Verb (5V)** – Bag of 5 words before verb and a bag of 5 words after verb.

**Bag of 5 Words at the Beginning of Sentence (5sS)** – Bag of 5 words at the beginning of a sentence.

**Bag of 5 Words at the End of Sentence (5eS)** – Bag of 5 words at the end of a sentence.

**Bag of Head Words (BoHW)** – bag of extracted head words from the sentence parse tree.

**Emoticons (E)** We used a list of positive and negative emoticons (Montejo-Ráez et al., 2012). The feature captures the presence of an emoticon within the text.

**Head Word (HW)** – extracted head word from the sentence parse tree.

**Character N-gram (ChN)** – The occurrence of character n-gram at a given position.

**Learned Target Dictionary (LTD)** – presence of a word from learned<sup>5</sup> dictionary of aspect terms.

**Learned Target Dictionary by Category (LTD-C)** – presence of a word from the learned dictionary<sup>5</sup> of aspect terms grouped by category.

<sup>3</sup><http://nlp.stanford.edu/projects/glove>

<sup>4</sup><https://code.google.com/p/word2vec>

<sup>5</sup>from training data

**N-gram (N)** – The occurrence of n-gram in the context window.

**N-gram Shape (NSh)** – The occurrence of word shape n-gram in the context window. We consider unigrams with frequency  $>5$  and bigrams, trigrams with frequency  $>20$ .

**Paragraph Vectors (P2Vec)** is an unsupervised method of learning text representation (Le and Mikolov, 2014). Resulting feature vector has a fixed dimension while the input text can be of any length. The model is trained on the *One billion word benchmark* presented in (Chelba et al., 2013), resulting vectors<sup>6</sup> are used as features for a sentence. We use the implementation by Řehůřek and Sojka (2010).

**POS N-gram (POS-N)** – The occurrence of POS n-gram in the context window.

**Punctuation (P)** – The occurrence of a question mark, an exclamation mark or at least two dots in the context window.

**Skip-bigram (SkB)** – Instead of using sequences of adjacent words (n-grams) we used skip-grams (Guthrie et al., 2006; Reyes et al., 2013), which skip over arbitrary gaps. We consider skip-bigrams with 2 to 5 word skips and remove skip-grams with a frequency  $\leq 5$ .

**Target Bag of Words (T-BoW)** – BoW containing parent, siblings, and children of the target from the sentence parse tree.

**TF-IDF (TF-IDF)** – Term frequency - inverse document frequency of a word computed from the training data.

**Verb Bag of Tags (V-BoT)** – Bag of syntactic dependency tags of parent, siblings, and children of the verb from the sentence parse tree.

**Verb Bag of Words (V-BoW)** – Bag of words for parent, siblings, and children of the verb from the sentence parse tree.

<sup>6</sup>Vector dimension has been set to 300.

**Word Shape (WSh)** – we assign words into one of 24 classes<sup>7</sup> similar to the function specified in (Bikel et al., 1997).

**Words (W)** – The occurrence of word at a given position (e.g. previous word).

### 2.1.3 Unconstrained Features

**Dictionary (DL)** – presence of a word from dictionary extracted from the Annotation Guidelines for Laptops.

**Dictionary (DR)** – presence of a word from dictionary extracted from the Annotation Guidelines for Restaurants.

**Enchanted Dictionary (ED)** – presence of a word from a dictionary extracted from website<sup>8</sup>.

**Group of Words from ED (EDG)** – presence of any word from a group from the ED dictionary.

**Dictionary of Negative Words (ND)** – presence of any negative word from the negative words list<sup>9</sup>.

**Sentiment (S)** – this is a union of features dealing with sentiment. It consists of *BoG* features where the groups correspond to various sentiment lexicons. We used the following lexicon resources: Affinity lexicon (Nielsen, 2011), Senticon (Cruz et al., 2014), dictionaries from (Steinberger et al., 2012), MICRO-WNOP (Cerini et al., 2007), and the list of positive or negative opinion words from (Liu et al., 2005). Additional feature includes the output of Stanford CoreNLP (Manning et al., 2014) v3.6 sentiment analysis package by (Socher et al., 2013).

## 2.2 Phase A

**Sentence-level Category (SB1, slot 1)** We use maximum entropy classifier for all classes. Then a threshold  $t$  is used to decide which categories will be assigned by the classifier.

<sup>7</sup>We use `edu.stanford.nlp.process.WordShapeClassifier` with the `WORDSHAPECHRIS1` setting.

<sup>8</sup><http://www.enchantedlearning.com/wordlist/>

<sup>9</sup><http://dreference.blogspot.cz/2010/05/negative-ve-words-adjectives-list-for.html>

**Chinese** We used identical features for both domains (*BoB*, *BoHW*, *BoW*, *ChN*, *N*), where *ChN* ranges from unigram to 4-gram and *ChN* with frequency  $< 20$  are removed and *N* ranges from unigram to trigram and *ChN* with frequency  $< 10$  are removed. The threshold was set to  $t = 0.1$ .

**Spanish** For Spanish we used the following features: *5V*, *5eS*, *BoB*, *BoHW*, *BoW*, *BoW-POS*, *ChN*, *V-BoT*, where *5V* considers only adjective, adverb, and noun, *5eS* considers adjectives and adverbs with frequency  $> 5$ , *ChN* ranges from unigram to 4-gram and *ChN* with frequency  $< 20$  are removed, *BoW-POS* is used separately for adverbs, nouns, verbs, and a union of adjectives, adverbs, nouns, and verbs, *V-BoT* is used separately for adverbs, nouns, and a union of adjectives and adverbs while reducing feature space by 50 occurrences. The threshold was set to  $t = 0.2$ .

**English** English features employ lemmatization. The threshold was set to  $t = 0.14$ . Common features for all experiments in this task are *5V*, *5eS*, *BoB*, *BoHW*, *BoW*, *BoW-POS*, *P*, *TF-IDF*, *V-BoT*, where *5V* considers only adjective, adverb, and noun, *5eS* filters only adjective and adverb, *BoW-POS* contains adjectives, adverbs, nouns, and verbs, *V-BoT* filters adjectives and adverbs with frequency  $> 20$ .

The unconstrained model for the Laptops domain additionally uses *BoC*, *BoCB*, *DL*, *ED*, *P2Vec* *BoC* and *BoCB* include the GloVe and CBOW models computed on the Amazon dataset.

The constrained model for the restaurant domain additionally uses *5sS*, *ChN*, *LTD*, *LTD-C*, *P2Vec* *5sS* filters only adjective and adverb, *ChN* in this case means character unigrams with frequency  $> 5$ . This model also considers separate *BoW-POS* features for groups for adverbs, nouns and verbs.

The unconstrained model for the restaurant domain uses *BoC*, *BoCB*, *DR*, *LDA*, *ND*, *NSh* on top of the previously listed features for the constrained model.

*BoC* and *BoCB* include the GloVe, CBOW, and LDA models computed on the Yelp dataset and CBOW model computed on the Opentable dataset.

**Sentence-level Target (SB1, slot 2)** Similarly to (Brychcín et al., 2014), we have decided to use Conditional Random Fields (CRF) (Lafferty et al., 2001)

to solve this subtask. The context for this task is defined as a five word window centred at the currently processed word. English features for this subtask employ lemmatization.

The baseline feature set consists of *A*, *BoB*, *BoW-POS*, *HW*, *LTD*, *LTD-C*, *N*, *POS-N*, *V-BoT*, *W*, *WSh*. *BoW-POS* contains adjectives, adverbs, nouns, verbs, and a union of adverbs and nouns. We consider *POS-N* with frequency  $> 10$ . *V-BoT* includes adverbs, nouns, and a union of adjectives, adverbs, nouns, and verbs.

In the unconstrained model, we extend this with the semantic features *C*, *CB* (created using the CBOW model computed on the opentable dataset) and with lexicons *DR*, *EDG*.

### Sentence level Category & Target (SB1, slot 1&2)

We firstly assign targets as described above and then combine them with the best five candidates for aspect category<sup>10</sup>. We also add aspect categories without target. This produces too many combinations thus we need to filter the unlikely opinions. We remove the opinions without target in a sentence where the aspect category is already present with a target. When there is only one target and one aspect category in a sentence we combine them into a single opinion.

**Text-level Category (SB2, slot 1)** We used the baseline algorithm: the predicted sentence-level tuples (SB1, slot 1) are copied to text-level and duplicates are removed.

## 2.3 Phase B

### Sentence level Sentiment Polarity (SB1, slot 3)

Our sentiment polarity detection is based on the Maximum Entropy classifier, which works very well in many NLP tasks, including document-level sentiment analysis (Habernal et al., 2014).

**Chinese** We used identical features for both domains (*5V*, *5eS*, *5sS*, *AC*, *BoB*, *BoHW*, *BoW*, *BoW-POS*, *ChN*, *N*, *NSh*, *P*, *SkB*, *V-BoT*, *V-BoW*), where *5V* considers adjectives and adverbs with frequency  $> 5$ , *5eS* and *5sS* contain adjectives, adverbs, nouns, and verbs, *BoW-POS* is used separately for adjectives and adverbs, *ChN* ranges from unigram to 5-

<sup>10</sup>We use the same settings and approach as in the sentence-level category detection (SB1 slot 1).

gram and *ChN* with frequency  $< 5$  are removed,  $N$  ranges from unigram to 5-gram and *ChN* with frequency  $< 2$  are removed, *V-BoT* is used separately for verbs, and a union of adjectives and adverbs, *V-BoW* is used separately for adjectives, adverbs, verbs, a union of adjectives and adverbs and a union of adjectives, adverbs, nouns, and verbs, while reducing feature space by 2 occurrences.

**French** We employ lemmatization for French. The first constrained model includes the following features: *AC*, *BoB*, *BoHW*, *BoW*, *BoW-POS*, *ChN*, *LTD*, *LTD-C*, *N*, *NSh*, *P*, *SkB*, *V-BoT*, where *BoW-POS* is used separately for adjectives, adverbs and a union of adjectives, adverbs, nouns, and verbs, *ChN* ranges from unigram to 5-gram and *ChN* with frequency  $\leq 5$  are removed,  $N$  ranges from unigram to 5-gram and  $N$  with frequency  $< 2$  are removed, *V-BoT* is used separately for verbs, and a union of adjectives and adverbs.

The second constrained model additionally uses *5V*, *5eS*, *5sS*, *AT*, *T-Bow*, *V-BoW*, where *5V* considers only adjective and adverb, *5eS*, *5sS* considers adjective, adverb, noun, and verb, *T-BoW* is used for adjectives, adverbs, nouns, and verbs, *V-BoW* is used separately for adjectives, adverbs, verbs, a union of adjectives and adverbs and a union of adjectives, adverbs, nouns, and verbs, while reducing feature space by 2 occurrences.

**Spanish** We employ lemmatization for Spanish. We used the following features: *5V*, *5eS*, *AC*, *BoB*, *BoHW*, *BoW*, *BoW-POS*, *E*, *ChN*, *LTD*, *LTD-C*, *N*, *NSh*, *P*, *SkB*, *T-Bow*, *V-BoT*, *V-BoW*, where *5V* considers only adjective and adverb, *5eS* considers adjective, adverb, noun, and verb, *BoW-POS* is used separately for adjectives, adverbs, *ChN* ranges from unigram to 5-gram and *ChN* with frequency  $\leq 5$  are removed,  $N$  ranges from unigram to 5-gram and  $N$  with frequency  $< 2$  are removed, *T-BoW* is used for adjectives, adverbs, nouns, and verbs, *V-BoT* is used separately for verbs, and a union of adjectives and adverbs, *V-BoW* is used separately for adjectives, adverbs, verbs, a union of adjectives and adverbs and a union of adjectives, adverbs, nouns, and verbs, while reducing feature space by 2 occurrences.

**English** We use lemmatization in this subtask. Common features for all experiments in this task

are *5V*, *5eS*, *5sS*, *AC*, *AT*, *BoB*, *BoHW*, *BoW*, *BoW-POS*, *E*, *ChN*, *LTD*, *LTD-C*, *N*, *NSh*, *P*, *SkB*, *V-BoT*, *V-BoW*, where *5V* considers adjectives and adverbs, *5eS*, *5sS* consists of adjectives, adverbs, nouns, and verbs, *BoW-POS* contains adjectives and adverbs,  $N$  ranges from unigram to 5-gram and  $N$  with frequency  $< 2$  are removed, *V-BoT* is used separately for verbs, and a union of adjectives and adverbs, *V-BoW* is used separately for adjectives, adverbs, verbs, a union of adjectives and adverbs and a union of adjectives, adverbs, nouns, and verbs, while reducing feature space by 2 occurrences.

The unconstrained model for the Laptops domain additionally uses *BoC*, *BoCB*, *ED*, *S BoC* and *BoCB* include the GloVe and CBOW models computed on the Amazon dataset.

The constrained model for the restaurant domain additionally uses *T-Bow*, *TF-IDF*, where *T-BoW* is used for adjectives, adverbs, nouns, and verbs.

The unconstrained model for the restaurant domain uses *BoC*, *BoCB*, *ED*, *ND*, *S* on top of the previously listed features for the constrained model.

*BoC* and *BoCB* include the GloVe and CBOW models computed on the Yelp dataset and CBOW model computed on the OpenTable dataset.

**Text-level Sentiment Polarity (SB2, slot 3)** The baseline algorithm traverses the predicted sentence-level tuples of the same category and counts the respective polarity labels (positive, negative or neutral). Finally, the polarity label with the highest frequency is assigned to the text-level category. If there are not any sentence-level tuples of the same category the polarity label is determined based on all tuples regardless of the category.

Our improved algorithm contains an additional step, that assigns polarity for cases (categories) with more than one sentence-level polarity labels. The resulting polarity is determined by the following algorithm:

```
if(catPolarity == lastPolarity){
    assign lastPolarity;
}else if(catPolarity == entPolarity){
    assign entPolarity;
}else{
    assign CONFLICT;
}
```

where *catPolarity* is the polarity label with the highest frequency for the given category

Domain	Lang	Subtask	Constrained				Unconstrained			
			Category		Sentiment		Category		Sentiment	
			Rank	$F_1$ [%]	Rank	$ACC$ [%]	Rank	$F_1$ [%]	Rank	$ACC$ [%]
Restaurants	EN	SB1	3.	67.8	2.	81.8	8.	68.2	9.	81.7
Laptops	EN	SB1	1.	47.9	3.	73.8	7.	47.3	10.	73.8
Restaurants	EN	SB2	1.	81.0	1.	80.9	3.	80.2	1.	81.9
Laptops	EN	SB2	1.	60.5	1.	74.5	2.	59.7	1. - 2.	75.0
Restaurants	FR	SB1	–	–	2.	75.3	–	–	–	–
Cameras	CH	SB1	1.	36.3	3.	77.8	–	–	–	–
Phones	CH	SB1	1.	22.5	3.	72.0	–	–	–	–
Restaurants	SP	SB1	3.	62.0	2.	81.3	–	–	–	–
Restaurants	SP	SB2	3.	73.7	1.	77.2	–	–	–	–
			Target		Category & Target		Target		Category & Target	
			Rank	$F_1$ [%]	Rank	$F_1$ [%]	Rank	$F_1$ [%]	Rank	$F_1$ [%]
Restaurants	EN	SB1	1.	66.9	4.	41.1	3.	67.1	6.	41.1

Table 2: Achieved ranks and results (in %) by UWB for all submitted systems.

(E#A tuple), `entPolarity` is the polarity label with the highest frequency for the entity E and `lastPolarity` is the last seen polarity label for the given category. This follows our believe that the last polarity tends to reflect the final sentiment (opinion) toward the aspect category.

## 2.4 System Settings

For all experiments we use Brainy (Konkol, 2014) machine learning library.

Data preprocessing includes lower-casing and in some cases lemmatization.

We utilize parse trees, lemmatization and POS tags from the Stanford CoreNLP (Manning et al., 2014) v3.6 framework. We chose it because it has support for Chinese, English, French, and Spanish.

## 3 Results and Discussion

As shown in the Table 2 we achieved very satisfactory results especially for the constrained experiments.

In the English sentence level Laptops domain our constrained method was slightly better than the unconstrained one (by 0.6%). We believe this is not a significant deviation.

The baseline algorithm for text-level category (SB2, slot 1)<sup>11</sup> achieves an  $F_1$  score of 96.08% on the Laptops domain and 97.07% on the Restaurants domain for English. When we add the corresponding general class for the

<sup>11</sup>Using the sentence-level gold test data.

given domain (e.g. RESTAURANT#GENERAL and LAPTOP#GENERAL) the algorithm achieves an  $F_1$  score of 96.87% on the Laptops domain and 99.75% on the Restaurants domain for English.

The baseline algorithm for text-level sentiment polarity (SB2, slot 3)<sup>11</sup> achieves an Accuracy of 86.8% on the Laptops domain and 89.6% on the Restaurants domain for English, while the improved algorithm achieves an Accuracy of 94.5% on the Laptops domain and 97.3% on the Restaurants domain for English.

## 4 Conclusion

We competed in 19 constrained experiments and won 9 of them. In the other 10 cases we have reached at worst the 4th place. Our unconstrained systems participated in 10 experiments and achieved 5 ranks ranging from the 1st to 3rd place.

In the future we aim to explore the benefits of using neural networks for sentiment analysis.

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