

# The NYA’s Offline Speech Translation System for IWSLT 2024

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## Abstract

This paper reports the NYA’s submissions to IWSLT 2024 Offline Speech Translation (ST) task on the sub-tasks including English to Chinese, Japanese, and German. In detail, we participate in the unconstrained training track using the cascaded ST structure. For the automatic speech recognition (ASR) model, we use the Whisper large-v3 model. For the neural machine translation (NMT) model, the wider and deeper Transformer is adapted as the backbone model. Furthermore, we use data augmentation technologies to augment training data and data filtering strategies to improve the quality of training data. In addition, we explore many MT technologies such as Back Translation, Forward Translation, R-Drop, and Domain Adaptation. Moreover, our model is a one-to-many ST system that utilizes flags for different tasks. Experimental results on the tst2022 test set demonstrate that our model achieves 36.37, 20.92, and 24.28 BLEU in En2Zh, En2Ja, and En2De, respectively.

## 1 Introduction

The Offline Speech Translation (ST) Task translates the source audio into target text. Currently, there are two leading solutions for ST. The first is the traditional cascade system (Matusov et al., 2005a), which decouples the ST task into an automatic speech recognition (ASR) and a neural machine translation (NMT) task. In the traditional cascade system, when translating, the source speech is recognized into source text, and then the NMT model is used to translate the source text into target text. However, it often leads to higher architectural complexity and error propagation (Duong et al., 2016), affecting subsequent NMT tasks. In order to alleviate this problem, the end-to-end (E2E) ST architecture (Bérard et al., 2016) is proposed. The E2E ST combines ASR and NMT modeling to establish the map between the source audio and the

target text.

For the E2E ST architecture, one disadvantage is the lack of parallel training data. For the traditional cascade ST system, sufficient training can obtain high-accuracy ASR and MT systems due to the large ASR and MT datasets. Therefore, the traditional cascade ST system generally achieves better performance than the E2E ST. At the same time, in the recent offline track of IWSLT evaluation (Anastasopoulos et al., 2021, 2022; Agarwal et al., 2023), we can see that the cascade ST system is better than the E2E ST system. Thus, in this work, we use the traditional cascaded ST scheme.

Specifically, in the ASR task, we directly adopt the Whisper (Radford et al., 2023) large-v3 model, which can achieve a strong comprehensive ASR performance. We also explore sharding strategies, such as Supervised Hybrid Audio Segmentation (SHAS) (Tsiamas et al., 2022), to segment the source audio for better ST results. In the MT task, we use the Transformer architecture (Vaswani et al., 2017) as the backbone model. To ensure the MT model is fully trained, we meticulously collect a large amount of parallel data and monolingual data from various data sources. Furthermore, we delve into many MT technologies such as Back Translation (Sennrich et al., 2016), Forward Translation, R-Drop (Wu et al., 2021), Domain Adaptation, and Ensemble (Ganaie et al., 2022). Moreover, we compare the two solutions: one-to-one and one-to-many ST, and we find that one-to-many is better.

Through the above explorations, our model finally achieves good ST performance. In detail, experimental results on the tst2022 test set demonstrate that our model achieves 36.37, 20.92, and 24.28 BLEU in En2Zh, En2Ja, and En2De, respectively.

The rest of this paper is organized as follows. Section 2 describes the datasets and data pre-processing. Section 3 describes our speech translation system, which includes ASR and MT models.

Corpus	En2Zh	En2Ja	En2De
CoVoST (Wang et al., 2020)	171K	191K	220K
MuST-C v3 (Cattoni et al., 2021)	296K	251K	238K
NewsCommentary (Tiedemann, 2012)	400K	-	345K
OpenSubtitles (Lison and Tiedemann, 2016)	4.9M	832K	12M
Tatoeba (Tiedemann, 2012)	-	193K	302K
GigaST (Ye et al., 2023)	6.2M	-	6.3M
JParaCrawl (Morishita et al., 2020)	-	6.4M	-
Total	12M	8.2M	19.5M

Table 1: Data statistics on MT datasets.

Section 4 reports the experimental results. Finally, we conclude in Section 5.

## 2 Dataset

### 2.1 Text Data

The dataset used for machine translation is shown in Table 1, which contains both speech-to-text-parallel and text-parallel data types of all language pairs allowed by IWSLT 2024. Additionally, we employ the GigaST dataset to expand our text training data. sBERT (Reimers and Gurevych, 2019, 2020) is used for calculating sentence representations. We compute sentence embeddings for all parallel text data and remove sentences pairs that lower than 0.7 cosine similarity. The data statistics in table represent the number of sentences remaining in each dataset after sBERT filtering.

### 2.2 Data pre-processing

We perform the following preprocessing steps to filter all text-parallel data:

- Remove empty sentences and duplicate sentences.
- Remove sentences containing invalid characters and HTML tags.
- Remove sentences longer than 200 tokens or shorter than 3 tokens.
- Remove sentences with unbalanced source-target token ratio.
- Remove sentences with too much punctuation.
- Remove sentences where the source or target language constitutes a low percentage.
- Remove sentences with mismatched punctuation marks, such as quotation marks.

Then we apply mosesdecoder toolkits<sup>1</sup> (Koehn et al., 2007) for punctuation, space and case normalization. The sentences are then tokenized using joint SentencePiece model (SPM) (Kudo and Richardson, 2018). The vocabulary size of joint SPM is about 130,000, with 40k in English, 40k in Chinese, 30k in German, and 20k in Japanese, both source and target side share the same dictionary.

## 3 Speech translation system

### 3.1 ASR model

Whisper<sup>2</sup> (Radford et al., 2023) is an excellent multilingual ASR system trained on 680,000 hours of multilingual and multitask supervision data. It still shows strong robustness in various audio scenes, such as accent speech and background noise, and achieves good recognition results. It adopts the Encoder-Decoder architecture (Dong et al., 2018), and the training data has an extraordinarily structured design. In addition, it uses a method similar to prompt during the training process. The open-source Whisper models have five sizes of models: tiny, base, small, medium, and large. It is worth noting that the OpenAI has recently updated the Whisper large model to form a more effective large-v3 version model. In this work, we adopt the Whisper large-v3 version as the ASR part of our ST system.

### 3.2 MT model

#### 3.2.1 Model structure

We adopt Transformer model (Vaswani et al., 2017) to build our machine translation system and implemente them on Fairseq toolkits (Ott et al., 2019). More specifically, we adopt a wider and deeper Transformer model which contains 18-layer encoder, 6-layer decoder, 16 self-attention heads and

<sup>1</sup><https://github.com/moses-smt/mosesdecoder>

<sup>2</sup><https://github.com/openai/whisper>

Language	Raw data	Filter data
Chinese	22M	9M
Japanese	30M	15M
English	8M	4.1M

Table 2: Data statistics on monolingual corpus.

FFN with 4096 dimensions. We utilize all provided parallel data from three language directions (En2Zh, En2De, En2Ja) for model training, and derived a one-to-many MT model.

### 3.2.2 R-Drop

The Dropout method (Srivastava et al., 2014; Gao et al., 2022) is an influential strategy for the regularization of deep neural networks. While it enhances the efficacy of the training process, the stochastic nature of dropouts might result in discrepancies between the training and inference phases. R-Drop, as introduced by Wu et al. (2021), ensures consistency among the output distributions of the sub-models generated by dropout. To enhance the consistency within our model, we implement the R-Drop algorithm and set weight factor  $\alpha$  to 5. Consequently, the R-Drop training strategy significantly improves the performance of our baseline model.

Furthermore, when using the R-drop mechanism to train models, the model computation increases exponentially, which will consume more training time and GPU resources. Given the limitation of time and resources, we adopt it solely for our foundational model, and integrate the R-Drop-augmented model into ST system by using model ensemble approach during the evaluation stage.

### 3.2.3 Data Augmentation

Previous works (Edunov et al., 2018) has demonstrated that the incorporation of synthetic data can significantly enhance the efficacy of machine translation systems. We implement following data augmentation methodologies to further refine our translation models.

Forward translation (FT) is a process of transforming source language into target language using MT model. On the contrary, backward translation (BT) (Sennrich et al., 2016) is the translation of target language back into source language, forcing the model to learn a more robust representation of the source language. Both methods use additional monolingual resources to create bilingual data.

As shown in Table 2, we select 22M sentences of Chinese, 8M sentences of English and 30M sen-

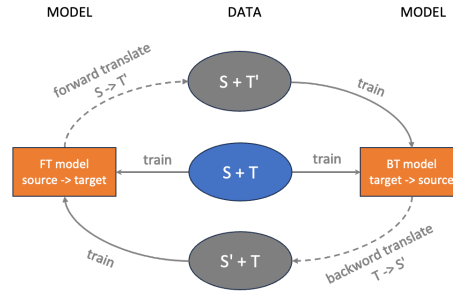


Figure 1: The iterative updating process for FT and BT model.

tences of Japanese of monolingual data from public datasets, such as Common Crawl and News Crawl corpus. Moreover, to make our MT model have better results in ACL scenarios, we adopt the scientific English monolingual corpus from Rohatgi et al. (2023). After data pre-processing pipeline mentioned above, approximately 40%-50% of the sentences from the original data are retained for each language. BT model is trained separately for each language pair, and then the monolingual data is used for backward translation. We employ an iterative forward-backward translation approach to progressively enhance the translation quality of both the FT model and BT model. As shown in figure 1, the FT model and BT model generated pseudo-labels *target'* and *source'* respectively. We mix them with labelled text pairs (*source*, *target*) to update our BT model and FT model. As the BLEU scores of BT model increased, the positive impact of the back-translated data on the FT model also becomes more pronounced.

When using data generated by BT model, we refer to the tagged BT method (Caswell et al., 2019), adding a special token <BT> at the beginning of source sentence.

We also convert numerical expressions in English sentences into forms that more closely match the ASR transcription results, e.g., converting '21' to 'twenty-one', '2018' to 'two thousand and eighteen'. Additionally, we randomly discard punctuation marks within sentences to enable the model to generalize well across varying punctuation styles. These transformed sentences are merged with the original sentences to obtain an augmented dataset.

### 3.2.4 Domain adaptation

Considering the quality of machine translation models is easily influenced by specific domain, we also select in-domain data and fine-tune the model

	<b>System</b>	<b>En2Zh</b>	<b>En2Ja</b>	<b>En2De</b>
1	Baseline model	35.04	18.75	23.14
2	+ R-drop	35.67	19.36	23.71
3	+ GigaST	35.42	19.21	23.70
4	+ Backward translation	35.71	19.77	23.94
5	+ Domain adaptation	35.44	19.90	23.97
	Ensemble(2,4)	36.33	20.90	24.26
	Ensemble(2,4,5)	<b>36.37</b>	<b>20.92</b>	<b>24.28</b>

Table 3: Main results with BLEU scores on IWSLT tst2022 datasets

<b>System</b>	<b>En2Zh</b>	<b>En2Ja</b>
one-to-one	32.77	18.38
one-to-many	<b>35.04</b>	<b>18.75</b>

Table 4: BLEU scores on IWSLT tst2022 datasets (one-to-one vs. one-to-many ST)

<b>System</b>	<b>En2Zh</b>	<b>En2Ja</b>	<b>En2De</b>
Baseline	35.42	19.21	23.70
+ BT-Ja	35.37	19.71	<b>24.00</b>
+ BT-Zh	<b>35.71</b>	<b>19.77</b>	23.94

Table 5: BLEU scores on IWSLT tst2022 datasets with different BT data

to enhance in-domain performance. We use MUST-C data (Cattoni et al., 2021) as domain-specific dataset to train monolingual language models separately, and then use them to score all language pairs. We set specific thresholds to filter parallel data closer to the domain, with higher scores implying better quality, and train incrementally to get domain-specific model. The filtered in-domain data is about 5-10% of the total data.

### 3.2.5 ASR output adaptation

For ST dataset, we use ASR models to transcribe the audio data and replace their source side label with ASR recognition results, and finally obtain an augmented dataset containing ASR noise. ASR model may produce incorrect transcriptions for words with similar pronunciations, which, despite reducing the quality of MT training dataset, also bolster the robustness of the ST system. For this part of data, we also add a special tag <ASR> at the beginning of source sentence.

## 4 Experiments and results

All models are implemented on Fairseq toolkits (Ott et al., 2019) and trained on four NVIDIA A100 GPUs. The IWSLT test sets of tst2022 are used

to evaluate the translation performance at sentence level. The mwerSegmenter toolkit<sup>3</sup> (Matusov et al., 2005b) is used to resegment and align translation results and then SacreBLEU<sup>4</sup> (Post, 2018) is used to compute BLEU scores. For the Japanese text, tokenization is performed using the Mecab, while for the Chinese text, tokenization is executed at character level. We apply SHAS<sup>5</sup> (Tsiamas et al., 2022) for audio segmentation and try a variety of combinations for min and max segment length, the optimal parameters is 5-30 secs for TED domain.

The table 4 presents a comparative analysis between the one-to-one and the one-to-many systems, specifically their performance on En2Zh and En2Ja. In the one-to-one system, each source language corresponds to only one target language, with BLEUs of 32.77 in En2Zh and 18.38 in En2Ja. In the one-to-many system, a source language text can correspond to multiple target language texts. The system trains data from English to three target languages (En2Zh , En2Ja , En2De) simultaneously and distinguishes the target language type by adding <zh>/<ja>/<de> tags. The performance of the one-to-many system improves to 35.04 in En2Zh and 18.75 in En2Ja. These scores indicate that one-to-many system outperforms the one-to-one system.

For the one-to-many system in Table 3, we first train a baseline model with all constrained data. We find that introducing R-drop mechanism positively affects model performance. Then, we add GigaST dataset for incremental training, which enriches the data diversity but also leads to a dramatic increase in the training data. We observe that as the amount of training data increases, R-drop no longer benefits model performance while consuming more training time, so we remove the R-drop mechanism

<sup>3</sup><https://www-i6.informatik.rwth-aachen.de/web/Software/mwerSegmenter.tar.gz>

<sup>4</sup><https://github.com/mjpost/sacrebleu>

<sup>5</sup><https://github.com/mt-upc/SHAS>

in subsequent stages.

In the forth stage, we collect monolingual data in Chinese and Japanese and perform back translation. As shown in table 5, the model performance is incrementally enhanced by incorporating back translation data into training dataset. Specifically, after adding BT-Ja data, the BLEU score for En2Ja improves significantly from 19.21 to 19.71, while En2Zh slightly decreases to 35.37. The addition of BT-Zh data enhances En2Zh to 35.71 and En2Ja to 19.77. Notably, although no BT data is added for En2De, its BLEU score still improves by 0.24, demonstrating a positive impact of back translation data on the overall model performance. Finally, domain adaptation brings some improvements in En2Ja and En2De.

Finally, we integrate the baseline model, which is enhanced by the R-drop mechanism, with fine-tuned models that leverage additional data, backward translation, and adaptation techniques. The ensemble of model (2, 4) achieves notable improvements, with BLEU scores of 36.33 for En2Zh, 20.90 for En2Ja, and 24.26 for En2De. Furthermore, the ensemble of model (2, 4, 5) slightly surpasses the ensemble of model (2, 4), reaching scores of 36.37 for En2Zh, 20.92 for En2Ja, and 24.28 for En2De. This indicates the effectiveness of model ensemble in boosting translation quality.

## 5 Conclusion

This paper describes our submission to the IWSLT24 offline speech translation task. We collect a large amount of parallel and monolingual data from the public data sources and adopt the traditional cascade ST architecture for the unconstrained training track. For the ASR model, we use the excellent Whisper large-v3 model, which is trained on 680,000 hours of multilingual and multi-task supervision data. It shows strong robustness in various audio scenes. For the MT model, we explore a wider and deeper Transformer model using Fairseq toolkit. To make the model fully trained, we carefully experiment many MT technologies, such as Back Translation, Forward Translation, Domain Adaptation, and R-Drop. Experimental results on the tst2022 test set show that our model achieves 36.37, 20.92, and 24.28 BLEU in En2Zh, En2Ja, and En2De, respectively.

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