

The Fourth International Chinese Language Processing Bakeoff: Chinese Word Segmentation, Named Entity Recognition and Chinese POS Tagging

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Abstract

The Fourth International Chinese Language Processing Bakeoff was held in 2007 to assess the state of the art in three important tasks: Chinese word segmentation, named entity recognition and Chinese POS tagging. Twenty-eight groups submitted result sets in the three tasks across two tracks and a total of seven corpora. Strong results have been found in all the tasks as well as continuing challenges.

1 Introduction

Chinese is a kind of language which does not use word delimiters in its writing system. Now a days, under the background of information explosion, many application oriented natural language processing task become more and more important, such as parsing and machine translation. Chinese tokenization, as the foundation of many downstream processing tasks, has attracted lots of research interest. However, it is still a significant challenge for all the researchers.

SIGHAN, the Special Interest Group for Chinese Language Processing of the Association for Computational Linguistics, conducted three prior word segmentation bakeoffs, in 2003, 2005 and 2006 (Sproat and Emerson, 2003; Emerson, 2005; Levow, 2006), which established benchmarks for word segmentation and named entity recognition. The bakeoff presentations at SIGHAN workshops highlighted new approaches in this field.

The fourth bakeoff was jointly held with the First CIPS Chinese Language Processing Evaluation in the summer of 2007, and co-organized by SIGHAN, Chinese LDC, and the Verifying Center of Chinese Language and Character Standards of the State Language Commission of P.R.C. In this bakeoff, we continue the Chinese word segmentation and named entity recognition tasks. Furthermore, a new evaluation task has been augmented, the task for Chinese POS tagging. In this evaluation task, a participating system will take a given segmented corpus as the input, and only the POS tagging performance will be evaluated. Both closed and open track are available for this task.

2 Details of the Evaluation

2.1 Corpora

Seven corpora were provided for the evaluation: five in Simplified characters and two in traditional characters. The Simplified character corpora were provided by Microsoft Research Asia (MSRA) for NER, by University of Pennsylvania/University of Colorado (CTB) for WS and POS tagging, by Peking University for NER and POS tagging, by Shanxi University for WS. The Traditional character corpora were provided by City University of Hong Kong (CITYU) for WS, NER and POS tagging, by the Chinese Knowledge Information Processing Laboratory (CKIP) of the Academia Sinica, Taiwan for WS and POS tagging. Each data provider offered separate training and test corpora. Statistical information for each corpus appears in Table 1. All

data providers were requested to supply the training and test corpora in both the standard local encoding and in Unicode (UTF-16). For all providers, missing encodings were transcoded by the organizers using the appropriate software. Primary training and truth data for word segmentation were generated by the organizers via a C++ program by uniforming sentence end tags and delimiters. For test data, all tags removed except sentence end tags.

Comparable XML format data was also provided for all corpora and all tasks. Except as noted above, no additional changes were made to the data furnished by the providers.

Table 1: Corpora for Bakeoff-4

| Source | Encoding | CWS | NER | TAG ^a |
|--------|------------------|-----|-----|------------------|
| CITYU | BIG5HKSCS/UTF-16 | ✓ | ✓ | ✓ |
| CKIP | BIG5/UTF-16 | ✓ | | ✓ |
| CTB | GB/UTF-16 | ✓ | | ✓ |
| MSRA | GB/UTF-16 | | ✓ | |
| NCC | GB/UTF-16 | ✓ | | ✓ |
| PKU | GB/UTF-16 | | | ✓ |
| SXU | GB/UTF-16 | ✓ | | |

^aTAG:Chinese POS tagging

2.2 Rules and Procedures

The fourth Bakeoff followed the structure of the former three word segmentation bakeoffs. The only difference is that participating groups ("sites") registered online and for those who could not access our web site, email registration is acceptable; On registration, all the groups are asked to identify the corpora and tasks of interest. Training data was released for download from the online registration system on August 25, 2007. Test data was released on September 25, 2007 and results were due 12:00 Beijing Time on September 28, 2007. Scores for all submitted runs were emailed to the individual groups on October 15, and were made available to all groups on a web page a few days later.

Groups could participate in either or both of two tracks for each task and corpus:

In the open track, participants could use any external data they chose in addition to the provided training data. Groups were required to specify this information in their system descriptions.

In the closed track, participants could only use information found in the provided training data. Groups were required to submit fully automatic runs and were prohibited from testing on corpora which they had previously used.

Scoring was performed automatically using a C++ program. In cases where naming errors or minor divergences from required file formats arose, a mix of manual intervention and automatic conversion was employed to enable scoring. The primary scoring program was made available to participants for follow up experiments.

3 Participating sites

A total of 42 sites registered, and 28 submitted results for scoring. A summary of participating groups with task and track information appears in Table 2. A total of 263 official runs were scored: 166 for word segmentation, 33 for named entity recognition and 64 for POS tagging.

4 Results and Discussion

4.1 Word Segmentation Results & Discussion

There are five corpus provided in the CWS track. The statistics for these corpora are in Table 3. We introduce a type-token ration(TTR) to indicate the vocabulary diversity in each corpus.

To provide a basis for comparison, we computed baseline and possible topline scores for each of the corpora. The baseline was constructed by left-to-right maximal match algorithm, using the training corpus vocabulary. The topline employed the same procedure, but instead used the test vocabulary. These results are shown in Tables 5 and 6. For the CWS task, we computed the following measures: recall (R), precision (P), equally weighted F-measure ($F = 2PR/(P + R)$), the recall, precision and F-measure on OOV (R_{OOV} , P_{OOV} , F_{OOV}), and recall, precision and F-measure on in vocabulary words (R_{IV} , P_{IV} , F_{IV}). In and out of vocabulary status are defined relative to the training corpus. Following previous bakeoffs, we employ the Central Limit Theorem for Bernoulli trials (Grinstead and

| Site ID | Site Name | CITYU CWS | CKIP CWS | CTB CWS | NCC CWS | SXU CWS | CITYU NER | MSRA NER | CITYU TAG | CKIP TAG | CTB TAG | NCC TAG | PKU TAG |
|---------|---|-----------|----------|------------------|------------------|------------------|-------------|------------------|-----------|----------|---------|---------|---------|
| 1 | Institute of Automation, Chinese Academy of Sciences | | | | | | | O | | | | | |
| 2 | City University of Hong Kong | C | C | C | C | C | C(a-b) O | C | | | | | |
| 3 | Computing Laboratory, University of Oxford | O | O | O | O | O | | | | | | | |
| 5 | Dept. of Decision Sciences, The Chinese University of Hong Kong | C | C | C | C | C | | | | | | | |
| 7 | Nara Institute of Science and Technology, JAPAN | C(a-d) | C(a-d) | C(a-d) | C(a-d) | C(a-d) | | | | | | | |
| 8 | Nanjing Normal University | C | C(a-b) | C(a-b) O(a-c) | | C(a-d) O(a-c) | | | | | | | |
| 9 | National Central University | | | C | C | C | | | C | C | C | C | C |
| 11 | Institute of software, Chinese Academy of Sciences | | | | O | | | O | | | | | |
| 14 | School of Computer and Information Technology, Shanxi University | | | | | | | | | | | | |
| 15 | Tungnan University | C | C | | | | | | | C | C | C | C |
| 16 | Department of Chinese, Translation and Linguistics, City University of Hong Kong | | | | | | | | | | C | C | C |
| 18 | Institute of Computational Linguistics, BECS, Peking University | C(a-b) | C | C | C(a-c) | C(a-c) | C(a-c) | C(a-b) O(a-b) | | | | | |
| 19 | NICT/ATR | C | C | C | C | C | | | C | C | C | C | C |
| 21 | Faculty of Science and Technology of University of Macau, INESC Macau | C(a-d) | C(a-b) | C(a-d) | C(a-b) | C(a-b) | C(a-b) | | C(a-b) | | | | |
| 22 | Center of Intelligence Science and Technology Research of Beijing University of Posts and Telecommunications | | | O | O | O | | O(a-b) | | | O | O | O |
| 23 | The Chinese University of Hong Kong | C(a-b) | C(a-b) | C(a-b) | C(a-b) | C(a-b) | O | O | | | | | |
| 24 | France Telecom R&D Beijing Co. Ltd | O(a-b) | O(a-b) | O(a-b) | | | C | C | C | C | C | C | |
| 26 | Microsoft Research Asia and Northeastern University of China | C | C | C | C | C | | | | | | | |
| 27 | Simon Fraser University | C | C | C | C | C | | | | | | | |
| 28 | State Key Laboratory of Machine Perception, Center for Information Science, School of Electronics Engineering & Computer Science, Peking University | O | O | O(a-b) | O | O | C | C | C | C | C | C | C |
| 29 | ITNLP Lab, Computer Science of Technology, Harbin Institute of Technology | | | | C | C | | O | | | | C | C |
| 30 | Yahoo! Inc | | | | O | | | | | | | | O |
| 31 | Dalian University of Technology | O | O | C(a-d) O(a-b) | C(a-d) O(a-b) | C(a-d) O(a-b) | | CO | C(a-b) | C(a-b) | C(a-b) | C(a-b) | C(a-b) |
| 33 | Pohang University of Science and Technology | | | | | | | | | | | | |
| 34 | NOKIA(CHINA) INVESTMENT CO.,LTD. Nokia research center, Beijing | C | C | C | C | C | | | | | | | |
| 37 | Fudan university | | | | O | C | | | | | | | C |
| 39 | Language Computer Corporation | O | O | O | O | O | O | O | O | O | O | O | O |

Table 2: Participating Sites by Corpus, Task, and Track

Snell, 1997) to compute 95% confidence interval as $\pm 2\sqrt{\frac{p(1-p)}{n}}$.

Chinese Word Segmentation results for all runs grouped by corpus and track appear in Tables 6-15; all tables are sorted by F-score.

Across all corpora, the best closed track F-score was achieved in the SXU corpus at 0.9623. In the open track, two systems that has exceeded the topline in the CTB corpus, and there are also three runs approaching the topline. This might because of the overlapping of testing data in this bakeoff and the training data in the last bakeoff.

According to the statistics on all the corpus for this bakeoff, there is no clear negative linear correlation between the OOV rate of a corpus and the highest score achieved on it, since the OOV words are not the only obstacle for segmentation systems to overcome.

There are some difference in the segmentation scoring system between this bakeoff and the former ones. The precision and F-measure for both IV and OOV are appended. It could be observed that, from the result tables in every corpus, the highest total F-measure is always coming up with the highest OOV and IV F-measure rather than the recall of them. So, we consider the F-measure of both IV and OOV words a more powerful indicator for the performance of the segmentation systems in some sense.

4.2 Named Entity Recognition Results & Discussion

There are only two corpus CITYU and MSRA for named entity recognition task in this bakeoff. For statistics, we compute the OOV rate of named entities for each corpus, which denotes the proportion of named entities in testing data that are not seen in training corpus.

For each submission for named entity recognition, like the former bakeoff, we compute overall phrase precision (P), recall(R), and F-measure (F), as well as the F-measure for each entity type (PER,ORG,LOC). The only difference is the recall and precision for each entity type is appended.

We compute a baseline for each corpus as in the bakeoff-3. A left-to-right maximum match algorithm was applied on the testing data with a named entity list generated from the training data. This algorithm only detects those named entities with one unique tag in training data, others are considered as incorrectly tagged. These scores for all NER corpora are found in Table 18.

Named entity recognition results for all runs grouped by corpus and track appear in Tables 19-22; all tables are sorted by F-score.

It is shown in the result table that the baseline and the system performance for MSRA corpus are better than those for CITYU corpus. However, the statistics is showing that the number of named entities in CITYU training corpus is twice as large as the number in MSRA corpus. The system performance for these two corpus are consist with the OOV rate for these two corpora. Therefore, it seems that OOV named entities is a principal challenge for named entity recognition systems. Furthermore, the F-measure of organization name recognition is the lowest one in every participant's result on every corpus. This phenomenon is potentially implying that the organization name is the most difficult one among the three categories of named entities.

There are several systems participating both the closed and open track on the same corpus. All of them perform better in the open track. This phenomenon is implying that proper external information can strongly affect the performance of named entity recognition system.

since the testing data MSRA is a subset of the training data for last bakeoff, two sites have achieved novelty high scores in the open track.

4.3 POS Tagging Result & Discussion

There are five corpora in the Chinese POS tagging task, each of them is built on different tag set and tagging standard. For statistics and evaluation, we define several terms for this task:

- Multi-tag words: the words that been assigned more than one POS-tag in either the training corpus or testing corpus. For instance, if an IV

Table 3: Chinese Word Segmentation Training and Truth data statistics

| Source | Training | | | Truth | | | | |
|--------|----------|-----------------|------------------|--------|-------|--------|------------------|----------------------------------|
| | Token | WT ^a | TTR ^b | Token | WT | TTR | OOV ^c | \mathcal{R}_{OOV} ^d |
| CITYU | 1092687 | 43639 | 0.0399 | 235631 | 23303 | 0.0989 | 19382 | 0.0823 |
| CKIP | 721549 | 48114 | 0.0667 | 90678 | 14662 | 0.1617 | 6718 | 0.0741 |
| CTB | 642246 | 42159 | 0.0656 | 80700 | 12188 | 0.1510 | 4480 | 0.0555 |
| NCC | 913466 | 58592 | 0.0641 | 152354 | 21352 | 0.1401 | 7218 | 0.0474 |
| SXU | 528238 | 32484 | 0.0614 | 113527 | 12428 | 0.1095 | 5815 | 0.0512 |

Table 4: Chinese Word Segmentation Baseline

| Source | R | P | F | \mathcal{R}_{OOV} | \mathcal{P}_{OOV} | \mathcal{F}_{OOV} | \mathcal{R}_{IV} | \mathcal{P}_{IV} | \mathcal{F}_{IV} |
|--------|-------|-------|-------|---------------------|---------------------|---------------------|--------------------|--------------------|--------------------|
| CITYU | .9006 | .8225 | .8598 | .0970 | .2262 | .1358 | .9727 | .8424 | .9029 |
| CKIP | .8978 | .8232 | .8589 | .0208 | .0678 | .0319 | .9680 | .8393 | .8990 |
| CTB | .8864 | .8427 | .8640 | .0283 | .0769 | .0414 | .9369 | .8579 | .8956 |
| NCC | .9200 | .8716 | .8951 | .0273 | .1858 | .0476 | .9644 | .8761 | .9181 |
| SXU | .9238 | .8679 | .8949 | .0251 | .0867 | .0389 | .9723 | .8789 | .9232 |

Table 5: Chinese Word Segmentation Topline

| Source | R | P | F | \mathcal{R}_{OOV} | \mathcal{P}_{OOV} | \mathcal{F}_{OOV} | \mathcal{R}_{IV} | \mathcal{P}_{IV} | \mathcal{F}_{IV} |
|--------|-------|-------|-------|---------------------|---------------------|---------------------|--------------------|--------------------|--------------------|
| CITYU | .9787 | .9840 | .9813 | .9917 | .9678 | .9796 | .9775 | .9855 | .9815 |
| CKIP | .9823 | .9880 | .9852 | .9932 | .9642 | .9784 | .9815 | .9900 | .9857 |
| CTB | .9710 | .9825 | .9767 | .9920 | .9707 | .9812 | .9698 | .9832 | .9764 |
| NCC | .9735 | .9817 | .9776 | .9933 | .9203 | .9554 | .9725 | .9850 | .9787 |
| SXU | .9820 | .9867 | .9844 | .9942 | .9480 | .9705 | .9813 | .9890 | .9851 |

Table 6: CITYU: Word Segmentation: Closed Track

| ID | RunID | R | Cr | P | Cp | F | \mathcal{R}_{OOV} | \mathcal{P}_{OOV} | \mathcal{F}_{OOV} | \mathcal{R}_{IV} | \mathcal{P}_{IV} | \mathcal{F}_{IV} |
|----|-------|-------|---------|-------|---------|-------|---------------------|---------------------|---------------------|--------------------|--------------------|--------------------|
| 2 | | .9526 | .000875 | .9493 | .000903 | .9510 | .7495 | .7912 | .7698 | .9708 | .9626 | .9667 |
| 5 | | .9513 | .000887 | .9430 | .000955 | .9471 | .7339 | .7752 | .7540 | .9707 | .9570 | .9638 |
| 8 | | .9465 | .000927 | .9443 | .000945 | .9454 | .7721 | .7244 | .7475 | .9621 | .9653 | .9637 |
| 24 | a | .9450 | .000939 | .9437 | .000949 | .9443 | .7716 | .7099 | .7395 | .9605 | .9666 | .9636 |
| 26 | | .9490 | .000906 | .9372 | .000999 | .9430 | .6780 | .7591 | .7163 | .9733 | .9511 | .9621 |
| 18 | b | .9421 | .000962 | .9339 | .001023 | .9380 | .7074 | .7050 | .7062 | .9631 | .9543 | .9587 |
| 28 | | .9367 | .001003 | .9377 | .000996 | .9372 | .6295 | .7394 | .6800 | .9642 | .9526 | .9584 |
| 27 | | .9386 | .000988 | .9325 | .001033 | .9355 | .6708 | .6840 | .6773 | .9626 | .9541 | .9584 |
| 18 | a | .9296 | .001054 | .9290 | .001058 | .9293 | .6862 | .6541 | .6698 | .9514 | .9549 | .9532 |
| 33 | | .9285 | .001061 | .9261 | .001077 | .9273 | .6866 | .6326 | .6585 | .9502 | .9548 | .9525 |
| 7 | c | .9237 | .001093 | .9234 | .001095 | .9236 | .6830 | .5934 | .6350 | .9453 | .9579 | .9516 |
| 7 | b | .9237 | .001093 | .9234 | .001095 | .9236 | .6830 | .5934 | .6350 | .9453 | .9579 | .9516 |
| 7 | a | .9238 | .001093 | .9234 | .001095 | .9236 | .6830 | .5934 | .6351 | .9453 | .9579 | .9516 |
| 7 | d | .9197 | .001119 | .9169 | .001137 | .9183 | .6558 | .5690 | .6093 | .9434 | .9532 | .9483 |
| 15 | | .9191 | .001123 | .9014 | .001228 | .9102 | .5466 | .5588 | .5527 | .9525 | .9308 | .9415 |
| 21 | b | .9219 | .001105 | .8951 | .001262 | .9083 | .4703 | .5899 | .5234 | .9624 | .9159 | .9386 |
| 21 | a | .9221 | .001104 | .8947 | .001264 | .9082 | .4697 | .5891 | .5227 | .9627 | .9155 | .9385 |
| 21 | d | .9120 | .001167 | .8974 | .001250 | .9047 | .5263 | .5333 | .5297 | .9466 | .9290 | .9377 |
| 19 | | .8884 | .001296 | .8817 | .001330 | .8850 | .6114 | .6030 | .6072 | .9133 | .9069 | .9101 |
| 21 | c | .0155 | .000509 | .0155 | .000508 | .0155 | .0047 | .0049 | .0048 | .0165 | .0164 | .0165 |

Table 7: CITYU: Word Segmentation: Open Track

| ID | RunID | R | Cr | P | Cp | F | \mathcal{R}_{OOV} | \mathcal{P}_{OOV} | \mathcal{F}_{OOV} | \mathcal{R}_{IV} | \mathcal{P}_{IV} | \mathcal{F}_{IV} |
|----|-------|-------|---------|-------|---------|-------|---------------------|---------------------|---------------------|--------------------|--------------------|--------------------|
| 24 | a | .9670 | .000736 | .9725 | .000674 | .9697 | .8988 | .8525 | .8750 | .9731 | .9839 | .9785 |
| 24 | b | .9657 | .000750 | .9715 | .000685 | .9686 | .8963 | .8411 | .8678 | .9719 | .9841 | .9780 |
| 39 | | .9181 | .001129 | .9024 | .001222 | .9102 | .6656 | .5843 | .6223 | .9407 | .9346 | .9377 |
| 28 | | .8860 | .001309 | .9349 | .001016 | .9098 | .6595 | .5657 | .6090 | .9063 | .9764 | .9401 |
| 3 | | .0445 | .000862 | .0446 | .000863 | .0446 | .0226 | .0229 | .0227 | .0465 | .0466 | .0465 |

^aWT: word type.^bTTR: type-token ratio = type count / token count.^cOOV: number of OOV.^d \mathcal{R}_{OOV} : OOV Rate

Table 8: CKIP: Word Segmentation: Closed Track

| ID | RunID | R | Cr | P | Cp | F | R _{OOV} | P _{OOV} | F _{OOV} | R _{IV} | P _{IV} | F _{IV} |
|----|-------|-------|---------|-------|---------|-------|------------------|------------------|------------------|-----------------|-----------------|-----------------|
| 2 | | .9501 | .001445 | .9440 | .001527 | .9470 | .7404 | .7649 | .7524 | .9669 | .9577 | .9623 |
| 26 | | .9497 | .001451 | .9361 | .001624 | .9429 | .6556 | .7481 | .6988 | .9732 | .9490 | .9610 |
| 5 | | .9455 | .001507 | .9371 | .001612 | .9413 | .7004 | .7373 | .7184 | .9651 | .9521 | .9586 |
| 28 | | .9383 | .001597 | .9396 | .001582 | .9390 | .6962 | .6780 | .6870 | .9577 | .9612 | .9594 |
| 19 | | .9432 | .001536 | .9333 | .001657 | .9383 | .6882 | .6885 | .6883 | .9637 | .9527 | .9581 |
| 8 | a | .9412 | .001562 | .9345 | .001643 | .9378 | .7228 | .6688 | .6948 | .9586 | .9575 | .9580 |
| 18 | | .9369 | .001615 | .9270 | .001727 | .9319 | .6636 | .6624 | .6630 | .9587 | .9480 | .9533 |
| 24 | a | .9345 | .001643 | .9289 | .001707 | .9317 | .7124 | .6602 | .6853 | .9522 | .9521 | .9522 |
| 24 | b | .9336 | .001653 | .9277 | .001720 | .9306 | .7091 | .6589 | .6831 | .9515 | .9508 | .9512 |
| 27 | | .9354 | .001632 | .9173 | .001828 | .9263 | .5521 | .6877 | .6125 | .9661 | .9316 | .9485 |
| 8 | b | .9247 | .001753 | .9162 | .001840 | .9204 | .6859 | .5896 | .6341 | .9438 | .9467 | .9452 |
| 33 | | .9241 | .001758 | .9165 | .001836 | .9203 | .6746 | .6195 | .6459 | .9441 | .9424 | .9432 |
| 7 | c | .9233 | .001767 | .9161 | .001841 | .9197 | .6801 | .5846 | .6287 | .9428 | .9471 | .9449 |
| 7 | a | .9233 | .001767 | .9162 | .001840 | .9197 | .6801 | .5849 | .6289 | .9428 | .9471 | .9450 |
| 7 | d | .9224 | .001777 | .9153 | .001849 | .9188 | .6672 | .5732 | .6166 | .9428 | .9473 | .9450 |
| 15 | | .9150 | .001852 | .9001 | .001991 | .9075 | .4751 | .5689 | .5178 | .9502 | .9216 | .9356 |
| 21 | b | .9074 | .001925 | .8897 | .002080 | .8985 | .4405 | .5020 | .4692 | .9447 | .9161 | .9302 |
| 21 | a | .9076 | .001923 | .8896 | .002081 | .8985 | .4406 | .5028 | .4697 | .9449 | .9159 | .9302 |
| 7 | b | .8588 | .002312 | .8850 | .002118 | .8717 | .6204 | .4183 | .4997 | .8779 | .9447 | .9101 |

Table 9: CKIP: Word Segmentation: Open Track

| ID | RunID | R | Cr | P | Cp | F | R _{OOV} | P _{OOV} | F _{OOV} | R _{IV} | P _{IV} | F _{IV} |
|----|-------|-------|---------|-------|---------|-------|------------------|------------------|------------------|-----------------|-----------------|-----------------|
| 5 | | .9586 | .001323 | .9541 | .001389 | .9563 | .7804 | .8050 | .7925 | .9728 | .9656 | .9692 |
| 28 | | .9507 | .001438 | .9503 | .001443 | .9505 | .7391 | .7704 | .7544 | .9676 | .964 | .9658 |
| 24 | b | .9367 | .001616 | .9360 | .001625 | .9364 | .7527 | .6911 | .7206 | .9515 | .9575 | .9545 |
| 24 | a | .9324 | .001667 | .9326 | .001665 | .9325 | .7459 | .6631 | .7021 | .9473 | .9571 | .9522 |
| 39 | | .9218 | .001782 | .8960 | .002027 | .9087 | .6454 | .5901 | .6165 | .944 | .9221 | .9329 |
| 3 | | .3977 | .003245 | .3944 | .003240 | .3961 | .3405 | .3359 | .3382 | .4025 | .3994 | .4009 |

Table 10: CTB: Word Segmentation: Closed Track

| ID | RunID | R | Cr | P | Cp | F | R _{OOV} | P _{OOV} | F _{OOV} | R _{IV} | P _{IV} | F _{IV} |
|----|-------|-------|---------|-------|---------|-------|------------------|------------------|------------------|-----------------|-----------------|-----------------|
| 2 | | .9583 | .001408 | .9596 | .001386 | .9589 | .7730 | .7761 | .7745 | .9691 | .9704 | .9697 |
| 26 | | .9538 | .001477 | .9527 | .001493 | .9533 | .7031 | .7491 | .7254 | .9685 | .9639 | .9662 |
| 31 | b | .9505 | .001527 | .9528 | .001492 | .9517 | .7580 | .6886 | .7216 | .9618 | .9701 | .9659 |
| 31 | a | .9503 | .001529 | .9520 | .001505 | .9512 | .7540 | .6845 | .7176 | .9619 | .9694 | .9656 |
| 27 | | .9494 | .001543 | .9508 | .001522 | .9501 | .7208 | .7012 | .7108 | .9628 | .9659 | .9644 |
| 18 | | .9487 | .001553 | .9514 | .001513 | .9500 | .7507 | .6753 | .7110 | .9603 | .9696 | .9650 |
| 8 | b | .9482 | .001560 | .9516 | .001511 | .9499 | .7596 | .6740 | .7142 | .9592 | .9702 | .9647 |
| 8 | a | .9481 | .001561 | .9514 | .001513 | .9498 | .7614 | .6742 | .7152 | .9591 | .9700 | .9645 |
| 31 | d | .9487 | .001552 | .9509 | .001520 | .9498 | .7583 | .6812 | .7177 | .9599 | .9687 | .9643 |
| 9 | | .9471 | .001575 | .9500 | .001533 | .9486 | .7670 | .6736 | .7173 | .9577 | .9688 | .9632 |
| 24 | a | .9451 | .001603 | .9521 | .001503 | .9486 | .7694 | .6714 | .7171 | .9555 | .9713 | .9633 |
| 31 | c | .9495 | .001542 | .9474 | .001571 | .9485 | .6638 | .7456 | .7023 | .9663 | .9579 | .9621 |
| 28 | | .9429 | .001633 | .9535 | .001481 | .9482 | .7536 | .6661 | .7072 | .954 | .9730 | .9634 |
| 24 | b | .9456 | .001596 | .9492 | .001545 | .9474 | .7565 | .6613 | .7057 | .9567 | .9688 | .9627 |
| 5 | | .9434 | .001626 | .9459 | .001592 | .9447 | .6911 | .6883 | .6897 | .9582 | .9612 | .9597 |
| 37 | | .9459 | .001592 | .9418 | .001648 | .9439 | .6589 | .6698 | .6643 | .9628 | .9574 | .9601 |
| 33 | | .9402 | .001669 | .9433 | .001628 | .9417 | .7317 | .6517 | .6894 | .9524 | .9628 | .9576 |
| 7 | c | .9350 | .001736 | .9378 | .001700 | .9364 | .7132 | .5796 | .6395 | .9480 | .9641 | .9560 |
| 7 | a | .9350 | .001735 | .9379 | .001699 | .9364 | .7132 | .5800 | .6397 | .9480 | .9642 | .9560 |
| 7 | d | .9342 | .001745 | .9366 | .001715 | .9354 | .6998 | .5706 | .6286 | .9480 | .9634 | .9556 |
| 7 | b | .9099 | .002015 | .9250 | .001854 | .9174 | .6911 | .4834 | .5689 | .9227 | .9638 | .9428 |
| 21 | b | .9077 | .002037 | .9078 | .002037 | .9077 | .4728 | .5603 | .5128 | .9333 | .9248 | .9290 |
| 21 | a | .9078 | .002037 | .9073 | .002041 | .9075 | .4703 | .5583 | .5105 | .9335 | .9244 | .9289 |
| 21 | d | .8992 | .002119 | .9063 | .002051 | .9027 | .5301 | .5029 | .5161 | .9209 | .9316 | .9262 |
| 21 | c | .8992 | .002119 | .9062 | .002052 | .9027 | .5299 | .5029 | .5160 | .9210 | .9315 | .9262 |
| 19 | | .8773 | .002310 | .8788 | .002297 | .8780 | .6714 | .5886 | .6273 | .8894 | .8985 | .8939 |

Table 11: CTB: Word Segmentation: Open Track

| ID | RunID | R | Cr | P | Cp | F | R _{OOV} | P _{OOV} | F _{OOV} | R _{IV} | P _{IV} | F _{IV} |
|----|-------|-------|---------|-------|---------|-------|------------------|------------------|------------------|-----------------|-----------------|-----------------|
| 28 | a | .9914 | .000648 | .9926 | .000602 | .9920 | .9685 | .9623 | .9654 | .9928 | .9944 | .9936 |
| 24 | a | .9760 | .001077 | .9826 | .000920 | .9793 | .9420 | .8655 | .9021 | .9780 | .9902 | .9840 |
| 31 | a | .9766 | .001065 | .9721 | .001158 | .9743 | .9089 | .8553 | .8813 | .9805 | .9794 | .9800 |
| 24 | b | .9702 | .001196 | .9753 | .001092 | .9728 | .9145 | .8361 | .8736 | .9735 | .9844 | .9789 |
| 28 | b | .9665 | .001266 | .9738 | .001123 | .9702 | .8821 | .8857 | .8839 | .9715 | .9790 | .9753 |
| 31 | b | .9589 | .001397 | .9612 | .001359 | .9601 | .7922 | .7902 | .7912 | .9687 | .9713 | .9700 |
| 3 | | .9485 | .001556 | .9498 | .001536 | .9491 | .7261 | .6769 | .7006 | .9615 | .9672 | .9643 |
| 39 | | .9461 | .001590 | .9372 | .001707 | .9416 | .7223 | .6764 | .6986 | .9592 | .9535 | .9563 |
| 8 | a | .9370 | .001710 | .9321 | .001770 | .9346 | .6556 | .6139 | .6341 | .9535 | .9521 | .9528 |
| 8 | b | .9270 | .001831 | .9319 | .001773 | .9294 | .6576 | .6099 | .6329 | .9428 | .9525 | .9476 |
| 22 | | .9251 | .001853 | .9261 | .001841 | .9256 | .5967 | .7337 | .6581 | .9444 | .9352 | .9398 |
| 8 | c | .9089 | .002025 | .8346 | .002615 | .8702 | .2011 | .3336 | .2509 | .9505 | .8505 | .8977 |

Table 12: NCC: Word Segmentation: Closed Track

| ID | RunID | R | Cr | P | Cp | F | R _{OOV} | P _{OOV} | F _{OOV} | R _{IV} | P _{IV} | F _{IV} |
|----|-------|-------|---------|-------|---------|-------|------------------|------------------|------------------|-----------------|-----------------|-----------------|
| 2 | | .9402 | .001214 | .9407 | .001210 | .9405 | .6179 | .5984 | .6080 | .9562 | .9583 | .9573 |
| 26 | | .9452 | .001166 | .9320 | .001289 | .9386 | .4502 | .6196 | .5215 | .9698 | .9430 | .9562 |
| 5 | | .9365 | .001249 | .9365 | .001249 | .9365 | .6158 | .5542 | .5834 | .9524 | .9577 | .9551 |
| 34 | | .9417 | .001200 | .9272 | .001331 | .9344 | .4001 | .6454 | .4940 | .9687 | .9356 | .9518 |
| 31 | b | .9387 | .001229 | .9301 | .001306 | .9344 | .5561 | .5728 | .5643 | .9577 | .9472 | .9524 |
| 31 | a | .9389 | .001226 | .9298 | .001309 | .9343 | .5556 | .5743 | .5648 | .9580 | .9467 | .9523 |
| 37 | | .9396 | .001220 | .9286 | .001319 | .9341 | .5007 | .5411 | .5201 | .9614 | .9462 | .9537 |
| 19 | | .9328 | .001282 | .9353 | .001260 | .9340 | .5907 | .5218 | .5542 | .9498 | .9588 | .9543 |
| 31 | d | .9307 | .001301 | .9318 | .001292 | .9312 | .6309 | .5222 | .5715 | .9456 | .9566 | .9511 |
| 31 | c | .9380 | .001235 | .9223 | .001371 | .9301 | .4709 | .6247 | .5370 | .9613 | .9331 | .947 |
| 24 | a | .9251 | .001348 | .9347 | .001266 | .9299 | .6577 | .4968 | .5660 | .9384 | .9643 | .9512 |
| 27 | | .9300 | .001307 | .9291 | .001314 | .9296 | .5459 | .5138 | .5294 | .9491 | .9511 | .9501 |
| 24 | b | .9246 | .001352 | .9332 | .001279 | .9289 | .6524 | .4932 | .5617 | .9381 | .9629 | .9503 |
| 28 | | .9193 | .001395 | .9378 | .001237 | .9285 | .6516 | .4833 | .5549 | .9326 | .9695 | .9507 |
| 18 | b | .9278 | .001326 | .9250 | .001349 | .9264 | .5529 | .4966 | .5232 | .9464 | .9488 | .9476 |
| 29 | | .9268 | .001334 | .9260 | .001341 | .9264 | .6094 | .4948 | .5462 | .9426 | .9527 | .9476 |
| 18 | a | .9278 | .001326 | .9249 | .001350 | .9263 | .5486 | .4940 | .5199 | .9466 | .9488 | .9477 |
| 18 | c | .9264 | .001338 | .9241 | .001356 | .9253 | .5707 | .4977 | .5317 | .9441 | .9486 | .9463 |
| 9 | | .9236 | .001361 | .9269 | .001333 | .9252 | .6474 | .4941 | .5604 | .9373 | .9556 | .9464 |
| 7 | c | .9086 | .001476 | .9110 | .001459 | .9098 | .5957 | .4080 | .4843 | .9241 | .9485 | .9361 |
| 7 | d | .9071 | .001487 | .9106 | .001461 | .9088 | .5907 | .3987 | .4761 | .9228 | .9494 | .9359 |
| 21 | a | .8997 | .001539 | .8992 | .001542 | .8995 | .4232 | .3710 | .3954 | .9234 | .9294 | .9264 |
| 21 | b | .8995 | .001540 | .8992 | .001542 | .8994 | .4224 | .3702 | .3946 | .9233 | .9295 | .9264 |
| 7 | a | .7804 | .002121 | .8581 | .001788 | .8174 | .5409 | .2134 | .3060 | .7924 | .9561 | .8666 |
| 7 | b | .7747 | .002140 | .8513 | .001823 | .8112 | .5405 | .2014 | .2935 | .7864 | .9568 | .8633 |
| 33 | | .3082 | .002367 | .3073 | .002365 | .3078 | .2217 | .1678 | .1910 | .3125 | .3166 | .3145 |

Table 13: NCC: Word Segmentation: Open Track

| ID | RunID | R | Cr | P | Cp | F | R _{OOV} | P _{OOV} | F _{OOV} | R _{IV} | P _{IV} | F _{IV} |
|----|-------|-------|---------|-------|---------|-------|------------------|------------------|------------------|-----------------|-----------------|-----------------|
| 34 | | .9735 | .000823 | .9779 | .000753 | .9757 | .8893 | .8867 | .8880 | .9777 | .9824 | .9800 |
| 22 | | .9568 | .001041 | .9616 | .000984 | .9592 | .8264 | .8144 | .8204 | .9633 | .9691 | .9662 |
| 31 | b | .9620 | .000980 | .9496 | .001120 | .9557 | .6337 | .7673 | .6941 | .9783 | .9569 | .9675 |
| 31 | a | .9528 | .001086 | .9478 | .001139 | .9503 | .7109 | .7619 | .7355 | .9648 | .9563 | .9606 |
| 5 | a | .9440 | .001177 | .9517 | .001098 | .9478 | .7305 | .6381 | .6812 | .9547 | .9698 | .9622 |
| 5 | b | .9376 | .001239 | .9521 | .001093 | .9448 | .7826 | .6110 | .6862 | .9453 | .9745 | .9597 |
| 14 | | .9446 | .001171 | .9263 | .001339 | .9354 | .4643 | .7160 | .5633 | .9685 | .9328 | .9503 |
| 3 | | .9324 | .001286 | .9349 | .001263 | .9337 | .6070 | .5296 | .5657 | .9486 | .9583 | .9534 |
| 28 | | .9191 | .001396 | .9380 | .001235 | .9285 | .6543 | .4840 | .5564 | .9323 | .9697 | .9506 |
| 29 | | .9268 | .001334 | .9279 | .001325 | .9273 | .6265 | .5032 | .5581 | .9417 | .9546 | .9481 |
| 39 | | .9323 | .001287 | .9134 | .001440 | .9228 | .6075 | .5820 | .5945 | .9485 | .9303 | .9393 |

Table 14: SXU: Word Segmentation: Closed Track

| ID | RunID | R | Cr | P | Cp | F | R _{OOV} | P _{OOV} | F _{OOV} | R _{IV} | P _{IV} | F _{IV} |
|----|-------|-------|---------|-------|---------|-------|------------------|------------------|------------------|-----------------|-----------------|-----------------|
| 2 | | .9622 | .001132 | .9625 | .001127 | .9623 | .7429 | .7159 | .7292 | .974 | .9764 | .9752 |
| 26 | | .9623 | .001131 | .9554 | .001225 | .9588 | .6454 | .7022 | .6726 | .9794 | .9678 | .9736 |
| 28 | | .9549 | .001231 | .9611 | .001148 | .9580 | .6626 | .6639 | .6632 | .9707 | .9772 | .9739 |
| 18 | b | .9543 | .001239 | .9568 | .001206 | .9556 | .7273 | .6232 | .6712 | .9666 | .9781 | .9723 |
| 5 | | .9558 | .001219 | .9552 | .001228 | .9555 | .6922 | .6638 | .6777 | .9701 | .9716 | .9708 |
| 24 | a | .9523 | .001264 | .9569 | .001205 | .9546 | .7506 | .6129 | .6748 | .9632 | .9801 | .9716 |
| 18 | c | .9528 | .001258 | .9560 | .001217 | .9544 | .7369 | .6164 | .6713 | .9645 | .9782 | .9713 |
| 31 | a | .9594 | .001171 | .9493 | .001302 | .9543 | .6653 | .6694 | .6674 | .9753 | .9642 | .9697 |
| 8 | a | .9534 | .001250 | .9544 | .001238 | .9539 | .7395 | .6275 | .6789 | .9650 | .9754 | .9702 |
| 8 | b | .9536 | .001248 | .9541 | .001242 | .9538 | .7352 | .6287 | .6778 | .9654 | .9748 | .9701 |
| 31 | d | .9535 | .001249 | .9532 | .001253 | .9533 | .7305 | .6257 | .6741 | .9656 | .9740 | .9698 |
| 31 | b | .9593 | .001173 | .9474 | .001324 | .9533 | .6463 | .6749 | .6603 | .9762 | .9613 | .9687 |
| 18 | a | .9518 | .001270 | .9547 | .001234 | .9533 | .7020 | .6020 | .6481 | .9653 | .9772 | .9712 |
| 8 | d | .9512 | .001278 | .9553 | .001226 | .9532 | .7462 | .6275 | .6817 | .9623 | .9767 | .9694 |
| 8 | c | .9509 | .001282 | .9544 | .001238 | .9526 | .7396 | .6281 | .6793 | .9623 | .9754 | .9688 |
| 24 | b | .9499 | .001295 | .9536 | .001249 | .9517 | .7271 | .5966 | .6554 | .9619 | .9774 | .9696 |
| 27 | | .9514 | .001276 | .9511 | .001279 | .9512 | .6834 | .6202 | .6502 | .9658 | .9709 | .9684 |
| 9 | | .9505 | .001287 | .9515 | .001275 | .9510 | .7326 | .6106 | .6660 | .9623 | .9738 | .9680 |
| 37 | | .9554 | .001224 | .9459 | .001342 | .9507 | .6206 | .6113 | .6159 | .9735 | .9641 | .9688 |
| 34 | | .9558 | .001220 | .9442 | .001362 | .9500 | .5176 | .6966 | .5939 | .9794 | .9539 | .9665 |
| 31 | c | .9558 | .001219 | .9441 | .001363 | .9499 | .5788 | .7154 | .6399 | .9762 | .9539 | .9649 |
| 33 | | .9387 | .001423 | .9392 | .001418 | .9390 | .6741 | .5627 | .6134 | .9530 | .9638 | .9584 |
| 7 | a | .9378 | .001434 | .9390 | .001420 | .9384 | .6731 | .5110 | .5810 | .9520 | .9701 | .9610 |
| 7 | b | .9376 | .001435 | .9391 | .001419 | .9383 | .6729 | .5107 | .5807 | .9519 | .9701 | .9609 |
| 7 | c | .9377 | .001434 | .9389 | .001421 | .9383 | .6731 | .5110 | .5810 | .9520 | .9699 | .9609 |
| 7 | d | .9360 | .001452 | .9369 | .001443 | .9365 | .6550 | .4949 | .5638 | .9512 | .9691 | .9600 |
| 21 | b | .9185 | .001624 | .9107 | .001692 | .9146 | .4898 | .4423 | .4648 | .9416 | .9386 | .9401 |
| 21 | a | .9185 | .001624 | .9106 | .001693 | .9145 | .4886 | .4414 | .4638 | .9417 | .9386 | .9401 |
| 19 | | .7820 | .002450 | .7793 | .002460 | .7807 | .4969 | .3538 | .4133 | .7976 | .8125 | .8050 |

Table 15: SXU: Word Segmentation: Open Track

| ID | RunID | R | Cr | P | Cp | F | R _{OOV} | P _{OOV} | F _{OOV} | R _{IV} | P _{IV} | F _{IV} |
|----|-------|-------|---------|-------|---------|-------|------------------|------------------|------------------|-----------------|-----------------|-----------------|
| 31 | a | .9768 | .000894 | .9703 | .001007 | .9735 | .7825 | .8415 | .8109 | .9872 | .9767 | .9820 |
| 31 | b | .9738 | .000948 | .9620 | .001134 | .9679 | .7089 | .8040 | .7534 | .9881 | .9694 | .9786 |
| 28 | | .9547 | .001233 | .9622 | .001132 | .9584 | .6705 | .6628 | .6666 | .9701 | .9787 | .9744 |
| 8 | a | .9545 | .001236 | .9572 | .001201 | .9559 | .7543 | .6400 | .6925 | .9654 | .9776 | .9714 |
| 8 | b | .9639 | .001108 | .9479 | .001319 | .9558 | .6103 | .7089 | .6559 | .9829 | .9587 | .9707 |
| 8 | c | .9586 | .001182 | .9467 | .001333 | .9526 | .6126 | .6967 | .6519 | .9773 | .9583 | .9677 |
| 39 | | .9575 | .001197 | .9461 | .001339 | .9518 | .7274 | .6920 | .7093 | .9699 | .9604 | .9652 |
| 3 | | .9516 | .001273 | .9515 | .001275 | .9516 | .6843 | .6174 | .6491 | .9661 | .9716 | .9688 |
| 22 | | .8777 | .001945 | .8705 | .001993 | .8741 | .5621 | .6371 | .5972 | .8947 | .8815 | .8880 |

word has only one POS-tag in the training corpus, but has other POS-tags in the testing corpus, it is a multi-tag word.

- OOV tag: If a tag of a word is found in the test corpus, but not in the training corpus, or the word itself is an OOV word, the corresponding word-tag pair is called OOV tag.
- IV tag: if the pair of word and tag does occur in the training corpus, the pair is called IV tag.
- IV multi-tag words: the multi-tag words that occurred in training data.

For each submission, we compute total accuracy (A_{Total}), IV recall (R_{IV}), OOV recall (R_{OOV}), and IV Multi-tag word recall (R_{MTIV}) for evaluation. The formula for total accuracy is: $A_{Total} = \frac{N_{correct}}{N_{truth}}$, where $N_{correct}$ denotes the number of words that are correctly tagged, and N_{truth} denotes the number of words in the truth corpus.

The recall for IV, OOV and IV Multi-tag words are supposed to indicate participating system's performance on these three categories.

As Chinese word segmentation task, a baseline and a topline for each corpus are computed to reflect

Table 16: Named Entity Recognition Training and Truth data statistics

| Source | Training | | | | Truth | | | |
|--------|-----------------|------------------|------------------|------------------|-------|------|------|------|
| | NE ^a | PER ^b | LOC ^c | ORG ^d | NE | PER | LOC | ORG |
| CITYU | 66255 | 16552 | 36213 | 13490 | 13014 | 4940 | 4847 | 3227 |
| MSRA | 37811 | 9028 | 18522 | 10261 | 7707 | 1864 | 3658 | 2185 |

Table 17: Named Entity Recognition Truth data OOV statistics

| Source | NE | | PER | | LOC | | ORG | |
|--------|------|-----------------------|------|---------------------|-----|---------------------|------|---------------------|
| | OOV | \mathcal{R}_{OOV}^e | OOV | \mathcal{R}_{OOV} | OOV | \mathcal{R}_{OOV} | OOV | \mathcal{R}_{OOV} |
| CITYU | 6354 | 0.4882 | 3878 | 0.7850 | 900 | 0.1857 | 1576 | 0.4884 |
| MSRA | 1651 | 0.2142 | 564 | 0.3026 | 315 | 0.0861 | 772 | 0.3533 |

Table 18: Named Entity Recognition Baseline

| Source | R | P | F | R_{PER} | P_{PER} | F_{PER} | R_{LOC} | P_{LOC} | F_{LOC} | R_{ORG} | P_{ORG} | F_{ORG} |
|--------|-------|-------|-------|-----------|-----------|-----------|-----------|-----------|-----------|-----------|-----------|-----------|
| CITYU | .4912 | .7562 | .5955 | .2130 | .7056 | .3272 | .7681 | .8438 | .8042 | .5011 | .6341 | .5598 |
| MSRA | .5451 | .6937 | .6105 | .6459 | .9205 | .7591 | .4513 | .7847 | .5731 | .6160 | .5091 | .5575 |

Table 19: CITYU: Named Entity Recognition: Closed Track

| ID | RunID | R | P | F | R_{PER} | P_{PER} | F_{PER} | R_{LOC} | P_{LOC} | F_{LOC} | R_{ORG} | P_{ORG} | F_{ORG} |
|----|-------|-------|-------|-------|-----------|-----------|-----------|-----------|-----------|-----------|-----------|-----------|-----------|
| 24 | | .8247 | .8768 | .8499 | .8615 | .9240 | .8917 | .9098 | .8612 | .8848 | .6402 | .8221 | .7199 |
| 2 | a | .7556 | .8850 | .8152 | .7688 | .9165 | .8362 | .8659 | .8695 | .8677 | .5699 | .8589 | .6852 |
| 2 | b | .7541 | .8846 | .8142 | .7638 | .9167 | .8333 | .8675 | .8684 | .8680 | .5689 | .8596 | .6847 |
| 18 | c | .7608 | .8751 | .8140 | .7771 | .9143 | .8401 | .8692 | .8551 | .8621 | .5730 | .8451 | .6829 |
| 28 | | .7570 | .8585 | .8046 | .7682 | .8976 | .8279 | .8750 | .8314 | .8526 | .5624 | .8462 | .6757 |
| 18 | b | .7286 | .8933 | .8026 | .7306 | .9254 | .8165 | .8535 | .8789 | .8660 | .5380 | .8650 | .6634 |
| 18 | a | .7277 | .8926 | .8017 | .7287 | .9252 | .8153 | .8529 | .8781 | .8653 | .5380 | .8633 | .6628 |
| 21 | a | .0874 | .1058 | .0957 | .0656 | .0962 | .0780 | .1388 | .1200 | .1288 | .0437 | .0789 | .0562 |
| 21 | b | .0211 | .0326 | .0256 | .0128 | .0218 | .0161 | .0390 | .0433 | .0410 | .0068 | .0192 | .0101 |

Table 20: CITYU: Named Entity Recognition: Open Track

| ID | RunID | R | P | F | R_{PER} | P_{PER} | F_{PER} | R_{LOC} | P_{LOC} | F_{LOC} | R_{ORG} | P_{ORG} | F_{ORG} |
|----|-------|-------|-------|-------|-----------|-----------|-----------|-----------|-----------|-----------|-----------|-----------|-----------|
| 23 | | .8743 | .9342 | .9033 | .9526 | .9721 | .9623 | .9342 | .9235 | .9288 | .6644 | .8805 | .7573 |
| 2 | | .8579 | .9179 | .8869 | .8822 | .9449 | .9125 | .9336 | .9099 | .9216 | .7072 | .8852 | .7862 |
| 28 | | .8826 | .8826 | .8826 | .9168 | .8947 | .9056 | .9329 | .8942 | .9132 | .7546 | .8411 | .7955 |
| 24 | | .8975 | .8616 | .8792 | .9474 | .9153 | .9311 | .9389 | .8966 | .9173 | .7589 | .7274 | .7428 |
| 39 | | .7163 | .8000 | .7559 | .7180 | .8194 | .7653 | .8389 | .7845 | .8108 | .5296 | .7986 | .6369 |

Table 21: MSRA: Named Entity Recognition: Closed Track

| ID | RunID | R | P | F | R_{PER} | P_{PER} | F_{PER} | R_{LOC} | P_{LOC} | F_{LOC} | R_{ORG} | P_{ORG} | F_{ORG} |
|----|-------|-------|-------|-------|-----------|-----------|-----------|-----------|-----------|-----------|-----------|-----------|-----------|
| 24 | | .9186 | .9377 | .9281 | .9437 | .9665 | .9549 | .9423 | .9428 | .9426 | .8577 | .9036 | .8800 |
| 18 | b | .8862 | .9304 | .9078 | .9195 | .9651 | .9418 | .9043 | .9379 | .9208 | .8275 | .8871 | .8563 |
| 2 | | .8779 | .9274 | .9020 | .9029 | .9628 | .9319 | .9101 | .9341 | .9219 | .8027 | .8841 | .8414 |
| 18 | a | .8752 | .9255 | .8996 | .9040 | .9618 | .9320 | .8991 | .9346 | .9165 | .8105 | .8780 | .8429 |
| 28 | | .8822 | .9156 | .8986 | .9126 | .9461 | .9290 | .9079 | .9248 | .9163 | .8133 | .8724 | .8418 |
| 31 | | .8058 | .9107 | .8550 | .9029 | .9519 | .9268 | .8185 | .9278 | .8697 | .7016 | .8405 | .7648 |
| 37 | | .8331 | .8730 | .8526 | .8557 | .8084 | .8314 | .8576 | .9138 | .8848 | .7730 | .8666 | .8171 |

^aNE: Number of Named Entities.^bPER: Number of Person names.^cLOC: Number of Location names.^dORG: Number of Organization names^e \mathcal{R}_{OOV} :OOV rate

Table 22: MSRA: Named Entity Recognition: Open Track

| ID | RunID | R | P | F | R _{PER} | P _{PER} | F _{PER} | R _{LOC} | P _{LOC} | F _{LOC} | R _{ORG} | P _{ORG} | F _{ORG} |
|----|-------|-------|-------|-------|------------------|------------------|------------------|------------------|------------------|------------------|------------------|------------------|------------------|
| 24 | | .9995 | .9982 | .9988 | 1 | .9989 | .9995 | .9997 | .9975 | .9986 | .9986 | .9986 | .9986 |
| 2 | | .9961 | .9956 | .9958 | 1 | 1 | 1 | .9992 | .9929 | .9960 | .9876 | .9963 | .9920 |
| 1 | | .9377 | .9603 | .9489 | .9657 | .9574 | .9615 | .9593 | .9769 | .9680 | .8778 | .9338 | .9049 |
| 23 | | .9111 | .9471 | .9288 | .9458 | .9833 | .9642 | .9336 | .9397 | .9366 | .8439 | .9280 | .8840 |
| 18 | a | .9135 | .9321 | .9227 | .9560 | .9601 | .9581 | .9221 | .9388 | .9304 | .8627 | .8959 | .8790 |
| 18 | b | .9084 | .9278 | .9180 | .9544 | .9575 | .9559 | .9169 | .9322 | .9245 | .8549 | .8938 | .8739 |
| 22 | b | .8675 | .9163 | .8912 | .9217 | .9630 | .9419 | .8445 | .9352 | .8875 | .8600 | .8502 | .8551 |
| 29 | | .8791 | .9035 | .8911 | .9549 | .9498 | .9524 | .9194 | .9129 | .9161 | .7469 | .8408 | .7911 |
| 11 | | .8674 | .9003 | .8836 | .9083 | .9216 | .9149 | .8989 | .9166 | .9077 | .7799 | .8516 | .8141 |
| 31 | | .8238 | .9038 | .8619 | .9206 | .9517 | .9359 | .8362 | .9424 | .8862 | .7204 | .7966 | .7565 |
| 22 | a | .8452 | .8720 | .8584 | .8734 | .9498 | .9100 | .8710 | .8909 | .8808 | .7780 | .7798 | .7789 |
| 39 | | .7890 | .8347 | .8112 | .8771 | .9196 | .8979 | .8365 | .8331 | .8348 | .6343 | .7557 | .6897 |

the different degree of difficulty of tagging individual corpora. The algorithm of baseline and topline is briefly described as follows: Baseline indicates the different degree of difficulty of tagging individual corpus.

The baseline of each corpus is calculated by generating a list of words and POS tags from the training corpus, then: 1. tagging those IV words in the testing corpus which have only one POS tag in the list. 2. for those IV words that have not only one tag in training corpus, the unique most frequent tag in training corpus will be assigned to them. 3. for each IV word that does not have a unique most frequent tag in training corpus, one of its tag which is most frequent in the overall phase is assigned to it; 4. for those words that do not fall into any of the former three categories are assigned with a overall most frequent tag.

The topline algorithm is similar to baseline, instead the list of words and POS tags is generated from testing corpus.

Chinese POS tagging results for all runs grouped by corpus and track appear in Tables 27-36; all tables are sorted by A_{Total} .

The baseline and topline has shown that, with preliminary knowledge and mechanical algorithm, it is easy to achieve an accuracy over approximately 0.85. When excluding the effect caused by OOV tags, the accuracy can even be over 0.93.

There are two kind of problem in POS tagging task we should cope with: multi tag disambiguation and unknown words guessing. We could consider that the value of (topline - baseline) is the accuracy

drop caused by unknown words guessing, and the value of (1 - topline) is the accuracy drop caused by multi tag disambiguation. The average of these two value is 0.0628 and 0.0600, therefore these two kind of problem can equally affect the performance of POS tagging system.

For this reason, unlike the topline of Chinese word segmentation, the topline of Chinese POS tagging could be easily exceeded by tagging systems, because the algorithm of this topline just excludes the effect of OOV tags, which is not a dominant determinant in this task.

In closed track, the highest total accuracy is achieved in the NCC corpus which has the lowest OOV tag rate, and the lowest total accuracy is achieved in the CITYU corpus which has the highest OOV tag rate.

Most of the participants outperformed baseline, some have exceeded topline. When comparing the OOV recall and IV multi tag word recall with topline, participant's system can easily approaching or surpass the IV multi tag word recall, but none system could successfully approach the OOV recall. This might because participant's systems do better in solving the multi tag disambiguation problem than in coping with the unknown words guessing problem.

5 Conclusions & Future Directions

The Fourth SIGHAN Chinese Language Processing Bakeoff successfully brought together a collection of 28 strong research groups to assess the progress of research in three important tasks, Chinese word

segmentation, named entity recognition and Chinese POS tagging, that in turn enable other important language processing technologies. The individual group presentations at the SIGHAN workshop will detail the approaches that yielded strong performance for both tasks. Issues of out-of-vocabulary word handling, annotation consistency and unknown guessing all continue to challenge system designers and bakeoff organizers alike.

In future analysis, we hope to develop additional analysis tools to better assess progress in these fundamental tasks, in a more corpus independent fashion. Such developments will guide the planning of future evaluations.

Finally, while Chinese word segmentation, named entity recognition and Chinese POS tagging are important in themselves, these three enabling technologies are also the foundation of those upper level applications such as parsing, reference resolution or machine translation. To evaluate the impact of improvement in these three technologies on the subsequent applications is still the future work for this evaluation.

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Table 25: Chinese POS tagging Baseline

| Source | A_{Total}^a | R_{IV}^b | R_{OOV}^c | $R_{MT_{IV}}^d$ |
|--------|---------------|------------|-------------|-----------------|
| CITYU | .8425 | .9021 | .2543 | .8083 |
| CKIP | .8861 | .9451 | .2814 | .8740 |
| CTB | .8609 | .8967 | .3313 | .8057 |
| NCC | .9159 | .9543 | .2242 | .8636 |
| PKU | .8809 | .9237 | .2038 | .8296 |

Table 26: Chinese POS tagging Topline

| Source | A_{Total} | R_{IV} | R_{OOV} | $R_{MT_{IV}}$ |
|--------|-------------|----------|-----------|---------------|
| CITYU | .9310 | .9330 | .9107 | .8727 |
| CKIP | .9606 | .9597 | .9699 | .9103 |
| CTB | .9147 | .9120 | .9555 | .8369 |
| NCC | .9588 | .9593 | .9507 | .8822 |
| PKU | .9351 | .9354 | .9305 | .8600 |

Table 27: CITYU:POS tagging Closed Track

| ID | RunID | A_{Total} | R_{IV} | R_{OOV} | $R_{MT_{IV}}$ |
|----|-------|-------------|----------|-----------|---------------|
| 30 | b | .8951 | .9389 | .4637 | .8745 |
| 30 | a | .8929 | .9367 | .4608 | .8705 |
| 28 | | .8905 | .9328 | .4733 | .8687 |
| 9 | | .8865 | .9326 | .4322 | .8707 |
| 19 | | .8693 | .9284 | .2868 | .8585 |
| 24 | | .8564 | .9149 | .2805 | .8506 |
| 21 | b | .2793 | .2969 | .1051 | .2538 |
| 21 | a | .1890 | .2031 | .0550 | .1704 |

Table 28: CITYU:POS tagging Open Track

| ID | RunID | A_{Total} | R_{IV} | R_{OOV} | $R_{MT_{IV}}$ |
|----|-------|-------------|----------|-----------|---------------|
| 28 | | .8900 | .9329 | .4670 | .8695 |
| 39 | | .8669 | .9089 | .4537 | .8495 |

Table 29: CKIP:POS tagging Closed Track

| ID | RunID | A_{Total} | R_{IV} | R_{OOV} | $R_{MT_{IV}}$ |
|----|-------|-------------|----------|-----------|---------------|
| 30 | b | .9295 | .9629 | .5869 | .9123 |
| 30 | a | .9286 | .9618 | .5875 | .9099 |
| 28 | | .9220 | .9556 | .5772 | .9088 |
| 9 | | .9160 | .9504 | .5631 | .9065 |
| 16 | | .9124 | .9549 | .4756 | .8953 |
| 19 | | .8994 | .9561 | .3169 | .9001 |
| 24 | | .8793 | .9334 | .3247 | .8943 |

^a A_{Total} : total accuracy

^b R_{IV} : IV recall

^c R_{OOV} : OOV recall

^d $R_{MT_{IV}}$: MT_{IV} recall

Table 23: Chinese POS tagging Training data statistics

| Source | Token | WT | TT ^a | ATN ^b | MT _{IV} ^c | $\mathcal{R}_{MT_{IV}}$ ^d |
|--------|---------|-------|-----------------|------------------|-------------------------------|--------------------------------------|
| CITYU | 1092687 | 43639 | 44 | 1.2588 | 585056 | 0.5354 |
| CKIP | 721551 | 48045 | 60 | 1.0851 | 335017 | 0.4643 |
| CTB | 642246 | 42133 | 37 | 1.1690 | 334317 | 0.5205 |
| NCC | 535023 | 45108 | 60 | 1.0673 | 178078 | 0.3328 |
| PKU | 1116754 | 55178 | 103 | 1.1194 | 490243 | 0.4390 |

Table 24: Chinese POS tagging Truth data statistics

| Source | Token | WT | TT | ATN | OOV | \mathcal{R}_{OOV} ^e | MT _{IV} | $\mathcal{R}_{MT_{IV}}$ |
|--------|--------|-------|-----|--------|-------|----------------------------------|------------------|-------------------------|
| CITYU | 184314 | 17827 | 43 | 1.1446 | 16977 | 0.0921 | 92934 | 0.5042 |
| CKIP | 91071 | 15331 | 63 | 1.0530 | 8085 | 0.0888 | 38640 | 0.4243 |
| CTB | 59955 | 9797 | 35 | 1.1227 | 3794 | 0.0633 | 30513 | 0.5089 |
| NCC | 102344 | 17493 | 55 | 1.0675 | 5392 | 0.0527 | 33853 | 0.3308 |
| PKU | 156407 | 17643 | 103 | 1.1270 | 9295 | 0.0594 | 68065 | 0.4352 |

^aTT: number of tag type.^bATN: Average Tag Number per word.^cMT_{IV}: number of IV Multi-Tag word^d $\mathcal{R}_{MT_{IV}}$: coverage rate of IV Multi-Tag words^e \mathcal{R}_{OOV} : OOV tag rate

Table 30: CKIP:POS tagging Open Track

| ID | RunID | A_{Total} | R_{IV} | R_{OOV} | $R_{MT_{IV}}$ |
|----|-------|-------------|----------|-----------|---------------|
| 28 | | .9211 | .9542 | .5813 | .9082 |
| 39 | | .9004 | .9327 | .5686 | .8936 |

Table 31: CTB:POS tagging Closed Track

| ID | RunID | A_{Total} | R_{IV} | R_{OOV} | $R_{MT_{IV}}$ |
|----|-------|-------------|----------|-----------|---------------|
| 28 | | .9428 | .9557 | .7522 | .9197 |
| 9 | | .9401 | .9554 | .7135 | .9183 |
| 16 | | .9234 | .9507 | .5200 | .9051 |
| 24 | | .9203 | .9460 | .5390 | .9055 |
| 19 | | .9133 | .9438 | .4620 | .8983 |
| 31 | a | .9088 | .9374 | .4866 | .8805 |
| 31 | b | .8065 | .8608 | .0040 | .7395 |

Table 32: CTB:POS tagging Open Track

| ID | RunID | A_{Total} | R_{IV} | R_{OOV} | $R_{MT_{IV}}$ |
|----|-------|-------------|----------|-----------|---------------|
| 22 | | .9689 | .9767 | .8537 | .9554 |
| 28 | | .9646 | .9714 | .8648 | .9495 |
| 39 | | .9271 | .9400 | .7354 | .9016 |
| 31 | a | .9120 | .9374 | .5361 | .8805 |
| 31 | b | .8076 | .8608 | .0206 | .7396 |

Table 33: NCC:POS tagging Closed Track

| ID | RunID | A_{Total} | R_{IV} | R_{OOV} | $R_{MT_{IV}}$ |
|----|-------|-------------|----------|-----------|---------------|
| 30 | b | .9541 | .9738 | .5998 | .9195 |
| 30 | a | .9525 | .9717 | .6059 | .9135 |
| 28 | | .9494 | .9690 | .5959 | .9129 |
| 9 | | .9456 | .9658 | .5822 | .9116 |
| 16 | | .9395 | .9690 | .4086 | .9059 |
| 19 | | .9336 | .9687 | .3017 | .9050 |
| 31 | a | .9313 | .9604 | .4080 | .8809 |
| 29 | | .9277 | .9664 | .2329 | .9000 |
| 24 | | .9172 | .9498 | .3312 | .8963 |
| 31 | b | .8940 | .9303 | .2411 | .7948 |

Table 34: NCC:POS tagging Open Track

| ID | RunID | A_{Total} | R_{IV} | R_{OOV} | $R_{MT_{IV}}$ |
|----|-------|-------------|----------|-----------|---------------|
| 28 | | .9496 | .9694 | .5938 | .9141 |
| 31 | a | .9326 | .9604 | .4336 | .8809 |
| 39 | | .9280 | .9477 | .5749 | .8954 |
| 22 | | .9096 | .9377 | .4045 | .8935 |
| 31 | b | .8940 | .9303 | .2411 | .7948 |
| 25 | | .0836 | .0855 | .0488 | .0645 |

Table 35: PKU:POS tagging Closed Track

| ID | RunID | A_{Total} | R_{IV} | R_{OOV} | $R_{MT_{IV}}$ |
|----|-------|-------------|----------|-----------|---------------|
| 30 | b | .9450 | .9679 | .5818 | .9252 |
| 30 | a | .9420 | .9648 | .5813 | .9184 |
| 28 | | .9396 | .9608 | .6036 | .9173 |
| 9 | | .9368 | .9591 | .5832 | .9173 |
| 16 | | .9266 | .9574 | .4386 | .9079 |
| 29 | | .9113 | .9518 | .2708 | .8958 |
| 37 | | .9065 | .9269 | .5836 | .8903 |
| 31 | a | .9053 | .9451 | .2751 | .8758 |
| 19 | | .8815 | .9158 | .3386 | .8897 |
| 31 | b | .8527 | .8936 | .2043 | .7646 |
| 31 | c | .8450 | .8855 | .2039 | .7471 |

Table 36: PKU:POS tagging Open Track

| ID | RunID | A_{Total} | R_{IV} | R_{OOV} | $R_{MT_{IV}}$ |
|----|-------|-------------|----------|-----------|---------------|
| 28 | | .9411 | .9622 | .6057 | .9200 |
| 31 | a | .9329 | .9518 | .6332 | .8972 |
| 29 | | .9197 | .9512 | .4222 | .8990 |
| 39 | | .9134 | .9341 | .5862 | .8894 |
| 31 | b | .8427 | .8935 | .0398 | .7643 |
| 22 | | .6649 | .6796 | .4308 | .6495 |

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