

# The Use of Instrumentation in Grammar Engineering

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## Abstract

This paper explores the usefulness of a technique from software engineering, code instrumentation, for the development of large-scale natural language grammars. Information about the usage of grammar rules in test and corpus sentences is used to improve grammar and testsuite, as well as adapting a grammar to a specific genre. Results show that less than half of a large-coverage grammar for German is actually tested by two large testsuites, and that 10–30% of testing time is redundant. This methodology applied can be seen as a re-use of grammar writing knowledge for testsuite compilation. The construction of genre-specific grammars results in performance gains of a factor of four.

## 1 Introduction

The field of Computational Linguistics (CL) has both moved towards applications and towards large data sets. These developments call for a rigorous methodology for creating so-called lingware: linguistic data such as lexica, grammars, tree-banks, as well as software processing it. Experience from Software Engineering has shown that the earlier deficiencies are detected, the less costly their correction is. Rather than being a post-development effort, quality evaluation must be an integral part of development to make the construction of lingware more efficient (e.g., cf. (EAGLES, 1996) for a general evaluation framework and (Ciravegna et al., 1998) for the application of a particular software design methodology to linguistic engineering). This paper presents the adaptation of a particular Software Engineering (SE) method, instrumentation, to Grammar Engineering (GE). Instrumentation allows to determine which test item exercises a certain piece of (software or grammar) code.

The paper first describes the use of instrumentation in SE, then discusses possible realizations in unification grammars, and finally presents two classes of applications.

## 2 Software Instrumentation

Systematic software testing requires a match between the test subject (module or complete system) and a test suite (collection of test items, i.e., sample input). This match is usually computed as the percentage of code items exercised by the test suite.

Depending on the definition of a code item, various measures are employed, for example (cf. (Hetzel, 1988) and (EAGLES, 1996, Appendix B) for overviews):

**statement coverage** percentage of single statements exercised

**branch coverage** percentage of arcs exercised in control flow graph; subsumes statement coverage

**path coverage** percentage of paths exercised from start to end in control flow graph; subsumes branch coverage; impractical due to large (often infinite) number of paths

**condition coverage** percentage of (simple or aggregate) conditions evaluated to both true and false (on different test items)

Testsuites are constructed to maximize the targeted measure. A test run yields information about the code items not exercised, allowing the improvement of the testsuite.

The measures are automatically obtained by instrumentation: The test subject is extended by code which records the code items exercised during processing. After processing the testsuite, the records are used to compute the measures.

## 3 Grammar Instrumentation

Measures from SE cannot simply be transferred to unification grammars, because the structure of (imperative) programs is different from (declarative) grammars. Nevertheless, the structure of a grammar (formalism) allows to define measures very similar to those employed in SE.

**constraint coverage** is the quotient

$$T_{\text{con}} = \frac{\# \text{ constraints exercised}}{\# \text{ constraint in grammar}}$$

where a constraint may be either a phrase-structure or an equational constraint, depending on the formalism.

**disjunction coverage** is the quotient

$$T_{\text{dis}} = \frac{\# \text{ disjunctions covered}}{\# \text{ disjunctions in grammar}}$$

where a disjunction is considered covered when all its alternative disjuncts have been separately exercised. It encompasses constraint coverage. Optional constituents and equations have to be treated as a disjunction of the constraint and an empty constraint (cf. Fig.2 for an example).

**interaction coverage** is the quotient

$$T_{\text{int}} = \frac{\# \text{ disjunct combinations exercised}}{\# \text{ legal disjunct combinations}}$$

where a disjunct combination is a complete set of choices in the disjunctions which yields a well-formed grammatical structure.

As with path coverage, the set of legal disjunct combination typically is infinite due to recursion. A solution from SE is to restrict the use of recursive rules to a fixed number of cases, for example not using the rule at all, and using it only once.

The goal of instrumentation is to obtain information about which test cases exercise which grammar constraints. One way to record this information is to extend the parsing algorithm. Another way is to use the grammar formalism itself to identify the disjuncts. Depending on the expressivity of the formalism used, the following possibilities exist:

**atomic features** Assuming a unique numbering of disjuncts, an annotation of the form `DISJUNCT-nn = +` can be used for marking. To determine whether a certain disjunct was used in constructing a solution, one only needs to check whether the associated feature occurs (at some level of embedding) in the solution.

**set-valued features** If set-valued features are available, one can use a set-valued feature `DISJUNCTS` to collect atomic symbols representing one disjunct each: `DISJUNCT-nn ∈ DISJUNCTS`, which might ease the collection of exercised disjuncts.

**multiset of symbols** To recover the number of times a disjunct is used, one needs to leave the unification paradigm, because it is very difficult to count with unification grammars. We have used a special feature of our grammar development environment: Following the LFG spirit of different projections, it provides a projection for

```

VP ⇒  V      ↓=↑;
       NP?   ↓= (↑ OBJ);
       PP*   { ↓= (↑ OBL);
              | ↓∈ (↑ ADJUNCT); }.

```

Figure 1: Sample Rule

```

VP ⇒  V      ↓=↑;
       { e    DISJUNCT-001 ∈ o*;
       | NP   ↓= (↑ OBJ)
       |     DISJUNCT-002 ∈ o*; }
       { e    DISJUNCT-003 ∈ o*;
       | PP+  { ↓= (↑ OBL)
       |     DISJUNCT-004 ∈ o*;
       |     | ↓∈ (↑ ADJUNCT)
       |     | DISJUNCT-005 ∈ o*; } }.

```

Figure 2: Instrumented rule

symbolic marks, which is formally equivalent to a multiset of symbols associated with the complete solution (structural embedding plays no role; see (Frank et al., 1998) for applications). In this way, we can collect from the root node of each solution the set of all disjuncts exercised, together with a usage count.

Consider the LFG grammar rule in Fig.1.<sup>1</sup> Constraint coverage would require test items such that every category in the VP is exercised; a sequence of `V NP PP` would suffice for this measure. Disjunction coverage also requires to take the empty disjuncts into account: NP and PP are optional, so that four items are needed to achieve full disjunction coverage on the phrase structure part of the rule. Due to the disjunction in the PP annotation, two more test items are required to achieve full disjunction coverage on the complete rule. Fig.2 shows the rule from Fig.1 with instrumentation.

## 4 Grammar and Testsuite Improvement

Traditionally, a testsuite is used to improve (or maintain) a grammar's quality (in terms of coverage and overgeneration). Using instrumentation, one may extend this usage by looking for sources of

<sup>1</sup>Although the sample rule are in the format of LFG, nothing of the methodology relies on the choice of linguistic or computational paradigm. The notation: `?/*/+` represent optionality/iteration including/excluding zero occurrences on categories. `e` represents the empty string. Annotations to a category specify equality (=) or set membership (∈) of feature values, or non-existence of features (¬); they are terminated by a semicolon (;). Disjunctions are given in braces (`{...|...}`). `↑` (`↓`) are metavariables representing the feature structure corresponding to the mother (daughter) of the rule. `o*` (for optimality) represents the sentence's multi-set valued symbolic projection. Comments are enclosed in quotation marks ("..."). Cf. (Kaplan and Bresnan, 1982) for an introduction to LFG notation.

overgeneration (cf. Sec.4.3), and may also improve the quality of the testsuite, in terms of coverage (cf. Sec.4.1) and economy (cf. Sec.4.2).

Complementing other work on testsuite construction (cf. Sec.4.4), I will assume that a grammar is already available, and that a testsuite has to be constructed or extended. While one may argue that grammar and testsuite should be developed in parallel, such that the coding of a new grammar disjunct is accompanied by the addition of suitable test cases, and vice versa, this is seldom the case. Apart from the existence of grammars which lack a testsuite, there is the more principled obstacle of the evolution of the grammar, leading to states where previously necessary rules silently lose their usefulness, because their function is taken over by some other rules, structured differently. This is detectable by instrumentation, as discussed in Sec.4.1.

On the other hand, once there is a testsuite, it has to be used economically, avoiding redundant tests. Sec.4.2 shows that there are different levels of redundancy in a testsuite, dependent on the specific grammar used. Reduction of this redundancy can speed up the test activity, and give a clearer picture of the grammar’s performance.

#### 4.1 Testsuite Completeness

If the disjunction coverage of a testsuite is 1 for some grammar, the testsuite is *complete* w.r.t. this grammar. Such a testsuite can reliably be used to monitor changes in the grammar: Any reduction in the grammar’s coverage will show up in the failure of some test case (for negative test cases, cf. Sec.4.3).

If the testsuite is not complete, instrumentation can identify disjuncts which are not exercised. These might be either (i) appropriate, but untested, disjuncts calling for the addition of a test case, or (ii) inappropriate disjuncts, for which a grammatical test case exercising them cannot be constructed.

Checking completeness of our local testsuite of 1787 items, we found that only 1456 out of 3730 grammar disjuncts in our German grammar were tested, yielding  $T_{dis} = 0.39$  (the TSNLP testsuite containing 1093 items tests only 1081 disjuncts, yielding  $T_{dis} = 0.28$ ).<sup>2</sup> Fig.3 shows an example of a gap in our testsuite (there are no examples of circumpositions), while Fig.4 shows an inappropriate disjunct thus discovered (the category ADVadj has been eliminated in the lexicon, but not in all rules). Another error class is illustrated by Fig.5, which shows a disjunct that can never be used due to an LFG coherence violation; the grammar is inconsistent here.<sup>3</sup>

<sup>2</sup>There are, of course, unparsed but grammatical test cases in both testsuites, which have not been taken into account in these figures. This explains the difference to the overall number of 1582 items in the German TSNLP testsuite.

<sup>3</sup>Test cases using a free dative pronoun may be in the test-

PPstd ⇒	Pprae	↓=↑;
	NPstd	↓= (↑ OBJ);
	{ e	DISJUNCT-011 ∈ o*;
	Pcircum	↓=↑;
		DISJUNCT-012 ∈ o*
		"unused disjunct"; }

Figure 3: Appropriate untested disjunct

ADVP ⇒	{	{ e	DISJUNCT-021 ∈ o*;
		ADVadj	↓=↑
			DISJUNCT-022 ∈ o*
			"unused disjunct"; }
		ADVstd	↓=↑
			DISJUNCT-023 ∈ o*
			"unused disjunct"; }
		... }	

Figure 4: Inappropriate disjunct

#### 4.2 Testsuite Economy

Besides being complete, a testsuite must be economical, i.e., contain as few items as possible. Instrumentation can identify redundant test cases, where redundancy can be defined in three ways:

**similarity** There is a set of other test cases which jointly exercise all disjunct which the test case under consideration exercises.

**equivalence** There is a single test case which exercises exactly the same combination(s) of disjuncts.

**strict equivalence** There is a single test case which is equivalent to and, additionally, exercises the disjuncts exactly as often as, the test case under consideration.

Fig.6 shows equivalent test cases found in our testsuite: Example 1 illustrates the distinction between equivalence and strict equivalence; the test cases contain different numbers of attributive adjectives. Example 2 shows that our grammar does not make any distinction between adverbial usage and secondary (subject or object) predication.

The reduction we achieved in size and processing time is shown in Table 1, which contains measurements for a test run containing only the parseable test cases, one without equivalent test cases (for every set of equivalent test cases, one was arbitrarily selected), and one without similar test cases. The last was constructed using a simple heuristic: Starting with the sentence exercising the most disjuncts, working towards sentences relying on fewer disjuncts, a sentence was selected only if it exercised a disjunct which no previously selected sentence exercised. Assuming that a disjunct working correctly

suite, but receive no analysis since the grammatical function FREDAT is not defined as such in the configuration section.

VPargs  $\Rightarrow$  { ...  
 | PRONstd  $\downarrow = (\uparrow \text{FREEDAT})$   
                    $(\downarrow \text{CASE}) = \text{dat}$   
                    $(\downarrow \text{PRON-TYPE}) = \text{pers}$   
                    $\neg(\uparrow \text{OBJ2})$   
                   DISJUNCT-041  $\in o^*$   
                   "unused disjunct";  
 | ... }.

Figure 5: Inconsistent disjunct

- 1 ein guter alter Wein  
 ein guter alter trockener Wein  
 ‘a good old (dry) wine’
- 2 Er ißt das Schnitzel roh.  
 Er ißt das Schnitzel nackt.  
 Er ißt das Schnitzel schnell.  
 ‘He eats the schnitzel naked/raw/quickly.’

Figure 6: Sets of equivalent test cases

once will work correctly more than once, we did not consider strict equivalence.

We envisage the following use of this redundancy detection: There clearly are linguistic reasons to distinguish all test cases in example 2, so they cannot simply be deleted from the testsuite. Rather, their equivalence indicates that the grammar is not yet perfect (or never will be, if it remains purely syntactic). Such equivalences could be interpreted as a reminder which linguistic distinctions need to be incorporated into the grammar. Thus, this level of redundancy may drive your grammar development agenda. The level of equivalence can be taken as a limited interaction test: These test cases represent one complete selection of grammar disjuncts, and (given the grammar) there is nothing we can gain by checking a test case if an equivalent one was tested. Thus, this level of redundancy may be used for ensuring the quality of grammar changes prior to their incorporation into the production version of the grammar. The level of similarity contains much less test cases, and does not test any (systematic) interaction between disjuncts. Thus, it may be used during development as a quick rule-of-thumb procedure detecting serious errors only.

	test cases	relative size	runtime (sec)	relative runtime
TSNLP testsuite				
parseable	1093	100%	1537	100%
no equivalents	783	71%	665.3	43%
no similar cases	214	19%	128.5	8%
local testsuite				
parseable	1787	100%	1213	100%
no equivalents	1600	89%	899.5	74%
no similar cases	331	18%	175.0	14%

Table 1: Reduction of Testsuites

Der Test fällt leicht.	Dieselbe schlafen.
Die schlafen.	Das schlafen.
	Eines schlafen.
Man schlafen.	Jede schlafen.
Dieser schlafen.	Dieses schlafen.
Ich schlafen.	Eine schlafen.
Der schlafen.	Meins schlafen.
Jeder schlafen.	Dasjenige schlafen.
Derjenige schlafen.	Jedes schlafen.
Jener schlafen.	Diejenige schlafen.
Keiner schlafen.	Jenes schlafen.
Derselbe schlafen.	Keines schlafen.
Er schlafen.	Dasselbe schlafen.
Irgendjemand schlafen.	

Figure 7: Sentences relying on suspicious disjunct

### 4.3 Sources of Overgeneration

To control overgeneration, appropriately marked ungrammatical sentences are important in every test-suite. Instrumentation as proposed here only looks at successful parses, but can still be applied in this context: If an ungrammatical test case receives an analysis, instrumentation informs us about the disjuncts used in the incorrect analysis. One of these disjuncts must be incorrect, or the sentence would not have received a solution. We exploit this information by accumulation across the entire test suite, looking for disjuncts that appear in unusually high proportion in parseable ungrammatical test cases.

In this manner, six grammar disjuncts are singled out by the parseable ungrammatical test cases in the TSNLP testsuite. The most prominent disjunct appears in 26 sentences (listed in Fig.7), of which the top left group is indeed grammatical and the rest fall into two classes: A partial VP with object NP, interpreted as an imperative sentence (bottom left), and a weird interaction with the tokenizer incorrectly handling capitalization (right group).

Far from being conclusive, the similarity of these sentences derived from a suspicious grammar disjunct, and the clear relation of the sentences to only two exactly specifiable grammar errors make it plausible that this approach is very promising in detecting the sources of overgeneration.

### 4.4 Other Approaches to Testsuite Construction

The delicacy of testsuite construction is acknowledged in (EAGLES, 1996, p.37). Although there are a number of efforts to construct reusable test-suites, none has to my knowledge explored how existing grammars can be exploited.

Starting with (Flickinger et al., 1987), test-suites have been drawn up from a linguistic viewpoint, *informed by [the] study of linguistics and [reflecting] the grammatical issues that linguists have concerned themselves with* (Flickinger et al., 1987, p.4). Al-

though the question is not explicitly addressed in (Balkan, 1994), all the testsuites reviewed there also seem to follow the same methodology. The TSNLP project (Lehmann and Oepen, 1996) and its successor DiET (Netter et al., 1998), which built large multilingual testsuites, likewise fall into this category.

The use of corpora (with various levels of annotation) has been studied, but the recommendations are that much manual work is required to turn corpus examples into test cases (e.g., (Balkan and Fouvry, 1995)). The reason given is that corpus sentences neither contain linguistic phenomena in isolation, nor do they contain systematic variation. Corpora thus are used only as an inspiration.

(Oepen and Flickinger, 1998) stress the interdependence between application and testsuite, but don't comment on the relation between grammar and testsuite.

## 5 Genre Adaptation

A different application of instrumentation is the tailoring of a general grammar to specific genres. All-purpose grammars are plagued by lexical and structural ambiguity that leads to overly long runtimes. If this ambiguity could be limited, parsing efficiency would improve. Instrumenting a general grammar allows to automatically derive specialized subgrammars based on sample corpora. This setup has several advantages: The larger the overlap between genres, the larger the portion of grammar development work that can be recycled. The all-purpose grammar is linguistically more interesting, because it requires an integrated concept, as opposed to several separate genre-specific grammars.

I will discuss two ways of improving the efficiency of parsing a sublanguage, given an all-purpose unification grammar. The first consists in deleting unused disjuncts, while the second uses a staged parsing process. The experiments are only sketched, to indicate the applicability of the instrumentation technique, and not to directly compete with other proposals on grammar specialization. For example, the work reported in (Rayner and Samuelsson, 1994; Samuelsson, 1994) differs from the one presented below in several aspects: They induce a grammar from a treebank, while I propose to annotate the grammar based on all solutions it produces. No criteria for tree decomposition and category specialization are needed here, and the standard parsing algorithm can be used. On the other hand, the efficiency gains are not as big as those reported by (Rayner and Samuelsson, 1994).

### 5.1 Restricting the Grammar

Given a large sample of a genre, instrumentation allows you to determine the likely constructions of that genre. Eliminating unused disjuncts allows faster

Descriptor	Content	Coverage
HC-DE	Copier/Printer User Manual	89%
WHB	Car Maintenance Instructions	76%
NEWS	News (5-30 words per sentence)	42%
NEWS-SC	Verb-final subclauses from News	75%

Table 2: Corpora used for adaptation

parsing due to a smaller grammar. An experiment was conducted with several corpora as detailed in Table 2. There was some effort to cover the corpus HC-DE, but no grammar development based on the other corpora. The NEWS-SC corpus is part the corpus of verb-final sentences used by (Beil et al., 1999).

A training set of 1000 sentences from each corpus was parsed with an instrumented *base grammar*. From the parsing results, the exercised grammar disjuncts were extracted and used to construct a corpus-specific *reduced grammar*. The reduced grammars were then used to parse a test set of another 1000 sentences from each corpus. Table 3 shows the performance improvement on the corpora: It gives the size of the grammars in terms of the number of rules (with regular expression right-hand sides and feature annotation), the number of arcs (corresponding to unary or binary rules with disjunctive feature annotation), and the number of disjuncts (unary or binary rules with unique feature annotation). The number of mismatches counts the sentences for which the solution(s) obtained differed from those obtained with the base grammar, while the number of additions counts the sentences which did not receive a parse with the base grammar due to resource limitations (runtime or memory), but received one with the reduced grammar. The other columns give timings to process the total corpus, and the longest and average processing time per sentence; time is in seconds. The last column gives the average number of solutions per sentence.

Due to the sampling of a genre, the grammars obtained can only be approximate. To determine the relation of the sample size to the quality of the grammar obtained, the coverage of random *fragment grammars* was measured in the following way: Randomly select a number of sentences from the total corpus, construct (in the same way as described above for the reduced grammar) a fragment grammar, and determine its coverage on the test set from the respective corpus. The graphs in Fig.8 show how the coverage and runtime relate to the number of sentences on which the fragment grammars are based. The leftmost data point (x value 0) describes the performance of the reduced grammar on the training set, while the rightmost data point describes its performance on the test set. The data points in between represent fragment grammars based on as

	# of rules	# of arcs	# of disjuncts	# of mismatches	# of additions	total time	max. time per sentence	avg. time per sentence	avg. solutions per sentence
Corpus HC-DE									
base grammar	185	3669	11564	n/a	n/a	7692.4	>300	7.1	10.1
reduced grammar (938)	112	960	3739	0	1	2089.4	162.7	1.9	17.6
Corpus WHB									
base grammar	195	3728	11606	n/a		1428.9	>300.3	1.5	
reduced grammar (559)		534	3072	1		444.2	11.3	0.4	

Table 3: Performance of reduced grammars

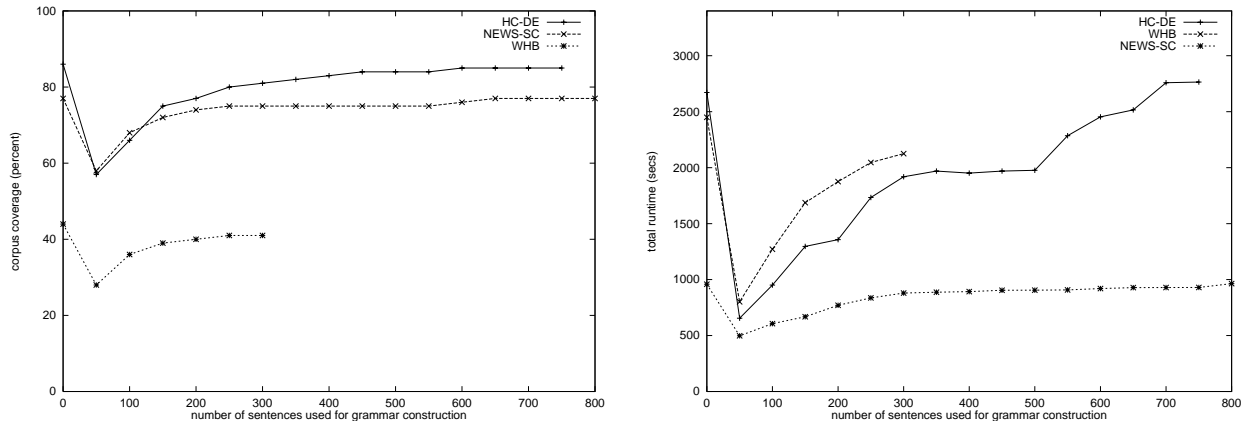


Figure 8: Performance of fragment grammars

many sentences as given by the x axis value.

The results reported here represent the minimal performance gain due to the fact that the construction of reduced and fragment grammars are not based on the correct solutions for the training sentences, but rather on all solutions produced by the base grammar. The construction of a large-scale treebank with manually verified solutions is under way but has not yet progressed far enough to serve as input for this experiment. Even with this systematic, but curable error, the reduction reduces overall processing by a factor of four. The number of solutions is constant because only unused disjuncts are eliminated; this will change if the treebank solutions are used to construct the reduced grammar.

## 5.2 Staged Parsing

Even eliminating only unlikely disjuncts necessarily reduces the coverage of the grammar. A sequence of parsing stages allows one to profit from a small and fast grammar as well as from a large and slow one. Staged parsing applies different grammars one after the other to the input, until one yields a solution, which terminates the process. In our case, a grammar of stage  $n + 1$  includes the grammar of stage  $n$ , but this need not be the case in general.

To reduce the variability for an experiment, I assume three stages: The first includes frequently used disjuncts, the second infrequent disjuncts, and the third unused disjuncts. This ensures the full coverage of the base grammar, but allows to focus on frequent constructions in the first parsing stage. The procedure is similar as before: From the solutions of a training set, a *staged grammar* is constructed. Currently, experiments are performed to determine a useful definition of ‘frequently used’. Independent from the actual performance gains finally obtained, the application of instrumentation allows a systematic exploration of the possible configurations.

## 5.3 Other approaches to grammar adaptation

(Rayner and Samuelsson, 1994; Rayner and Carter, 1996; Samuelsson, 1994) present a grammar specialization technique for unification grammars. From a treebank of the sublanguage, they induce a specialized grammar using fewer *macro rules* which correspond to the application of several original rules. They report an average speed-up of 55 for only the parsing phase (taking lexical lookup into account, the speed-up factor was only 6–10). Due to the derivation of the grammar from a corpus sample,

they observed a decrease in recall of 7.3% and an increase of precision of 1.6%. The differences to the approach described here are clear: Starting from the grammar, rather than from a treebank, we annotate the rules, rather than inducing them from scratch. We do not need criteria for tree decomposition and category specialization, and we can use the standard parsing algorithm. On the other hand, the efficiency gains are not as big as those reported by (Rayner and Carter, 1996) (but note that we cannot measure parsing times alone, so we need to compare to their speed-up factor of 10). And we did not (yet) start from a treebank, but from the raw set of solutions.

## 6 Conclusion

I have presented the adaptation of code instrumentation to Grammar Engineering, discussing measures and implementations, and sketching several applications together with preliminary results.

The main application is to improve grammar and testsuite by exploring the relation between both of them. Viewed this way, testsuite writing can benefit from grammar development because both describe the syntactic constructions of a natural language. Testsuites systematically list these constructions, while grammars give generative procedures to construct them. Since there are currently many more grammars than testsuites, we may re-use the work that has gone into the grammars for the improvement of testsuites.

Other applications of instrumentation are possible; genre adaptation was discussed in some depth. On a more general level, one may ask whether other methods from SE may fruitfully apply to GE as well, possibly in modified form. For example, the static analysis of programs, e.g., detection of unreachable code, could also be applied for grammar development to detect unusable rules.

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