

Tools for non-native readers: the case for translation and simplification

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Abstract

One of the populations that often needs some form of help to read everyday documents is non-native speakers. This paper discusses aid at the word and word string levels and focuses on the possibility of using translation and simplification. Seen from the perspective of the non-native as an ever-learning reader, we show how translation may be of more harm than help in understanding and retaining the meaning of a word while simplification holds promise. We conclude that if reading everyday documents can be considered as a learning activity as well as a practical necessity, then our study reinforces the arguments that defend the use of simplification to make documents that non-natives need to read more accessible.

1 Introduction

There are many tools that natural language processing (NLP) can offer disadvantaged readers to aid them in understanding a document. Readers may be at a disadvantage due to poor sight, to cognitive disabilities, or simply to reading in a language other than their native one (L1). This paper addresses that last case. For non-native readers, there are a number of aids that could be made available to them. Some aids help on the word level, assuming that the understanding of a specific

word is what is impeding comprehension. Others address a more global level, presuming that the understanding blockage is due lack of comprehension of the meaning of a group of words. Our work addresses learning English vocabulary, for which we have conducted studies on both word-level and higher-level aids. We argue that our findings can inform what can be done to make documents more understandable in general for non-natives.

In the past, we have studied the effect of aids such as ordered definitions (Dela Rosa and Eskenazi, 2011) and synthesized speech (Dela Rosa et al., 2010) on learning vocabulary from web documents. These aids have been aimed at the word level and have been shown to help learning. We explored the wider context around an unknown word in an effort to give the non-native reader an understanding of the several-word context around an unknown word in order to help understanding of the meaning of the text.

Reading documents to learn a language is a very different activity from reading an everyday document (like a rental agreement) out of necessity. Yet we find that there are similarities between the two activities. We believe that, unlike for some other categories of disadvantaged readers, each document that a non-native reads is a learning moment and that they learn the target language more with each encounter. These incremental additions to the readers' knowledge enable them to be increasingly capable of tackling future unknown documents. It also reflects on the manner with

which readers tackle a document since some understanding of the words has to take place in order for the document to be understood. We believe that these similarities warrant using learning findings to guide the choice of NLP tools used in document processing for non-native readers. The learning environment is used in this paper to measure document understanding.

2 Background

Using learning as a means of estimating the usefulness of NLP techniques in making texts more accessible, we can examine the positions that the learning community has taken on the educational value of several of these techniques.

Translation (the use of L1 in second language (L2) vocabulary acquisition) is the area in which we find the greatest controversy. Models of L2 lexical acquisition represent acquisition of new L2 words as an assimilation through an L1 lemma that is generalized and applied to concepts in L2 (Jiang, 2000; Kroll and Sunderman, 2003). Excessive use of L1 is believed to reduce L2 fluency and to fossilize errors. Context, dictionary definitions and examples of other sentences in which a word could be used are commonly considered to be the most effective tools since students can interiorize the concept of the new word without reliance on L1. This implies that the use of such techniques can lead to better learning and improved fluency than direct use of L1 translation. This claim has been challenged by Grace (1998), showing that that when translation is provided, there are higher scores on vocabulary tests both in the short-term and long-term use of the new words. Prince (1996) also claimed that the more proficient students benefit more from translation on short-term lexical recall tasks, since it is easier for them to get rid of the L1 scaffolding. These studies and others have been hampered by the ability to accurately measure the extent of the subjects' use of translation. The REAP software described below has afforded a more precise estimate of use and of retention of vocabulary items.

Simplification has had more widespread acceptance. Simplified texts have often been provided to language learners either along with the original text or alone (Burstein et al, 2007, Petersen and Ostendorf, 2007). These texts have been used as reading comprehension exercises or text-

book reading materials (Crossley, et al. 2007). According to Oh (2008), simplification typically uses shorter sentences, simpler grammar and controlled vocabulary. The use of simplified texts has been shown to significantly help students' reading comprehension (Yano, et al. 1994, Oh 2008). However, there has not been any research specifically about whether reading the simplified texts, rather than the original ones, will affect the students' vocabulary acquisition. There are a few disadvantages related to simplifying texts for ESL students. Yano et al. (1994) note that simplified texts may appear unnatural, giving them a lack of flow, thus making them difficult to read. They may also lack the complex grammar structures that commonly exist in the real world (that students should be exposed to). The simplified texts used in these studies were created by hand and are usually written with the express intention of featuring certain vocabulary and/or syntactic elements for the purpose of being used by a non-native learner.

To address the link between vocabulary and comprehension of a text, the literature often reveals mastery of vocabulary as the key. Perfetti (2010) emphasized the vocabulary-comprehension link. Increased vocabulary has been shown to increase comprehension. Thus text comprehension for non-natives could depend on either presenting only words that they can understand or offering an aid for understanding any challenging words that they may encounter.

2.1 NLP techniques

Assuming that we can aid a non-native in understanding a document by using natural language processing techniques, numerous possibilities present themselves. We can help the student both on the word level and on a more global (contextual) level. On the word level, the one aid that does not appear to need any processing is dictionary definitions. Access to an online dictionary would give the student definitions to any word in question. However, many words are polysemous, often having several meanings for the same part of speech (like "bank"). In that case, the reader has to choose which one of the meanings is the right one for the context of the text at hand. This dilemma (and possible incorrect choice) can be avoided by using word sense disambiguation (Dela Rosa and Eskenazi 2011). We showed that when definitions

are presented in an ordered list, according to the best fit in the context, students learned words better. Another word-level aid is the use of speech synthesis to speak the word to the reader (Dela Rosa 2010). Non-natives know some words aurally, but have never seen them in written form. This aid is especially helpful when the orthography of an unknown word makes it difficult to deduce the pronunciation (as in “thought”). Another aid presents a word in other contexts. Giving the student the ability to compare several contexts with their contrasting meanings is helpful for learning. These contexts can be found by searching for sentences with a target word and a set of commonly co-occurring context words.

While research in vocabulary acquisition over the years has shown positive results for many word-centric learning aids, it is interesting to expand the offerings to context-level aids. We were also curious to see if the use of the REAP platform (Brown and Eskenazi, 2005) could help add to the knowledge of the role of translation in L2 vocabulary learning. This is what brought us to examine the effect of translation and simplification on learning. These two techniques, thanks to the use of NLP, could be totally automated in the future. Research in machine translation (MT) goes back several decades and many types of statistical models have been employed (Koehn, 2010). If all of the documents to be translated are in one given domain, then sufficiently good automatic translations can be obtained.

Automated simplification is a newer domain. There has been significant progress in simplifying documents for use by specific disadvantaged populations (Alusio et al 2010, Bach et al, 2011, Chandrasekar and Srinivas, 1997, Inui et al, 2003, Medero and Ostendorf, 2011, Yaskar et al 2010). Like Alusio and colleagues, who work with low-literacy populations, and a few other authors, we are concerned not only about the quality of the simplification, but also about whether the simplified documents actually help disadvantaged readers.

We could have also looked at summarization, which uses some of the same techniques that are used for simplification. In some early unpublished studies, we found that students experienced difficulty when asked to summarize a passage. They usually responded by simply cutting and pasting the first sentence of that passage. This

could have meant that students just could not produce a well-structured sentence and thus avoided doing so. But non-natives, who are asked to identify the appropriate summary out of four possibilities in a multiple choice question, *also* had much difficulty. Thus, rather than giving a very high-level overview of a passage through summarization, we chose to look at the intermediate level aids that would also contribute to vocabulary understanding: translation and simplification of local contexts.

Translation and simplification can both be characterized as relating to overall context, operating effectively on a string of several words rather than on only one word. They both aid in understanding the meaning of the whole string as opposed to just one target word, and their help for unknown words is through making the context of the word clear enough to surmise the meaning of the word. Besides its controversial status, translation had also attracted our interest when we observed the students’ efforts to get translations for tasks in class. We wanted to find out if translation had different properties from all other aids. Translation is different from the aids that we had used in the past in two ways:

- it uses L1
- it covers several-word contexts, rather than just one word.

To tease apart these two characteristics, we became interested in simplification, which shares the second characteristic, but not the first.

3 The REAP tutor

The studies in this paper used the CMU REAP intelligent tutor. That tutor provides curriculum for vocabulary acquisition for non-native students while serving as a platform for research studies (Brown and Eskenazi, 2005). REAP gives students texts retrieved from the Internet that are matched to their reading level and their preferences (Heilman et al., 2008) and helps them acquire new words from context (Juffs et al., 2006). REAP incorporates several features like pop-up word definitions, examples of the word in other contexts, text-to-speech synthesis of words and translation of words to the student’s native language.

REAP presents the reading in any web browser (see Figure 1). Upon registration, students enter their native language. To get a definition,

clicking on a word brings up a pop-up window showing the definition and examples of use of that word and a button for hearing the pronunciation of the word. Focus words, the words that the teacher has chosen for the students to learn, are highlighted in the text.

From the beginning, REAP has shown that it can improve students' acquisition of new vocabulary in English (Heilman et al., 2006). Features embedded in REAP have been validated in several experimental studies which showed the learning outcomes achieved by the students. REAP has been used to study motivation as well as learning gains.



Figure 1. REAP interface and features for a student whose native language is Mandarin.

4 The translation study

REAP was used to study whether translation helped students to learn vocabulary (Lin, Saz and Eskenazi, in review). These studies explored whether the students both learned more and became more fluent when they use translation. It is challenging to measure fluency. While it is impossible to record everything that the student says in her everyday conversations and then measure the average rapidity of response, one can measure the increase in the rapidity of response from the moment an item (post-test question) appears on the screen to when the student clicks on the answer and can compare results for that student as well as across groups of students. The documents used in this study were gathered from a crawl of the internet for documents containing certain focus words that students were to learn. The documents were

filtered to be at the level of the students and the topics were varied, from sports to current events, for example. The translation (bilingual dictionary) of the words in this study was provided by WordReference.com and the Bing Translator (<http://www.microsofttranslator.com/>) for the documents (contexts) in the study. The translations of all of the focus words in all of the students' L1s were manually checked by native speakers to make sure that the translated word corresponded with the specific context in which it appeared. If necessary, a change in the translation was made to make it context-appropriate.

All studies described in this paper were included as regular curricula at the English Language Institute of the University of Pittsburgh. The first study involved 27 students taking the Level 5 Reading course (high-intermediate learners); 25 were native speakers of Arabic, 1 spoke Spanish and 1 spoke Turkish. The second study involved 26 students in Level 5: 22 of them were native Arabic speakers, 2 were Mandarin Chinese speakers and 2 were Korean speakers. There were two studies to determine whether the way that the students requested translations had an effect on the amount of translations they asked for.

For both studies, the first session consisted of a pre-test which measured knowledge of a set of focus words in multiple-choice cloze questions (Taylor 1953), where the target word was removed from a full, meaningful sentence. There were 2 questions per focus word. Post-reading (immediately after reading a document) and post-test (after all the training sessions were over) questions had the same form as the pre-test and involved completely different sentences.

In each training session, students had one 400-500 word reading. After each reading, they took the post-reading test where they answered 2 previously unseen cloze questions per focus word. The students were shown their results along with the correct answers to the cloze questions at the end of each post reading test. In the last session, the students took a post-test with content similar to the pre-test, 2 new unseen questions per focus word.

The first study took place for 8 weeks in the fall of 2011. Each reading session had one reading prepared for the students with 4 focus words, for a total of 24 focus words. The second

study took place for 6 weeks in the spring of 2012. There were also 24 focus words in this study.

The main difference in the setup of both studies was how the students accessed a translation. For the fall 2011 study students had to type or copy and paste one or more words into a box at the bottom of the screen to get the translation. In the spring 2012 study they used a left mouseclick to get the translation. In both studies, the students could click (left mouseclick in fall 2011 and right mouseclick in spring 2012) to obtain the definition from the Cambridge Advanced Learners' Dictionary (CALD, Walter, 2005) and to listen to text-to-speech synthesis of the word (Cepstral, 2012).

The accuracy of each student at the pre-test, post-reading and post-test was calculated as the percentage of correct answers over the total number of questions in the test. The fluency was calculated as the median response time of a given student to answer each question. To measure fluency, we used the median and not the mean of the response times since the mean was distorted by a few instances of very long response duration for a few questions (possibly due to distractions). We also used comparative measures, such as gain and normalized gain in accuracy between two different assessment tasks (for instance, from pre-test to post-test) (Hake, 1998). A positive value of the gain and the normalized gain means that the student achieved higher scores in the post-test.

We note that only 14 (17%) of the translations are for focus words.

The results show that students used translation when it was easier (clicking instead of typing), in detriment to using dictionary definitions. Students did not request definitions or translations for all of the focus words. This may indicate that they are not indiscriminately clicking on words, as has sometimes been seen in the past. Rather they may be making an effort to click on words they felt they did not know well.

	Dictionary		Translation	
	All words	Focus words	All words	Focus words
Fall'11	5.29	2.35	2.31	0.64
Spring'12	1.78	0.84	8.15	2.35

Table 1. Use of dictionary and translation (4 focus words/reading in Fall'11, 3 focus words/reading in Spring'12). Average is per student and per reading.

We then examined the accuracy of the students for just the words that they chose to translate. Table 2 shows that accuracy increases in post-reading tests and post-tests with respect to the pre-test for both studies. But there is a drop in the post-test scores with respect to the post-reading tests in spring 2012. Furthermore, there is an increase in response time in the post-test, which is more pronounced for spring 2012. These are the first indications of possible differences in student performance related to their patterns in the use of translations.

	Accuracy			Fluency	
	Scores (mean and standard deviation)			Response time (median value)	
	Pre-test	Post-reading	Post-test	Pre-test	Post-test
Fall '11	0.35±0.15	0.67±0.11	0.65±0.08	20 sec.	22 sec.
Spring'12	0.48±0.25	0.74±0.16	0.62±0.17	18 sec.	23.5 sec.

Table 2. Accuracy and fluency results for translated words.

To find whether the amount of translation actually affected this result, spring 2012 students were separated into 2 groups: the 13 students who used the least number of translations overall and the 13 students who used the most translations. Figure 2 shows the normalized gains in post-reading tests and post-tests over the pre-test for these 2 groups. Both groups present a similar gain in post-reading (approximately 0.35) and, while this gain was lower for groups on the post-test, the students who used translation the most had a larger loss. Although not significant ($p = 0.48$), this difference, which is approximately 0.07 in normalized gain, indicates that these students are having more difficulty transferring the knowledge they may have acquired in the longer term. The low significance is mainly due to the relatively small number of participants in the study.

5 The simplification study

In this study the setup, using REAP as the platform, was similar to the translation study. The students could click right for translations or left for simplifications and could type a word in a box at the bottom of the screen for definitions. Translations and simplifications could be for one or sev-

eral words at a time. The number of questions on focus words (24 words this time), over the pretest, post-reading test and the post-test remained the same. There were 20 students in this study. There were 11 speakers of Arabic, 3 of Japanese, 2 each of Korean and Chinese and one each of Spanish and Serbo-Croatian.

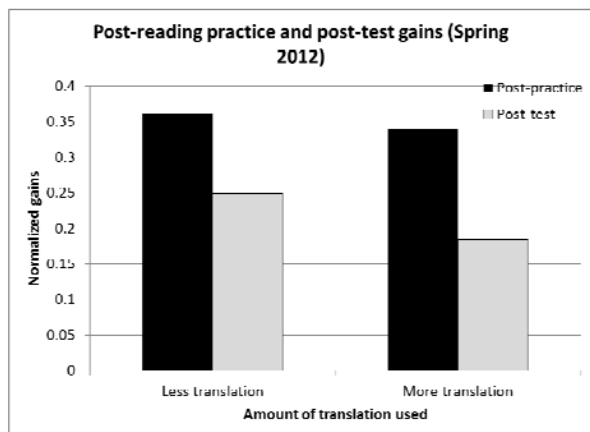


FIGURE 2. Gains in post-reading and post-test depending on the amount of translation used

Again, the translations were carried out automatically as described above, with a human verification pass. The simplifications were created by one of the authors by replacing less frequent words with appropriate more frequent ones (Leroy and Endicott, 2011) and splitting complex sentences into shorter ones. An example of a simplification:

for: “ They began immigrating in large numbers in the 1960s for economic reasons and now make up a third of the population—but there are also Africans, West Indians, Pakistanis, Indians, Turks, Chinese, and Eastern Europeans.”

the simplified form was: “They began immigrating in large numbers in the 1960s for economic reasons. These people now make up a third of the population. There are also Africans, West Indians, Pakistanis, Indians, Turks, Chinese, and Eastern Europeans.”

Overall, they requested 218 simplifications, 82 translations and 79 dictionary lookups. This was surprising to us. Given the large number of translation requests in the past two studies, we were prepared to see overwhelmingly more clicks for translations than for simplifications. This result is important in deciding what aids can be given to non-native readers. While we thought that a reader would prefer an aid that involved translation, this result shows an acceptance of the L2 aid. Non-

natives probably realize the educational value of the L2 tool and voluntarily choose to use it.

Only 14 (17%) of the translations contained focus words while 102 (47%) of the simplifications did. Given the small number of focus word translations, results cannot be significant. REAP significantly helps students to learn focus words in general ($p < 0.05$). Post-reading tests show lower accuracy than the post-test. The t-test shows that the difference here is not statistically significant ($p = 0.26$).

To control for the quality of the study, we compared overall learning gains from this study with that of the two translation studies above on Table 3 and found them to be similar

	Normalized Gain	
	Pre-test to Post-reading	Pre-test to post-test
Fall'12	0.10 ± 0.24	0.17 ± 0.28
Fall'11	0.31 ± 0.33	0.31 ± 0.28
Spring'12	0.35 ± 0.28	0.22 ± 0.21

Table 3. Learning Outcome: Gains (gain + deviation)

Figure 3 shows the number of requests for simplification and translation for each of the six documents in the study compared to their readability level (Heilman 2008). We note that the hardest document (#6) was not the one for which the most aid was requested. This could simply be due to the decreasing number of requests for aid over time.

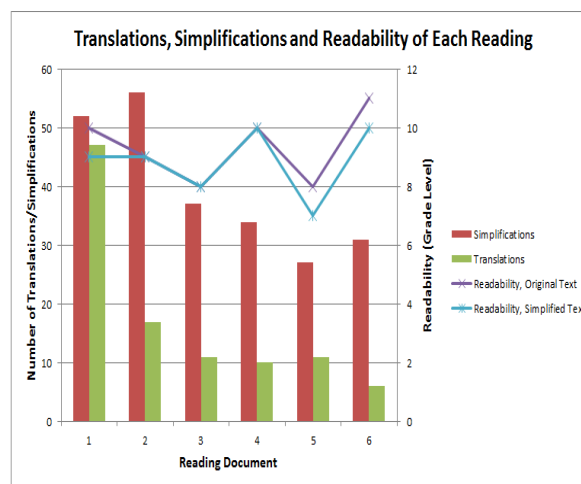


Figure 3: Readability vs number of translations and simplifications

To control for any outlier document, we also looked at whether any one of the six documents required more translation than simplification. Figure 3 also shows that the trend to request more simplification held true for all of the documents. We note that this can only be called a trend due to the significant standard deviation which, in turn, is due to the low number of participants. The first document was where the requests for the two were almost equal. This could be due to the students trying out both possibilities to see what they liked or to the fact that over a short time they realized the greater value of the L2 aid.

Table 4 shows the normalized gains for focus words that were translated or simplified. The low number of translation requests lead to results that are not significant. We note that for simplification there is a trend implying learning gains at both the post-reading test and, in long term retention, for the post-test.

Normalized gain			
Aid	pre-test to post-reading	pre-test to post-test	No. items
Translation	-0.07 ± 0.15	0.22 ± 0.13	14
Simplification	0.27 ± 0.17	0.28 ± 0.18	98

Table 4: Normalized Gain (average and standard deviation) for focus words that were translated or simplified and number of clicks on focus words

Normalized Gain			
	Pre-test to post-reading	Pre-test to post-test	no. of questions
Focus words not translated	0.06 ± 0.26	0.17 ± 0.30	946
Focus words not simplified	0.06 ± 0.26	0.18 ± 0.31	862

Table 5: Normalized Gain (average and standard deviation) for focus words that were *not* translated or simplified and number of questions

In the case of non-translated and non-simplified focus words, although there was also some room for improvement (and at first, it would seem that the learning gains are larger), there are some variables that have not been taken into account here. One is that a subject could have often requested *definitions*. Some subjects may benefit more from the use of the definitions than from other types of help. We will test this hypothesis in the future, when we have more data, to see if the benefits

from each type of help are greater for some subjects than for others. While we are not convinced that this is the cause for the differences we see here, we do believe that *hearing the words* when working through the documents may be a factor. Since the students only have the written form of the word at pre-test time, they may know the word to hear it, but not by sight. In past years in our use of REAP in the classroom, we have noticed many students suddenly recognizing a word after hearing it (from clicking on the synthesis option). Again due to lack of sufficient data, we cannot explore this further for this dataset, but plan to look at this and any other possible variables in the near future.

6 Conclusions and further directions

We have argued that exploring the learning results of non-natives when using various aids for learning vocabulary through context may guide our choices of reading aids for this population.

We have specifically explored the use of translation and of simplification. Both simplification and translation are voluntarily used by students and when both are available, students tend to prefer simplification. This should make the use of simplified documents in real life reading situations very acceptable to non-natives.

The overuse of translation contributes to a decline in long term retention of new vocabulary while the use of simplification appears to aid in retention. This could mean that reading any simplified document may benefit the ever-learning non-native when encountering future documents.

In REAP, we collect documents from the Internet and characterize them by reading level. We also characterize them by topic (sports, health, etc). While we choose these documents to keep up the students' interest, they in no way represent the real challenges of dealing with a rental agreement, a bank loan document, etc. While REAP does instill fundamentals of vocabulary understanding, it does not have the student apply this knowledge to the situations that are encountered in the real world. This is an essential need that can be fulfilled by members of the NLP community working together to create a database of real life challenging documents that can be annotated and used as a basis of comparison of research results. These documents should also be annotated for readability, etc. Such a realistic database can then serve the com-

munity as a whole as it develops novel and robust simplification tools.

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