SSR: Alignment-Aware Modality Connector for Speech Language Models

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Abstract

Fusing speech into a pre-trained language model (SpeechLM) usually suffers from the inefficient encoding of long-form speech and catastrophic forgetting of pre-trained text modality. We propose SSR-CONNECTOR (Segmented Speech Representation Connector) for better modality fusion. Leveraging speech-text alignments, our approach segments and compresses speech features to match the granularity of text embeddings. Additionally, we introduce a two-stage training pipeline that includes the distillation and fine-tuning phases to mitigate catastrophic forgetting. SSR-CONNECTOR outperforms existing mechanism for speechtext modality fusion, consistently achieving better speech understanding (e.g., +10 accuracy on StoryCloze and +20 on Speech-MMLU) while preserving pre-trained text ability.

1 Introduction

Large language models (Brown et al., 2020; Chowdhery et al., 2022; Chiang et al., 2023; Anil et al., 2023; Touvron et al., 2023; OpenAI et al., 2024; Grattafiori et al., 2024; DeepSeek-AI et al., 2025, LLMs) have demonstrated remarkable performance across various tasks and extending pretrained abilities from LLMs to other modalities has sparked interest in multimodal LLMs (Alayrac et al., 2022; Liu et al., 2023b; OpenAI et al., 2024; Tang et al., 2024; Défossez et al., 2024). In this work, we focus on integrating speech into pretrained language models (SpeechLMs). A straightforward approach is to transcribe speech into text and use these transcriptions as prompts for large language models (Huang et al., 2023); however, such cascaded systems suffer from error propagation, higher latency, and cannot leverage raw speech information like emotion, speaker identity, and other paralinguistic cues (Faruqui and Hakkani-Tür, 2021; Lin et al., 2022; Kim et al., 2024). Con-

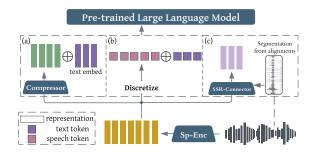


Figure 1: Comparison of different approaches for speech-text modality fusion. (a): compressor-based connector. (b): direct fusion with speech units. (c): our alignment-aware connector.

sequently, developing end-to-end SpeechLMs that directly fuse speech or audio input has gained popularity, where various approaches have been explored to encode speech and align its representation with pre-trained language models (Zhang et al., 2023; Rubenstein et al., 2023; Yu et al., 2023; Maiti et al., 2024; Hassid et al., 2024; Tang et al., 2024; Nguyen et al., 2024).

Speech representations can be integrated into pre-trained language models mainly through two approaches. The first method involves using connector modules that align speech representations with the language model's input space without modifying the model's existing vocabulary. These connector-based techniques typically incorporate a compression module to shorten the speech features, enhancing efficiency. However, connectors are generally first trained for the speech recognition task (with concatenated speech-to-text data) and lack the ability to support text or speech generation unless further instruction-finetuned.

The second approach, unit-based fusion, directly incorporates discrete speech units—normally derived from self-supervised models like HuBERT (Hsu et al., 2021), XLS-R (Babu et al., 2021), or DinoSR (Liu et al., 2023a)—into the language model's vocabulary. This allows the language model to be fine-tuned with a combination of

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speech and text tokens, enabling it to handle dual-modal inputs and outputs. Despite its versatility, unit-based fusion can lead to longer and less efficient training contexts due to the sparser nature of speech information. Regardless of the fusion approach, SpeechLMs often face the challenge of catastrophic forgetting, where the model loses its pre-trained text capabilities (Tang et al., 2024; Nguyen et al., 2024; Défossez et al., 2024).

To tackle these challenges, we propose SSR-CONNECTOR (Segmented Speech Representation Connector), which grounds speech representations in the same semantic space as transcription token embeddings. Different from prior work that concatenates speech with text (Fig. 1 (a,b)) for modality fusion, we leverage speech-text alignments to segment and compress speech features (Fig. 1 (c)).

To mitigate catastrophic forgetting when introducing the speech modality, we propose a two-stage training pipeline. In Stage 1, we freeze the LLM and pre-train the connector using speech-text distillation, adapting speech inputs into compressed representations semantically aligned with text embeddings. In Stage 2, we unfreeze the LLM and fine-tune it using next-token prediction, with the adapted representation as input and the corresponding transcription tokens as targets.

SSR-CONNECTOR outperforms prior SpeechLMs, including SpiritLM, VOXTLM, TWIST, and AUDIOLM (Nguyen et al., 2024; Maiti et al., 2024; Hassid et al., 2024; Borsos et al., 2023), across multiple tasks. These include Prompt-based Automatic Speech Recognition (ASR) and Spoken Language Understanding with sWUGGY, sBLIMP, and StoryCloze (Nguyen et al., 2020; Mostafazadeh et al., 2017). Our approach also improves performance on Massive Multitask Language Understanding (MMLU) (Hendrycks et al., 2021) and its speech-based counterpart, Speech-MMLU, which we introduce to assess cross-modal reasoning. Finally, we analyze different training strategies (§5) and speech-text aligners (Appendix A) for SSR-CONNECTOR.

2 Related Work

Modality Fusion for Speech Language Models SpeechLM typically encodes audio waveforms into high-dimensional features using pre-trained encoders and integrate these representations to pre-trained LLMs via a connection (adapter) module (Wu et al., 2023; Yu et al., 2023; Zhang et al., 2023;

Tang et al., 2024). To compress speech representations, Fathullah et al. (2023) apply stacking-based fixed-rate compression on speech features extracted from the Conformer model (Gulati et al., 2020). Inspired by the Q-former architecture (Li et al., 2023a), Yu et al. (2023) compress speech features using a fixed number of query tokens, while Tang et al. (2024) extend this approach to a window-level Q-former to support variable frame-rate reduction. Alternatively, Wu et al. (2023) utilize Connectionist Temporal Classification (CTC) (Graves et al., 2006) to compress representations.

Besides connector-based modality fusion, preprocessing other modalities—such as speech, vision, and videos—into tokens (Lyu et al., 2023; Li et al., 2023b; Team, 2024; Kondratyuk et al., 2024) has attracted attention for its scalability. Speech units are typically extracted from self-supervised representations. For instance, AudioLM (Borsos et al., 2023) integrates semantic tokens from w2v-BERT (Chung et al., 2021) and acoustic tokens from SoundStream (Zeghidour et al., 2021) for autoregressive audio generation. Rubenstein et al. (2023) fine-tune the pre-trained LLM PaLM-2 (Anil et al., 2023) with audio tokens processed by AudioLM, enabling both text and speech as input and output. Similarly, VoxtLM (Maiti et al., 2024) performs multi-task training with speech units and text tokens, achieving high-quality speech recognition and synthesis. To mitigate catastrophic forgetting, Nguyen et al. (2024) propose an interleaved training mechanism to fuse speech tokens into LLAMA2 model (Touvron et al., 2023).

Speech-text Alignment Extraction Various aligner tools are available for extracting speechtext alignments. For example, the Montreal Forced Aligner (McAuliffe et al., 2017, MFA) is an easyto-use tool based on the Kaldi toolkit (Povey et al., 2011). Connectionist Temporal Classification (CTC) (Graves et al., 2006) is also widely used for speech-text alignment (Sainath et al., 2020; Huang et al., 2024); since it is a by-product of speech recognition, it supports alignment without explicit text labels. More recently, the UnitY2 aligner (Communication et al., 2023) and the ZMM-TTS aligner (Gong et al., 2024) have shown excellent alignment performance across multiple languages. These aligners rely on speech units extracted from pre-trained encoders (Baevski et al., 2020; Hsu et al., 2021; Babu et al., 2021) and use variants of RAD-TTS (Shih et al., 2021) as their alignment backbone.

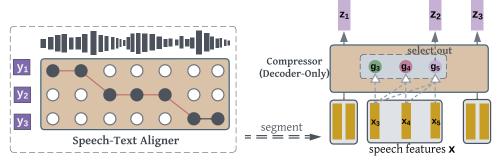


Figure 2: SSR-CONNECTOR compresses speech features using speech-text alignments. Features are transformed by a Decoder-only model and selected at boundary index of each segment.

3 Methodology

We develop an alignment-aware speech representation connector to foster modality fusion between speech and pre-trained language model. We introduce our connector design in §3.1 and present our two-stage training pipeline in §3.2.

3.1 Alignment-Aware Speech Representation Connector

Though previous connectors (Fathullah et al., 2023; Yu et al., 2023; Wu et al., 2023; Tang et al., 2024) vary in their compressor designs, they do not explicitly leverage speech-text alignment information. SSR-CONNECTOR, in contrast, uses speech-text alignments to segment and compress speech features into the same granularity as text tokens. As illustrated in Fig. 2, our connector consists of two components: (1) a speech-text aligner and (2) a feature compressor.

Given speech features $\boldsymbol{x}=(x_1,\cdots,x_n)\in\mathbb{R}^{n\times D}$ extracted by pre-trained speech encoders (e.g., WAV2VEC2.0, HUBERT, WHISPER, etc.), the aligner produces a monotonic mapping (alignment path) between the speech features and their transcriptions $\boldsymbol{y}=(y_1,\cdots,y_m)\in\mathbb{R}^{m\times 1}$. This mapping can be computed based on both speech features (or their units) and transcriptions (Communication et al., 2023; Gong et al., 2024), or solely based on speech input (Sainath et al., 2020; Dong and Xu, 2020; Huang et al., 2024). We abstract away the aligner's implementation here but provide detailed description and comparison of various aligners in Appendix A.

Using the alignment mapping, we segment the input into m chunks of speech features, where each chunk semantically corresponds to a transcription token. For example, in Fig. 2, speech features are segmented at indices (2,5,7) according to the alignment path. We refer to these indices as boundary indices. Once the boundary indices are identi-

fied, we first apply a linear layer to transform the speech features to match the embedding dimension H(H>D) of the pre-trained LLM, since LLMs typically have a larger feature dimension than pre-trained speech encoders. We then use the boundary indices to aggregate and compress the speech representations in each chunk through a Transformer Decoder model (Vaswani et al., 2017).

Specifically, we apply a causal decoder-only model to transform speech features into high-dimensional representations $g = f(x; \theta_{\text{dec}}) \in \mathbb{R}^{n \times H}$. Since each position incorporates past context, we adopt a selection-based compression method (Tan et al., 2024), using boundary-indexed features from g to form the compressed representation $z \in \mathbb{R}^{m \times H}$. While our initial design used a block-wise attention mask to limit cross-chunk information flow (as shown in Fig. 2), we found that removing these masks simplifies training and inference with minimal performance loss (§4.3).

3.2 Training Method

Previous approaches to integrate speech into LLMs typically use speech-text data concatenated in ASR format (i.e., speech representation followed by its transcription text embedding), to pre-train the connector (Yu et al., 2023; Wu et al., 2023; Tang et al., 2024). However, after such pre-training, the model is limited to speech recognition task and necessitates another instruction-tuning stage to perform generative tasks with pre-trained connectors (Zhang et al., 2023; Tang et al., 2024). Moreover, once the LLM is unfrozen and fine-tuned (whether based on a pre-trained connector or direct fusion with speech units), it suffers from catastrophic forgetting, leading to degraded text capabilities (Nguyen et al., 2024; Tang et al., 2024).

With SSR-CONNECTOR, we convert speech into representations with the same granularity as their transcription tokens. This allows us to fine-tune

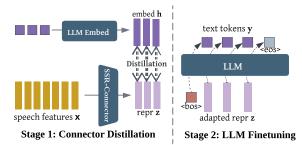


Figure 3: Two-stage training pipeline for SpeechLM with our alignment-aware modality connector.

the SpeechLM directly using the next-token prediction objective, where the input is the compressed representation z and the target is the transcription y. This approach is possible because our feature z and text token y share the same length m. However, our preliminary studies showed that directly fine-tuning with the next-token prediction objective leads to catastrophic forgetting, undermining the pre-trained LLM's abilities. Therefore, we propose a two-stage training pipeline consisting of a distillation stage and a fine-tuning stage (visualized in Fig. 3).

In Stage 1, we pre-train SSR-CONNECTOR by distilling the LLM's text embeddings to align the connector's representations with the LLM's embedding space. Formally, given aligned speechtext data, we can compute the text embeddings $h = f(y; \theta_{\text{emb}})$, where y is the transcription token sequence, θ_{emb} is the embedding table, and f maps tokens y to their embeddings. Following our connector design in §3.1, we then obtain the compressed speech representations z. For distillation, we use a combination of cosine similarity loss \mathcal{L}_{\cos} and mean squared error (MSE) loss \mathcal{L}_{MSE}

$$\mathcal{L} = \lambda \mathcal{L}\cos + \mathcal{L}_{MSE}$$

$$= \frac{1}{m} \sum_{i=1}^{m} \left[\lambda \left(1 - \frac{\mathbf{z}_{i}^{\top} \mathbf{h}_{i}}{|\mathbf{z}_{i}| \cdot |\mathbf{h}_{i}|} \right) + |\mathbf{z}_{i} - \mathbf{h}_{i}|^{2} \right]$$
(1)

where λ is a hyperparameter to balance the losses¹. In Stage 2, we fine-tune the LLM with the pretrained speech connector using the next-token prediction objective. We freeze the speech connector and update only the LLM's parameters using the negative log-likelihood (NLL) loss:

$$\mathcal{L}_{\text{NLL}} = -\sum_{t=1}^{m} \log p(y_t \mid \boldsymbol{z}_{< t}; \theta_{\text{LLM}})$$
 (2)

where y_t is the t^{th} token in the transcription sequence y, $z_{< t}$ denotes all preceding speech representations, and θ_{LLM} represents the LLM's parameters. Note that our NLL loss is computed using only the preceding speech representations $z_{< t}$ (see Fig. 3), whereas previous methods (Wu et al., 2023; Tang et al., 2024) condition on both speech information and preceding text tokens $y_{< t}$.

In §4, We demonstrate the performance of SpeechLM after distillation training. In §5, we present results after fine-tuning SpeechLM and compare various fine-tuning strategies to identify the method that minimizes catastrophic forgetting.

4 Stage 1: Alignment-Aware Connector Distillation

4.1 Datasets

For distillation training, we use the aligned speechto-text dataset MLS (Pratap et al., 2020), specifically the English portion, which consists of about 50,000 hours of speech. To evaluate our SpeechLMs, we employ different benchmark datasets (see Table 1). To assess the model's spoken language understanding (SLU) capabilities, we follow Nguyen et al. (2024) and use sWUGGY, sBLIMP, and the StoryCloze dataset. sWUGGY evaluates whether a model can discriminate between real spoken words and non-words (e.g., "brick" vs. "blick"), while sBLIMP assesses if the model can distinguish between a grammatically correct spoken sentence and its ungrammatical variant. We evaluate our SpeechLMs on both text (T) and speech (S) versions of sWUGGY and sBLIMP.

The StoryCloze dataset measures whether the model can identify the plausible ending between two sentences given the beginning of a short story, which typically requires high-level semantic understanding and common sense (Mostafazadeh et al., 2017). Besides spoken and text versions of StoryCloze, following Nguyen et al. (2024), we use a speech-text version $(S \rightarrow T)$, where the beginning of the story is synthesized into speech and the two ending sentences are kept in text format. This version requires the model to have cross-modal understanding to infer the sensible story ending.

MMLU (Hendrycks et al., 2021) is widely used to assess LLMs' knowledge comprehension, understanding, and reasoning abilities, and we use it to measure the extent of forgetting during cross-modal fine-tuning. Since MMLU is a diverse and high-quality evaluation dataset for LLMs, we craft a

 $^{^{1} \}text{In practice, we set } \lambda = 5 \text{ to balance the scales of the cosine similarity and MSE losses}$

Eval Dataset	Туре	Eval Metric	Eval Modality
sWUGGY (Nguyen et al., 2020)	Choice Task	Accuracy	S, T
sBLIMP (Nguyen et al., 2020)	Choice Task	Accuracy	S, T
StoryCloze (Mostafazadeh et al., 2017)	Choice Task	Accuracy	$S, T, S \to T$
MMLU (Hendrycks et al., 2021)	Choice Task	Accuracy	T
Speech-MMLU (Ours)	Choice Task	Accuracy	$S \to T$
LibriSpeech (Panayotov et al., 2015)	Generation Task	Word Error Rate	$S \to T$

Table 1: Evaluation Datasets and their types. For the evaluation format, S is speech-only, T is text-only, and $S \to T$ means the evaluation prompt consists of speech prefix and text continuation.

variant, Speech-MMLU, to assess our SpeechLM's cross-modal understanding. Specifically, we utilized AUDIOBOX (Vyas et al., 2023), a high-quality text-to-speech synthesizer, to convert the question portion of each choice task into speech while keeping the multiple-choice answers in text format. We selected a subset of MMLU to construct our Speech-MMLU dataset, as some domains' questions are not suitable for synthesis (e.g., the algebra subset contains many mathematical notations that are not synthesized properly).

sWUGGY, sBLIMP, StoryCloze, and Speech-MMLU are all categorized as "Choice Task", meaning several choices are presented to the SpeechLM (Speech-MMLU has four choices while the other task has only two choices). For each task, we compute accuracy using groundtruth choice and the highest likelihood choice predicted by the SpeechLM. Lastly, we also evaluate our SpeechLM's ASR performance using the Librispeech clean/other datasets. We evaluate ASR in a prompt-based fashion with zero-shot and five-shot setting. Comprehensive details about our datasets and evaluation can be found in Appendix C.

4.2 Model Setup

We instantiate our LLM using the pre-trained LLAMA3 model (Grattafiori et al., 2024) and employ DinoSR (Liu et al., 2023a) as our pre-trained speech feature extractor. Our speech connector includes a linear layer that maps DinoSR's extracted representations (D = 768) to the LLM's embedding space dimension (H = 4096). We then utilize a 4-layer Transformer Decoder to transform and compress the speech representations based on alignments, as described in §3.1. The compressed representations z and the embeddings of text tokens h are used to compute the distillation loss for updating the connector's parameters. We train our connector for 400,000 steps with a learning rate of 1×10^{-5} , using dynamic batching with a maximum of 4,096 tokens per device. We employ distributed data parallelism (DDP) with 32 A100 GPUs.

To extract alignments, we experimented with various approaches, including the UNITY2 aligner, CTC-based aligners (Graves et al., 2006), and Continuous Integrate-and-Fire (Dong and Xu, 2020, CIF). Due to space constraints, we provide comprehensive descriptions and comparisons of these methods in Appendix A, where we evaluate both the alignment quality and the Word Boundary Error of the segmentations. After assessing their performance, we selected UNITY2 (Barrault et al., 2023) and character-level CTC (CHAR-CTC) as our connector backbone to report experimental results. Overall, UNITY2 offers superior alignment quality because it utilizes both speech and text as input. In contrast, CTC only requires speech input to compute segmentation for our connector.

4.3 Experimental Results

In this section, we present the evaluation of SSR-CONNECTOR based SpeechLM in terms of Spoken Language Understanding (SLU) and Cross-modal Understanding (through our use of Storycloze and Speech MMLU benchmark). We also evaluate our model with prompting-based speech recognition and speech style recognition.

We compare against several systems that varies in training approaches (pre-trained from scratch or fine-tuned), types of speech units, and the size of training data. Briefly, GSLM (Lakhotia et al., 2021) trains on speech units like HuBERT, TWIST (Hassid et al., 2024) is a textually pretrained speech model based on Llama-13B (Touvron et al., 2023), and AudioLM (Borsos et al., 2023) employs a cascade system with a semantic sequence model alongside coarse- and fine-acoustic models. These models focus solely on speech without capabilities for text understanding or generation. More recently, SPIRITLM (Nguyen et al., 2024) and VoxtLM (Maiti et al., 2024) have adopted multi-task training objectives that incorporate text-only, speechonly, and speech-text token sequences to fuse the speech modality into pre-trained LLMs effectively. Since the original SPIRITLM is fine-tuned based on

Model Type	sWU	GGY	sBL	IMP	5	Storycloz	ze	MMLU
	T	S	T	S	T	S	$S \rightarrow T$	5-shot
Previous Work								
GSLM [♦] (Lakhotia et al., 2021)	Ø	64.8	Ø	54.2	Ø	53.3	Ø	Ø
AUDIOLM [♦] (Borsos et al., 2023)	Ø	71.5	Ø	64.7	Ø	_	Ø	Ø
VOXTLM [♦] (Maiti et al., 2024)	80.3	66.1	74.2	57.1				
TWIST [♦] (Hassid et al., 2024)	Ø	74.5	Ø	59.2	Ø	55.4	Ø	Ø
Moshi [♣] (Défossez et al., 2024)	Ø	72.6	Ø	58.8	Ø	60.8	_	49.8
SPIRITLM [♦] (Nguyen et al., 2024)	80.3	69	73.3	58.3	79.4	61	64.6	36.9
SPIRITLM (LLAMA3)♠	77.6	73.5	74.5	56.3	75.1	61.1	61.6	53.5
SSR-CONNECTOR								
UNITY2 + Blockwise-mask	81	71.5	74.5	73.1	80.9	71.8	75	65.3
UNITY2	81	71.2	74.5	72.4	80.9	69.3	74.8	65.3
CHAR-CTC	81	56.4	74.5	67.3	80.9	62.2	74.3	65.3
CHAR-CTC (Unit-based)	81	54.1	74.5	61.8	80.9	59.2	72.5	65.3
Cascade System								
ASR (WHISPER) + LLAMA2 ♦	84.1	79.2	72.8	71.6	81.9	75.7	75.7	46.2

Table 2: Model performance (accuracy) on spoken language understanding and MMLU. ♦: Results taken from Nguyen et al. (2024). ♦: Our implementation of SpiritLM based on LLAMA3 checkpoint. We fill with ∅ the task and modality that are not supported by the reported system, and with _ the scores that are not publicly available. We bold the best result and highlight the second-best system with the blue color box (excluding the cascaded system).

LLAMA2, we follow the same recipe to fine-tune the LLAMA3-based SPIRITLM ourselves for a fair comparison on text-relevant metrics like MMLU.

Spoken Language Understanding Performance

As shown in Table 2, our systems outperform previous models on all tasks except sWUGGY. The sWUGGY dataset includes incorrectly spoken words that cause segmentation errors because these words were not present during aligner training, leading to our system's lower performance on this dataset. However, sWUGGY is the least significant task since it relies on synthesized incorrect words and does not require the model's understanding or reasoning capabilities. In contrast, both UNITY2 and CHAR-CTC based connector greatly surpass previous models on other datasets, demonstrating the effectiveness of SSR-CONNECTOR in enhancing SLU performance while preserving model's text understanding ability.

Beyond UNITY2 and CHAR-CTC, we introduce two additional systems for ablation. The UNITY2 + Blockwise-mask system achieves the highest performance by applying a blockwise attention mask to further constrain the Transformer-Decoder (described in §3.1). However, due to its marginal improvement over UNITY2 and increased computational cost, we decide to simplify the design and remove the blockwise-attention masks. The CHAR-CTC (Unit-based) system differs by uti-

lizing discrete speech units instead of raw waveform features processed by the DinoSR (Liu et al., 2023a) encoder. These units are extracted via K-Means clustering on DinoSR representations, which leads to some information loss during discretization and reconstruction, resulting in lower performance compared to CHAR-CTC. Nonetheless, CHAR-CTC (Unit-based) demonstrates that our alignment-aware connector design is compatible with discrete speech units as well.

Speech-MMLU and Prompt-based ASR Performance In addition to SLU tasks, we evaluate our systems on the Speech-MMLU benchmark, which assesses cross-modal understanding and is more challenging than previous SLU tasks. We also conduct prompt-based ASR evaluations to assess the quality of the adapted features. As shown in Table 3, our systems greatly outperform the previous SpeechLM (SPIRITLM), achieving a +20 accuracy improvement on the Speech-MMLU dataset². These results indicate that SpeechLM based on SSR-CONNECTOR possesses enhanced cross-modal abilities that enable it to comprehend spoken questions and reason through multiplechoice options to select correct answers. Similarly, our systems achieve much lower WERs on the Librispeech clean and other test sets compared to SPIR-

² We report micro-average across 22 domains and the detailed breakdown is available in Appendix D.

Model Type	Speech MMLU ↑		ASR Clo	ean Test↓	ASR Other Test \downarrow		
	0-shot	5-shot	0-shot	5-shot	0-shot	5-shot	
SPIRITLM (Nguyen et al., 2024)	N/A	N/A	N/A	21.9*	N/A	29.2*	
SPIRITLM (LLAMA3)	40.5	42.75	N/A	21.0*	N/A	28.5*	
SSR-CONNECTOR							
UNITY2 + Blockwise-mask	65.0	69.5	5.0	2.6	8.1	6.8	
UNITY2	64.2	68.6	5.6	4.0	12.1	10.6	
CHAR-CTC	61.7	66.5	9.7	6.5	20.2	14.9	
CHAR-CTC (Unit-based)	57.4	62.3	12.6	8.8	25.6	18.6	

Table 3: Comparison of Speech-MMLU and ASR performance. Speech-MMLU results are micro-averages across all domains. *: For Spiritlm, We report WER using 10-shot prompting, following Nguyen et al. (2024).

Task	Model	0-shot	5-shot	10-shot
Whisper vs. Laugh	Cascaded	51.6	52.2	54.7
	Ours	49.6	64.0	75.9
Happy vs. Sad	Cascaded	50.0	51.8	51.0
	Ours	51.6	52.2	54.7

Table 4: Accuracy of Speech Style Recognition with In-context Learning

ITLM. Notably, neither SPIRITLM nor our system was trained on ASR tasks, so the model relies solely on in-context learning to generate transcriptions.

We also compared our system against another connector-based system, SALMONN (Tang et al., 2024), over Storycloze and Speech MMLU (both in $S \to T$ format) and we find that SALMONN achieved an accuracy of 63.3% on Storycloze and 25.3% on Speech-MMLU, while our system has over 74% accuracy on Storycloze and over 60% accuracy on Speech-MMLU. The result indicates that catastrophic forgetting remains a severe issue for previous connector-based methods as well.

Beyond Semantics In Table 4, we also show that the connector retains paralinguistic information. We evaluate this using the Expresso benhmark (Nguyen et al., 2023) by prompting our model to predict speech styles. Our SpeechLM can distinguish expressions through in-context learning without being fine-tuned for emotion recognition (we also provide the cascaded baseline (Whisper + LLAMA3) as a baseline where style can only be inferred from transcriptions). More experimental details are provided in Appendix B. This analysis demonstrates that our connector preserves nonsemantic information even though we focus on aligning semantics and reducing catastrophic forgetting. Our connector design also complements existing methods for emotion recognition, such as using expressive tokens in SpiritLM (Nguyen et al., 2024) and emotion-relevant instruction tuning in SALMONN (Tang et al., 2024).

5 Stage 2: Speech Language Model Fine-tuning

In Stage 1 (§4), we freeze the pre-trained LLM and distill its text embeddings into our alignment-aware connector. In this section, we fine-tune SpeechLM by freezing the connector and updating the LLM. This process enhances the model's spoken language understanding (SLU) performance by fitting SpeechLM on the aligned speech-text data, albeit at the expense of degrading its pre-trained text capabilities. In the following sections, we compare various methods to mitigate catastrophic forgetting and demonstrate their trade-offs between speech and text understanding.

5.1 Mitigate Catastrophic Forgetting

Model and Dataset Setup We fine-tune SpeechLM using the next-token prediction objective described in §3.2. In this stage, we freeze the connector distilled in Stage 1 and unfreeze the LLM (LLAMA3) parameters. Following Stage 1 (§4), we use the MLS dataset for training and evaluate the model on the same speech and text understanding tasks. Beyond vanilla fine-tuning, we also explore Low-rank Adaptation (Hu et al., 2021, LoRA) and multitask fine-tuning as they have been shown effective for mitigating catastrophic forgetting in other tasks (Xue et al., 2021; Vu et al., 2022). Details of our fine-tuning setup are shown below:

- Vanilla Fine-tuning: We perform full fine-tuning on the aligned speech-text data with a learning rate of 1×10^{-6} and a maximum to-ken size of 4096. Training is model-parallelized across 32 A100 GPUs using Fully Sharded Data Parallel (Zhao et al., 2023, FSDP).
- LoRA Fine-tuning: We leverage the low-rank constraints from as regularization to prevent model overfitting in MLS dataset. We config-

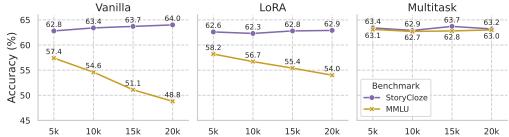


Figure 4: Comparison of different fine-tuning methods on StoryCloze (S) and MMLU benchmark.

Model Type	sWUGGY		sWUGGY sBLIMP Storycloze		MMLU	MMLU Speech MMLU		ASR (5-shot)↓				
	T	S	T	S	T	S	$S \rightarrow T$	5-shot	0-shot	5-shot	Clean	Other
SPIRITLM (LLAMA3)	77.6	73.5	74.5	56.3	75.1	61.1	61.6	53.5	40.5	42.8	21.0*	28.5*
CHAR-CTC + Multitask Finetuning	81.0 82.9	56.4 56.7	74.5 75.9	67.3 68.9	80.9 81.0	62.2 63.4	74.3 73.1	65.3 63.1	61.7 48.1	66.5 56.3	6.5 5.7	14.9 13.1

Table 5: Performance comparison when the model is fine-tuned. *: For SPIRITLM, WER is reported using 10-shot prompting for ASR, following Nguyen et al. (2024). We observe that stage 2 fine-tuning enhances the model's performance on speech-only tasks but compromises its cross-modal capabilities.

ure LoRA layers with $\alpha = 512$, r = 256, and a dropout probability of 0.1.

• Multitask Fine-tuning: To preserve the LLM's pre-trained text capabilities, we also fine-tune SpeechLM on text-only data using Negative Log-Likelihood (NLL) loss. The dataloader is configured to sample from both speech-text and text-only datasets with equal probability. We use the MLS dataset for speech-text training and employ a subset of the LLAMA2 training datasets (Touvron et al., 2023) for text-only training.

5.2 Comparison of Fine-tuning Methods

In Fig. 4, we compare different fine-tuning methods on StoryCloze (S) and MMLU. StoryCloze performance is indicative of how well model is fitted to the speech modality and MMLU measures the degree of catastrophic forgetting in pre-trained text abilities. We observe that Vanilla Fine-tuning quickly overfits to the speech domain, achieving improved performance on StoryCloze but drastically decreasing MMLU accuracy. In contrast, LoRA Fine-tuning introduces strong regularization, resulting in limited improvements in speech understanding. Although LoRA mitigates catastrophic forgetting to some extent compared to vanilla fine-tuning, performance still steadily declines. Multitask finetuning emerges as the most promising approach, enhancing speech understanding while largely mitigating catastrophic forgetting, evidenced by the modest 2-point drop in MMLU.

Since model performance does not further improve with additional training steps (as shown in Fig. 4), we utilize the checkpoint trained for

5,000 updates to compare with baseline models. The results are presented in Table 5. Note that even with only 5,000 updates, the model has observed all speech-text data due to our large effective batch size. As observed from the results, finetuned SpeechLM outperforms baseline methods on tasks primarily relying on speech-only information (sWUGGY, sBLIMP, ASR). However, we also observe a decline in performance on $S \rightarrow T$ tasks such as Speech-MMLU and StoryCloze, indicating that there is still unavoidable degradation of text capabilities which adversely affects SpeechLM's cross-modal performance.

Overall, Stage 2 fine-tuning experiments highlight a trade-off between enhanced speech understanding and degraded text abilities when unfreezing pre-trained LLM weights. Though such forgetting phenomenon is unavoidable, our two-stage training pipeline has largely preserved SpeechLM's text ability and our experimental results underscore the importance of incorporating high-quality text data during cross-modal fine-tuning to balance performance across both modalities.

6 Conclusion

We propose SSR-CONNECTOR to inject speech representation into pre-trained LLMs. Through explicitly leveraging speech-text alignment, our connector compresses long and sparse speech information to the same granularity as text tokens. With our proposed two-stage training pipeline for modality fusion, SSR-CONNECTOR-based SpeechLM achieves better speech understanding while retaining its pre-trained text ability.

Limitations

While our proposed SSR-CONNECTOR significantly enhances speech-text modality fusion and mitigates catastrophic forgetting, there remain several limitations that warrant further exploration.

First, our work focuses on aligning speech semantics with text in large language models (LLMs). While our experiments show that paralinguistic information, such as speech styles, can be preserved and leveraged through in-context learning, we do not explicitly model these aspects. Future work could better encode prosody, speaker identity, and emotional cues to enhance expressive speech generation and nuanced speech understanding.

Second, our experiments on mitigating catastrophic forgetting are conducted primarily on a single language family, using LLAMA3 (Grattafiori et al., 2024) as the base LLM and DINOSR (Liu et al., 2023a) as the speech encoder. The extent of our method's effectiveness across different architectures and speech encoders remains unverified.

Finally, while our evaluation covers a range of speech and multimodal benchmarks, additional real-world settings, such as conversational speech, noisy environments, and multilingual scenarios, remain unexplored. Extending our methodology to such conditions will be essential for deploying robust, generalizable SpeechLMs.

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Supplementary Material

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A Speech-text Aligners

In this section, we provide more details for the aligners that we experimented with to compute segmentation for SSR-CONNECTOR. To summarize, we tried UnitY2 aligner (Barrault et al., 2023), CTC-based (Graves et al., 2006) aligner (both character-level and subword-level), and CIF-based (Dong and Xu, 2020) segmentation. We also compare their performance in this section and show that UNITY2 and CHAR-CTC aligner work the best; therefore we adopted them in all our experiments presented in the main paper.

A.1 Aligner Description

UnitY2 Aligner The UnitY2 aligner (Barrault et al., 2023) is a forced aligner that computes speech-text alignment using discrete speech units and character-level text tokens. The speech units are derived by applying K-Means clustering to the XLS-R model (Babu et al., 2021). The aligner is trained jointly with a non-autoregressive text-to-unit (T2U) model, adopting the architecture of the RAD-TTS model (Shih et al., 2021) but replacing the target mel-spectrogram with speech units. It first computes a soft-alignment $A^{\text{soft}} \in \mathbb{R}^{V \times U}$ between the characters and units:

$$D_{i,j} = ||s_i^{\text{char}} - s_j^{\text{unit}}||_2, \tag{3}$$

$$A_{i,j}^{\text{soft}} = \frac{e^{-D_{i,j}}}{\sum_{k} e^{-D_{k,j}}} + P_{\text{prior}}(i|j), \tag{4}$$

where $\mathbf{s}^{\mathrm{char}}$ and $\mathbf{s}^{\mathrm{unit}}$ are the outputs of the character and unit encoders, respectively (both encoders consist of an embedding layer and a 1D convolution layer). $\mathbf{D} \in \mathbb{R}^{V \times U}$ is a distance matrix with V and U representing the vocabulary sizes of characters and speech units. $\mathbf{P}_{\mathrm{prior}} \in \mathbb{R}^{V \times U}$ is the Beta-binomial alignment prior matrix to encourage near-diagonal paths (Shih et al., 2021). After soft-alignment is computed, the monotonic alignment search (MAS) algorithm (Kim et al., 2020) is applied to extract the most probable monotonic alignment path.

CTC-based Aligner Since the UnitY2 aligner requires both speech and transcription, it does not support streamable alignment extraction. To enable textless alignment computation, we explored two CTC-based (Graves et al., 2006) aligners. Given the speech features \boldsymbol{x} and text sequences \boldsymbol{y} , CTC computes $P(\boldsymbol{y}|\boldsymbol{x})$ by summing over all valid alignment paths:

$$P(\boldsymbol{y}|\boldsymbol{x}) = \sum_{\pi \in \mathcal{B}^{-1}(\boldsymbol{y})} P(\pi|\boldsymbol{x})$$
 (5)

Here, π denotes a possible alignment path that maps to the target sequence y, and $\mathcal{B}^{-1}(y)$ represents the set of all valid paths that collapse to y after removing blanks and repeated labels. We investigated two CTC variants: one using character-level text sequences (CHAR-CTC) and another using subword token sequences (SUB-CTC), which shares the same vocabulary as the LLM model.

CIF-based Speech Connector For both CTC and UnitY2 aligners, we extract segmentations from the alignments and then apply selection-based compression (Tan et al., 2024). We also experimented with Continuous Integrate-and-Fire (Dong and Xu, 2020, CIF) as the connector, which is designed to learn segmentation and perform compression simultaneously. Instead of relying on a fixed, pre-computed segmentation, CIF dynamically segments and aggregates speech features by scoring each feature and computing a weighted average. For more details, we refer readers to the paper (Dong and Xu, 2020).

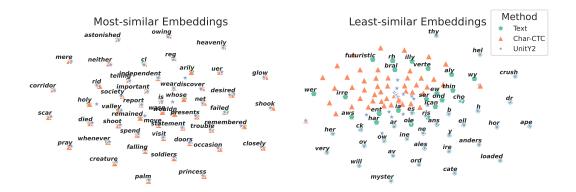


Figure 5: t-SNE plots of text and speech representations after distillation.

A.2 Aligner Performance Comparison

To compare the quality of different aligners, we trained several SSR-CONNECTOR based on different aligners via distillation. We evaluated the aligners using the Librispeech clean test set by computing the Cosine Similarity (Cos(%)) and Mean Squared Error (MSE) between the compressed representations and text embeddings. Additionally, we performed zero-shot and five-shot ASR with the learned connector. Note that we never explicitly trained the model for ASR tasks, and the base LLM remained frozen during Stage 1 training. Therefore, the model achieves low word error rates (WER) only when the distilled speech representations closely resemble the text embeddings. As shown in Table 6, the UNITY2 aligner brings the speech representations close to their corresponding text embeddings, achieving very low WER in both zero-shot and five-shot ASR settings. Among textless aligners, we found that CHAR-CTC performs the best, likely because it has a much smaller vocabulary compared to SUB-CTC, making it easier to learn. Lastly, CIF resulted in suboptimal performance, due to its less accurate alignment, as its segmentation is predicted by accumulating scores without exploiting the monotonicity between speech and text.

To visualize the effect of distillation, we present t-SNE plots of the adapted speech representations and text embeddings in Fig. 5, categorizing them into high and low similarity groups based on the cosine similarity between CHAR-CTC representations and text embeddings. We observe that longer subwords tend to exhibit higher similarity, likely because their long segments make it easier for the connector to convert

Model Type	Cos(%)↑	MSE↓	WER (%) \downarrow
UNITY2	96.8	0.018	5.6 / 4.0
CHAR-CTC	95.1	0.023	9.7 / 6.5
SUB-CTC	92.2	0.037	16.7 / 14.0
CIF	77.5	0.096	27.6 / 23.7

Table 6: Performance comparison (with Cosine Similarity, MSE, and 0/5-shot ASR WER) between different aligners used for Stage 1 training, evaluated on Librispeech.

speech representations into corresponding text embeddings. Furthermore, longer subwords possess more coherent semantics compared to shorter tokens. like 'wy' or 'ia'.

Aligner	WBE↓	WDUR
Groundtruth	0	305
UNITY2	33	279
CHAR-CTC	42	230
Other Aligners		
CTC+Label Prior	29	288
MMS	37	242
MFA	23	314

Table 7: Alignment quality comparison.

Given that UNITY2 and CHAR-CTC performs the best, we also follow Huang et al. (2024) to measure their word boundary error (WBE) and word average duration (WDUR) using the TIMIT (Garofolo et al., 1993) data. Though the aligner quality can be further improved with other methods such as CTC + Label Prior (Huang et al., 2024), MMS (Pratap et al., 2023), or MFA (McAuliffe et al., 2017), CHAR-CTC and UNITY2 still achieve good quality and we choose them out of simplicity and general availability (unlike "CTC+Label Prior", for example, which requires customization with library like k2³).

³https://github.com/k2-fsa/k2

B Beyond Semantics: Speech Style Recognition with In-context Learning

To explore the non-semantic capabilities of our SpeechLM, particularly its ability to retain and utilize paralinguistic information, we conducted additional experiments focusing on speech style recognition through in-context learning. Specifically, we investigated whether the SSR-CONNECTOR-based SpeechLM (based on the UnitY2 aligner), can differentiate between various speech styles without explicit training on paralinguistic cues.

We utilized the Expresso dataset (Nguyen et al., 2023), which comprises speeches delivered in distinct styles such as happy, sad, whispering, and laughing. Two primary tasks were designed to assess the model's performance:

1. **Whisper vs. Laugh**: The model was tasked with identifying whether a given speech was whispered or laughed. The prompt provided to the model was:

"You are given speeches from two styles. Your task is to judge if the speech is a whisper or laugh. Here are some example speeches: [Speech]: {speech} [Style]: {whisper/laugh}..."

2. **Happy vs. Sad**: The model was asked to determine if the speech was delivered happily or sadly. The prompt used was:

"Listen to the following speech and judge if the speaker is happy or sad. Here are some examples: [Speech]: {speech} [Emotion]: {happy/sad}..."

For each task, we evaluated the model's performance using varying numbers of in-context examples: 0-shot, 1-shot, 5-shot, and 10-shot. The results, averaged over 10 runs, are presented in Table 8. Additionally, we benchmarked a cascaded system comprising Whisper and Llama3 for comparison (this cascaded baseline does no preserve non-semantic information and can only infer the speech style through transcripted content).

Task	Task Model		1-shot	5-shot	10-shot
Whisper vs. Laugh	Laugh Cascaded System Ours		52.1 62.4	52.2 64.0	54.7 75.9
Happy vs. Sad	Cascaded System Ours	50.0 51.6	51.4 52.1	51.8 52.2	51.0 54.7

Table 8: Accuracy of Speech Style Recognition Tasks with In-context Learning

The results indicate that with zero-shot prompting, our model generates predictions close to random chance, as it has not been trained to utilize paralinguistic information. However, with the introduction of a few-shot learning approach, the model significantly improves its ability to distinguish between whispering and laughing speech, achieving up to 75.9% accuracy with 10-shot examples. This suggests that the model's representations inherently contain paralinguistic information that can be harnessed through incontext learning. For the Happy vs. Sad task, the improvement is modest, peaking at 54.7% accuracy with 10-shot examples. This lesser performance compared to the Whisper vs. Laugh task may be attributed to the subtler differences in emotional expression compared to the more pronounced style differences between whispering and laughing.

Overall, these findings demonstrate that **our SpeechLM** can effectively leverage in-context learning to recognize different speech styles, thereby highlighting the presence of paralinguistic information within the model's representations. This capability complements existing methods that incorporate paralinguistic information, such as the use of expressive tokens in SpiritLM (Nguyen et al., 2024) or emotion-relevant instruction tuning in SALMONN (Tang et al., 2024).

C Datasets

Eval Dataset	Туре	Eval Metric	Eval Modality
sWUGGY (Nguyen et al., 2020)	Choice Task	Accuracy	S,T
sBLIMP (Nguyen et al., 2020)	Choice Task	Accuracy	S, T
StoryCloze (Mostafazadeh et al., 2017)	Choice Task	Accuracy	$S, T, S \to T$
MMLU (Hendrycks et al., 2021)	Choice Task	Accuracy	T
Speech-MMLU (Ours)	Choice Task	Accuracy	$S \to T$
LibriSpeech (Panayotov et al., 2015)	Generation Task	Word Error Rate	$S \to T$

Table 9: Evaluation Datasets and their types. For the evaluation format, S is speech-only, T is text-only, and $S \to T$ means the evaluation prompt consists of speech prefix and text continuation.

As described in §4.1, we employ sWUGGY, sBLIMP, StoryCloze, MMLU, Speech-MMLU and Librispeech datasets to assess model performance. In this section, we provide more examples for each evaluation set. sWUGGY and sBLIMP are simple tasks where two choices can be directly compared. As shown in Table 10, sWUGGY provides two choices that require models to discriminate real words from non-words. sBLIMP assesses whether the model can distinguish between a grammatically correct sentence and its ungrammatical variant.

MMLU and StoryCloze, on the other hand, have a prefix and choices. The StoryCloze dataset measures whether the model can identify the logical ending between two sentences given at the beginning of a short story. Since StoryCloze has a shared prefix, we can synthesize only the prefix part into speech and keep choices in text format, resulting in our $S \to T$ format evaluation that assess the model's cross-modal understanding. Similarly, for MMLU, we also synthesize its prefix (the question portion) into speech and keep the choices in text format, resulting in our Speech-MMLU dataset. Since some topics have bad audio synthesis quality (e.g., the algebra subset contains many mathematical notations), we only keep 22 topics in our test suite (as shown in the "Topic" column of Table 11).

Name	Prefix	Choices
sWUGGY	N/A	{Good=obsolete, Bad=odsolete}
sBLIMP	N/A	{Good=Walter was harming himself, Bad=Walter was harming itself}
StoryCloze	I had been giving this homeless man change every day. He was on the same corner near my house. One day, as I was driving through my neighborhood I saw a new car. Soon enough, I saw the same homeless man emerge from it!	{Good=I never gave the man money again. Bad=The next day I gave the man twenty dollars.}
MMLU	During the period when life is believed to have begun, the atmosphere on primitive Earth contained abundant amounts of all the following gases except	{"A": "oxygen", "B": "hydrogen", "C": "ammonia", "D": "methane"}

Table 10: Examples of different evaluation datasets.

D Evaluation Metric and Prompt

Choice tasks (sWUGGY, sBLIMP, StoryCloze, MMLU, Speech-MMLU) are evaluated by comparing perplexity of different choices. The choice with smallest perplexity is selected as the prediction and we measure accuracy across different benchmarks.

For generation task (prompt-based ASR), we use the prompt below, with pairs of speech and transcription is provided to the SpeechLM. For 0-shot evaluation, we do not include any examplers.

```
Prompt

Given the speech, provide its transcription.
[speech]: {demo speech}
[text]: {demo transcription}
...
[speech]: {speech to transcribe}
[text]:
```

Speech MMLU Evaluation We craft speech MMLU by synthesizing the questions of MMLU into audio through AUDIOBOX. Since some domains have bad synthesis quality (such as algebra, which includes many math notations), we filtered those domains out from our evaluation.

We present the detailed comparison results in Table 11 for a better comparison of model performance across different domains/topics. We see that the trend for different domains is mostly consistent, with our alignment-aware connector based on UNITY2 achieving the best performance, followed by CHAR-CTC based connector. Similar as our main findings, the unit-based system has worse performance due to information loss from discretization and the fine-tuned model suffers from catastrophic forgetting (albeit mitigated through our multitask fine-tuning approach). Nevertheless, all these SSR-CONNECTOR based system obtains better performance compared to SPIRITLM (LLAMA3), confirming the effectiveness of our modality-fusion strategy.

Topic	SPIRE	тLM	UNITY	2 + Mask	Uni	TY2	CHAR	-CTC	Unit-	based	Fine-	tuned
	0-shot	5-shot	0-shot	5-shot	0-shot	5-shot	0-shot	5-shot	0-shot	5-shot	0-shot	5-shot
Astronomy	45.6	40.8	60.0	66.0	60.7	65.3	57.0	60.4	49.7	61.1	50.7	52.0
Business Ethics	37.1	40.2	52.0	60.0	53.0	62.0	56.0	59.0	52.0	55.0	37.0	51.0
Clinical Knowledge	36.0	39.8	60.6	63.3	61.0	62.9	61.2	62.7	57.8	57.4	47.3	53.8
College Biology	36.4	33.6	65.0	69.9	62.9	68.5	57.7	59.9	54.2	57.7	40.6	44.1
Electrical Engineering	37.7	44.2	52.5	57.4	52.5	53.9	48.2	58.9	44.7	48.2	53.2	54.6
High School Biology	40.8	41.2	66.0	72.2	67.6	72.2	63.3	68.2	57.1	65.6	50.5	62.5
High School Gov. Pol.	44.4	43.4	79.2	84.9	78.1	83.3	76.6	81.8	71.4	73.4	54.7	64.1
International Law	55.9	58.5	71.1	81.0	71.1	81.0	71.1	80.2	71.1	75.2	66.1	71.1
Jurisprudence	37.1	36.2	60.2	68.5	62.0	70.4	57.4	63.9	54.6	60.2	51.9	57.4
Machine Learning	39.3	32.1	45.8	59.3	50.8	59.3	45.8	61.0	44.1	57.6	39.0	55.9
Management	43.0	42.0	79.6	84.5	77.7	75.7	73.8	74.8	68.0	70.9	45.6	65.0
Marketing	39.8	49.8	77.8	85.0	76.1	81.6	76.9	81.6	74.4	76.9	51.3	67.1
Miscellaneous	38.5	36.4	69.2	71.5	66.6	70.1	60.3	64.6	52.3	57.5	42.7	50.3
Moral Disputes	39.1	42.3	59.5	66.5	59.5	67.3	56.4	62.7	52.9	62.1	43.6	52.9
Nutrition	45.0	47.3	68.4	69.1	66.1	66.8	65.5	62.8	64.5	59.8	52.8	58.5
Philosophy	37.5	37.2	58.3	64.5	59.0	62.5	55.9	64.1	54.6	59.5	44.0	53.1
Prehistory	38.9	43.3	62.0	66.4	61.1	64.5	61.2	64.3	55.0	57.5	49.1	55.2
Security Studies	43.8	54.8	63.8	67.8	61.7	67.8	68.1	76.9	59.3	69.2	51.0	59.7
Sociology	37.4	45.5	71.6	74.6	68.7	74.6	69.7	73.6	68.2	72.1	57.7	66.2
US Foreign Policy	56.7	60.8	80.0	80.0	78.0	85.0	75.8	81.8	75.8	83.8	61.0	76.0
Virology	40.1	46.3	47.9	49.1	49.1	53.9	47.9	49.7	46.1	51.5	46.7	44.8
World Religions	39.3	46.4	66.1	67.8	63.2	63.7	52.0	59.1	51.5	60.8	40.9	50.3
Micro Average	40.5	42.7	65.0	69.5	64.2	68.6	61.7	66.5	58.1	63.3	49.0	57.5

Table 11: Detailed Speech-MMLU evaluation results on different domains.