

# Tailored Sequence to Sequence Models to Different Conversation Scenarios

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## **Background:**

**Open-domain Conversation Generation** (Chatbot) is an artificial intelligence which conducts a conversation via auditory or textual methods, designing to convincingly simulate how a human would behave as a conversational partner, thereby passing the Turning test. ——wiki

**Different Conversation Scenarios need different conversation strategy.** The specific-requirement scenario, like customer services, need the specific and accurate response. While the diverse-requirement scenario, like chatbot, need the diverse and interesting response. We defined the Post as  $X = \{x_1, \dots, x_M\}, x_i \in V$  and Response as  $Y = \{y_1, \dots, y_N\}, y_i \in V$ .

**Motivation:** The requirements for different conversation scenarios are distinct.



## Model

#### **1. Maximum Generated Likelihood Criteria(MGL) for specific-requirement**

The most significant post-response matching pattern is good enough for optimization! Idea: Capture the specific matching pattern between post and response, rather than the average of multiple ground-truth responses.

**Solution**: Given the post X and its ground-truth responses  $\{Y_X^{(1)}, Y_X^{(2)}, \dots, Y_X^{(m_X)}\}$ , the objective function is:

$$\mathcal{L} = \sum_{X} \sum_{k=1}^{m_X} \max \log P(Y_X^{(k)} | X)$$

#### 2. CVaR Criteria for diverse-requirement

Pay more attention to the worst-cases after pre-trained and make the generation probability average for every ground-truth responses.

**Idea**: The model should capture the various matching patterns between post and its ground-truth. Solution: Optimize the conditional value-at-risk (CVaR), a prominent risk measure used extensively in finance.

Given the post X and its ground-truth responses  $\{Y_X^{(1)}, Y_X^{(2)}, \dots, Y_X^{(m_X)}\}$ , the objective function is:

$$\mathcal{L} = \sum \frac{1}{1} \sum P(Y_{v}^{(k)}|X)$$

where  $m_X$  is the number of ground-truth responses for post X.

Tips: You can take any pick-strategy which is suitable for your task.

## 2.1 Why CVaR can generate diverse response?

**Example:** Given the post X and the three number of ground-truth responses {R1,R2,R3}, the conditional probability of the pre-trained model is in Time=1. Setting  $\alpha = 1/3$ , in each time step, we select two responses for deep optimization(the green color).  $\sum_{X} \sum_{X} \frac{1 - \alpha}{1 - \alpha} \sum_{Y_X^{(k)} \in \mathcal{Y}_{1-\alpha}} P(Y_X^{(k)} | X)$ Sup{ $P(Y_X^{(i)} | X) : Y_X^{(i)} \in \mathcal{Y}_{1-\alpha}$ }  $\leq \alpha$ 

where  $m_X$  is the number of ground-truth responses for post X.

Straightforward: Pay more attention to the post-responses pairs who are not generated well enough.

**Conclusion**: Not only improve the generation quality, but also improve the ability of generating diverse responses for beam-search.

X:Waiting for Wade in the final games. R1:Everyone has his favorite stars.	Time 1 P(R1 X)=0.5	X:Waiting for Wade in the final games. R1:Everyone has his favorite stars.	Time 2 P(R1 X)=0.3	•••	X:Waiting for Wade in the final games. R1:Everyone has his favorite stars.	Time N P(R1 X)=0.34
R2:Analysis is much better than Sina.	P(R2 X)=0.2	R2:Analysis is much better than Sina.	P(R2 X)=0.3		R2:Analysis is much better than Sina.	P(R2 X)=0.33 - average
R3:Waiting for the explosion of Mr.Flash.	P(R3 X)=0.3	R3:Waiting for the explosion of Mr.Flash.	P(R3 X)=0.4		R3:Waiting for the explosion of Mr.Flash.	P(R3 X)=0.33

## Experiments

#### **Experiment setting**

- **Dataset**: Ubuntu corpus for specific-requirement Chinese Weibo Dataset for diverse-requirement
- Human evaluation: 3 students evaluate 300 postgeneration pairs for each model.
- **Human criteria**:+3(strong-related) +2(common) +1(unrelated or nonfluent)
- Group-overlap overlap and Group-diversity divrs indicate the overlap and cosine similarity of every two generation for each post. The smaller, the better.

Human evaluation							
	Human	score d					
Model	1	2	3	Ave.	Kappa		
Seq2Seq-att	46.5	38.6	14.9	1.684	0.387		
MMI	42	38	20	1.78	0.395		
Adver-REGS	42	26	32	1.9	0.379		
Single	49	14	37	1.88	0.383		
MGL	33	16	51 🚺	2.18	0.372		
CVaR	40	12	48	2.08	0.381		

MGL model produces more fluent and specific results and obtains higher human evaluation score than other models.

### 2.Diverse-requirement Scenario

**Metric-based evaluation** 

model	BLEU	PPL	overlap	divrs
Seq2Seq	1.616	132.93	67.26	87.83
Seq2Seq-att	1.62	76.95	63.38	85.32
Adver-REGS	1.635	84.77	57.96	84.94
Mechanism	1.642	90.48	57.67	84.64
MGL	1.703	36.25	66.92	86.22
CVaR	1.652	70.94	38.96 📕	71.38 📕

#### CVaR model obtains the lower overlap and divrs than baselines.

**Case study** 

Case study

I.Specific-requirement Scenario					V				
Metric-based evaluation					post <u>response</u>	Install grub to the hdd where the bios is set to boot. So I would not just install it to /boot?	post response	总决赛继续等待韦德(Waiting for Wade in the final games.) 每个人都有每个人的喜爱(Everyone has his favorite stars.)	
model	distinct-1	distinct-2	BLEU	PPL	Seq2Seq-att	A log file a bug with the install cd.	response	比新浪分析的好多了(Analysis is much better than Sina)	
Seq2Seq-att	0.351	4.36	1.294	47.84	MMI	What do you mean?	Mechanisr	n 韦德真伟大啊!支持!(Wade is really great! Support him!)	
MMI	0.283	4.84	1.297	42.52	Adver-REGS	How do I install grub?	Mechanisr	n 韦德越来越好。(Wade will be better)	
Adver-REGS	0.268	5.07	1.279	37.71	Single	Do you delete grub?	Mechanisr	n 韦德威武!(Wade is mighty)	
Single	0.324	5.27	1.342	30.36	MGI	I have boot with the window from the grub and it go	CVaR	决赛一定要去看看的!(I must go and see the final games)	
MGL	0.358 🚺	6.3 🚺	1.354 🚺	26.34	WICL	from the boot menu.	CVaR	詹皇怎么还能这么快啊(How can James be so fast)	
CVaR	0.294	5.52	1.29	30.03	CVaR	If you have grub on the same pc, it is 0 0 boot partition.		决赛是一种对对手的打击,热火加油(The final games is a blow	
MGL obtains the highest distinct score, indicating that our					MGL model can generate more specific response than other		CVaR	for the opposite. Heat come on)	
model can generate more specific responses.					average methods.		CVaR model produces both fluent and diverse results.(about game, friend and team		

## Conclusions

Maximum Generation Likelihood Model can adapt to the specific-requirement scenario, and it can be used in many other specific-requirement task. **CVaR Model** is a **robust distribution** method from finance domain, which can improve the generation **quality for the difficult example**. And it can also improve the diversity of generation for the beam search.

**CVaR Model** can also used in **many other tasks**, in order to **improve the generation robust**. Sometimes, improve the diversity of the task.