# PubSE: A Hierarchical Model for Publication Extraction from Academic Homepages — Supplementary Material

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### A Preprocessing Decisions

**HTML document**: We do not consider the HTML DOM tree structure because HTML tags vary significantly across different homepages. Learning the DOM tree structure of a publication string itself is a challenging task. Our initial investigation shows that, given our limited data, the DOM tree structure offers little help in the extraction task.

Listing 1 shows an example of HTML source<sup>1</sup> for a publications string. The corresponding rendered webpage is shown in Figure 1. In the HTML source, the text of a single publication string is split into multiple HTML DOM nodes. It is a nontrivial task to identify the text from these nodes that form the same publication string, especially for multi-line publication strings. The HTML font modifiers further complicate the task. For example, Listing 1 uses <strong> tag for emphasizing.

```
<!DOCTYPE html>
2
   <html>
3
   <body>
4
5
   <strong>Cleavage furrow
       organization requires
       PIP2-mediated recruitment of
       anillin
   </strong><br/>Liu, J., Fairn, G.D.,
6
       Ceccarelli, D.F., Sicheri, F.
       and Wilde A. <br/>
7
  Curr. Biol. 2012 22:64-69 &
       nbsp;<a href="http://</pre>
       www.sciencedirect.com/science/
       article/pii/S0960982211013224">
       Read</a>
8
9
   </body>
10
   </html>
```

Listing 1: An example of HTML source for a publication string

Cleavage furrow organization requires PIP2-mediated recruitment of anillin Liu, J., Fairn, G.D., Ceccarelli, D.F., Sicheri, F. and Wilde A. Curr. Biol. 2012 22:64-69 Read

Figure 1: Rendered webpage for the HTML source in Listing 1

**Non-English webpages and non-ASCII encodings**: For simplicity, we do not consider webpages that mainly contain non-English characters. As for Unicode text, we use a Package named Unidecode<sup>2</sup> to obtain the ASCII transliterations of Unicode text on webpages.

**Newline characters**: Our PubSE model works directly on the visible text content of a webpage. In the preprocessing step, we use a special word embedding N for the newline character. The word embedding N is obtained by averaging the word embedding in GloVe of all the infrequent words (obtained by excluding the 10,000 most frequent words<sup>3</sup> from the GloVe vocabulary). This special treatment of the newline character allows us to use an IO tagging scheme, which reduces the learning space. The model is expected to tag the newline character as I, if it is within a multi-line publication, and O otherwise.

### **B** Detailed Error Analysis

Figure 2 shows six prediction examples given by various models. We analyze them as follows.

**Typical error of the line-level model**: Examples 1 and 2 show typical errors occurred in line-level model prediction results. As shown in Example 1, the line-level models do not handle multi-line publication strings well. As shown in Example 2, the line-level model mistakenly predicts a presentation and a supervised thesis as publication

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<sup>&</sup>lt;sup>1</sup>http://biochemistry.utoronto.ca/ person/andrew-wilde/

<sup>&</sup>lt;sup>2</sup>https://pypi.org/project/Unidecode/ <sup>3</sup>https://github.com/first20hours/

google-10000-english

Ground truth annotation	Model prediction	Example 1
[Watching Whoopi : the politics and ethics of the ethics of witnessing. Harris, G. 05/2009 In: Performance Paradigm. 5, 1, p. n/a.] Journal article	Watching Whoopi : the politics and eth [Harris, G. 05/2009 In: Performance Pa Journal article	
Susan and Darren : the appearance of authenticity. Harris, G. 12/2008 In: Performance Research. 13, 4, p. 4-15. 12 p. Journal article	Susan and Darren : the appearance o [Harris, G. 12/2008 In:] Journal article	f authenticity.
		Example 2
Recent Presentations Keynote, Teaching History in the 21st Century conference, U.C. Berkeley (May 2017) "Digital Storytelling in Higher Education," Wellesley College (March 2017)		
Dissertations Supervised Sarah Sweetman, Forging Family: Creating and Perpetuating Collective Memory in Families with Children Adopted		
		Model prediction
Recent Presentations Keynote, Teaching History in the 21st Century conference, U.C. Berkele ["Digital Storytelling in Higher Education," Wellesley College (March 201		
Dissertations Supervised [Sarah Sweetman, Forging Family: Creating and Perpetuating Collective Memory in Families with Children Adopted from China (2013)]		
Ground truth annotation	Model prediction	Example 3
Susan and Darren : the appearance of authenticity. Harris, G. 12/2008 In: Performance Research. 13, 4, p. 4-15. 12 p. Journal article	Susan and Darren : the appearance of Harris, G. 12/2008 In: Performance Re Journal article	
[How to shop Harris, G. 2007 In: Bobby Baker. London : Routledge p. 191-195. 5 p.] Chapter (peer-reviewed)	[How to shop Harris, G. 2007 In: Bobby Baker. Lond [Chapter (peer-reviewed)]	on : Routledge p. 191-195. 5 p.]
		Example 4
Rhetoric Roer, H. 2013 The Oxford Guide to the Historical Reception of Augustine. Pollman		<u>I. 3, p. 1650-1657 7 p.]</u> Ground truth annotation
Dhalaria		Model prediction
Rhetoric Roer, H. 2013 The Oxford Guide to the Historical Reception of Augustine. Pollmar	n, K. (ed.). Oxford: Oxford University Press, Vo	<u>I. 3, р. 1650-1657 7 р.</u>
		Example 5
[Burkitt Lymphoma With Pancreatic Involvement JOURNAL OF PEDIATI Aftandilian, C. C., Friedmann, A. M.	RIC HEMATOLOGY ONCOLOGY	
2010; 32 (8): E338-E340 More	C	Ground truth annotation
Burkitt Lymphoma With Pancreatic Involvement JOURNAL OF PEDIATE Aftandilian, C. C., Friedmann, A. M. 2010; 32 (8): E338-E340 More	RIC HEMATOLOGY ONCOLOGY	Model prediction
		Example 6
Books [Unprotected Texts: The Bible's Surprising Contradictions About Sex and By Jennifer Wright Knust HarborOne February 7, 2012]	Desire	
Buy it now from Amazon.com!	(	Ground truth annotation
Books Unprotected Texts: The Bible's Surprising Contradictions About Sex and By Jennifer Wright Knust HarborOne February 7, 2012 Buy it now from Amazon.com!		Model prediction

Figure 2: Predicted examples and corresponding ground truth annotations. Underlined tokens are labeled as I (publication). "[" and "]" are not part of the text. They are used to highlight the boundary of publication strings.

string, since it fails to capture dependency relationships in different lines.

**Typical error of the webpage-level model:** Example 3 is given by the webpage-level model. We see that the webpage-level model can make a more accurate prediction for multi-line publications. However, it may make false positive predictions for short lines (e.g., "Chapter (peerreviewed)"), while the line-level model seldom makes such mistakes. This is the motivation for us to integrate both the line-level and the webpagelevel models.

**Typical error of the PubSE model**: PubSE can avoid most of the errors shown in Examples 1 to 3. Nevertheless, PubSE still makes mistakes in some challenging cases. Examples 4 to 6 show such cases.

In Example 4, PubSE does not recognize that "Rhetoric" is a publication title. A possible explanation is that such a short publication title is less common.

In Examples 5 and 6, PubSE did not recognize both multi-line publication strings. For Example 5, the reason may be the venue name in uppercase letters and the page number with a letter "E". For Example 6, the reason may be the unconventional style to present the book, e.g., an exact date of publication and the word "by", which does not often appear in publication strings. We plan to investigate these problems in the future.

## C Choosing Parameter Values: Batch Size of the Webpage-level Model

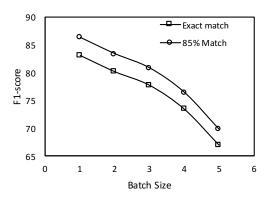


Figure 3: F1-scores of the webpage-level model on the HomePub dataset with different batch sizes

We conduct experiments on a computer with an NVIDIA Tesla K40 GPU which has 12GB GPU memory. Due to the limited GPU memory and

the large size of each webpage (732.1 tokens per webpage on average, with a standard deviation of 1583.3), it is only feasible to set the batch size as one. If the batch size is larger than one, there will be an out-of-memory error. To test the effect of using different batch sizes, we conduct experiments using CPU with 64GB RAM memory, on which we are able to set the batch size to be up to five. The experimental results are shown in Figure 3. The prediction accuracy decreases when the batch size increases. This is mainly because the lengths of different webpages vary greatly, leading to high variance within the same mini-batch. Recent work (Masters and Luschi, 2018) has also shown that small mini-batch size helps to increase the prediction accuracy. As a result, we set the batch size of the webpage-level model to one in the PubSE model.

#### References

Dominic Masters and Carlo Luschi. 2018. Revisiting small batch training for deep neural networks. *arXiv* preprint arXiv:1804.07612.