# Assessing Meaning Components in German Complex Verbs: A Collection of Source–Target Domains and Directionality

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#### Abstract

This paper presents a collection to assess meaning components in German complex verbs, which frequently undergo meaning shifts. We use a novel strategy to obtain source and target domain characterisations via sentence generation rather than sentence annotation. A selection of arrows adds spatial directional information to the generated contexts. We provide a broad qualitative description of the dataset, and a series of standard classification experiments verifies the quantitative reliability of the presented resource. The setup for collecting the meaning components is applicable also to other languages, regarding complex verbs as well as other language-specific targets that involve meaning shifts.

# 1 Introduction

German particle verbs (PVs) are complex verb structures such as anstrahlen 'to beam/smile at' that combine a prefix particle (an) with a base verb (strahlen 'to beam'). PVs represent a type of multi-word expressions, which are generally known as a "pain in the neck for NLP" (Sag et al., 2002). Even more, German PVs pose a specific challenge for NLP tasks and applications, because the particles are highly *ambiguous*; e.g., the particle an has a partitive meaning in anbeißen 'to take a bite', a cumulative meaning in anhäufen 'to pile up', and a topological meaning in anbinden 'to tie to' (Springorum, 2011). In addition, they often trigger *meaning shifts* of the base verbs (BVs), cf. Springorum et al. (2013); e.g., the PV abschminken with the BV schminken 'to put on make-up' has a literal meaning ('to remove make-up') and a shifted, non-literal meaning ('to forget about something').<sup>1</sup>

With PVs representing a large and challenging class in the lexicon, their meaning components and their mechanisms of compositionality have received a considerable amount of interdisciplinary research interest. For example, a series of formal-semantic analyses manually classified German PVs (with particles ab, an, auf, nach) into soft semantic classes (Lechler and Roßdeutscher, 2009; Haselbach, 2011; Kliche, 2011; Springorum, 2011). Corpus studies and annotations demonstrated the potential of German PVs to appear in non-literal language usage, and to trigger meaning shifts (Springorum et al., 2013; Köper and Schulte im Walde, 2016b). Regarding computational models, the majority of existing approaches to PV meaning addressed the automatic prediction of German PV compositionality (Salehi et al., 2014; Bott and Schulte im Walde, 2015; Köper and Schulte im Walde, 2017b), in a similar vein as computational approaches for English PVs (Baldwin et al., 2003; Bannard, 2005; McCarthy et al., 2003; Kim and Baldwin, 2007; Salehi and Cook, 2013; Salehi et al., 2014). Only few approaches to German and English PVs have included the meaning contributions of the particles into the prediction of PV meaning (Bannard, 2005; Cook and Stevenson, 2006; Köper et al., 2016).

Overall, we are faced with a variety of interdisciplinary approaches to identifying and modelling the meaning components and the composite meanings of German PVs. Current and future research activities are however hindered by a lack of resources that go beyond PV–BV compositionality and can serve as gold standards for assessing

- (i) the meaning contributions of the notoriously ambiguous particles, and
- (ii) meaning shifts of PVs in comparison to their BVs.

<sup>&</sup>lt;sup>1</sup>We deliberately make use of the general term "meaning shift" in comparison to specific instances such as metaphor

and metonymy because non-literal language usage of PVs is not restricted to a specific type of meaning shift.

In this paper, we present a new collection for German PVs that aims to improve on this situation. The dataset includes 138 German BVs and their 323 existing PVs with particle prefixes *ab*, *an*, *auf*, *aus*. For all target verbs, we collected

- sentences from 15 human participants across a specified set of domains, to address their ambiguity in context; and
- 2. spatial directional information (UP, DOWN, RIGHT, LEFT), also in context.

Meaning shifts are typically represented as a mapping from a rather concrete source-domain meaning to a rather abstract target-domain meaning (Lakoff and Johnson, 1980). For example, the abstract conceptual domain TIME may be illustrated in terms of the structurally similar, more concrete domain MONEY, enabling non-literal language such as *to save time* and *to spend time*. For German PVs, meaning shifts frequently take place when combining a BV from a concrete source domain with a particle (as in the *abschminken* example above, where the BV *schminken* is taken from the domain HUMAN BODY), resulting in a PV meaning (possibly among other meanings) related to an abstract target domain such as DESIRE.

Targeting the representation of meaning shifts with our collection, we specified source domains for the BVs (such as MENSCHLICHER KÖRPER 'HUMAN BODY') and target domains for the PVs (such as ZEIT 'TIME'). In this way, our dataset offers source-target domain combinations for assessing BV-PV meaning shifts across PVs and particle types. Our domains were taken from conceptual specifications in (Kövecses, 2002), which cluster semantically and encyclopedically related concepts to ensure a generally applicable set of domains involved in meaning shifts. The spatial directional information is captured through simple directional arrows and enables a view on spatial meaning components of particle types and PVs, which supposedly represent core meaning dimensions of PVs (Frassinelli et al., 2017).

While the collection focuses on German PVs, the representation of the meaning components (source and target domains, as well as directions) is language-independent. Therefore, the setup for collecting the meaning components that we present below should also be applicable to other languages, regarding complex verbs as well as regarding other language-specific targets that undergo meaning shifts.

# 2 Related Work

**PV Meaning Components and Classifications** So far, the most extensive manual resources regarding German PV meaning components rely on formal semantic research within the framework of Discourse Representation Theory (DRT), cf. Kamp and Reyle (1993). Here, detailed wordsyntactic analyses and soft classifications were created for German PVs with the particles *auf* (Lechler and Roßdeutscher, 2009), *nach* (Haselbach, 2011), *ab* (Kliche, 2011), and *an* (Springorum, 2011).

PV Compositionality Most manual and computational research on PV meaning addressed the meaning of a PV through its degree of compositionality, for German as well as for English complex verbs. McCarthy et al. (2003) exploited various measures on distributional descriptions and nearest neighbours to predict the degree of compositionality of English PVs with regard to their BVs. Baldwin et al. (2003) defined Latent Semantic Analysis (LSA) models (Deerwester et al., 1990) for English PVs and their constituents, to determine the degree of compositionality through distributional similarity, and evaluated the predictions against various WordNet-based gold standards. Bannard (2005) defined the compositionality of an English PV as an entailment relationship between the PV and its constituents, and compared four distributional models against human entailment judgements. Cook and Stevenson (2006) addressed not only the compositionality but also the meanings of English particles and PVs. Focusing on the particle up, they performed a type-based classification using window-driven and syntactic distributional information about the PVs, particles and BVs. Kim and Baldwin (2007) combined standard distributional similarity measures with WordNet-based hypernymy information to predict English PV compositionality. Kühner and Schulte im Walde (2010), Bott and Schulte im Walde (2017) and Köper and Schulte im Walde (2017a) used unsupervised (soft) clustering and multi-sense embeddings to determine the degree of compositionality of German PVs. Salehi and Cook (2013) and Salehi et al. (2014) relied on translations into multiple languages in order to predict the degree of compositionality for English PVs. Bott and Schulte im Walde (2014) and Bott and Schulte im Walde (2015) explored and compared word-based and syntax-based distributional models in the prediction of German PVs. Köper and Schulte im Walde (2017b) integrated visual information into a similar textual distributional model.

Altogether, most PV gold standards that are used for evaluation within the above approaches to compositionality rate the similarity between PV and BV, ignoring the contribution of the particle meaning. Exceptions to this is the gold standard by Bannard (2005), rating the entailment between the PV and its particle as well as between the PV and its BV. In addition, all PV gold standards are type-based, i.e., rating the compositionality for a PV type, rather than for PV senses in context.

Spatial Meaning Components The Grounding Theory indicates that the mental representation of a concept is built not only through linguistic exposure but also incorporating multi-modal information extracted from real-world situations, including auditory, visual, etc. stimuli (Barsalou, 1999; Glenberg and Kaschak, 2002; Shapiro, 2007). Spatial meaning plays an important role in grounding information. For example, Richardson et al. (2003) showed an interaction between spatial properties of verbs and their positions in language comprehension. Dudschig et al. (2012) and Kaup et al. (2012) demonstrated effects of typical locations of a word's referent in language processing. Specifically for German PVs, Frassinelli et al. (2017) found spatial meaning (mis)matches for PVs with particles an and auf, when combining them with primarily vertical vs. horizontal BVs. The spatial information in our dataset provides an opportunity to further explore spatial meaning components in German BVs and PVs.

**Meaning Shift Datasets** Lakoff and Johnson (1980) and Gentner (1983) were the first to specify systematic conceptual mappings between two domains, within their theories of conventional metaphors and analogy by structure-mapping, respectively. In contrast, practical advice and projects on the actual annotation of source/domain categorisations or meaning shifts are sparse. The *Master Metaphor List (MML)* represents an extensive manual collection of metaphorical mappings between source and target domains (Lakoff et al., 1991) but from a practical point of view has been critised for its incoherent levels of specificity and its lack of coverage by Lönneker-Rodman (2008),

who relied on the MML next to EuroWordNet when annotating a total of 1,650 French and German metaphor instances. Similarly, Shutova and Teufel (2010) used the source and target domains from the MML but relied only on a subset of the domains, which they then extended for their annotation purposes.

As to our knowledge, there is no previous dataset on meaning shifts of complex verbs, other than a smaller-scale collection developed in parallel by ourselves, which however focuses on analogies in meaning shifts rather than source-target domains (Köper and Schulte im Walde, 2018). Some datasets include non-literal meanings of verbs (Birke and Sarkar, 2006; Turney et al., 2011; Shutova et al., 2013; Köper and Schulte im Walde, 2016b), and the MML-based meaning shift annotations by Lönneker-Rodman (2008) and Shutova and Teufel (2010) also include verbs but are less targetspecific than our work. In addition, while both Lönneker-Rodman (2008) and Shutova and Teufel (2010) asked their annotators to label words in their corpus data, we follow a different strategy and ask our participants to generate sentences according to domain-specific target senses.

# 3 Target Verbs, Domains, Directionalities

In this section, we describe our selections and representations of BV and PV targets (Section 3.1), the source and target domains (Section 3.2), and the directional arrows (Section 3.3).

## 3.1 German Base and Particle Verbs

Based on the source domain descriptions by Kövecses (2002), cf. Section 3.2 below, we identified BVs which (i) supposedly belong to the respective source domain, and (ii) we expected to undergo meaning shifts when combined with one of our target particle types, as based on our linguistic expertise from previous work (see related work above).

All of the BVs were systematically combined with the four prefix particles *ab*, *an*, *auf*, *aus*, resulting in a total of 552 PVs. Since we did not want to include neologisms into our PV targets, we then checked the PV existence in the online version of the German dictionary  $DUDEN^2$ . The final list of target PVs that were found in the dictionary comprised 323 verbs.

<sup>&</sup>lt;sup>2</sup>www.duden.de/suchen/dudenonline/

| Sour                     | ce Domains                     | Target Domains          |                     |  |
|--------------------------|--------------------------------|-------------------------|---------------------|--|
| Menschlicher Körper      | Human Body                     | Emotion und Gefühl      | Emotion and Feeling |  |
| Gesundheit und Krankheit | Health and Illness             | Wunsch und Sehnsucht    | Desire              |  |
| Tiere                    | Animals                        | Moral                   | Morality            |  |
| Pflanzen                 | Plants                         | Gedanke                 | Thought             |  |
| Gebäude und Konstruktion | Buildings and Construction     | Gesellschaft und Nation | Society and Nation  |  |
| Maschinen und Werkzeuge  | Machines and Tools             | Wirtschaft und Ökonomie | Economy             |  |
| Spiele und Sport         | Games and Sports               | Menschliche Beziehungen | Human Relationships |  |
| Geld und Handel          | Money and Economic Transaction | Kommunikation           | Communication       |  |
| Kochen und Essen         | Cooking and Food               | Zeit                    | Time                |  |
| Hitze und Kälte          | Heat and Cold                  | Leben und Tod           | Life and Death      |  |
| Licht und Dunkelheit     | Light and Darkness             | Religion                | Religion            |  |
| Kräfte                   | Forces                         | Ereignis und Handlung   | Event and Action    |  |
| Bewegung und Richtung    | Movement and Direction         |                         |                     |  |
| Geräusch und Klang       | Sound                          |                         |                     |  |

Table 1: Source and target domains.

#### 3.2 Domains of Meaning Shifts

The *Master Metaphor List (MML)* provides the most extensive list of source–domain shift definitions but has been criticised for being incomplete regarding corpus annotations (Lönneker-Rodman, 2008; Shutova and Teufel, 2010), cf. Section 2. In addition, we found the MML and an extended subset as provided by Shutova and Teufel (2010) impractical to apply because the lists use too many categories that are based on too diverse motivations, such as event structures (e.g., change, causality, existence, creation) vs. event types (e.g., mental objects, beliefs, social forces).

Instead, our source and target domains were taken from specifications in (Kövecses, 2002), which we assumed to ensure a more stratified and generally applicable set of domains involved in meaning shifts. Table 1 lists all 13 source and 12 target domains by Kövecses (2002), including both the original English terms from Kövecses (2002) and the German translations that we used in our collection. Regarding the source domains, we added one domain to Kövecses' original list, i.e., SOUND, which we expected to play a role in BV–PV meaning shifts (Springorum et al., 2013).

#### 3.3 Spatial Directionality Arrows

According to Viberg (1983), spatial experience provides a cognitive structure for the concepts underlying language. Given that we focus on PVs with prepositional particles (*ab, an, auf, aus*), we assume that the particles are spatially grounded, similar to preposition meanings which indicate spatial fundamentals (Herskovits, 1986; Dirven, 1993) and structure space regarding location, orientation, and direction (Zwarts, 2017). We decided to focus on directionality as a central function in space, and to use arrows as visual expressions of directional meaning, given that (i) visual expressions are supposedly analogous expressions in language and categorise meaning, cf. Tversky (2011); (ii) arrows are asymmetric lines that "fly in the direction of the arrowhead" and provide structural organisation (Heiser and Tversky, 2006; Tversky, 2011); and (iii) directed arrows provide a simple but unambiguous depictive expression for direction in space. Our selection of arrows uses the four basic directions

| UP ↑              | $\text{LEFT} \leftarrow$   |  |
|-------------------|----------------------------|--|
| DOWN $\downarrow$ | $_{\rm RIGHT} \rightarrow$ |  |

# 4 Dataset<sup>3</sup>

In this section, we describe our collection of meaning components from three different perspectives: the instructions for annotators (Section 4.1), a broad qualitative description of the dataset (Section 4.2), and classification experiments to verify the quantitative value of the resource (Section 4.3).

## 4.1 Annotation Instructions

We randomly distributed BVs and PVs over lists with 35 verbs each. The annotators were asked

- (i) to choose one or more pre-defined semantic domain classes for each verb,
- (ii) to provide an example sentence to illustrate the class assignment, and
- (iii) to select an arrow that intuitively corresponds to the generated example sentence.

<sup>&</sup>lt;sup>3</sup>The dataset is publicly available from www.ims. uni-stuttgart.de/data/pv-bv-domains/.



Figure 1: Example annotation for the verb *heulen* 'to howl' with (i) a selection of three source domain classes, (ii) the corresponding three sentences, and (iii) the corresponding three arrows.

The classes (i.e., the source domains in the BV lists, and the target domains in the PV lists) were described by key words (e.g., the German equivalents of *appearance, growth, cultivation, care, use* for the source domain PFLANZEN 'PLANTS'). Then, the annotators were provided one example annotation (cf. Figure 1 for the verb *heulen* 'to howl') before they started the annotation process.

#### 4.2 Qualitative Description

The annotations enable multiple views into meaning components of the underlying BVs and PVs on a token basis. In the following, we provide selected analyses and interactions regarding domains and directions (Section 4.2.1) and non-literal language and meaning shifts (Section 4.2.2).

## 4.2.1 Analyses of Domains and Directions

Table 2 shows the total number of sentences that were generated by the participants, and the pro-

portions per domain. Similarly, Table 3 shows the proportions per arrow type across the generated sentences.

In total, we collected 2,933 sentences across the 138 BVs and the 14 source domains, and 4,487 sentences across the 323 PVs and the 12 target domains. We find a rather skewed distribution for the number of sentences per verb type, varying between 2–47 for BVs and 1–30 for PVs; still, the collection comprises  $\geq$ 10 sentences per verb for 134 out of 138 BVs (97%), and for 277 out of 323 PVs (86%), as illustrated in the number of sentences per verb type in Figures 2 and 3.



Figure 2: Number of generated sentences per BV.



Figure 3: Number of generated sentences per PV.

The distribution of source domain sentences across domains ranges from a proportion of 3.41% for the domain FORCES up to 14.69% for the domain HUMAN BODY. The distribution of target domain sentences is more skewed, ranging from 0.47% for the domain RELIGION up to 33.88% for the domain EVENT/ACTION. Regarding directional information, we find a considerably low proportion of  $\approx 10\%$  for the left arrow ( $\leftarrow$ ), while the other three directions (up, down, right) received between 22% and 30%. Table 3 also shows that participants often chose more than one arrow for a specific generated sentence. We list those nine arrows and arrow combinations that were selected >50 times in total, i.e., across BV and PV sentences.

| Source Domains         | No. of Sentences |         | Target Domains      | No. of Sentence |         |
|------------------------|------------------|---------|---------------------|-----------------|---------|
| Human Body             | 431              | 14.69%  | Event/Action        | 1,520           | 33.88%  |
| Animals                | 322              | 10.98%  | Economy             | 460             | 10.25%  |
| Health/Illness         | 251              | 8.56%   | Emotion/Feeling     | 452             | 10.07%  |
| Machines/Tools         | 242              | 8.25%   | Human Relationships | 383             | 8.54%   |
| Games/Sports           | 211              | 7.19%   | Life/Death          | 365             | 8.13%   |
| Cooking/Food           | 210              | 7.16%   | Time                | 292             | 6.51%   |
| Plants                 | 207              | 7.06%   | Thought             | 284             | 6.33%   |
| Economic Transaction   | 190              | 6.48%   | Communication       | 280             | 6.24%   |
| Buildings/Construction | 167              | 5.69%   | Society/Nation      | 181             | 4.03%   |
| Sound                  | 165              | 5.63%   | Desire              | 150             | 3.34%   |
| Heat/Cold              | 156              | 5.32%   | Morality            | 99              | 2.21%   |
| Movement/Direction     | 154              | 5.25%   | Religion            | 21              | 0.47%   |
| Light/Darkness         | 127              | 4.33%   | _                   |                 |         |
| Forces                 | 100              | 3.41%   |                     |                 |         |
| Total:                 | 2,933            | 100.00% | Total:              | 4,487           | 100.00% |

Table 2: Source and target domains: number and proportions of generated sentences per domain.

| Source Domain Directions       | No. of Sentences |         | Target Domain Directions   | No. of Sentences |         |
|--------------------------------|------------------|---------|----------------------------|------------------|---------|
| ↓                              | 879              | 29.97%  | $\rightarrow$              | 1,300            | 28.97%  |
| $\uparrow$                     | 782              | 26.66%  | ↓                          | 1,218            | 27.15%  |
| $\rightarrow$                  | 648              | 22.09%  | ↑                          | 1,113            | 24.80%  |
| $\leftarrow$                   | 270              | 9.21%   | $\leftarrow$               | 462              | 10.30%  |
| $\leftrightarrow$              | 128              | 4.36%   | $\leftrightarrow$          | 178              | 3.97%   |
| $\leftrightarrow \updownarrow$ | 58               | 1.98%   | $\downarrow \rightarrow$   | 52               | 1.16%   |
| \$ .                           | 50               | 1.70%   | $\uparrow \rightarrow$     | 44               | 0.98%   |
| $\uparrow $                    | 16               | 0.55%   | $\uparrow \leftrightarrow$ | 28               | 0.62%   |
| $\downarrow \rightarrow$       | 12               | 0.41%   | \$                         | 27               | 0.60%   |
| other combinations             | 69               | 0.24%   | other combinations         | 54               | 1.20%   |
| no choice                      | 21               | 0.72%   | no choice                  | 21               | 0.47%   |
| Total:                         | 2,933            | 100.00% | Total:                     | 4,487            | 100.00% |

Table 3: Directional information: number and proportions of selected arrows and arrow combinations.

| BV/PV | Domain              | Direction                      | Sentence  |  |
|-------|---------------------|--------------------------------|---|--|
| BV    | LIGHT/DARKNESS      | ↑                              | Der Diamant <b>funkelt</b> im Licht.                      |  |
|       |                     |                                | 'The diamond sparkles in the light.'                      |  |
| BV    | PLANTS              | ↓ ↓                            | Die Blätter <b>fallen</b> von den Bäumen.                 |  |
|       |                     |                                | 'The leaves fall from the trees.'                         |  |
| BV    | Forces              | $\leftarrow$                   | Er <b>bog</b> das Kupferrohr.                             |  |
|       |                     |                                | 'He bent the copper pipe.'                                |  |
| BV    | Animals             | $\leftrightarrow$              | Die Bullen <b>fechten</b> miteinander.                    |  |
|       |                     |                                | 'The bulls fence with each other.'                        |  |
| BV    | HEAT/COLD           | $\leftrightarrow \updownarrow$ | Das Feuer <b>brennt</b> heiβ.                             |  |
|       |                     |                                | 'The fire is burning hot.'                                |  |
| PV    | MORALITY            | $\downarrow$                   | Du solltest von deinem hohen Ross <b>absteigen</b> .      |  |
|       |                     |                                | 'You should step down off your pedestal.'                 |  |
| PV    | EMOTION/FEELING     | $\uparrow$                     | Der Druck wächst kurz vor der Präsentation an.            |  |
|       |                     |                                | 'The pressure increases shortly before the presentation.' |  |
| PV    | HUMAN-RELATIONSHIPS | $\rightarrow$                  | Sie lässt ihn eiskalt <b>abblitzen</b> .                  |  |
|       |                     |                                | 'She turns him down cold-bloodedly.'                      |  |
| PV    | LIFE/DEATH          | \$                             | Musst du mein ganzes Leben aufwühlen?                     |  |
|       |                     |                                | 'Do you have to chum up my whole life?'                   |  |
| PV    | COMMUNICATION       | $\leftrightarrow$              | Er kauft ihr die Lüge problemlos ab.                      |  |
|       |                     |                                | 'He believes her lie without any doubts.'                 |  |

Table 4: Example BV and PV sentences with selected domains and directions.



(a) BV source domains and directionality.

(b) PV target domains and directionality.

Figure 4: Interaction of domains and directionality.

Figure 4 illustrates how source and target domains interact with the arrows as indicators of directionality. As in the overall picture in Table 3, the proportions for the direction LEFT are considerably lower than for the other directions, with few domains receiving up to 15-17%: FORCES and MONEY/ECONOMIC TRANSACTION in the source sentences, and MORALITY and RELIGION in the target sentences. The direction RIGHT is a very strong indicator of the source domains **MOVEMENT/DIRECTION**, GAMES/SPORTS, MACHINES/TOOLS and the target domains COMMUNICATION, TIME, THOUGHT, SOCI-ETY/NATION; the direction UP is a very strong indicator of the source domains LIGHT/DARKNESS, PLANTS and COOKING/FOOD and the target do-EMOTION/FEELING and mains RELIGION; the direction DOWN is a very strong indicator of the source domains HEALTH/ILLNESS, HEAT/COLD, FORCES, PLANTS and the target domain LIFE/DEATH; all of these strong indicators received proportions >35%. Table 4 presents example sentences for some BV and PV domain/arrow combinations.

Figure 5 breaks down the information on arrow directions across the four particle types. While the particles are notoriously ambiguous, we can see that across the PV target domain sentences three of the particle types (*ab*, *auf*, *aus*) show a predominant directional meaning, i.e., DOWN, UP, RIGHT, respectively. The particle *an* is more flexible in its directional meaning, which confirms prior assumptions (Frassinelli et al., 2017).



Figure 5: Directionality of particle types.

## 4.2.2 Analyses of Meaning Shifts

We now take the first steps into analysing nonliteral language and meaning shifts within our collection. We started out by assuming that "meaning shifts for German PVs frequently take place when combining a BV from a concrete source domain with a particle, resulting in a PV meaning (possibly among other meanings) related to an abstract target domain". Consequently, the generated PV sentences are expected to (i) represent shifted, non-literal language meanings and to (ii) exhibit abstract meanings, both considerably more often than the generated BV sentences.

(Non-)Literal BV/PV Language Usage We asked three German native speakers to annotate the 2,933/4,487 BV/PV sentences with ratings on a 6-point scale [0,5], ranging from clearly literal (0) to clearly non-literal (5) language. Dividing the scale into two disjunctive ranges [0, 2] and [3, 5] broke down the ratings into binary decisions.

Table 5 shows the numbers and proportions of BV/PV sentences that were annotated as literal vs. non-literal language usage, distinguishing between full agreement (i.e., all annotators agreed on the binary category) and majority agreement (i.e., at least two out of three annotators agreed on the binary category). We can see that the proportions of non-literal sentences are indeed considerably larger for PVs than for BVs (14.8% vs. 3.2% for full agreement, and 29.5% vs. 14.8% for majority agreement), thus indicating a stronger non-literal language potential for German PVs in comparison to their BVs. Contrary to our assumptions, the participants in the generation experiment also produced a large number of literal sentences for PVs. In our opinion this indicates (a) the ambiguity of German PVs, which led participants to refer to literal as well as non-literal senses; and (b) that the presumably strongly abstract target domain definitions did not necessarily enforce nonliteral senses.

|     |      | literal |       | non-  | literal |
|-----|------|---------|-------|-------|---------|
| BVs | full | 2,443   | 83.3% | 94    | 3.2%    |
|     | maj  | 2,674   | 91.2% | 259   | 8.8%    |
| PVs | full | 2,174   | 48.5% | 666   | 14.8%   |
|     | maj  | 3,150   | 70.2% | 1,337 | 29.5%   |

Table 5: (Non-)literal language usage in generated BV/PV sentences.

Abstractness in BV/PV Sentences As meaning shifts typically take place as a mapping from a source to a target domain, where the target domain is supposedly more abstract than the source domain, we expect our sentences in the target domains to be more abstract than those in the source domains. Figure 6 shows that this is the case:



Figure 6: Average concreteness of nouns in BV/PV sentences, categorised by directionality.

Relying on abstractness/concreteness ratings of a semi-automatically created database (Köper and Schulte im Walde, 2016a), we looked up and averaged over the ratings of all nouns in a sentence. The ratings range from 0 (very abstract) to 10 (very concrete). We can see that across directions the literal sentences are more concrete than the non-literal sentences. In addition, we can see that the differences in abstractness are much stronger for the PV target-domain sentences than for the BV source-domain sentences.

**Particle Meaning Shifts** Figure 7 once more illustrates preferences in arrow directions across the four particle types, but is –in contrast to Figure 5– restricted to the non-literal PV sentences (full agreement). For particles *ab* and *auf* we hardly find differences when specifying on non-literal language usage; for both *an* and *aus* we find an increase of DOWN meanings in non-literal language usage, which goes along with a decrease of LEFT meanings for *an* and a decrease of RIGHT meanings for *aus*. So within our collection we find some evidence for meaning shifts within PV types for the two particle types *an* and *aus* but not for *ab* and *auf*, which seem to stay with their predominant vertical meanings also in non-literal language.

| $\downarrow$  | 58.442 | 17.241 | 28.965 | 27.82  |
|---------------|--------|--------|--------|--------|
| $\uparrow$    | 7.143  | 73.399 | 25.517 | 13.534 |
| $\rightarrow$ | 25.974 | 4.434  | 26.897 | 53.383 |
| $\leftarrow$  | 8.442  | 4.926  | 18.621 | 5.263  |
|               | ab     | auf    | an     | aus    |

Figure 7: Directionality of particle types restricted to non-literal sentences.

**Source–Target Domain Meaning Shifts** Figure 8 presents meaning shifts as strengths of relationships between source and target domains, when looking at only literal BV sentences and non-literal PV sentences. The cells in the heat map present the results of multiplying the target domain degrees of membership across all PVs with the source domain degrees of membership of their respective BVs. We applied positive pointwise mutual information (PPMI) weighting to avoid a bias towards popular classes. Examples of particularly strong combinations are PLANTS  $\rightarrow$  TIME (e.g., *blühen*  $\rightarrow$  *aufblühen*); and SOUND  $\rightarrow$  COMMUNICATION (e.g., *bellen*  $\rightarrow$  *anbellen*).



Figure 8: Source-target domain shifts.

## 4.3 Verification

While the previous section illustrated the value of the collection from a qualitative perspective, we also verified the information through computational approaches. We applied standard classifiers to predict source domains, target domains as well as directionality, given the underlying sentences. Our baseline is provided by *Majority*, which refers to the performance obtained by guessing always the largest class. For the target domains this majority provides a considerably high baseline with an accuracy of 33.95%, due to the very large class EVENT/ACTION. We therefore added a branch of experiments excluding this class (Target<sub>2</sub>).

As the most general set of features we used  $Uni_{word}$ , a simple bag-of-words method where we counted how many times a certain unigram has been seen for a class. We implemented this method using Multinomial Naive Bayes. Similarly, we conducted experiments using  $Uni_{lemma}$  instead of  $Uni_{word}$ , which we expected to increase the chance of observing the unigram features.

Affective is a meaning-shift-related feature type. It relies on a range of psycholinguistic norms such as valency, arousal and concreteness/abstractness, which are supposedly salient features for meaning shifts and directions (Turney et al., 2011; Dudschig et al., 2015; Köper and Schulte im Walde, 2016b). We represented each sentence by providing an average affective score over all nouns, as taken from the semi-automatically created database by Köper and Schulte im Walde (2016a). Finally we combined the above features (*Combination*). We relied on the affective norms, the lemma unigram features as well as the directionality information for domain prediction, or the domain information for directionality prediction.

Tables 6 and 7 present the accuracy results of classifying the generated sentences into domains and directionalities, respectively. According to the  $\chi^2$  test and p < 0.001, all our feature sets except for the affective norms in Table 7 outperform the baseline significantly, both individually and in combination. We thus conclude that also from a quantitative perspective the collection represents a valuable resource for complex verb meaning.

| Feature Set          | Method      | Source | Target | Target <sub>2</sub> |
|----------------------|-------------|--------|--------|---------------------|
| Majority             | Baseline    | 14.82  | 33.45  | 15.46               |
| Affective            | SVM         | 30.95  | 40.61  | 31.50               |
| Uni <sub>word</sub>  | Naive Bayes | 54.15  | 43.40  | 42.60               |
| Uni <sub>lemma</sub> | Naive Bayes | 57.09  | 44.74  | 43.84               |
| Combination          | SVM         | 60.74  | 49.87  | 45.46               |

Table 6: Predicting domains.

| Feature Set          | Method      | Source | Target |
|----------------------|-------------|--------|--------|
| Majority             | Baseline    | 34.09  | 31.74  |
| Affective            | SVM         | 40.93  | 35.63  |
| Uni <sub>word</sub>  | Naive Bayes | 48.56  | 55.27  |
| Uni <sub>lemma</sub> | Naive Bayes | 52.28  | 56.94  |
| Combination          | SVM         | 49.18  | 55.93  |

Table 7: Predicting directionality.

# 5 Conclusion

We presented a new collection to assess meaning components in German complex verbs, by relying on a novel strategy to obtain source and target domain characterisations as well as spatial directional information via sentence generation rather than sentence annotation. A broad qualitative description of the dataset and a series of standard classification experiments assessed the reliability of the novel collection.

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