

# Experimental Design to Improve Topic Analysis Based Summarization

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## Abstract

We use efficient screening experiments to investigate and improve topic analysis based multi-document extractive summarization. In our summarization process, topic analysis determines the weighted topic content vectors that characterize the corpora, and then Jensen-Shannon divergence extracts sentences that best match the weighted content vectors to assemble the summaries. We use screening experiments to investigate several control parameters in this process, gaining better understanding of and improving the topic analysis based summarization process.

## 1 Introduction

We use efficient experimental design to investigate and improve topic analysis based multiple document extractive summarization. Our process proceeds in two steps: Latent Dirichlet Analysis (LDA) topic analysis determines the topics that characterize the multi-document corpus, and Jensen-Shannon divergence selects sentences from the corpus. This process offers many potential control settings for understanding and improving the summarization process.

Figure 1 shows topic analysis with corpus input, control settings, and product outputs of topics and probability estimates of topic compositions and document mixtures. There are controls for document preparation (headlines) and analysis (number of topics, initial  $\alpha$  and  $\beta$ , number of iterations, and whether to optimize  $\alpha$  and  $\beta$  in process).

Figure 2 shows summarization with corpus and topic inputs, control settings, and the text summarization product. There are controls for extraction of sentences (Extract  $\alpha$  and JSD Divisor) and for composing the summary (Order policy).

Topic analysis has become a popular choice for text summarization as seen in Text Analysis Con-

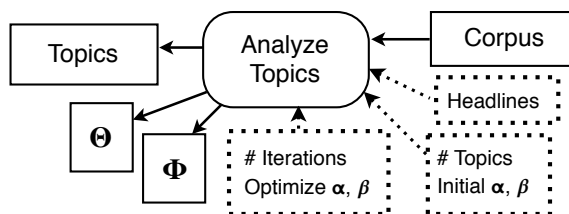


Figure 1: Topic Analysis

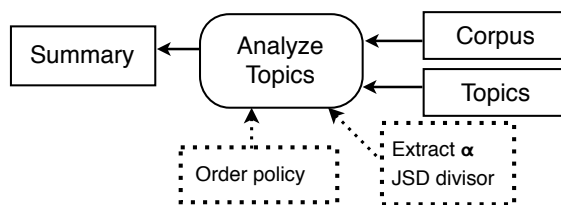


Figure 2: Text Summarization

ferences (TAC, 2010; TAC, 2011) with individual team reports (Delort and Alfonseca, 2011; Lui et al., 2011; Mason and Charniak, 2011). Nenkova and McKeown (2012; 2011) included topic analysis among standard methods in their surveys of text summarization methodologies. Haghighi and Vanderwende (2009) explored extensions of LDA topic analysis for use in multiple document summarization tasks. Yet there are many control settings that can affect summarization that have not been explicitly studied or documented, and that are important for reproducing research results.

In this text summarization pilot study, we experiment with several control settings. As in Mason and Charniak (2011) we do a general rather than guided summarization. Our primary contribution is illustrating the use of efficient experimental design on control settings to help understand and improve the text summarization process. We enjoy some success in this endeavor even as we are surprised by some of our results.

## 2 Technical Background

### 2.1 LDA Topic Analysis

LDA topic analysis uses a per document bag of words approach to determine topic compositions of words and document mixtures of topics. Analysis constructs topic compositions and document mixtures by assigning words to topics within documents. Weighted topic compositions can then be used as a basis for selecting the most informative text to include in summarizations.

LDA topic analysis is based on a generative probabilistic model. Document mixtures of topics are generated by a multinomial distribution,  $\Theta$ , and topic compositions of words are generated by a multinomial distribution,  $\Phi$ . Both  $\Theta$  and  $\Phi$  in turn are generated by Dirichlet distributions with parameters  $\alpha$  and  $\beta$  respectively. Figure 3 (Steyvers and Griffiths, 2007) shows a corpus explained as the product of topic word compositions ( $\Phi$ ) and document topic mixtures ( $\Theta$ ).

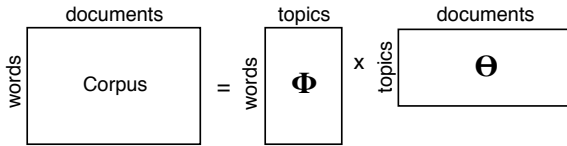


Figure 3: Topic Model

The joint distribution of words and topics (Griffiths and Steyvers (2004)) is given by  $P(\mathbf{w}, \mathbf{z}) = P(\mathbf{w}|\mathbf{z})P(\mathbf{z})$  where in generating a document the topics are generated with probability  $P(\mathbf{z})$  and the words given the topics are generated with probability  $P(\mathbf{w}|\mathbf{z})$ . Here

$$P(\mathbf{w}|\mathbf{z}) = \left( \frac{\Gamma(\beta_{\bullet})}{\Gamma(\beta)^V} \right)^Z \prod_{z=1}^Z \frac{\prod_v \Gamma(n_{zv} + \beta)}{\Gamma(n_{z\bullet} + \beta_{\bullet})}, \quad (1)$$

where  $n_{zv}$  is the number of times word  $v$  occurs in topic  $z$ ,  $n_{z\bullet}$  is the number of times topic  $z$  occurs,  $\beta_{\bullet}$  is the sum of the  $\beta$  scalar over all word types, and  $\Gamma(\cdot)$  is the gamma function (Knuth, 2004), and

$$P(\mathbf{z}) = \left( \frac{\Gamma(\alpha_{\bullet})}{\Gamma(\alpha)^Z} \right)^D \prod_{d=1}^D \frac{\prod_z \Gamma(n_{zd} + \alpha)}{\Gamma(n_{\bullet d} + \alpha_{\bullet})}, \quad (2)$$

where  $n_{zd}$  is the number of times topic  $z$  occurs in document  $d$ ,  $n_{\bullet d}$  is the number of times document  $d$  occurs, and  $\alpha_{\bullet}$  is the sum of  $\alpha$ s over topics.

Analysis reverses the generative model. Given a corpus, topic analysis identifies weighted topic word compositions and document topic mixtures from the corpus. We assign topics to words in the training corpus using Gibbs sampling (Gelman et al., 2004) where each word is considered in turn in making the topic assignment. We monitor training progress by  $\log P(\mathbf{w}, \mathbf{z})$  where a greater  $\log P(\mathbf{w}, \mathbf{z})$  indicates better fit. After sufficient iterations through the corpus the  $\log P(\mathbf{w}, \mathbf{z})$  typically converges to steady state.

Analysis products are topic determinations for the corpus as well as weighted estimates of topic word compositions  $\Phi$  and document topic mixtures  $\Theta$ . The  $\alpha$  and  $\beta$  priors are optimized (re-estimated) during training and the asymmetric  $\alpha$  which varies by topic can be used as a measure of topic importance in our summarization step.

The topic analysis implementation used in this pilot study borrows from the UMass Mallet topic analysis (McCallum, 2002).

### 2.2 Jensen-Shannon Divergence

From the topic word compositions and optimized  $\alpha$ s, we form a weighted aggregate vector of the prominent topics, and select sentences from the corpus that have minimal divergence from the aggregate topic. *The operating assumption is that the aggregate topic vector adequately represents the content of an ideal summary.* So the closer to zero divergence from the aggregate topic, the closer we are to the ideal summary.

We seek to minimize the Jensen-Shannon Divergence,  $JSD(C||T)$ , a symmetric Kullback-Liebler (KL) divergence, between the extractive summary content,  $C$ , and the aggregate topic,  $T$ , using a greedy search method of adding at each pass through the corpus the sentence that most reduces the divergence. Haghighi and Vanderwende (2009) made similar use of KL divergence in their *Topic Sum* method.

In preliminary studies, this minimize JSD criterion seemed to give overly long sentences because the greedy method favored the greatest reduction in JSD regardless of the length of the sentence. This affected readability and rapidly used up all available target document size. Therefore we modified the greedy search method to consider sentence length as well.<sup>1</sup>

<sup>1</sup>Global optimization of  $JSD(C||T)$  could address both of these issues; we will investigate this option in a future effort.

In selecting each new sentence we seek to maximize the reduction in divergence corrected for sentence length

$$\frac{(JSD(C_{t-1}||T) - JSD(S_t, C_{t-1}||T))}{function(length(S_t))}, \quad (3)$$

where  $S_t$  is the sentence under consideration and  $C_{t-1}$  is the content from the previously completed iterations, and the function of length of  $S_t$ , is either the constant 1 (i.e. no correction for sentence length) or  $\sqrt{length(S_t)}$ .

### 3 Pilot Study Using TAC 2010 Samples

Our goal is to investigate and optimize factors that impact multi-document extractive summarization. We hope to subsequently extend our findings and experience to abstractive summarization as well.

For our pilot, we’ve chosen summarization of the 2010 Text Analysis Conference (2010) sample themes, which are conveniently available and of a manageable size. The three sample themes are from different summarization categories out of a total of 46 news themes over five different categories, with 10 original and 10 follow-up news reports each. In the original TAC 2010 task, participants were asked to do focused queries varying with the summarization category. In our pilot we perform an undirected summarization of the original news reports.

NIST provides 4 model summaries for each news theme annotated for the focused summary, and we use these model summaries in scoring our extractive summarizations.<sup>2</sup> We also include a measure of fluency in our assessment.

Our document summarization task is then: multiple document extractive summarization using 10 documents of less than 250 words each to construct summaries of 100 words.

#### 3.1 Preliminary Results of Topic Analysis

Topic analysis is such a complex methodology that it makes sense to fix some parameters before using it in the summarization process.

We use the commonly accepted initial  $\alpha$  value of 1 for each topic giving a sum of  $\alpha$  values equal to the number of topics. Later, we experiment with a single individual topic initial  $\alpha$  value, but we always maintain an initial  $\alpha$  sum equal to the number of topics. Likewise we use the scalar  $\beta$  value

<sup>2</sup>Comparison of our summarization results versus the TAC 2010 task will necessarily be imprecise given the differences in focus of our pilot study from TAC 2010.

0.1 typical of a modest number of word types (less than 1000 in this study).

In prior studies, we found that re-estimating  $\alpha$  and  $\beta$  frequently adds little cost to topic analysis and drives better and more rapid convergence. We optimize  $\alpha$  and  $\beta$  every 5 iterations, starting at iteration 50.

#### How Many Topics to Use

The number of topics depends on the problem itself. The problem of size of  $\approx 2000$  words per news theme would indicate a number of topics between 3 and 20 as adequate to explain document word use where the  $\log(|Corpus|)$  is the minimum and  $\sqrt{|Corpus|}$  is the maximum number of topics to use (Meilă, 2007).

A common way to select the correct number of topics is to optimize  $\log P(w)$  on held-out documents, where greater log likelihoods indicate a better number of topics. While it would be impractical to do such a study for each news theme or each document summary, it is reasonable to do so on a few sample themes and then generalize to similar corpora. We look at log likelihood for 3, 5, and 10 topics using the TAC 2010 sample themes. As there are only 10 documents for each theme, we use the TAC 2010 update documents as held-out documents for calculating the log likelihoods.

Topic word distributions,  $\Phi$ , from training are used to infer document mixtures,  $\Theta$ , on the held-out data, and the  $\log P(w)$  is calculated (Teh et al., 2007) as:

$$P(w) = \prod_{d,i} \left( \sum_z \frac{n_{zw_i} + \beta}{n_{z\bullet} + \beta\bullet} \frac{n_{zd} + \alpha}{n_{\bullet d} + \alpha\bullet} \right), \quad (4)$$

where the sum is over all possible topics for a given word and the product is over all documents and words.

Table 1 shows mean log likelihoods for the news themes at 3, 5 and 10 topics each. There is little practical difference between the log likelihood measures even though the 3 topic model has a significantly lower log likelihood ( $p < 0.05$ ) than the 5 and 10 topic models. We assess topic quality more directly to see which model is better.

3 Topics	5 Topics	10 Topics
-6.00	-5.97	-5.96

Table 1: Held-out Log Likelihood Number Topics.

Useful topic quality measures are:

**Importance** measured by number of documents (or optimized  $\alpha$ s). Low importance topics, with very few documents related to a topic, indicate that we have more topics than necessary. While not a fatal flaw, the topic model may be over fit.

**Coherence** measured as a log sum of co-occurrence proportions of each topic's high frequency words across multiple documents (Mimno et al., 2011). The more negative the coherence measure, the poorer the coherence. A few poor coherence topics is not fatal, but the topic model may be over fit.

**Similarity to other topics** measured by cosine distance between topic vectors is undesirable. The more similar the topics, the more difficult it is to distinguish between them. Many similar topics makes it difficult to discriminate among topics over the corpus.

Reviewing the document quality for 3, 5 and 10 topics we find:

- More low importance topics in 10 versus 5 and 3 topic models,
- Somewhat better topic coherence in 3 and 5 topic models,
- Undesirable greater topic similarity for the 3 versus 5 versus 10 topic models.

We choose the 10 topic model giving higher priority to the problem of undesirable topic similarity, recognizing that we may get some unimportant or less coherent topics. As our summarization process only uses the most important topics for the aggregate topic, the occasional unimportant and less coherent topic should not matter.

### Document Preparation

Document cleaning removed all HTML, as well as all header information not related to the articles themselves; document dates, references, and headlines were saved for use in the document summarization step. Document headlines were optionally folded into the document text. Stop words were removed and remaining words lemmatized for topic analysis.

## 4 Design of Experiments

As our information about the various controls in the process and the expected results is fairly rudimentary, we use efficient screening experimental

designs to evaluate several factors at the same time with a minimum number of trials. We define the factors (control parameters) in our experiment, the dependent variables we will measure, and finally select the screening design itself.

Most of the process of topic analysis will remain fixed such as the use of 10 topics, initial  $\alpha$  sum of 10, initial scalar  $\beta$  of 0.1, optimization of  $\alpha$  and  $\beta$  every 5 iterations and 500 total iterations before saving the final topic vector weights and corresponding topic alphas.

From our experimentation we hope to find:

- Factors impacting dependent variables,
- Gross magnitude of impact on dependent variables,
- Factors to followup with in more detail.

### 4.1 Experimental Factors

In screening experiments, we chose factors about which we have crude information, and which we think could impact intermediate or final product results. To learn as much as possible about factor effects, we choose to vary them between default and extreme settings or between two extremes where we hope to see some positive impact.

Our experimental factors are:

**Save headline** text as part of document preparation (Yes, No). Headlines often contain important summary information. We test to see if such information improves summaries.

**Single fixed**  $\alpha$  proportion of the  $\alpha$  sum (\*, 0.5). Topic analysis typically selects (weights) a few important topic vectors with substantial proportions of the  $\alpha$  sum. We want to see if biasing selection of a *single* important vector at a 0.5 proportion of the  $\alpha$  sum improves summaries versus unbiased  $\alpha$  weighting (\*).

**Aggregate topic policy** as a proportion of the  $\alpha$  sum for selecting the topic aggregate used in summarization (0.5, 0.75). We order topics based on the optimized (re-estimated)  $\alpha$ s and aggregate topics summing and weighting by the  $\alpha$ s until we reach the aggregate topic policy proportion. We want to see which policy (0.5 or 0.75) proportion of the  $\alpha$  sum results in better summaries.

**JSD divisor** to use with iterative greedy search for sentences (ONE, SQRT). Prior work shows the JSD Divisor impacts the length of

sentences selected. We test the impact on the summaries themselves.

**Order policy** for constructing the summary from selected sentences (DATE-DOC, SALIENCE-DOC). Ordering sentences by news report date or by salience as measured by reduction in JSD should impact the fluency of summaries.

## 4.2 Dependent Variables

We want readable and informative text that summarizes content of the input documents in the allowable space. We measure several intermediate process variables as well as evaluate the summaries themselves.

Intermediate measures include:

- Initial selected sentence Jensen-Shannon divergence from the aggregate topic. The first sentence selected should substantially reduce divergence.
- Final selected sentence Jensen-Shannon divergence from the aggregate topic. Divergence close to zero would indicate broad coverage of the aggregate topic; it may be related to summary content.
- Number of topics in the aggregate topic.
- Average sentence length. This should be impacted by the JSD divisor; it may be related to summary fluency.

ROUGE (Lin, 2011) is a package for automatic evaluation of summaries that compares system produced summaries to model (gold standard) summaries and reports statistics such as R-2, bi-gram co-occurrence statistics between system and model summaries, and SU4, skip bi-gram co-occurrence statistics where word pairs no more than 4 words apart may also be counted as bi-grams. The R-2 and SU4 are automated content measures reported for TAC 2010, and the gold standard summaries are readily available for the samples topics. We use ROUGE R-2 and SU4 as reliable dependent measures and for comparison to TAC 2010 results.

We add a simple measure of fluency focused on across sentence issues. The fluency score starts at a value of 5 and then subtracts: 1 for each *non sequitur* or obvious out of order sentence,  $1/2$  for each missing co-reference, non-informative, ungrammatical, or redundant sentence. For sentences of less than 20 words, when more than one

penalty applies only the most severe penalty is applied, so as not to penalize the same short phrase multiple times. Scoring is done by one of the authors without knowing the combination of experimental factors of the summary (blind scoring).

Summary measures thus include: ROUGE R-2, ROUGE SU4, and Fluency.

## 4.3 Select Experimental Design

Screening designs focus on detecting and assessing main effects and optionally low order interaction effects. When all experimental factors are continuous, center points may also be included in some designs. In subsequent stages of experimentation, when factors have been reduced to a minimum, one can use more fine grained factor settings to better map the response surface for those factors. Two common families of screening designs (Montgomery, 1997) are:

**Two level fractional factorial** Uses a power of  $1/2$  fraction of a full two level factorial design. For example, instead of running all possible combinations of 5 factors (i.e. 32 trials), you could choose a  $1/2$  or even  $1/4$  fraction of the design, based on how many experiments you can run and how much confounding you are willing to accept between main effects and various interaction effects. The  $1/2$  fraction of a 5 factor design would result in 16 trials being run with the main effects estimated clear of any 2-way or 3-way interactions.

**Plackett-Burman** These screening designs are available in multiples of 4 trials and can have as many factors as the number of trials less one. Main effects are confounded with all other effects in the Plackett-Burman design and so not estimable, but the confounding is spread evenly among all main effects rather than concentrated in specific interactions as in the fractional factorial.

We've chosen the 12 run Plackett-Burman design with 5 factors and 6 degrees of freedom from the unassigned (dummy) factors available to estimate error. Assuming sparsity of effects (or equivalently invoking the Pareto principal), there will likely only be a few critical factors explaining much of the variation in dependent variables.

Table 2 shows the resulting Plackett-Burman design excluding dummy factors.

Run	Fixed Alpha	Aggr Topic	JSD Div	Order	Head Line
1	.5	.75	ONE	SAL	YES
2	*	.75	SQRT	DATE	YES
3	.5	.5	SQRT	SAL	NO
4	*	.75	ONE	SAL	YES
5	*	.5	SQRT	DATE	YES
6	*	.5	ONE	SAL	NO
7	.5	.5	ONE	DATE	YES
8	.5	.75	ONE	DATE	NO
9	.5	.75	SQRT	DATE	NO
10	*	.75	SQRT	SAL	NO
11	.5	.5	SQRT	SAL	YES
12	*	.5	ONE	DATE	NO

Table 2: Plackett-Burman 12 DOE.

## 5 Experimental Results

We analyze our experiment using conventional analysis of variance (ANOVA) and show tables of means for the various experimental conditions. As this is a screening experiment, we treat a  $p$ -value  $< 0.20$  as *informative* and consider the corresponding factor worth further consideration. To save space, only significant  $p$ -values are reported rather than the full ANOVAs.

### 5.1 Intermediate Measures

*Number of topics* in the aggregate topic is directly impacted by the AggrTopic setting; we simply report the mean number of topics selected by AggrTopic value (Table 3). The 1.0 average number of topics for AggrTopic set to 0.5 indicates that only *one* topic was ever selected for the aggregate topic at this setting. This implies that the most important topic always had an  $\alpha$  proportion  $> 0.50$  of the  $\alpha$  sum even when the FixedAlpha setting was \* (for unbiased  $\alpha$  weighting). This is unexpected in that we thought the most important topic  $\alpha$  determined by topic analysis would be more variable and show some  $\alpha$  values with proportions less than 0.5 of the  $\alpha$  sum.

Aggr Topic	Number Topics
0.50	1.00
0.75	4.55

Table 3: Average Number Topics.

*Average sentence length* in the summary may be affected by any of the independent variables except sentence order policy. JSD Divisor has a dramatic impact ( $p < 0.0001$ ) and AggrTopic a modest impact ( $p < 0.01$ ) on average sentence length.

Using a divisor of ONE in the JSD based sentence selection results in much longer sentences while using AggrTopic of 0.5 results in shorter sentences (Table 4).

Aggr Topic	Sentence Length	JSD Divisor	Sentence Length
0.5	20.3	ONE	26.8
0.75	23.9	SQRT	17.4

Standard Error of the mean = 0.78

Table 4: Average Sentence Length.

*Initial selected sentence Jensen-Shannon divergence (JSD)* should be affected directly by JSD Divisor in iterative sentence selection, but may also be affected by any of the other independent variables except for sentence order policy. AggrTopic and JSD Divisor strongly impact initial sentence JSD ( $p < 0.00005$ ).

The table of JSD initial sentence means by AggrTopic and JSD Divisor is revealing (Table 5). The JSD for the initial sentence selected is lower for AggrTopic of 0.5. We observed above that only *one* topic is selected for the aggregate topic when AggrTopic is 0.5. Thus we achieve a lower divergence of the initial sentence from the aggregate topic when the aggregate is composed of only *one* topic. For initial sentence JSD, aggregating topics seems ineffective.

Similarly a JSD Divisor of ONE gives a lower initial divergence than using the SQRT as the divisor. The interpretation is problematic here in that a divisor of ONE seems to give lower initial divergence because it selects longer sentences, which means that less space remains in the summary to select other sentences minimizing total divergence.

Aggr Topic	JSD Initial	JSD Divisor	JSD Initial
0.5	0.665	ONE	0.658
0.75	0.735	SQRT	0.742

Standard Error of the mean = 0.0056

Table 5: Average Initial JSD.

Table 6 shows the impact of AggrTopic and JSD Divisor together on the JSD for the initial sentence. There is still the issue of whether using a JSD Divisor of ONE is appropriate given the effect on the remaining summary size, but the effects appear additive.

Aggr Topic	JSD Divisor	JSD initial
0.50	ONE	0.627
0.50	SQRT	0.703
0.75	ONE	0.690
0.75	SQRT	0.780

Standard Error of the mean = 0.0080

Table 6: Average Initial JSD.

*Final sentence Jensen-Shannon Divergence (JSD)* may be affected by any but the sentence order policy variable. AggrTopic ( $p < 0.00001$ ) and JSD Divisor ( $p < 0.001$ ) strongly impact the final sentence JSD; there is also a possible effect from including headlines in the summary ( $p < 0.1$ ). The effect of the JSD Divisor has reversed from the initial JSD; using a divisor of ONE results here in a *less desirable* higher divergence for the final sentence. The AggrTopic effect is about the same as for initial JSD divergence; a single dominant topic seems more effective than using an aggregate topic.

Aggr Topic	JSD Final	JSD Divisor	JSD Final
0.5	0.422	ONE	0.487
0.75	0.513	SQRT	0.448

Standard Error of the mean = 0.0047

Table 7: Average Initial JSD.

Impact of AggrTopic and JSD Divisor together on the JSD for the initial sentence (Table 8) seems additive.

Aggr Topic	JSD Divisor	JSD final
0.50	ONE	0.437
0.50	SQRT	0.407
0.75	ONE	0.537
0.75	SQRT	0.490

Standard Error of the mean = 0.0066

Table 8: Average Final JSD.

## 5.2 Product Measures

Based on the analysis of intermediate measures, it would seem that using a JSD Divisor of the SQRT and selecting only the dominant topic gives less divergence from the aggregate topic. However,

we have to be careful here in drawing conclusions based on intermediate variables; selecting only the dominant topic may result in reduced divergence, but this does not necessarily mean that the dominant topic is representative of good summaries.

We examine product variables to provide direct support in our study, and so we ask how ROUGE R-2 and SU4, and fluency evaluations vary with the experimental factors. This pilot studies unguided summarization of initial stories from the 3 sample news themes from 3 separate categories. While results are not directly comparable with those of the full TAC 2010 test corpus, we will use the TAC 2010 results as a reference point versus our own results. The average of all experiments are reported along with the TAC 2010 results (Table 9). Our ROUGE R-2 and SU4 performance seems reasonable showing results better than the baseline but not as good as the best system.

Reference System	R-2	SU4
Baseline - Lead sentences	5.4	8.6
Baseline - MEAD <sup>†</sup>	5.9	9.1
Best System	9.6	13.0
Pilot Average	6.7	10.1
Pilot Minimum	5.6	8.7
Pilot Maximum	8.1	11.9

<sup>†</sup>Text summarization system (Radevet al., 2004)

Table 9: TAC 2010 ROUGE Scores.

*ROUGE R-2* results show no significant impact from our experimental factors. This is disappointing as it gives us no handle on how to improve performance.

*ROUGE SU4* shows a modest impact for AggrTopic ( $p < 0.025$ ) and the possible impact of JSD Divisor ( $p < 0.20$ ). Note that we dropped Order and FixedAlpha factors from the model; Order because it can only effect sentence order and FixedAlpha because the most important  $\alpha$  determined automatically by topic analysis did not vary much from the 0.5 FixedAlpha. A benefit of dropping terms from the model is that we have more dummy factors to estimate error.

The ROUGE SU4 means (Table 10) show the same pattern as for the JSD final sentence, but the differences are not as clear cut. Box and whiskers plots for AggrTopic and JSD Divisor (Figures 4 and 5) offer more insight into the AggrTopic and JSD Divisor effects.

There is a clear distinction between AggrTopic

Aggr Topic	ROUGE SU4	JSD Divisor	ROUGE SU4
0.5	10.75	ONE	9.70
0.75	9.48	SQRT	10.53

Standard Error of the mean = 0.32

Table 10: Average ROUGE SU4.

levels 0.5 and 0.75 with better results at the 0.5 level, except for an outlier value of 9.1. Investigation shows no data coding error and nothing special about the experimental conditions other than if uses a JSD Divisor of ONE which also gives lower SU4 scores. The box and whiskers plots for JSD Divisor effects also suggest a positive effect for JSD Divisor of SQRT, but the whiskers overlap the boxes indicating no strong effect.

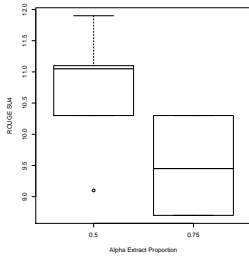


Figure 4: ROUGE SU4 by Aggr Topic

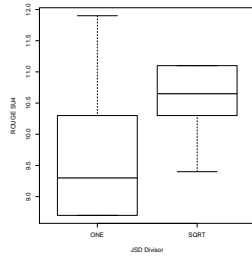


Figure 5: ROUGE SU4 by JSD Divisor

We had speculated that the final sentence divergence might be related to some of the end product measures. Indeed, we find that JSD final sentence is strongly inversely related to ROUGE SU4 as shown by regression analysis (Table 11). While the residual error of 0.73 indicates that we can only reliably predict ROUGE SU4 within 1.5 units (for averages of 3 trials), this is still important. A 0.1 reduction in final sentence divergence corresponds on the average to a 1.4 unit increase in ROUGE SU4.

	Estimate	StdErr	t	Pr(> t )
Intercept	16.865	1.934	8.721	~0.0
JSDfinal	-14.435	4.112	-3.510	0.006

Residual standard error: 0.73 on 10 degrees of freedom

F-statistic: 12.32 on 1 and 10 DF, p-value: 0.0056

Table 11: Regression - ROUGE SU4.

We thought *Simple Fluency* would show an effect for sentence order policy and maybe other factors. Analysis shows an effect for JSD Divisor

( $p < 0.05$ ) and possible effects of Order policy and Head lines ( $p < 0.20$ ).

Fluency means (Table 12) show that fluency is better for JSD Divisor ONE. From our experience of scoring Fluency, this would seem to be because the fewer and longer sentences with JSD Divisor of ONE offer fewer chances for disfluencies. The better Fluency with DATE ordering likely comes from fewer out of order or *non sequitur* sentences, and the better Fluency with NO headlines likely results from fewer short ungrammatical headlines as part of the text.

JSD Div	Flu-ency	Order	Flu-ency	Head Lines	Flu-ency
ONE	3.95	DATE	3.80	NO	3.80
SQRT	3.33	SAL	3.47	YES	3.47

Standard Error of the mean = 0.16

Table 12: Average Fluency.

## 6 Summary and Discussion

Our pilot studied topic analysis based multi-document extractive summarization using the 2010 TAC sample topics. Our experimental design process identified control factors with their default and extreme settings, defined intermediate and final product dependent measures, designed the experiment, ran, and analyzed the experiment.

We identified an intermediate variable, final selected sentence divergence, that could be used as a stand-in for the product content measure, ROUGE SU4. We found that using a single dominant topic, instead of an aggregate topic, and using a divisor of the square root of sentence length in sentence selection, improved final sentence divergence and ROUGE SU4. However, using a divisor of one in sentence selection improved fluency of summaries which is at odds with the benefit of using square root of sentence length to improve content.

Our planned experimentation has made obvious and objective the process of describing and improving our extractive summarization process. It is an extremely useful process and furthermore a process that when documented permits sharing of results and even duplicating of results by others working in this area.



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