

# Determining *is-a* relationships for Textual Entailment

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## Abstract

The Textual Entailment task has become influential in NLP and many researchers have become interested in applying it to other tasks. However, the two major issues emerging from this body of work are the fact that NLP applications need systems that (1) attain results which are not corpus dependent and (2) assume that the text for entailment cannot be incorrect or even contradictory. In this paper we propose a system which decomposes the text into chunks via a shallow text analysis, and determines the entailment relationship by matching the information contained in the *is - a* pattern. The results show that the method is able to cope with the two requirements above.

## 1 Introduction

Given a pair of two text fragments,  $\mathcal{T}$  and  $\mathcal{H}$ , the textual entailment task consists in deciding whether the information in  $\mathcal{H}$  is entailed by the information in  $\mathcal{T}$  (Dagan et al., 2006). Many and diverse systems participated in Recognizing Textual Entailment Challenges (RTE), which helped in pointing out interesting issues with an important impact in other NLP tasks. Under some assumptions, the papers published on this topic have proven that the TE methodology is useful for machine translation, text summarization, information retrieval, question answering, fact checking etc. (Padó et al., 2009; Lloret et al., 2008; Clinchant et al., 2006; Harabagiu and Hickl, 2006).

The two major issues emerging from this body of work are the fact that NLP applications need systems that (1) attain results which are not corpus dependent and (2) assume that the text for entailment may be incorrect or even contradictory. In this paper we propose a system which decom-

poses the text into chunks via a shallow text analysis, and determines the entailment relationship by matching the information contained in the *is - a* pattern. The results show that the method is able to cope with the two requirements above.

Our system produces stable results on the RTE corpora and is little affected by the presupposed veridical value of the information in  $\mathcal{T}$  and/or  $\mathcal{H}$  and, therefore, it is instrumental in addressing the above enumerated issues. We focused on the pairs on which  $\mathcal{H}$  has the form of an *is - a* relation between an entity and a property. The method makes use of shallow text analysis, extracts the information contained in each chunk, and tries to find a match for the entity in  $\mathcal{H}$  on the list of entities of  $\mathcal{T}$ . If the match is successful then the properties of the entities are compared in order to decide on the entailment.

In general, the information allowing the match is not found in a single chunk. The property of an entity expressed by the *is - a* relation found in  $\mathcal{H}$  may be not directly expressed in  $\mathcal{T}$ , the property and the entity being in separated chunks. The system resolves the coreference between the entities mentioned in each chunk by employing mostly techniques for inter-document coreference (Popescu et al., 2008; Ponzetto and Poesio, 2009).

To unify the information contained in each chunk we considered a set of heuristics which identifies syntactical fixed forms and expresses them as *is - a* relations. For example, an apposition becomes a copula. We also recognized relations between entities which are typically expressed as a pattern, for example [*e1 is known as e2*]], following the work of (Hearst, 1992; Pantel et al., 2004). The basic approach is extended by considering also synonyms/antonyms and negation mismatches. For comparison purposes we considered a set of features which extend the RTE feature set (MacCartney et al., 2006) and syntactic kernels (Moschitti, 2006) with SVM. The results

we obtain support for the statement that integration of syntactic and semantic information can yield better results over surface based features (Padó et al., 2009).

For a better understanding of the variance of the results according to the corpora, including robustness to noise and dependency of the veridical presupposition on the information in corpus, we used a technique of generating a scrambled corpus similar to the one described in (Yuret et al., 2010). The results we obtain confirm that the method is stable and overcome with a large margin other approaches. Unlike the methods based on logical forms and world knowledge, which many times are less efficient on noisy corpora, the proposed method maintains a shallow syntactic and semantic level while relevant information unification process takes part, a process which is mostly ignored by surface approaches.

The remainder of this paper is organized as follows: in Section 2 we review the relevant literature, in Section 3 we present the details of the methodology we employ and in Section 4 we present and discuss the experiments we carried on the RTE3, 4, and 5 corpora. The paper ends with the conclusions and further work section.

## 2 Relevant literature

Successful systems for recognizing textual entailment are usually complex and multi-tiered. The Stanford RTE system (MacCartney et al., 2006), for instance, has a linguistic analysis stage, an alignment stage and an entailment determination stage. The alignment stage, similar to (Haghighi et al., 2005), is based on dependency graph matching. The decision stage can be hand-tuned or learned, but the system did not perform significantly different in the two cases. In the RTE-5 competition, the best systems reach precisions up to 70% using rule-based methods (Iftene and Moruz, 2009) and distance-based approaches. Many systems are based on machine learning classifiers with lexical similarities (Castillo, 2010), non-symmetric causal metrics (Gaona et al., 2010) and syntactic features (Zanzotto et al., 2009). They attain competitive accuracy scores, but there is no report of precision.

## 3 Methodology

In this section we describe the main components of the strategy of finding a match between the in-

formation in  $\mathcal{H}$  and  $\mathcal{T}$ . Usually the relevant information in  $\mathcal{T}$  is not in a single chunk and it does not have a form directly comparable with the information in  $\mathcal{H}$ . Let us see an example:

$\mathcal{T}$ : *Pop star Madonna has suffered “minor injuries” and bruises after falling from a startled horse on New York’s Long Island on Saturday. According to her spokeswoman, the 50-year-old singer fell when her horse . . .*

$\mathcal{H}$ : *Madonna is 50 years old.*

The information in  $\mathcal{H}$  assigns to the entity *Madonna* a certain attribute. In order to match this information in  $\mathcal{T}$  we have to find the same entity and all its mentions and join the attributes of each mentions together in order to see if the attribute occurring in  $\mathcal{H}$  is within all these. The general strategy of resolving the entailment is:

1. Match the  $[[ X \text{ be } \alpha ]]$  pattern in  $\mathcal{H}$
2. Identify all entities  $X_1, \dots, X_n$  in  $\mathcal{T}$
3. corefer  $X_i$  and join the attributes  $\alpha_i$  in  $X_e$  and  $\alpha_e$
4. match  $X$  against each  $X_e$  and check the attribute  $\alpha_e$ .

We use a parser to obtain the heads of all NPs. Most of the dependency parsers normalize the syntactic variant like passive, apposition, time expressions (De Marneffe et al., 2006; Meyers et al., 2009). Each head represents a possible entity and we extract as attributes all the heads of adjectival and nominal phrases which are under the respective head. For example in Figure 1, the entity *Bob Iger* has the attribute *CEO of Disney* in both cases. Notice that the dependency structures are very different and a direct comparison is likely to be of little help.

The coreference of heads is carried out using a local coreference engine based on multi-pass sieve coreference resolution (MacCartney et al., 2006). For attribute matching we also considered synonyms (Roget, 1911). For example, the system catches correctly the entailment relation in the example below:

$\mathcal{T}$ : *The home at 7244 S. Prairie Ave. once owned by mobster Al Capone and his family has hit the market for \$450,000.*

$\mathcal{H}$ : *Al Capone was a ganster.*

because *gangster* and *mobster* are synonyms.

# +/-	RTE-3'				RTE-4'				RTE-5'			
	104 / 91				90 / 102				134 / 131			
	A	P	R	F	A	P	R	F	A	P	R	F
BL1	.69	.71	.69	.70	.51	.49	.35	.40	.57	.56	.84	.67
BL2	.74	.71	.87	.78	.62	.62	.53	.57	.59	.59	.82	.68
BL3	.56	.61	.45	.52	.57	.53	.53	.53	.59	.59	.65	.61
NB	.57	.96	.21	.35	.62	.90	.21	.34	.44	.96	.18	.30
NA	.56	.92	.21	.34	.62	.88	.23	.37	.45	.96	.20	.34
SB	.56	.88	.22	.35	.62	.82	.26	.39	.44	.82	.21	.34
SA	.57	.86	.24	.38	.64	.81	.29	.43	.45	.78	.26	.39

Table 1: Results on RTE' corpora.

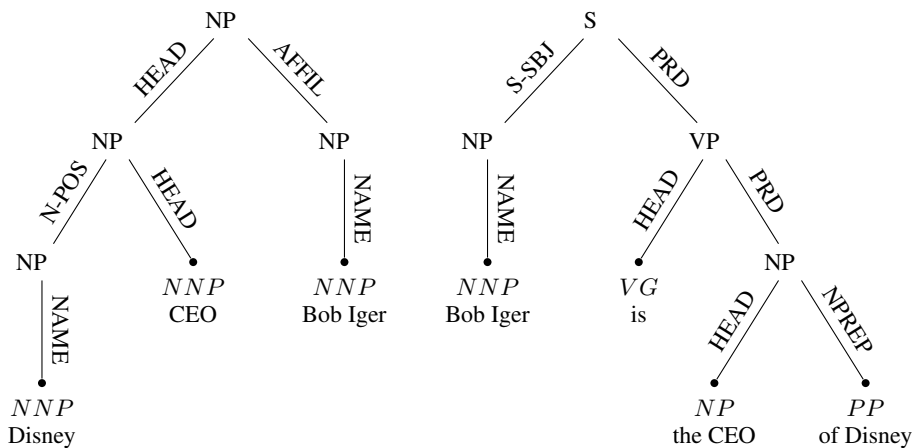


Figure 1:  $[[ X \text{ is } \alpha ]]$  pattern extraction.

An important improvement of the performances is obtained if before a transformation to *is - a* relation is carried out for some fixed, strictly defined patterns. A very common pattern involving *as* phrases is:

$\mathcal{T}$ : *During Reinsdorf's 24 seasons as chairman of the White Sox, the team . . .*

$\mathcal{H}$ : *Reinsdorf was the chairman of the White Sox for 24 seasons*

The pattern  $[Person] \text{ as } \alpha$  is equivalent with  $[Person] \text{ is - a } \alpha$ . The following patterns are prototypical *as* usage as copula alternative:  $[[ NP \text{ known as } \alpha ]]$ ,  $[[ NP \text{ served as } \alpha ]]$ ,  $[[ NP \text{ formed as } \alpha ]]$ , and  $[[ NP \text{ work as } \alpha ]]$ .

Another common pattern is used for part of a whole or location:  $[[ NP \text{ found in } \alpha ]]$ ,  $[[ NP \text{ located in } \alpha ]]$ , and  $[[ NP \text{ in } \alpha ]]$ .

An example instantiation of such a pattern is:

$\mathcal{T}$ : *The Gaspé is a North American peninsula (. . .) in Quebec.*

$\mathcal{H}$ : *The Gaspé Peninsula is located in Quebec*

While the main strategy remains the same, us-

ing the transformation of these types of patterns increases the recall of the system significantly.

## 4 Experiments

We based our experiments on the freely available corpora from the Recognizing Textual Entailment competitions RTE-3, 4 and 5. All of the entailment pairs were parsed with the BLLIP parser (Charniak and Johnson, 2005) and subsequently processed with GLARF (Meyers et al., 2009). The copula pattern  $[[ X \text{ be } \alpha ]]$  was matched in all hypotheses, and only instances where the match was positive were kept, see Table 2. The method presented in the previous section does not require training. However, in order to have a direct comparison with other methods, we report only the results obtained on the gold corpus.

We employed three progressively complex baselines:

- **BL1**: Lexical overlap baseline with threshold determined by a linear SVM (Mehdad and Magnini, 2009)

	RTE3	RTE4	RTE5
copula gold	202	102	269
copula dev	204	101	246

Table 2: RTE corpora, only copula examples

- **BL2**: Linear SVM, features: number of common words, number of words exclusively in  $\mathcal{H}$ , number of common named entities, number of named entities exclusive to  $\mathcal{H}$ , number of negative words in  $\mathcal{T}$  and respectively  $\mathcal{H}$ , and number of common parse subtrees
- **BL3**: Tree kernel SVM (Moschitti, 2006), each pair being encoded as the set of common parse subtrees between  $\mathcal{T}$  and  $\mathcal{H}$ .

**BL1** and **BL2** were trained using the *scikit-learn* machine learning library version 0.12 (Pedregosa et al., 2011), with the feature extraction from NLTK (Bird et al., 2009). **BL3** was trained using *svm-light-tk* (Moschitti, 2006; Joachims, 1999). In the case of RTE-3' and RTE-5' the provided train-test split was used, whereas for RTE-4' we made a 50-50 split. The regularization parameters and the tree kernel parameters were optimized using grid-search with cross validation.

Four configurations of our system were evaluated, and were labelled with two-letter names. The first letter signifies whether synonym matching is used (**S**) or not (**N**). The second letter marks whether matching is performed at word boundaries (**B**) or anywhere (**A**).

**Hypothesis scrambling.** A cursory look at Table 1 shows that the baseline approaches vary significantly from corpus to corpus, while the attribute extraction is relatively invariable. Also, apparently, the BL3 using a tree kernel does not perform as well as BL1 or BL2. The difference may come from the typology of entailment pairs

#	RTE3	RTE4	RTE5
BL1	.50	.75	.20
BL2	.15	.32	.18
BL3	.65	.60	.54
NB	.90	.95	.95
NA	.90	.94	.94
SB	.84	.91	.87
SA	.79	.85	.80

Table 3: Results on scrambled corpora

in RTE corpora. It seems that matching one entity from  $\mathcal{H}$  with one entity from  $\mathcal{T}$  is correlated with the entailment. However, this is not the case in general. On the one hand, this observation suggests that on a corpus with a lower degree of correlation, the results may be different. On the other hand, many NLP applications must make decisions when the relationship between  $\mathcal{T}$  and  $\mathcal{H}$  is more ambiguous than in RTE corpora. That is why we decided to apply the scrambling technique on RTE corpora for evaluation (Yuret et al., 2010).

For each pair in an entailment relationship we replaced the name of the entities in  $\mathcal{H}$  with entities from  $\mathcal{T}$ . For example the sentence *Bob Iger is Disney CEO* which originally was in entailment with *The puzzlement comes from video players who don't work at NBC, Fox or Hulu, and who can't see the upside in Disney CEO Bob Iger throwing in his lot with Hulu* was replaced with *Fox is Disney CEO, Hulu is Disney CEO*. On the corpus obtained in this way we run all the systems obtaining the results in Table 3.

In absolute values, the performance of attribute extraction systems does not change too much, but the baseline systems have registered a serious drop in accuracy. Also the BL3 system was much better than the other baselines. This shows that the use of structural information pays off.

## 5 Conclusion and further research

In this paper we introduced a system for TE which identifies the entities in both  $\mathcal{T}$  and  $\mathcal{H}$  and determines the attributes which may match in order to infer the entailment relationship. The system uses a shallow text analysis. While the precision of this type of approach is very high, the experiments show that without the help of modules that cope with grammatical variance and synonymy correspondence, the recall remains very low. However, the method is stable and the scrambling experiment suggests that the presented approach is competitive for applications requiring unbiased results on heterogeneous corpora.

We think that pattern matching is a good solution to increase the recall. The mapping from fixed syntactic structures to an *is-a* relation seems possible.

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