

Forest-based Neural Machine Translation

Chunpeng Ma, Akihiro Tamura,
Masao Utiyama, Tiejun Zhao, Eiichiro Sumita



哈爾濱工業大學
HARBIN INSTITUTE OF TECHNOLOGY



愛媛大学
EHIME UNIVERSITY



国立研究開発法人
情報通信研究機構
National Institute of Information and
Communications Technology

Motivation

Key point: Syntactic Information

- To use or not to use?
- How to use?
- To what extent?

Key point: Syntactic Information

- To use or not to use?
 - string-to-string model
 - tree/graph-to-string model
- How to use?

- To what extent?

× Use implicitly

○ Use explicitly

Key point: Syntactic Information

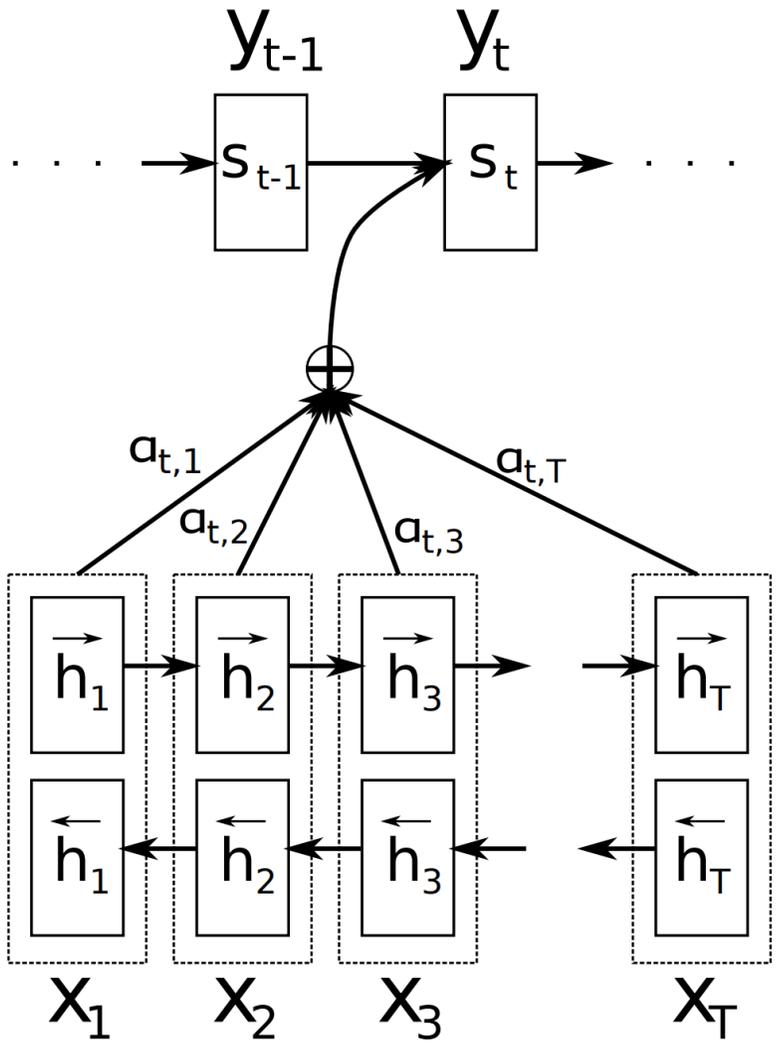
- To use or not to use?
 - string-to-string model **Use implicitly**
 - tree/graph-to-string model **Use explicitly**
- How to use?
 - Change network structure **Complicated**
 - Change model input **Simple**
- To what extent?

Key point: Syntactic Information

- To use or not to use?
 - string-to-string model **Use implicitly**
 - tree/graph-to-string model **Use explicitly**
- How to use?
 - Change network structure **Complicated**
 - Change model input **Simple**
- To what extent?
 - One parsing tree **Less information**
 - Multiple parsing trees **More information**

Background

Sequence-to-sequence Model with Attention Mechanism

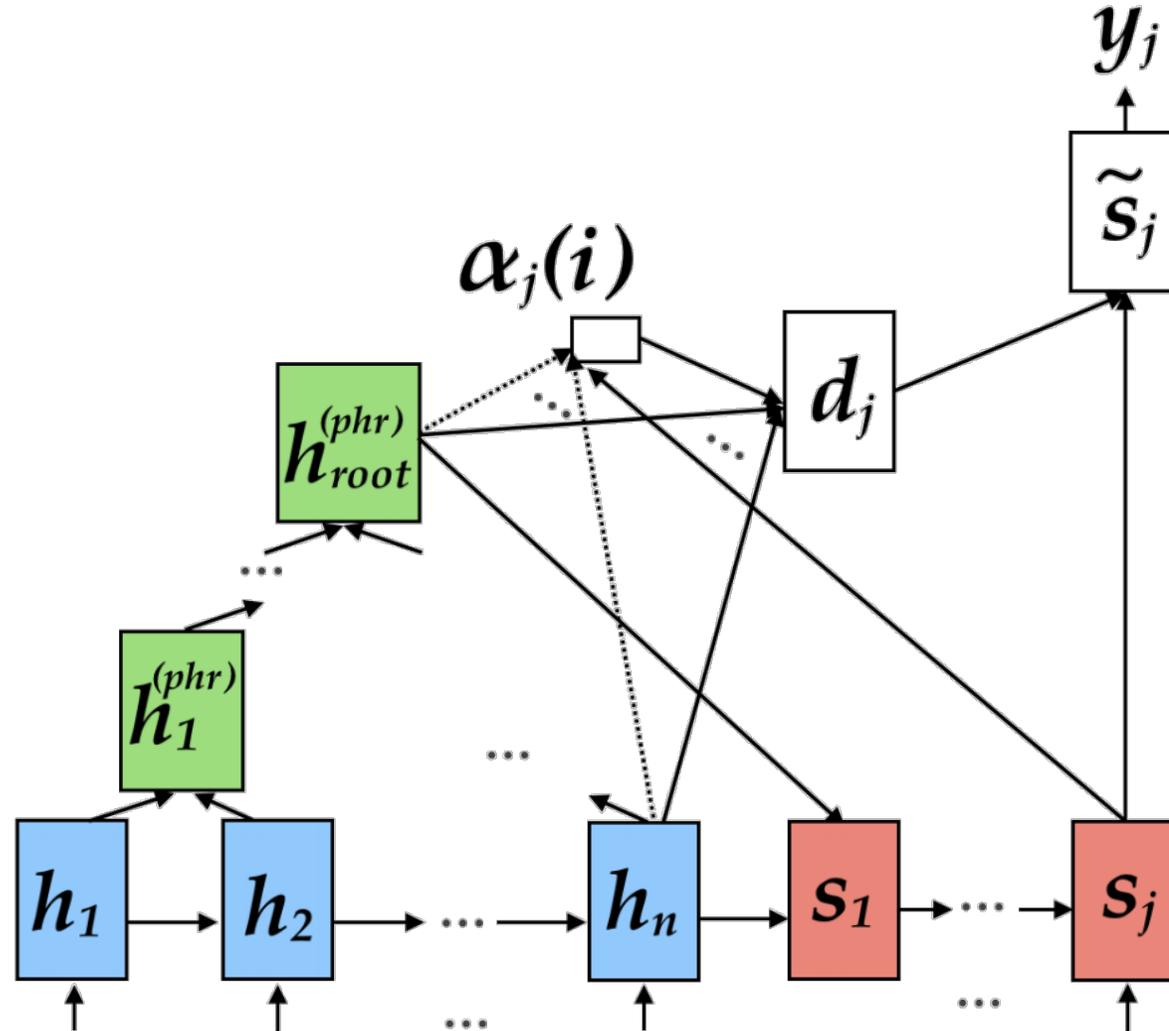


$$c_i = \sum_{j=0}^T \alpha_{ij} h_j,$$

$$\alpha_{ij} = \frac{\exp(a(s_{i-1}, h_j))}{\sum_{k=0}^T \exp(a(s_{i-1}, h_k))}$$

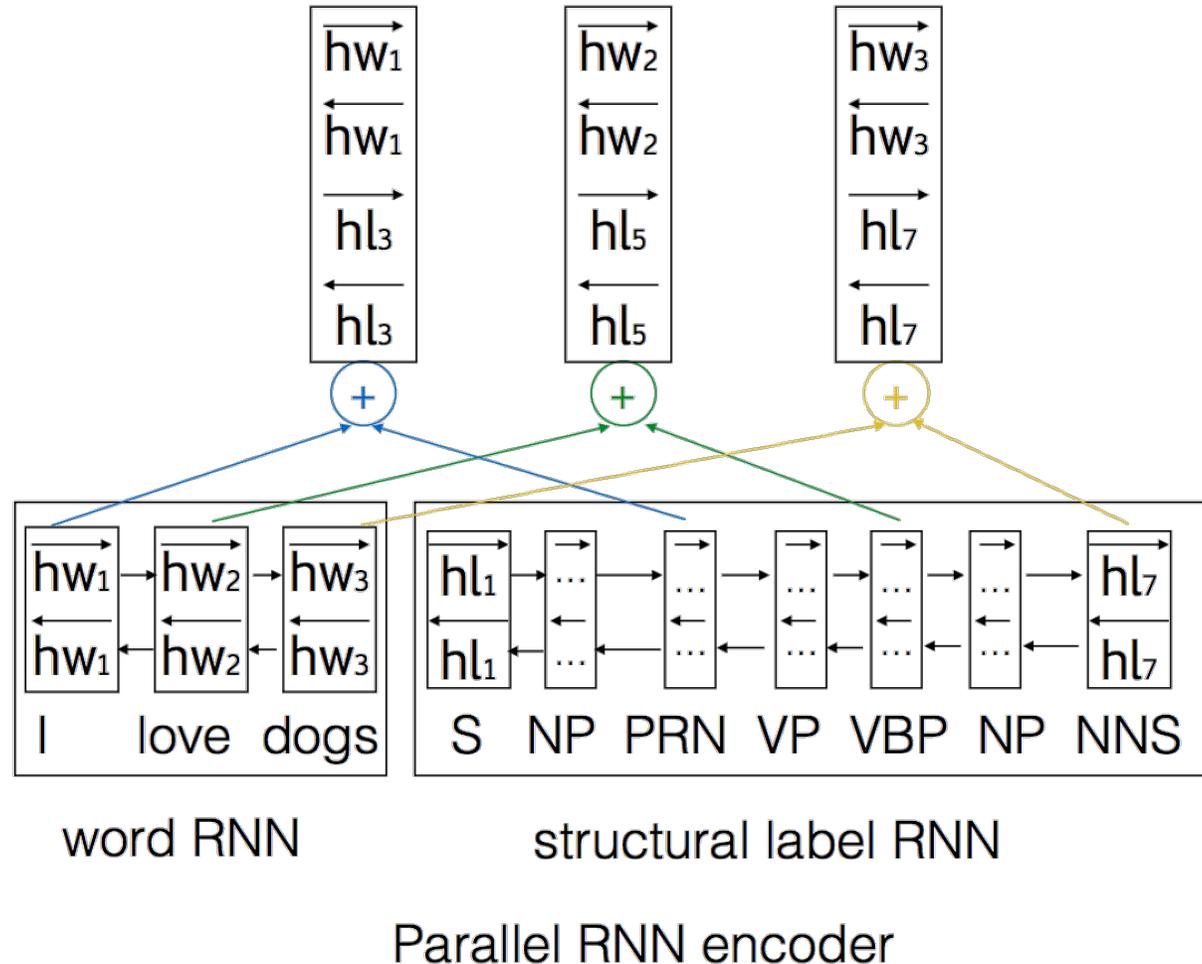
Tree-based NMT: Change network structure

- Eriguchi et al. (2016)



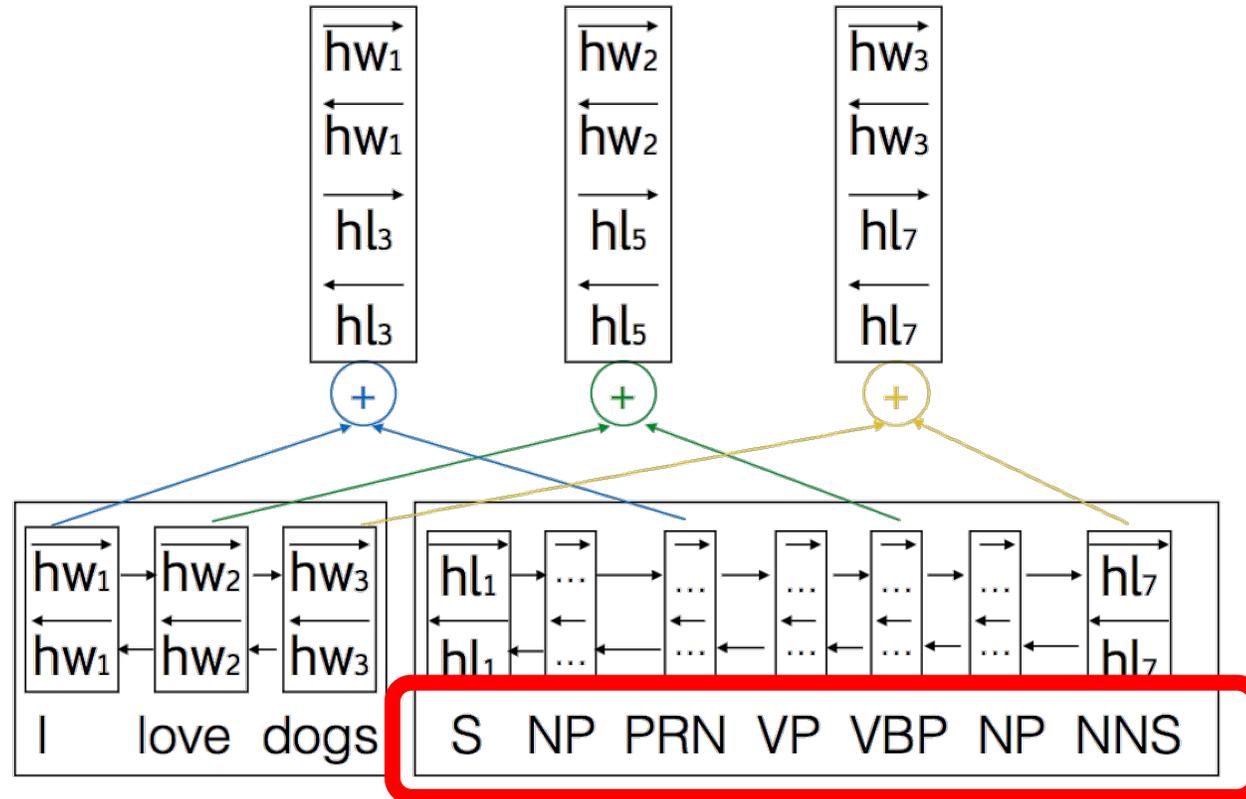
Tree-based NMT: Change model input

- Li et al. (2017)



Tree-based NMT: Change model input

- Li et al. (2017)



word RNN

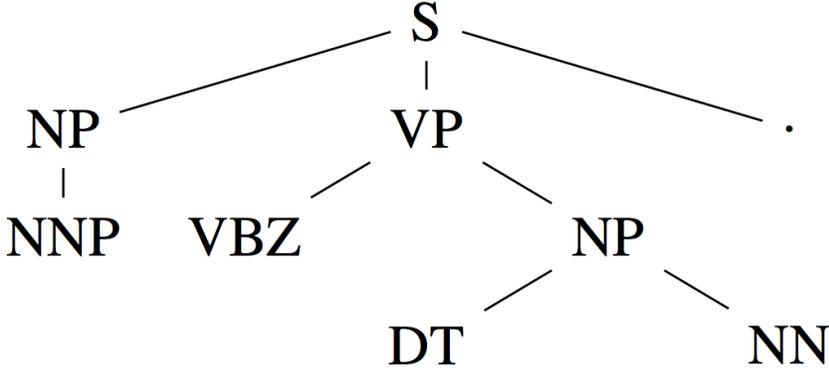
How to represent syntactic information appropriately using a sequence?

Tree Linearization

- Vinyals et al. (2015)

John has a dog .

→

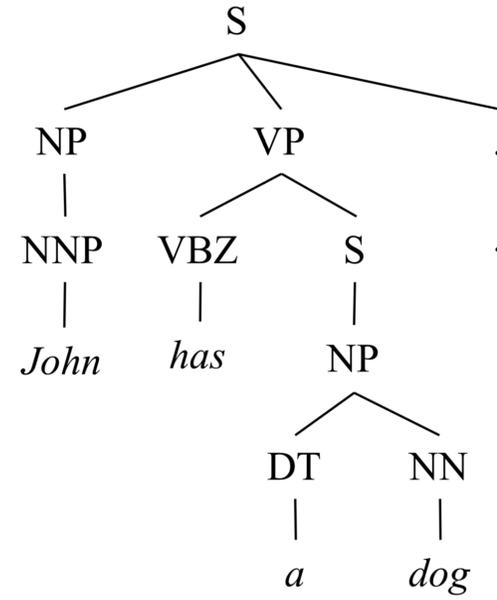
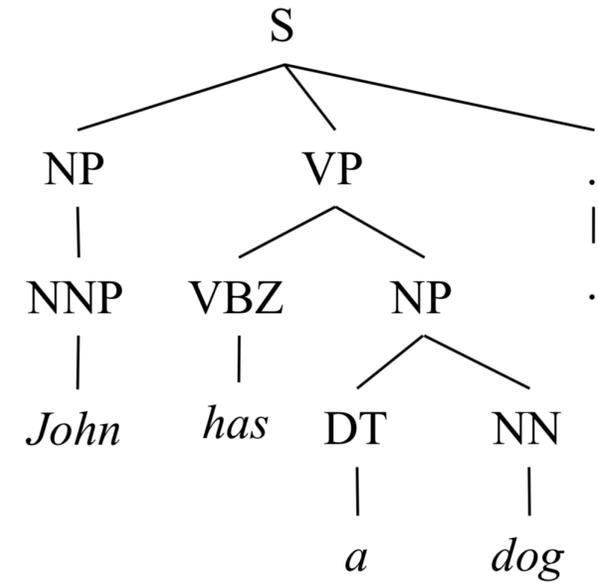
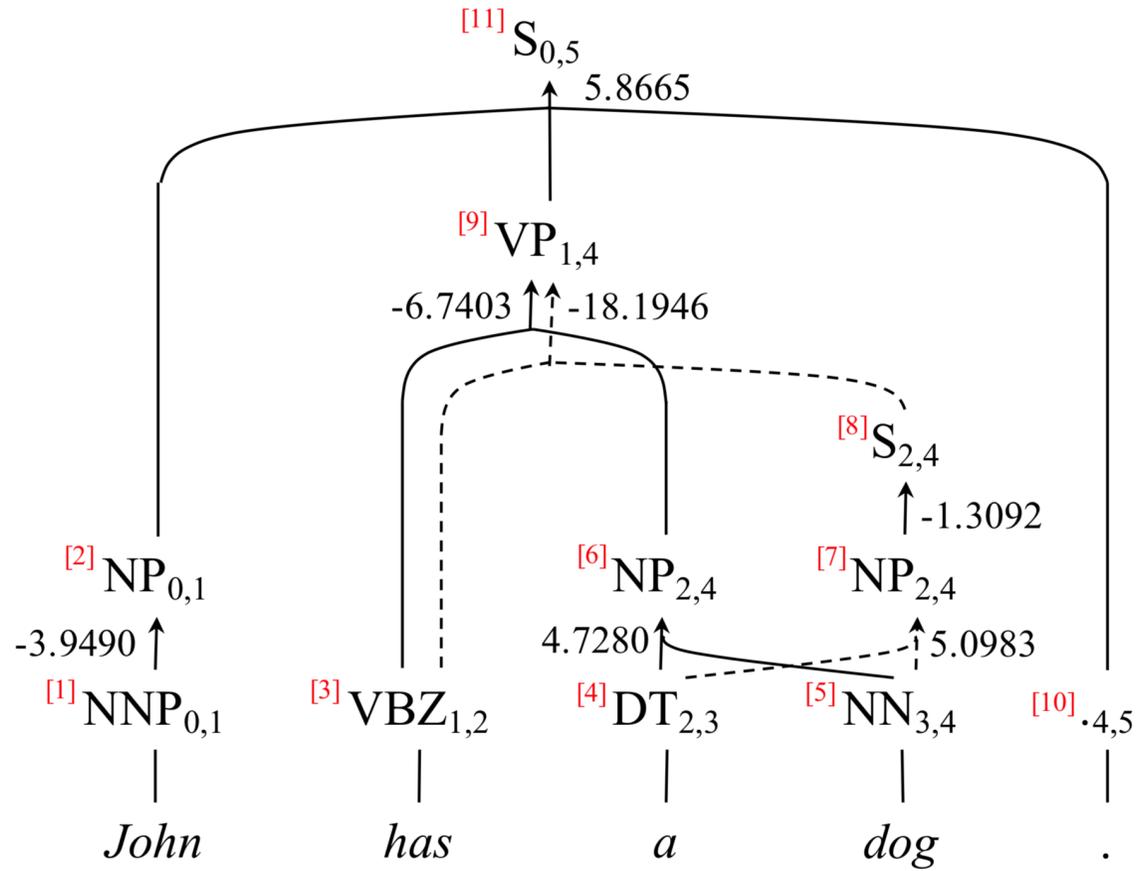


John has a dog .

→

(S (NP NNP)_{NP} (VP VBZ (NP DT NN)_{NP})_{VP} .)_S

Packed Forest



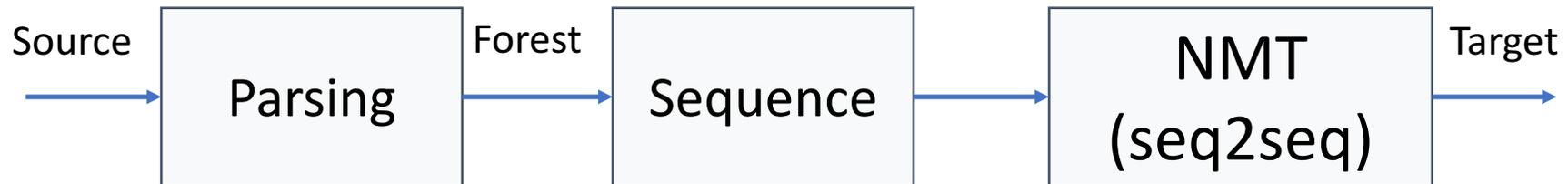
Our Method

Overview

- Use syntactic information explicitly
- Do not use tree/graph-structured network
- Use multiple parsing trees

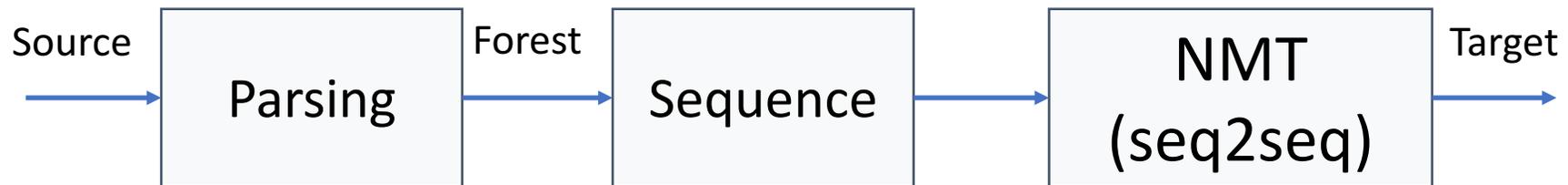
Overview

- Use syntactic information explicitly
- Do not use tree/graph-structured network
- Use multiple parsing trees



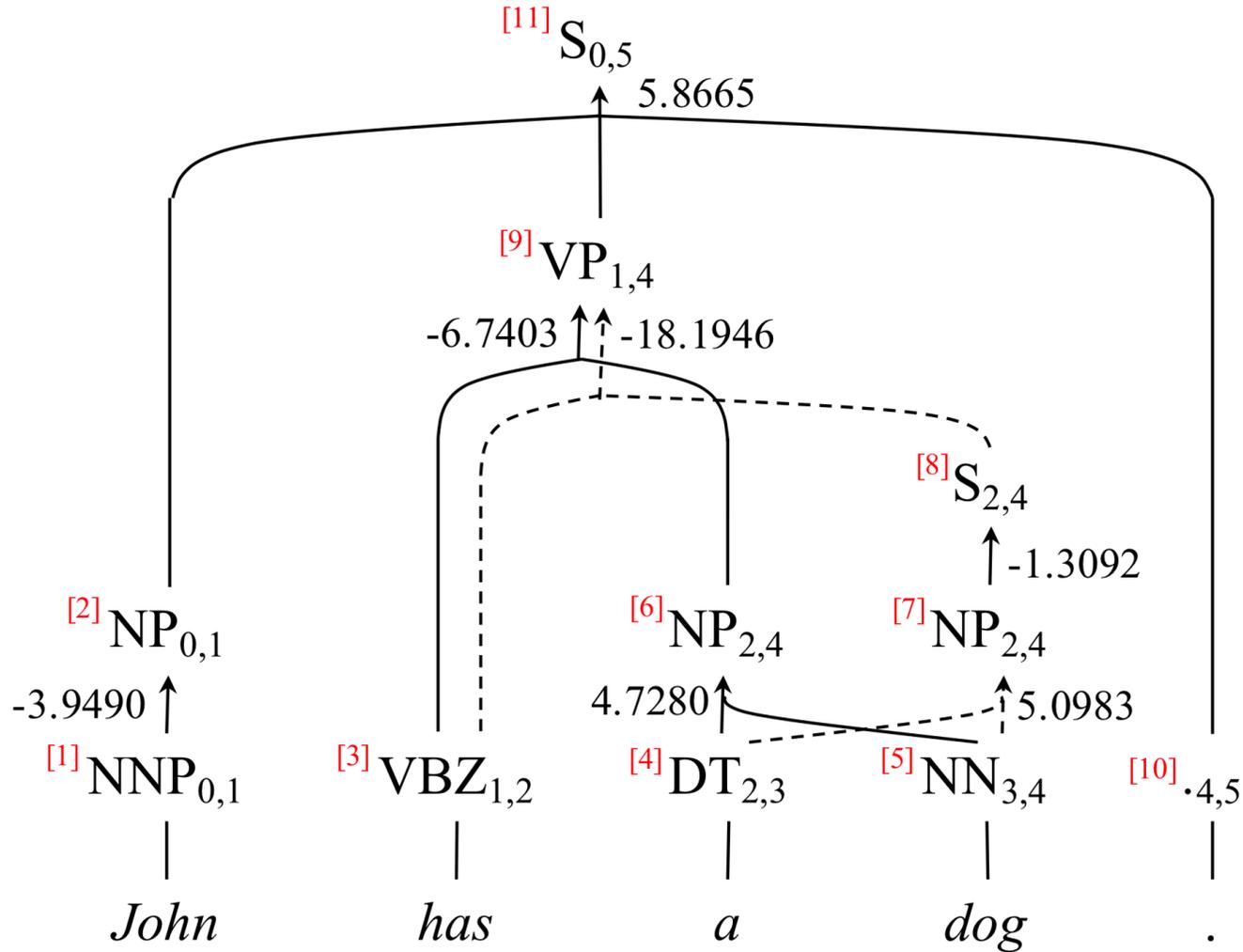
Overview

- Use syntactic information explicitly
- Do not use tree/graph-structured network
- Use multiple parsing trees



Forest Linearization

- Packed forest is directed acyclic graph, not tree
 - Fixed traversal order does not exist.
- Topological sort?
 - Outputs are not always optimal for MT.
 - Important information may be lost
 - Word sequential information
 - Parent-child information

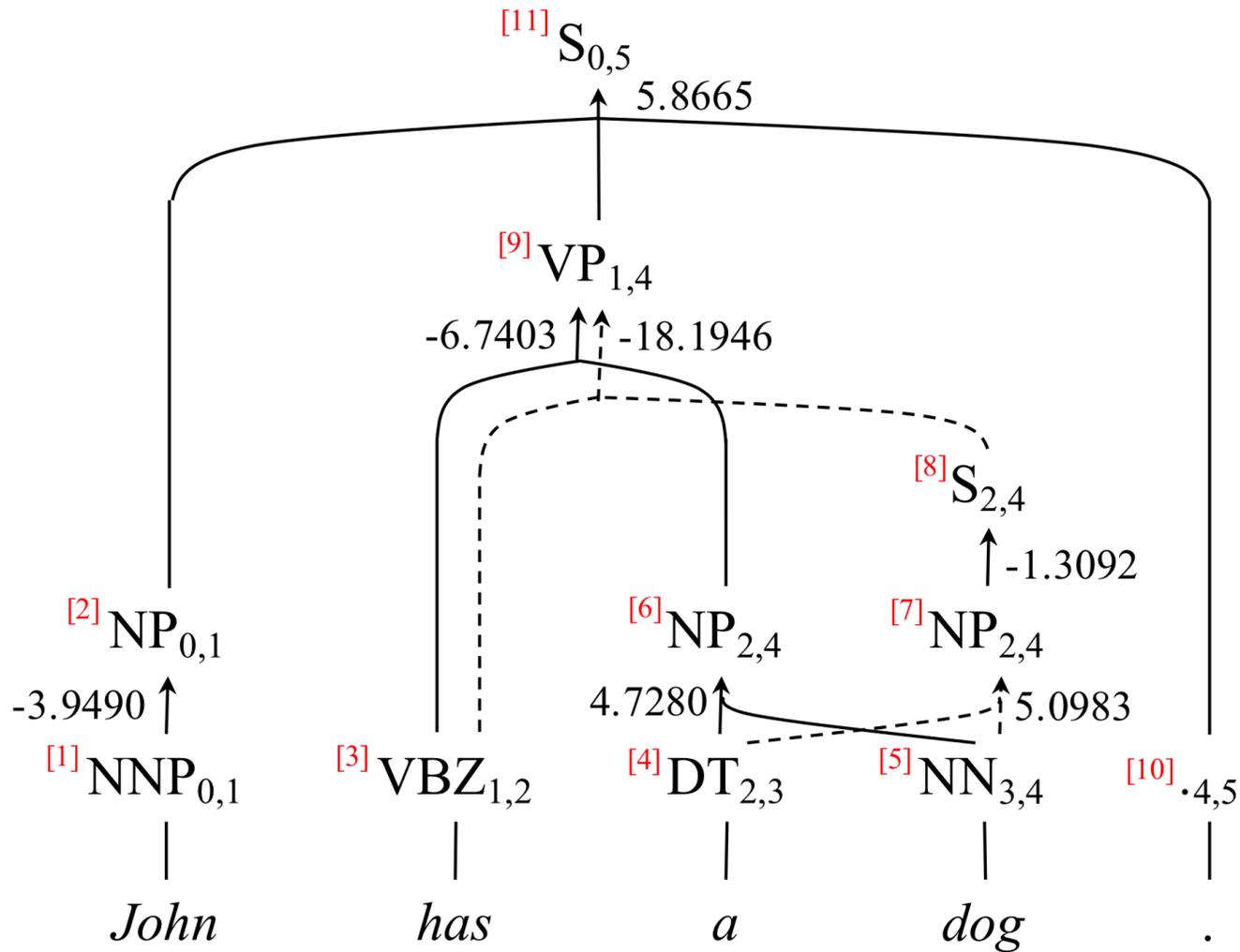


Word sequential information

[10] → [1] → [2] → ... → [9] → [11]

Valid topological sort

Words are disordered



Parent-child information

[1] → [2] → ... → [9] → [10] → [11]

Valid topological sort

Distances between [2][9][10] and [11] vary a lot.

```
function LINEARIZEFOREST( $\langle V, E \rangle, \mathbf{w}$ )  
   $v \leftarrow \text{FINDROOT}(V)$   
   $\mathbf{r} \leftarrow []$   
  EXPANDESEQ( $v, \mathbf{r}, \langle V, E \rangle, \mathbf{w}$ )  
return  $\mathbf{r}$ 
```

```
function FINDROOT( $V$ )  
  for  $v \in V$  do  
    if  $v$  has no parent then  
      return  $v$ 
```

function LINEARIZEFOREST($\langle V, E \rangle, \mathbf{w}$)

$v \leftarrow \text{FINDROOT}(V)$

$\mathbf{r} \leftarrow []$

Score Sequence

EXPANDESEQ($v, \mathbf{r}, \langle V, E \rangle, \mathbf{w}$)

return \mathbf{r}

function FINDROOT(V)

for $v \in V$ **do**

if v has no parent **then**

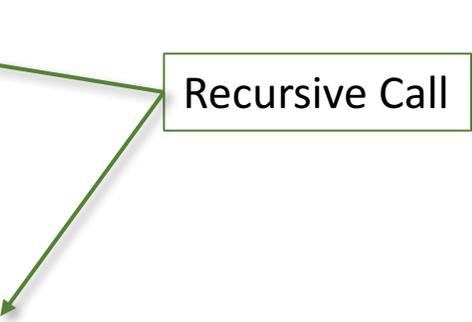
return v

```

procedure EXPANDSEQ( $v, \mathbf{r}, \langle V, E \rangle, \mathbf{w}$ )
  for  $e \in E$  do
    if  $head(e) = v$  then
      if  $tails(e) \neq \emptyset$  then
        for  $t \in \text{SORT}(tails(e))$  do
          EXPANDSEQ( $t, \mathbf{r}, \langle V, E \rangle, \mathbf{w}$ )
         $l \leftarrow \text{LINEARIZEEDGE}(head(e), \mathbf{w})$ 
         $\mathbf{r}.\text{append}(\langle l, \sigma(0.0) \rangle)$ 
         $l \leftarrow \text{©LINEARIZEEDGES}(tails(e), \mathbf{w})$ 
         $\mathbf{r}.\text{append}(\langle l, \sigma(score(e)) \rangle)$ 
      else
         $l \leftarrow \text{LINEARIZEEDGE}(head(e), \mathbf{w})$ 
         $\mathbf{r}.\text{append}(\langle l, \sigma(0.0) \rangle)$ 

```

```
procedure EXPANDESEQ( $v, \mathbf{r}, \langle V, E \rangle, \mathbf{w}$ )  
  for  $e \in E$  do  
    if  $head(e) = v$  then  
      if  $tails(e) \neq \emptyset$  then  
        for  $t \in \text{SORT}(tails(e))$  do  
          EXPANDESEQ( $t, \mathbf{r}, \langle V, E \rangle, \mathbf{w}$ )  
         $l \leftarrow \text{LINEARIZEEDGE}(head(e), \mathbf{w})$   
         $\mathbf{r}.\text{append}(\langle l, \sigma(0.0) \rangle)$   
         $l \leftarrow \text{©LINEARIZEEDGES}(tails(e), \mathbf{w})$   
         $\mathbf{r}.\text{append}(\langle l, \sigma(score(e)) \rangle)$   
      else  
         $l \leftarrow \text{LINEARIZEEDGE}(head(e), \mathbf{w})$   
         $\mathbf{r}.\text{append}(\langle l, \sigma(0.0) \rangle)$ 
```



Recursive Call



Terminate

```

procedure EXPANDSEQ( $v, \mathbf{r}, \langle V, E \rangle, \mathbf{w}$ )
  for  $e \in E$  do
    if  $head(e) = v$  then
      if  $tails(e) \neq \emptyset$  then
        for  $t \in \text{SORT}(tails(e))$  do
          EXPANDSEQ( $t, \mathbf{r}, \langle V, E \rangle, \mathbf{w}$ )
           $l \leftarrow \text{LINEARIZEEDGE}(head(e), \mathbf{w})$ 
           $\mathbf{r}.\text{append}(\langle l, \sigma(0.0) \rangle)$ 
           $l \leftarrow \text{©LINEARIZEEDGES}(tails(e), \mathbf{w})$ 
           $\mathbf{r}.\text{append}(\langle l, \sigma(score(e)) \rangle)$ 
        else
           $l \leftarrow \text{LINEARIZEEDGE}(head(e), \mathbf{w})$ 
           $\mathbf{r}.\text{append}(\langle l, \sigma(0.0) \rangle)$ 

```

Word sequential
information

Recursive Call

Terminate

```

procedure EXPANDSEQ( $v, \mathbf{r}, \langle V, E \rangle, \mathbf{w}$ )
  for  $e \in E$  do
    if  $head(e) = v$  then
      if  $tails(e) \neq \emptyset$  then
        for  $t \in \text{SORT}(tails(e))$  do
          EXPANDSEQ( $t, \mathbf{r}, \langle V, E \rangle, \mathbf{w}$ )
           $l \leftarrow \text{LINEARIZEEDGE}(head(e), \mathbf{w})$ 
           $\mathbf{r}.\text{append}(\langle l, \sigma(0.0) \rangle)$ 
           $l \leftarrow \text{©LINEARIZEEDGES}(tails(e), \mathbf{w})$ 
           $\mathbf{r}.\text{append}(\langle l, \sigma(score(e)) \rangle)$ 
        else
           $l \leftarrow \text{LINEARIZEEDGE}(head(e), \mathbf{w})$ 
           $\mathbf{r}.\text{append}(\langle l, \sigma(0.0) \rangle)$ 

```

Word sequential
information

Recursive Call

Parent-child
information

Terminate

```

procedure EXPANDSEQ( $v, \mathbf{r}, \langle V, E \rangle, \mathbf{w}$ )
  for  $e \in E$  do
    if  $head(e) = v$  then
      if  $tails(e) \neq \emptyset$  then
        for  $t \in \text{SORT}(tails(e))$  do
          EXPANDSEQ( $t, \mathbf{r}, \langle V, E \rangle, \mathbf{w}$ )
           $l \leftarrow \text{LINEARIZEEDGE}(head(e), \mathbf{w})$ 
           $\mathbf{r}.\text{append}(\langle l, \sigma(0.0) \rangle)$ 
           $l \leftarrow \text{©} \text{LINEARIZEEDGES}(tails(e), \mathbf{w})$ 
           $\mathbf{r}.\text{append}(\langle l, \sigma(score(e)) \rangle)$ 
        else
           $l \leftarrow \text{LINEARIZEEDGE}(head(e), \mathbf{w})$ 
           $\mathbf{r}.\text{append}(\langle l, \sigma(0.0) \rangle)$ 

```

Word sequential information

Recursive Call

Parent-child information

Children Mark

Terminate

```
function LINEARIZEEDGE( $X_{i,j}, \mathbf{w}$ )  
  return  $X \otimes (\odot_{k=i}^{j-1} w_k)$ 
```

```
function LINEARIZEEDGES( $\mathbf{v}, \mathbf{w}$ )  
  return  $\oplus_{v \in \mathbf{v}} \text{LINEARIZEEDGE}(v, \mathbf{w})$ 
```

Linearization of Leaf nodes

function LINEARIZEEDGE($X_{i,j}, \mathbf{w}$)

return $X \otimes \left(\odot_{k=i}^{j-1} w_k \right)$

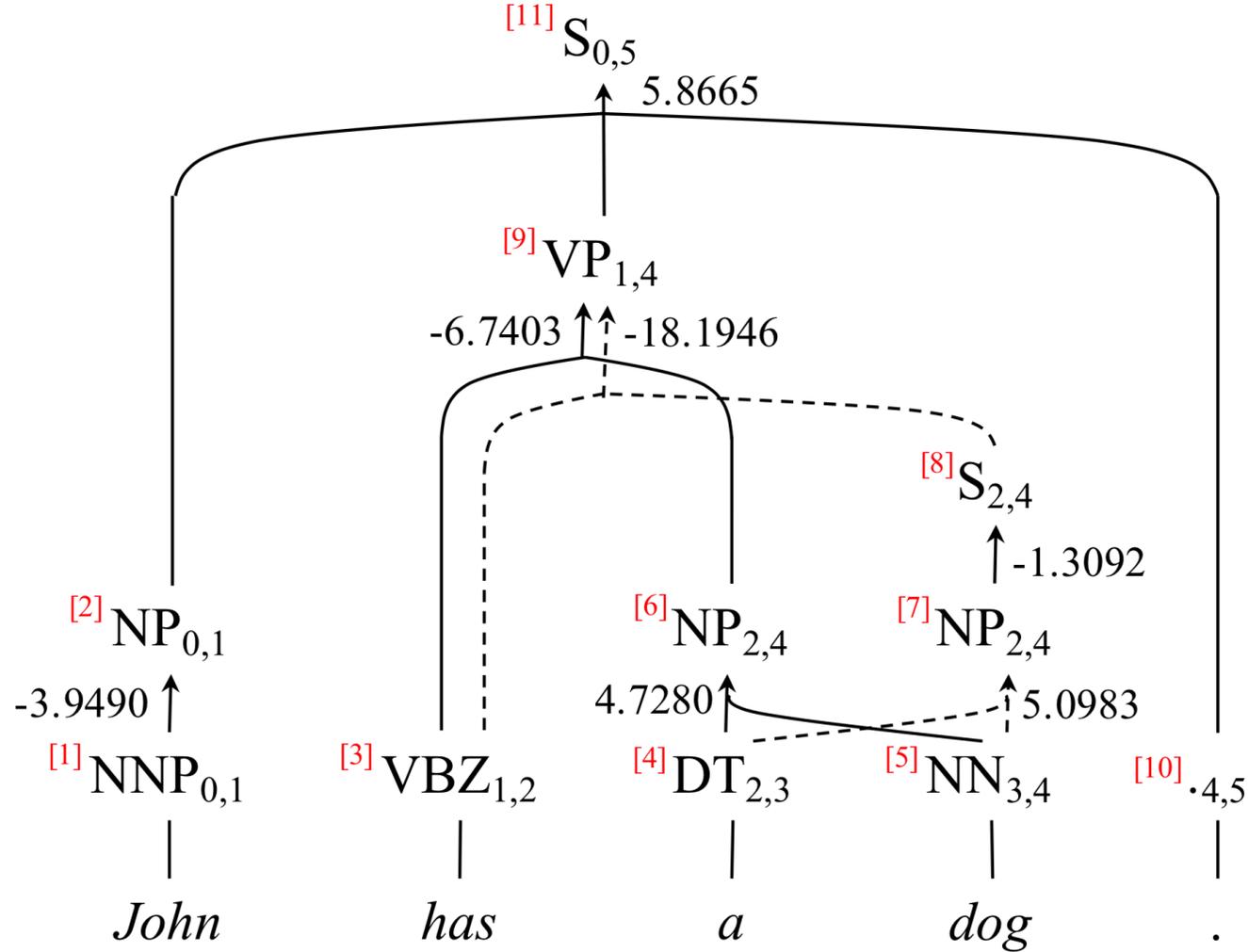
Connect phrase and constituent label

Connect words

function LINEARIZEEDGES(\mathbf{v}, \mathbf{w})

return $\oplus_{v \in \mathbf{v}} \text{LINEARIZEEDGE}(v, \mathbf{w})$

Connect hyperedges

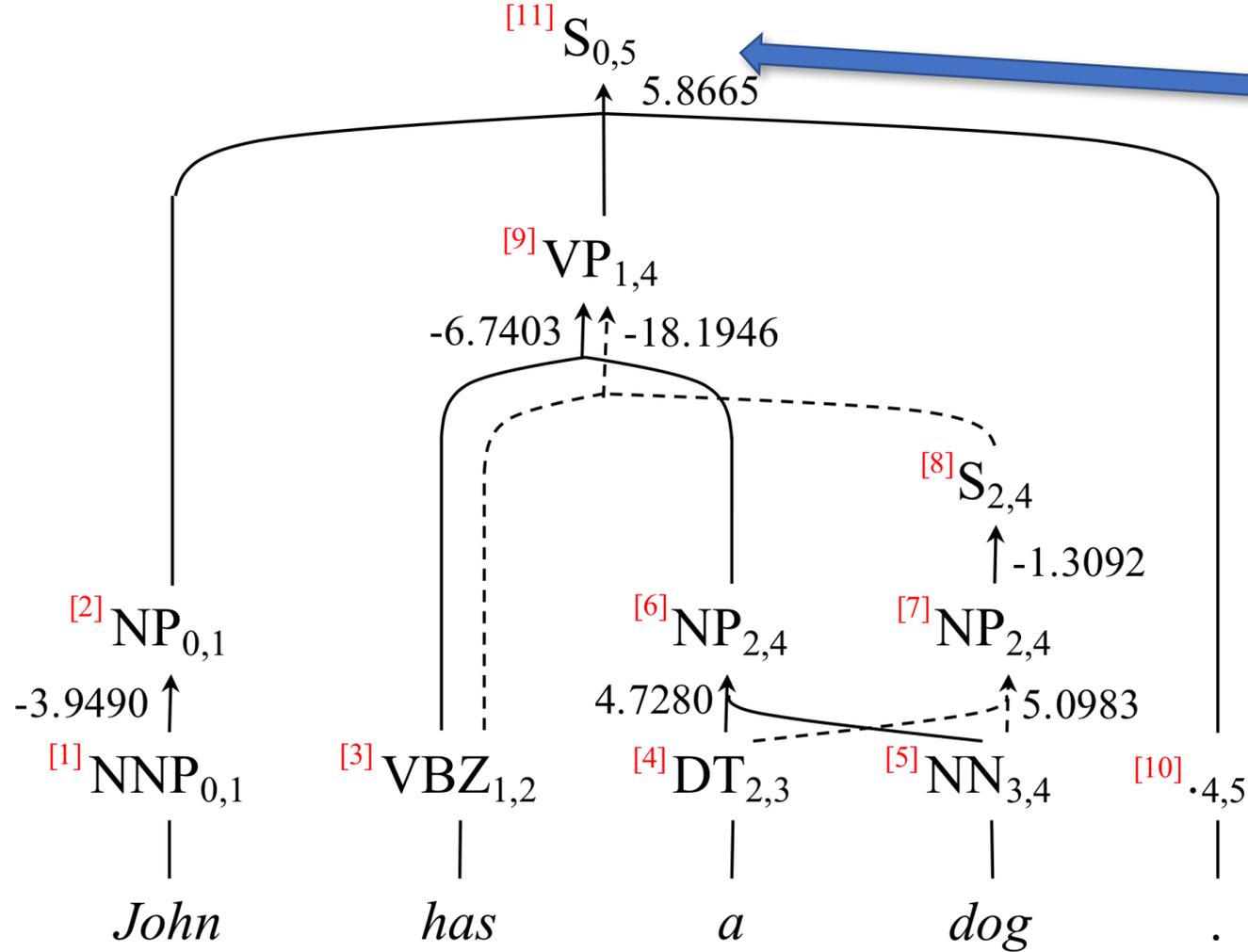


$\text{NNP} \otimes \text{John} / \text{NP} \otimes \text{John} / \textcircled{\text{C}} \text{NNP} \otimes \text{John} / \text{VBZ} \otimes \text{has} / \text{DT} \otimes a /$
 $\text{NN} \otimes \text{dog} / \text{NP} \otimes a \odot \text{dog} / \textcircled{\text{C}} \text{DT} \otimes a \oplus \text{NN} \otimes \text{dog} / \text{NP} \otimes a \odot \text{dog} /$
 $\textcircled{\text{C}} \text{DT} \otimes a \oplus \text{NN} \otimes \text{dog} / \text{S} \otimes a \odot \text{dog} / \textcircled{\text{C}} \text{NP} \otimes a \odot \text{dog} /$
 $\text{VP} \otimes \text{has} \odot a \odot \text{dog} / \textcircled{\text{C}} \text{VBZ} \otimes \text{has} \oplus \text{NP} \otimes a \odot \text{dog} /$
 $\textcircled{\text{C}} \text{VBZ} \otimes \text{has} \oplus \text{S} \otimes a \odot \text{dog} / \cdot \otimes \cdot / \text{S} \otimes \text{John} \odot \text{has} \odot a \odot \text{dog} \odot \cdot /$
 $\textcircled{\text{C}} \text{NP} \otimes \text{John} \oplus \text{VP} \otimes \text{has} \odot a \odot \text{dog} \oplus \cdot \otimes \cdot$

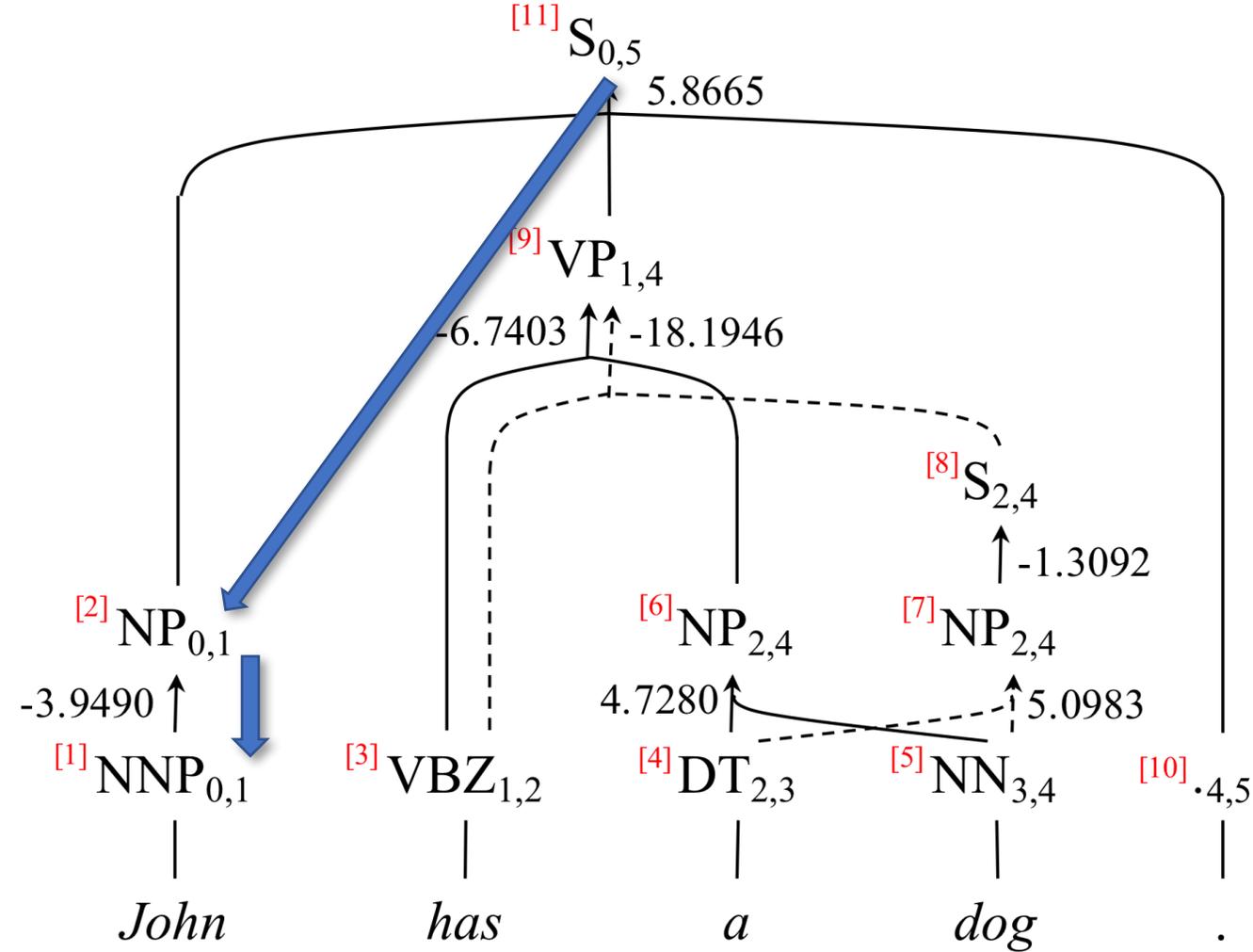
```

function LINEARIZEFOREST( $\langle V, E \rangle, \mathbf{w}$ )
   $v \leftarrow \text{FINDROOT}(V)$ 
   $\mathbf{r} \leftarrow []$ 
  EXPANDESEQ( $v, \mathbf{r}, \langle V, E \rangle, \mathbf{w}$ )
  return  $\mathbf{r}$ 

```



$\text{NNP} \otimes \text{John} / \text{NP} \otimes \text{John} / \textcircled{\text{C}} \text{NNP} \otimes \text{John} / \text{VBZ} \otimes \text{has} / \text{DT} \otimes a /$
 $\text{NN} \otimes \text{dog} / \text{NP} \otimes a \odot \text{dog} / \textcircled{\text{C}} \text{DT} \otimes a \oplus \text{NN} \otimes \text{dog} / \text{NP} \otimes a \odot \text{dog} /$
 $\textcircled{\text{C}} \text{DT} \otimes a \oplus \text{NN} \otimes \text{dog} / \text{S} \otimes a \odot \text{dog} / \textcircled{\text{C}} \text{NP} \otimes a \odot \text{dog} /$
 $\text{VP} \otimes \text{has} \odot a \odot \text{dog} / \textcircled{\text{C}} \text{VBZ} \otimes \text{has} \oplus \text{NP} \otimes a \odot \text{dog} /$
 $\textcircled{\text{C}} \text{VBZ} \otimes \text{has} \oplus \text{S} \otimes a \odot \text{dog} / \cdot \otimes \cdot / \text{S} \otimes \text{John} \odot \text{has} \odot a \odot \text{dog} \odot \cdot /$
 $\textcircled{\text{C}} \text{NP} \otimes \text{John} \oplus \text{VP} \otimes \text{has} \odot a \odot \text{dog} \oplus \cdot \otimes \cdot$

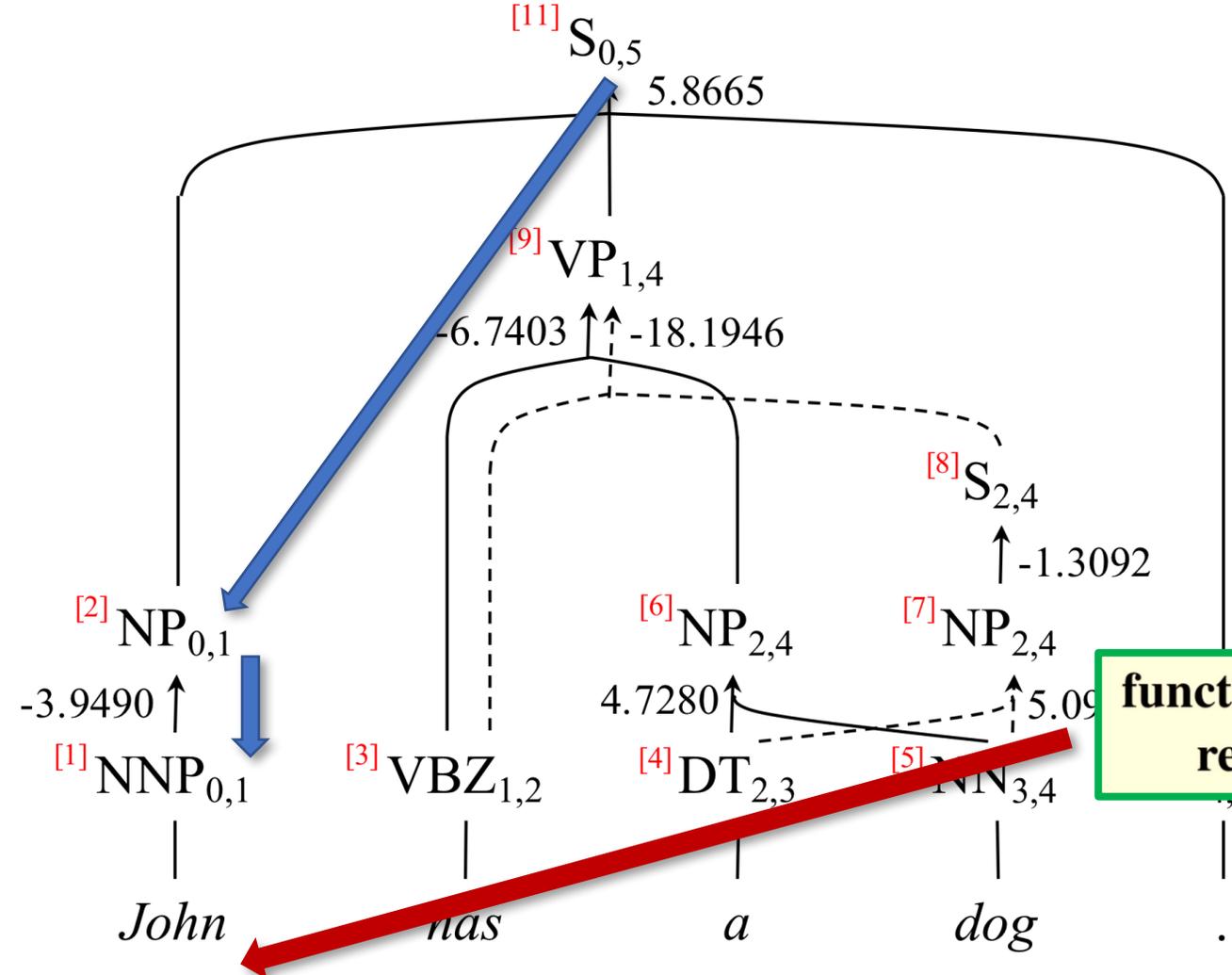


```

procedure EXPANDSEQ( $v, r, \langle V, E \rangle, w$ )
  for  $e \in E$  do
    if  $head(e) = v$  then
      if  $tails(e) \neq \emptyset$  then
        for  $t \in \text{SORT}(tails(e))$  do
          EXPANDSEQ( $t, r, \langle V, E \rangle, w$ )
           $l \leftarrow \text{LINEARIZEEDGE}(head(e), w)$ 
           $r.append(\langle l, \sigma(0.0) \rangle)$ 
           $l \leftarrow \text{©LINEARIZEEDGES}(tails(e), w)$ 
           $r.append(\langle l, \sigma(score(e)) \rangle)$ 
        else
           $l \leftarrow \text{LINEARIZEEDGE}(head(e), w)$ 
           $r.append(\langle l, \sigma(0.0) \rangle)$ 

```

$NP \otimes John / NP \otimes John / \text{©}NP \otimes John / VBZ \otimes has / DT \otimes a /$
 $NN \otimes dog / NP \otimes a \odot dog / \text{©}DT \otimes a \oplus NN \otimes dog / NP \otimes a \odot dog /$
 $\text{©}DT \otimes a \oplus NN \otimes dog / S \otimes a \odot dog / \text{©}NP \otimes a \odot dog /$
 $VP \otimes has \odot a \odot dog / \text{©}VBZ \otimes has \oplus NP \otimes a \odot dog /$
 $\text{©}VBZ \otimes has \oplus S \otimes a \odot dog / . \otimes . / S \otimes John \odot has \odot a \odot dog \odot . /$
 $\text{©}NP \otimes John \oplus VP \otimes has \odot a \odot dog \oplus . \otimes .$



```

procedure EXPANDSEQ( $v, r, \langle V, E \rangle, w$ )
  for  $e \in E$  do
    if  $head(e) = v$  then
      if  $tails(e) \neq \emptyset$  then
        for  $t \in \text{SORT}(tails(e))$  do
          EXPANDSEQ( $t, r, \langle V, E \rangle, w$ )
         $l \leftarrow \text{LINEARIZEEDGE}(head(e), w)$ 
         $r.append(\langle l, \sigma(0.0) \rangle)$ 
         $l \leftarrow \text{©LINEARIZEEDGES}(tails(e), w)$ 
         $r.append(\langle l, \sigma(score(e)) \rangle)$ 
      else
         $l \leftarrow \text{LINEARIZEEDGE}(head(e), w)$ 
         $r.append(\langle l, \sigma(0.0) \rangle)$ 

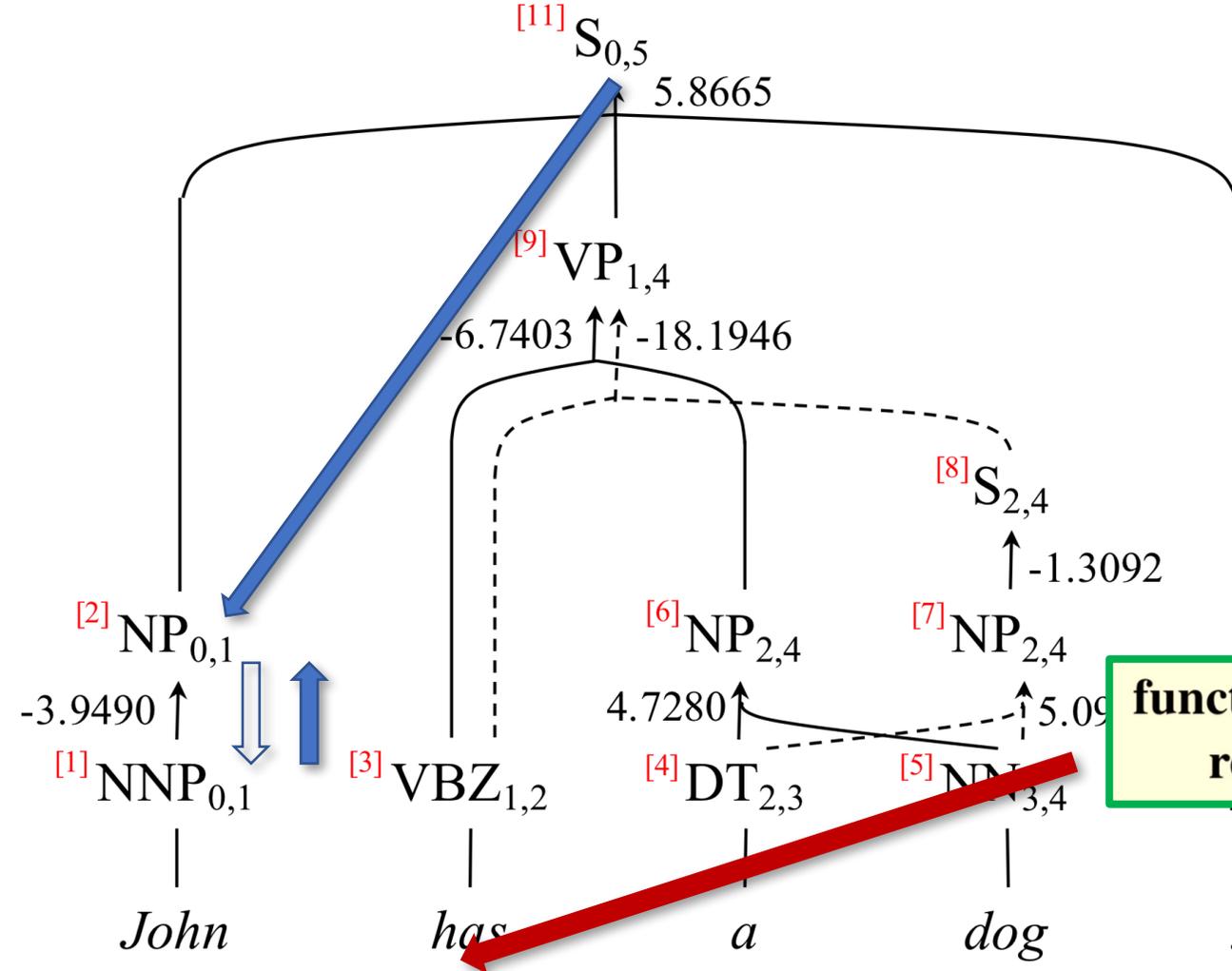
```

```

function LINEARIZEEDGE( $X_{i,j}, w$ )
  return  $X \otimes (\text{©}_{k=i}^{j-1} w_k)$ 

```

$NP \otimes John / NP \otimes John / \text{©} NNP \otimes John / VBZ \otimes has / DT \otimes a /$
 $NN \otimes dog / NP \otimes a \odot dog / \text{©} DT \otimes a \oplus NN \otimes dog / NP \otimes a \odot dog /$
 $\text{©} DT \otimes a \oplus NN \otimes dog / S \otimes a \odot dog / \text{©} NP \otimes a \odot dog /$
 $VP \otimes has \odot a \odot dog / \text{©} VBZ \otimes has \oplus NP \otimes a \odot dog /$
 $\text{©} VBZ \otimes has \oplus S \otimes a \odot dog / . \otimes . / S \otimes John \odot has \odot a \odot dog \odot . /$
 $\text{©} NP \otimes John \oplus VP \otimes has \odot a \odot dog \oplus . \otimes .$



```

procedure EXPANDSEQ( $v, r, \langle V, E \rangle, w$ )
  for  $e \in E$  do
    if  $head(e) = v$  then
      if  $tails(e) \neq \emptyset$  then
        for  $t \in SORT(tails(e))$  do
          EXPANDSEQ( $t, r, \langle V, E \rangle, w$ )
         $l \leftarrow LINEARIZEEDGE(head(e), w)$ 
         $r.append(\langle l, \sigma(0.0) \rangle)$ 
         $l \leftarrow \textcircled{C}LINEARIZEEDGES(tails(e), w)$ 
         $r.append(\langle l, \sigma(score(e)) \rangle)$ 
      else
         $l \leftarrow LINEARIZEEDGE(head(e), w)$ 
         $r.append(\langle l, \sigma(0.0) \rangle)$ 

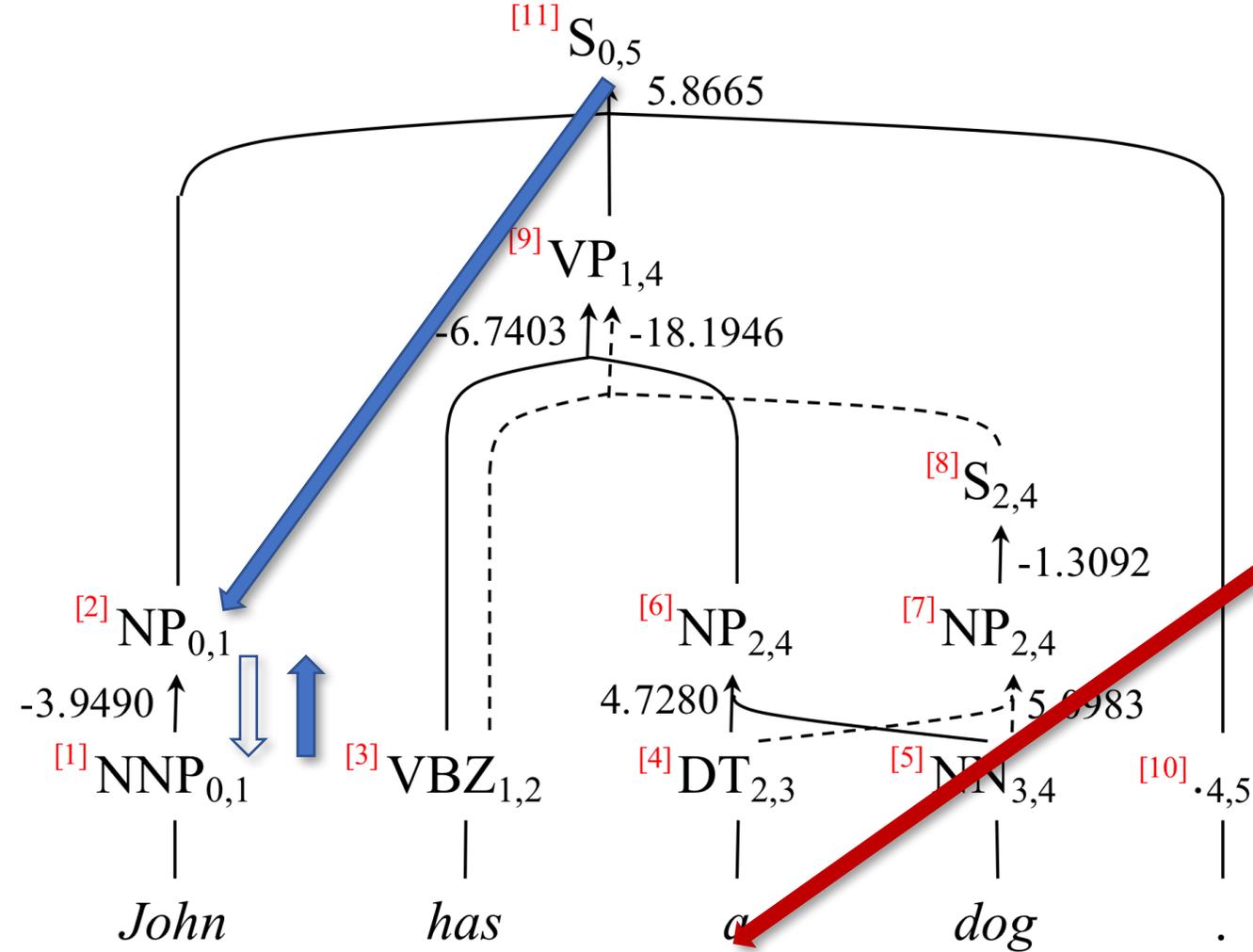
```

```

function LINEARIZEEDGE( $X_{i,j}, w$ )
  return  $X \otimes (\textcircled{\odot}_{k=i}^{j-1} w_k)$ 

```

$NNP \otimes John / NP \otimes John / \textcircled{C} NNP \otimes John / VBZ \otimes has / DT \otimes a /$
 $NN \otimes dog / NP \otimes a \odot dog / \textcircled{C} DT \otimes a \oplus NN \otimes dog / NP \otimes a \odot dog /$
 $\textcircled{C} DT \otimes a \oplus NN \otimes dog / S \otimes a \odot dog / \textcircled{C} NP \otimes a \odot dog /$
 $VP \otimes has \odot a \odot dog / \textcircled{C} VBZ \otimes has \oplus NP \otimes a \odot dog /$
 $\textcircled{C} VBZ \otimes has \oplus S \otimes a \odot dog / . \otimes . / S \otimes John \odot has \odot a \odot dog \odot . /$
 $\textcircled{C} NP \otimes John \oplus VP \otimes has \odot a \odot dog \oplus . \otimes .$

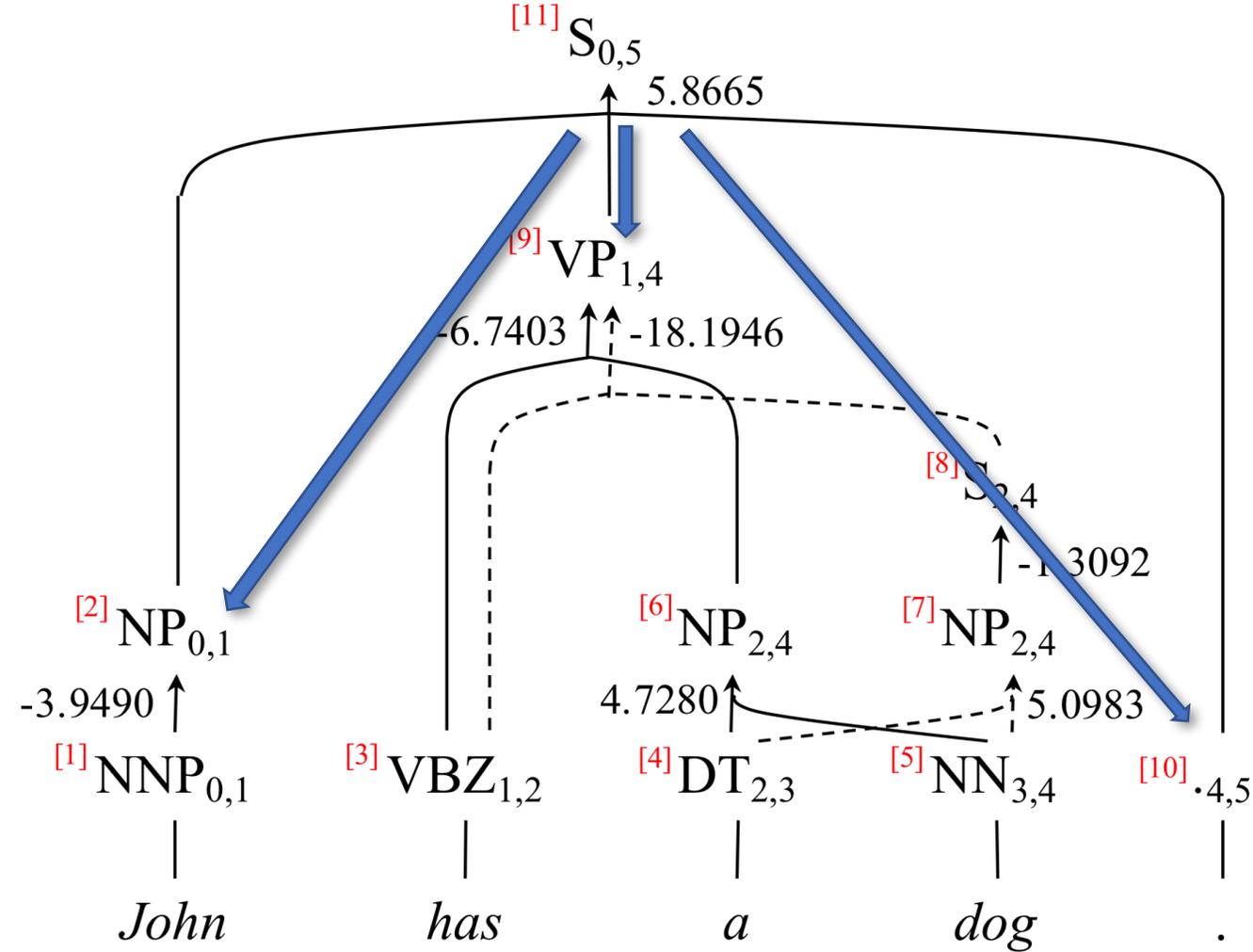


```

procedure EXPANDSEQ( $v, r, \langle V, E \rangle, w$ )
  for  $e \in E$  do
    if  $head(e) = v$  then
      if  $tails(e) \neq \emptyset$  then
        for  $t \in \text{SORT}(tails(e))$  do
          EXPANDSEQ( $t, r, \langle V, E \rangle, w$ )
         $l \leftarrow \text{LINEARIZEEDGE}(head(e), w)$ 
         $r.append(\langle l, \sigma(0.0) \rangle)$ 
         $l \leftarrow \text{©LINEARIZEEDGES}(tails(e), w)$ 
         $r.append(\langle l, \sigma(score(e)) \rangle)$ 
      else
         $l \leftarrow \text{LINEARIZEEDGE}(head(e), w)$ 
         $r.append(\langle l, \sigma(0.0) \rangle)$ 

```

$NP \otimes John / NP \otimes John / \text{©}NP \otimes John / VBZ \otimes has / DT \otimes a /$
 $NN \otimes dog / NP \otimes a \odot dog / \text{©}DT \otimes a \oplus NN \otimes dog / NP \otimes a \odot dog /$
 $\text{©}DT \otimes a \oplus NN \otimes dog / S \otimes a \odot dog / \text{©}NP \otimes a \odot dog /$
 $VP \otimes has \odot a \odot dog / \text{©}VBZ \otimes has \oplus NP \otimes a \odot dog /$
 $\text{©}VBZ \otimes has \oplus S \otimes a \odot dog / . \otimes . / S \otimes John \odot has \odot a \odot dog \odot . /$
 $\text{©}NP \otimes John \oplus VP \otimes has \odot a \odot dog \oplus . \otimes .$



$NP \otimes John / NP \otimes John / \textcircled{C} NP \otimes John / VBZ \otimes has / DT \otimes a /$
 $NN \otimes dog / NP \otimes a \odot dog / \textcircled{C} DT \otimes a \oplus NN \otimes dog / NP \otimes a \odot dog /$
 $\textcircled{C} DT \otimes a \oplus NN \otimes dog / S \otimes a \odot dog / \textcircled{C} NP \otimes a \odot dog /$
 $VP \otimes has \odot a \odot dog / \textcircled{C} VBZ \otimes has \oplus NP \otimes a \odot dog /$
 $\textcircled{C} VBZ \otimes has \oplus S \otimes a \odot dog / . \otimes . / S \otimes John \odot has \odot a \odot dog \odot . /$
 $\textcircled{C} NP \otimes John \oplus VP \otimes has \odot a \odot dog \oplus . \otimes .$

procedure EXPANDSEQ($v, r, \langle V, E \rangle, w$)

for $e \in E$ **do**

if $head(e) = v$ **then**

if $tails(e) \neq \emptyset$ **then**

for $t \in \text{SORT}(tails(e))$ **do**

 EXPANDSEQ($t, r, \langle V, E \rangle, w$)

$l \leftarrow \text{LINEARIZEEDGE}(head(e), w)$

$r.append(\langle l, \sigma(0.0) \rangle)$

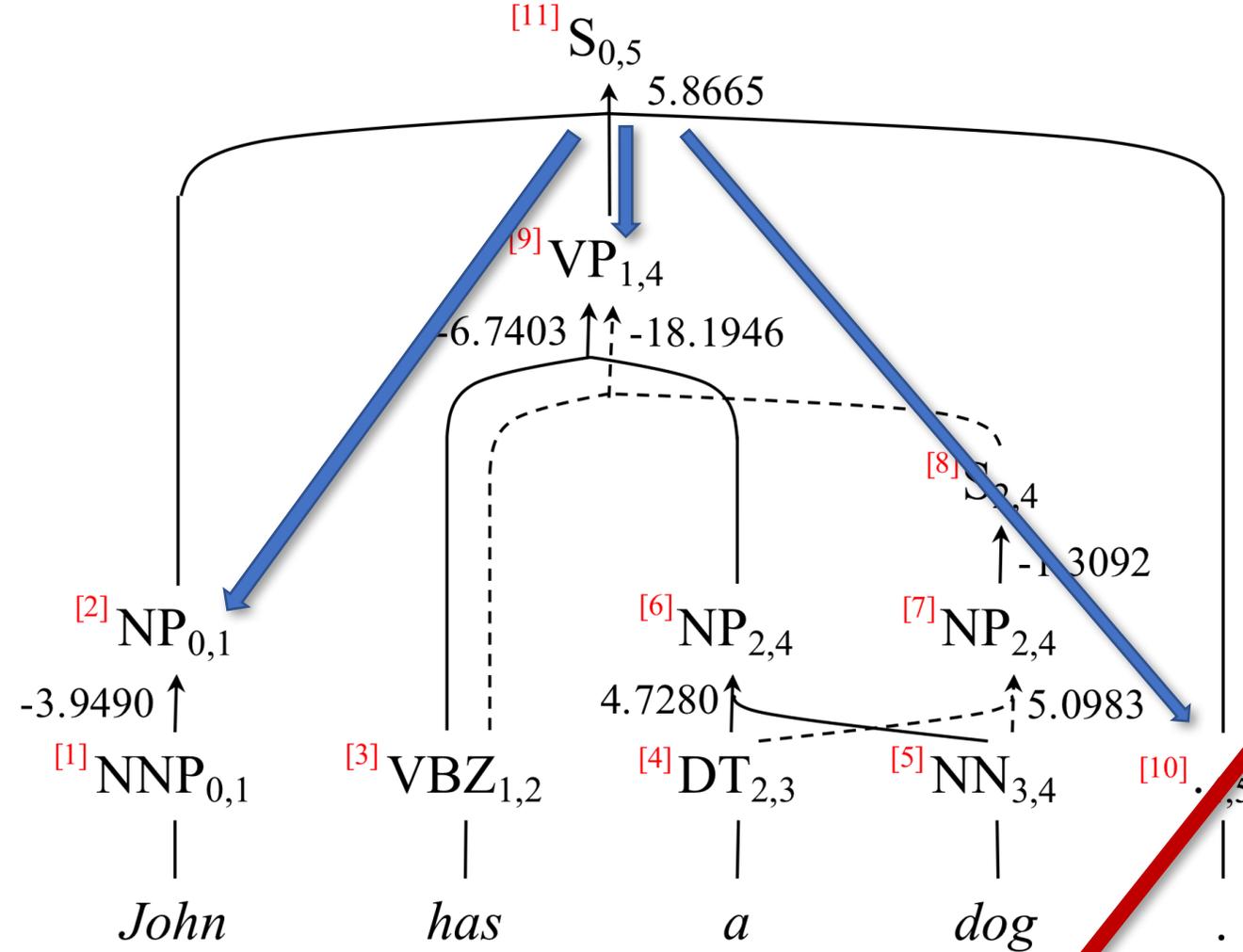
$l \leftarrow \textcircled{C} \text{LINEARIZEEDGES}(tails(e), w)$

$r.append(\langle l, \sigma(score(e)) \rangle)$

else

$l \leftarrow \text{LINEARIZEEDGE}(head(e), w)$

$r.append(\langle l, \sigma(0.0) \rangle)$



```

procedure EXPANDSEQ( $v, r, \langle V, E \rangle, w$ )
  for  $e \in E$  do
    if  $head(e) = v$  then
      if  $tails(e) \neq \emptyset$  then
        for  $t \in \text{SORT}(tails(e))$  do
          EXPANDSEQ( $t, r, \langle V, E \rangle, w$ )
         $l \leftarrow \text{LINEARIZEEDGE}(head(e), w)$ 
         $r.append(\langle l, \sigma(0.0) \rangle)$ 
         $l \leftarrow \text{©LINEARIZEEDGES}(tails(e), w)$ 
         $r.append(\langle l, \sigma(score(e)) \rangle)$ 
      else
         $l \leftarrow \text{LINEARIZEEDGE}(head(e), w)$ 
         $r.append(\langle l, \sigma(0.0) \rangle)$ 

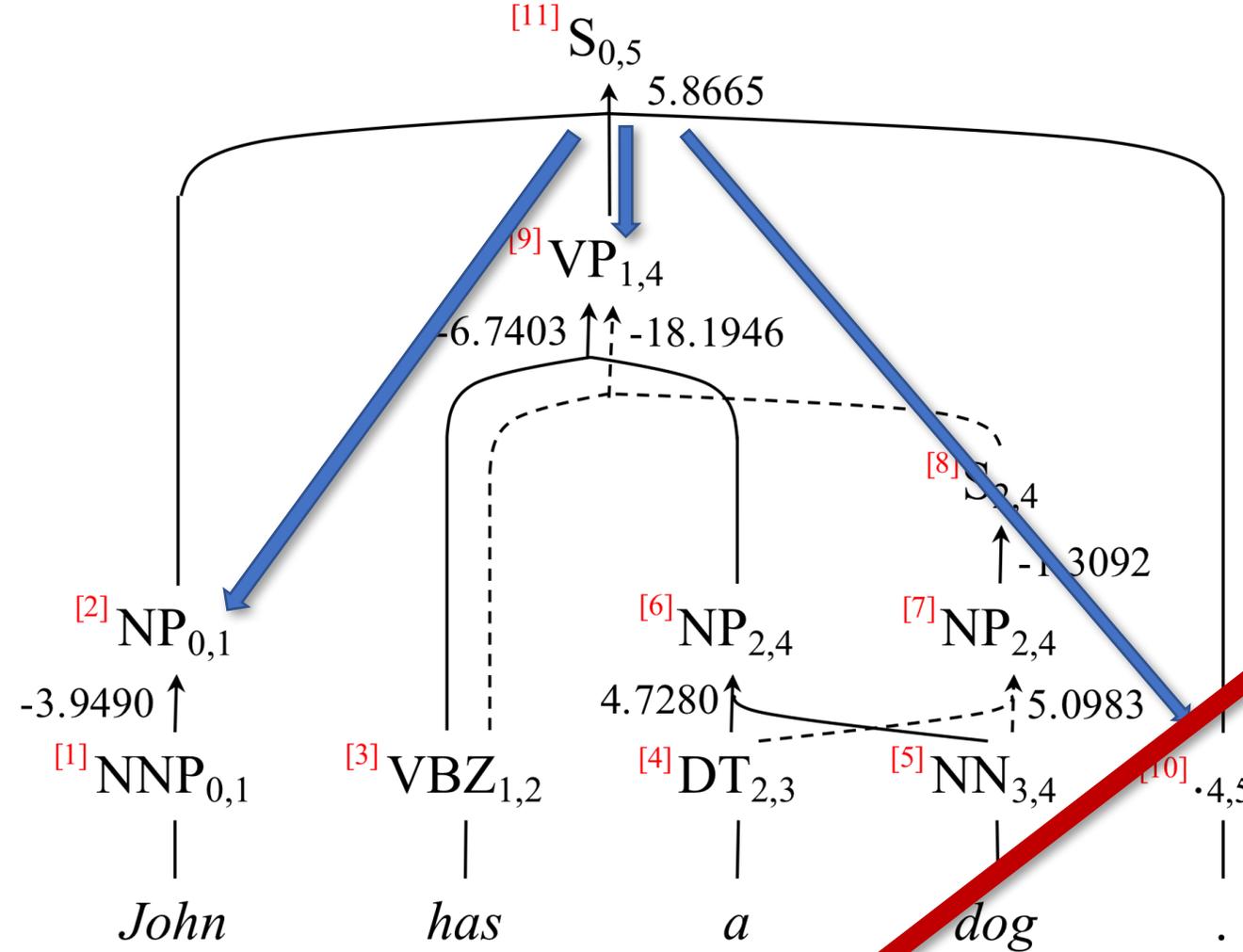
```

```

function LINEARIZEEDGE( $X_{i,j}, w$ )
  return  $X \otimes (\text{©}_{k=i}^{j-1} w_k)$ 

```

$NP \otimes John / NP \otimes John / \text{©}NP \otimes John / VBZ \otimes has / DT \otimes a /$
 $NN \otimes dog / NP \otimes a \odot dog / \text{©}DT \otimes a \oplus NN \otimes dog / NP \otimes a \odot dog /$
 $\text{©}DT \otimes a \oplus NN \otimes dog / S \otimes a \odot dog / \text{©}NP \otimes a \odot dog /$
 $VP \otimes has \odot a \odot dog / \text{©}VBZ \otimes has \oplus NP \otimes a \odot dog /$
 $\text{©}VBZ \otimes has \oplus S \otimes a \odot dog / . \otimes . / S \otimes John \odot has \odot a \odot dog \odot . /$
 $\text{©}NP \otimes John \oplus VP \otimes has \odot a \odot dog \oplus . \otimes .$



```

procedure EXPANDSEQ(v, r,  $\langle V, E \rangle$ , w)
  for e  $\in E$  do
    if head(e) = v then
      if tails(e)  $\neq \emptyset$  then
        for t  $\in$  SORT(tails(e)) do
          EXPANDSEQ(t, r,  $\langle V, E \rangle$ , w)
        l  $\leftarrow$  LINEARIZEEDGE(head(e), w)
        r.append( $\langle l, \sigma(0.0) \rangle$ )
        l  $\leftarrow$   $\odot$ LINEARIZEEDGES(tails(e), w)
        r.append( $\langle l, \sigma(score(e)) \rangle$ )
      else
        l  $\leftarrow$  LINEARIZEEDGE(head(e), w)
        r.append( $\langle l, \sigma(0.0) \rangle$ )

```

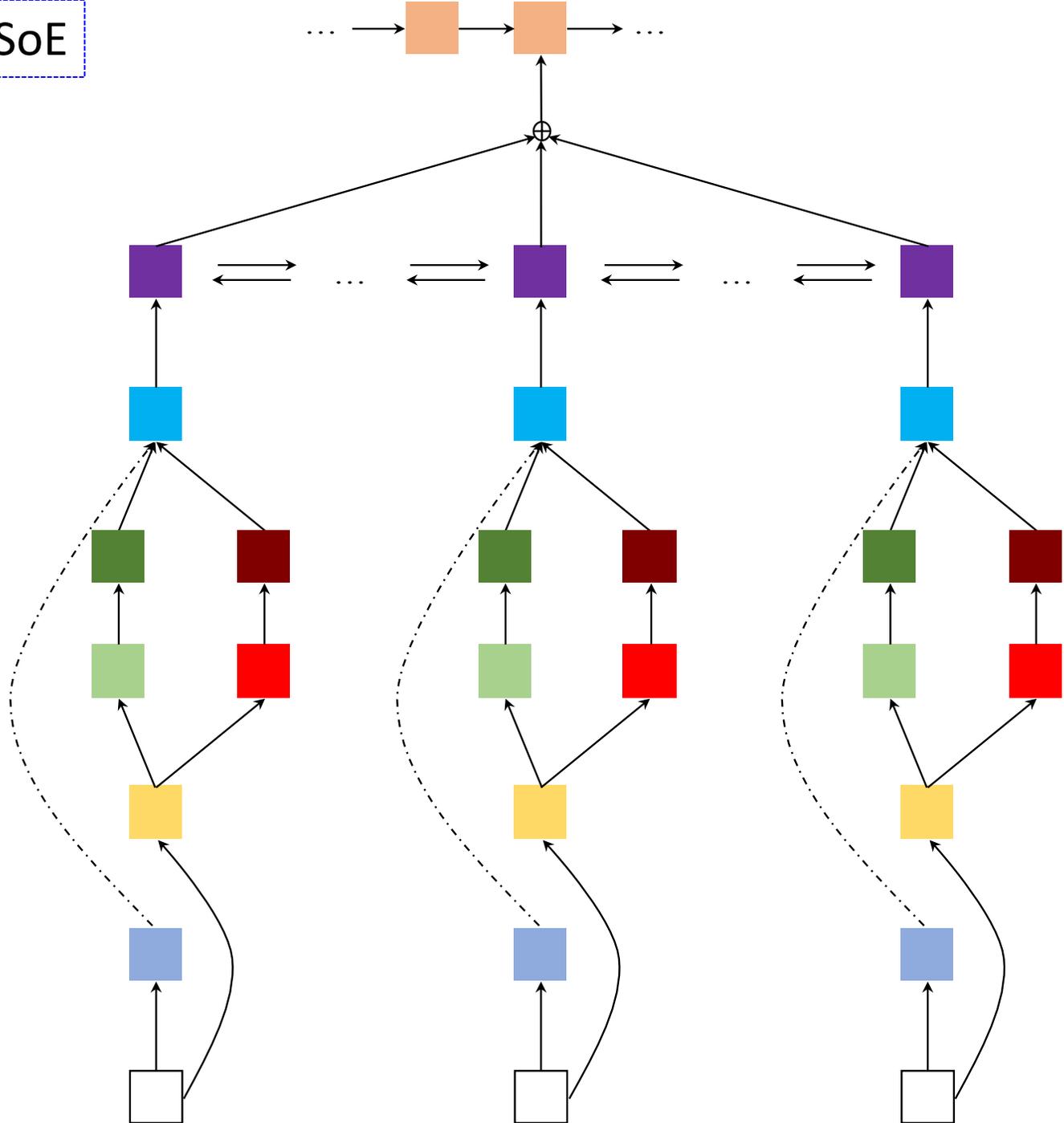
```

function LINEARIZEEDGES(v, w)
  return  $\oplus_{v \in \mathbf{v}}$  LINEARIZEEDGE(v, w)

```

NNP \otimes John / NP \otimes John / \odot NNP \otimes John / VBZ \otimes has / DT \otimes a /
 NN \otimes dog / NP \otimes a \odot dog / \odot DT \otimes a \oplus NN \otimes dog / NP \otimes a \odot dog /
 \odot DT \otimes a \oplus NN \otimes dog / S \otimes a \odot dog / \odot NP \otimes a \odot dog /
 VP \otimes has \odot a \odot dog / \odot VBZ \otimes has \oplus NP \otimes a \odot dog /
 \odot VBZ \otimes has \oplus S \otimes a \odot dog / . \otimes . / S \otimes John \odot has \odot a \odot dog \odot . /
 \odot NP \otimes John \oplus VP \otimes has \odot a \odot dog \oplus . \otimes .

SoE



Decoder

Attention Layer

Hidden Layer

Embedding Layer

Pre-Embedding Layer

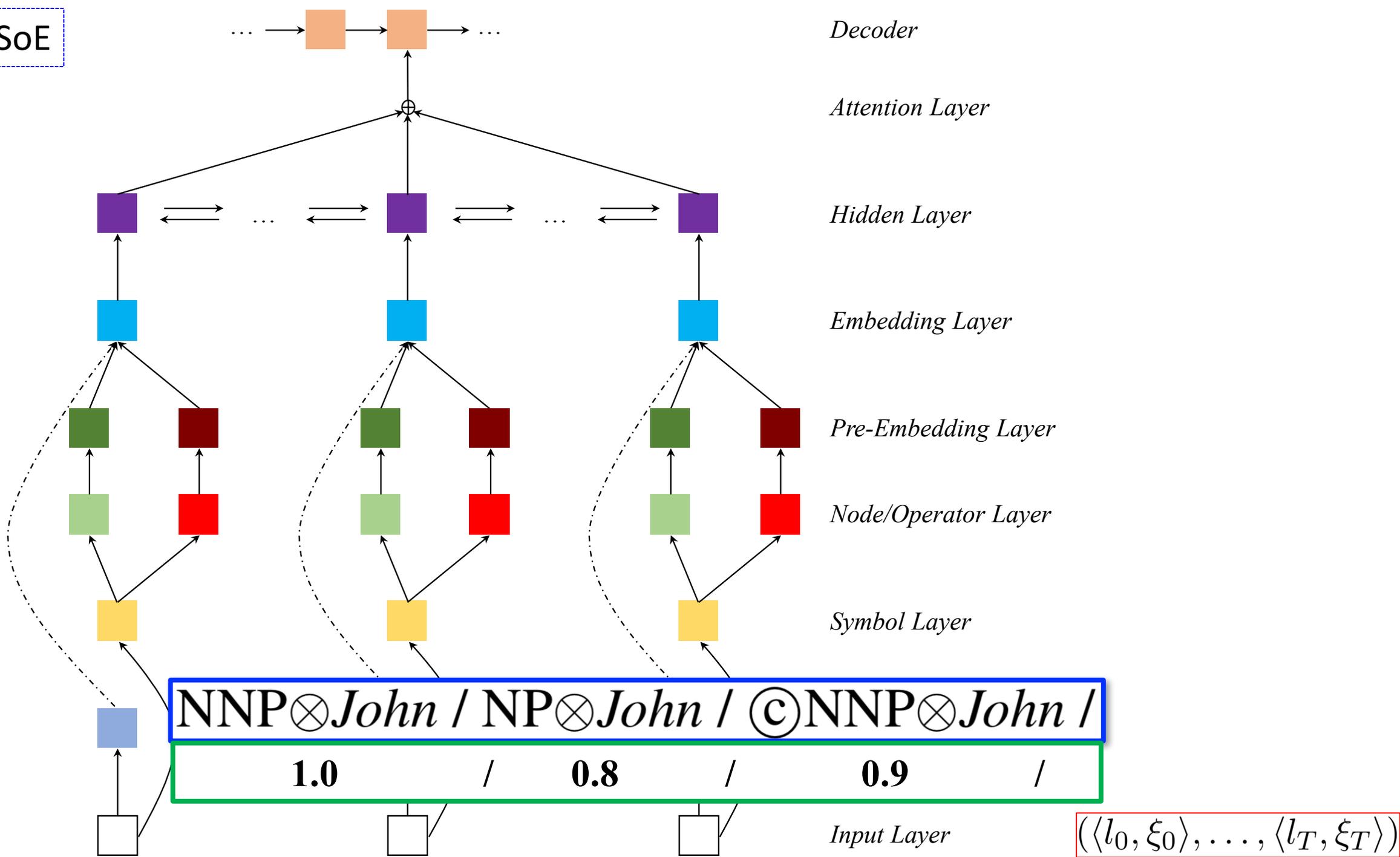
Node/Operator Layer

Symbol Layer

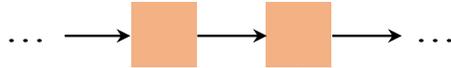
Score Layer

Input Layer

SoE



SoE



Decoder

Attention Layer

Hidden Layer

Embedding Layer

Pre-Embedding Layer

Node/Operator Layer

NNP ⊗ *John* / **NP** ⊗ *John* / **©** **NNP** ⊗ *John* / Layer

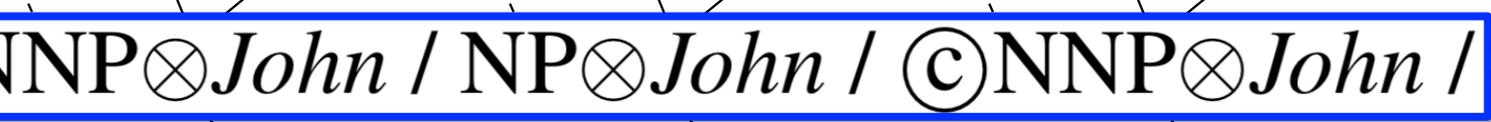
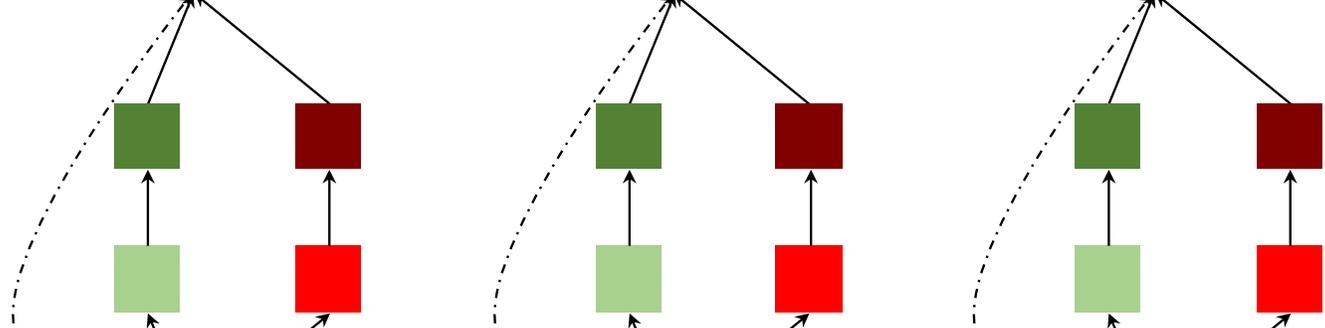
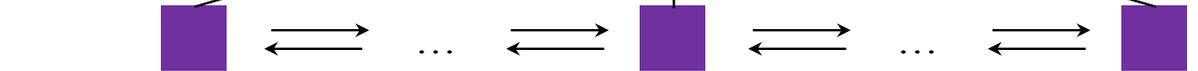
$$\mathbf{l} = (l_0, \dots, l_T)$$

1.0 / **0.8** / **0.9** / Layer

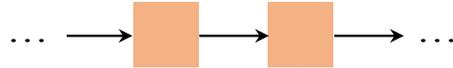
$$\xi = (\xi_0, \dots, \xi_T)$$

Input Layer

$$(\langle l_0, \xi_0 \rangle, \dots, \langle l_T, \xi_T \rangle)$$



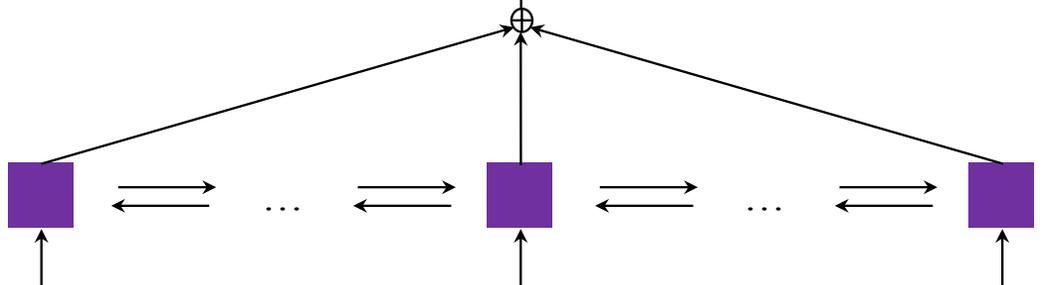
SoE



Decoder

Attention Layer

Hidden Layer



$$l = o_0 x_1 o_1 \dots x_{m-1} o_{m-1} x_m$$

g Layer

erator Layer

Layer

Score Layer

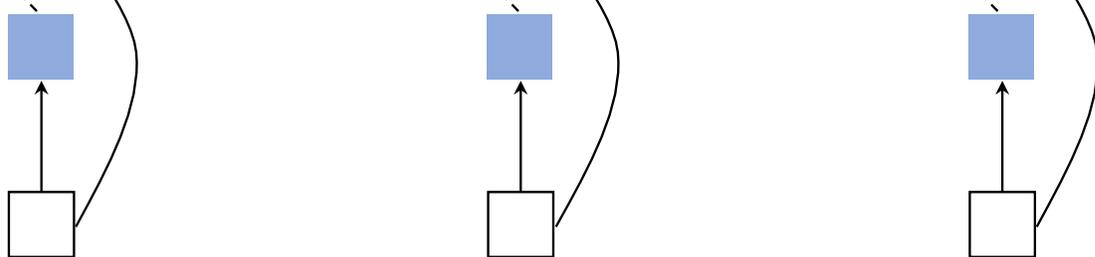
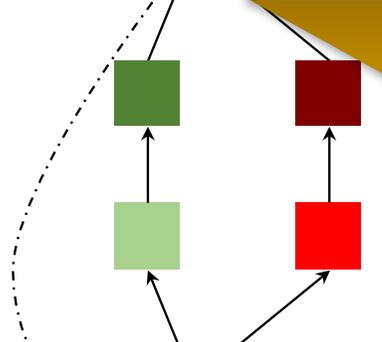
Input Layer

$$\mathbf{l} = (l_0, \dots, l_T)$$

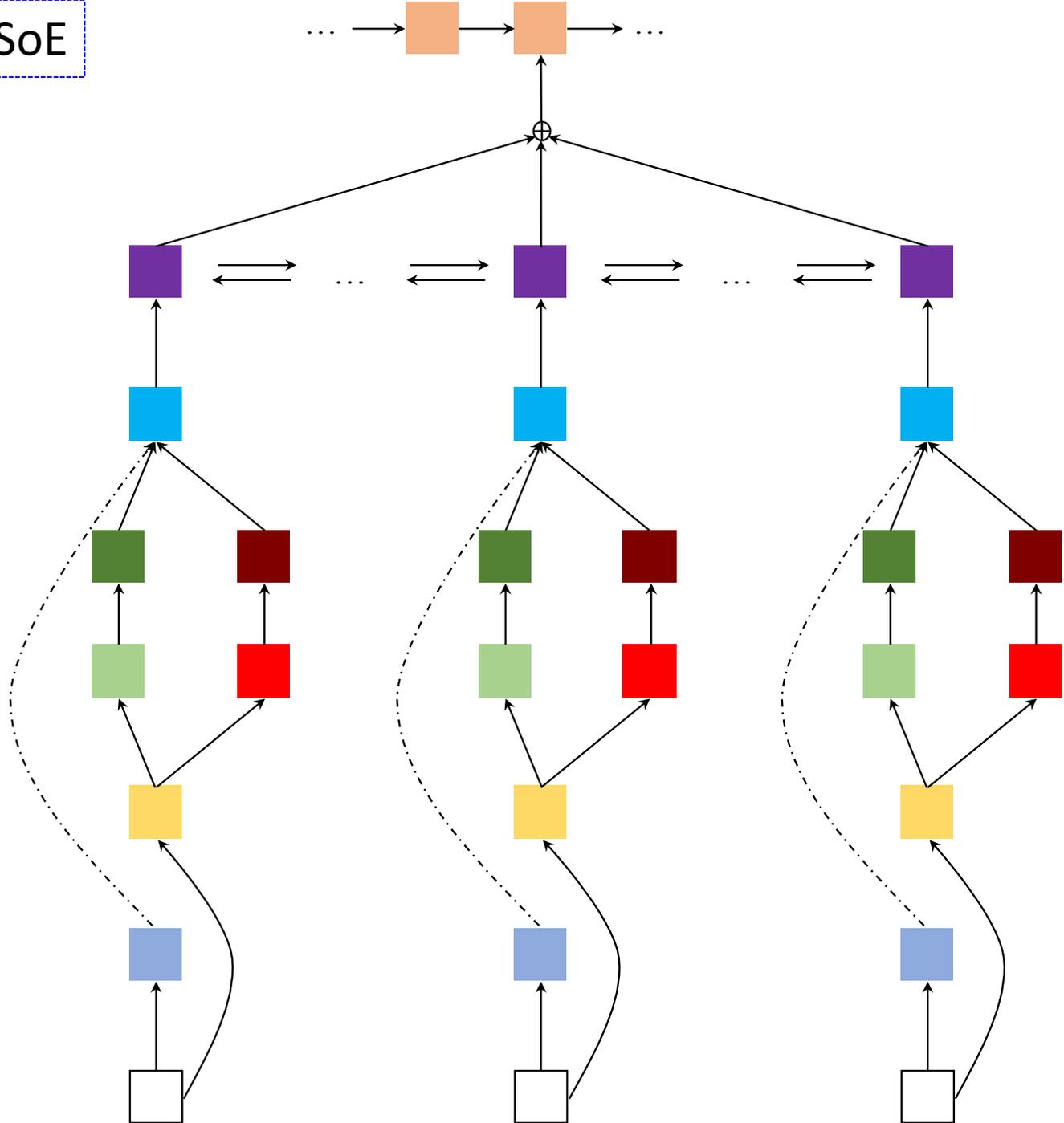
$$\xi = (\xi_0, \dots, \xi_T)$$

$$(\langle l_0, \xi_0 \rangle, \dots, \langle l_T, \xi_T \rangle)$$

NNP ⊗ John / NP ⊗ John / © NNP ⊗ John /



SoE



Decoder

Attention Layer

Hidden Layer

Embedding Layer

Pre-Embedding Layer

Node/Operator $(x_1, \dots, x_m, o_0, \dots, o_{m-1})$

Symbol Layer

$$\mathbf{l} = (l_0, \dots, l_T)$$

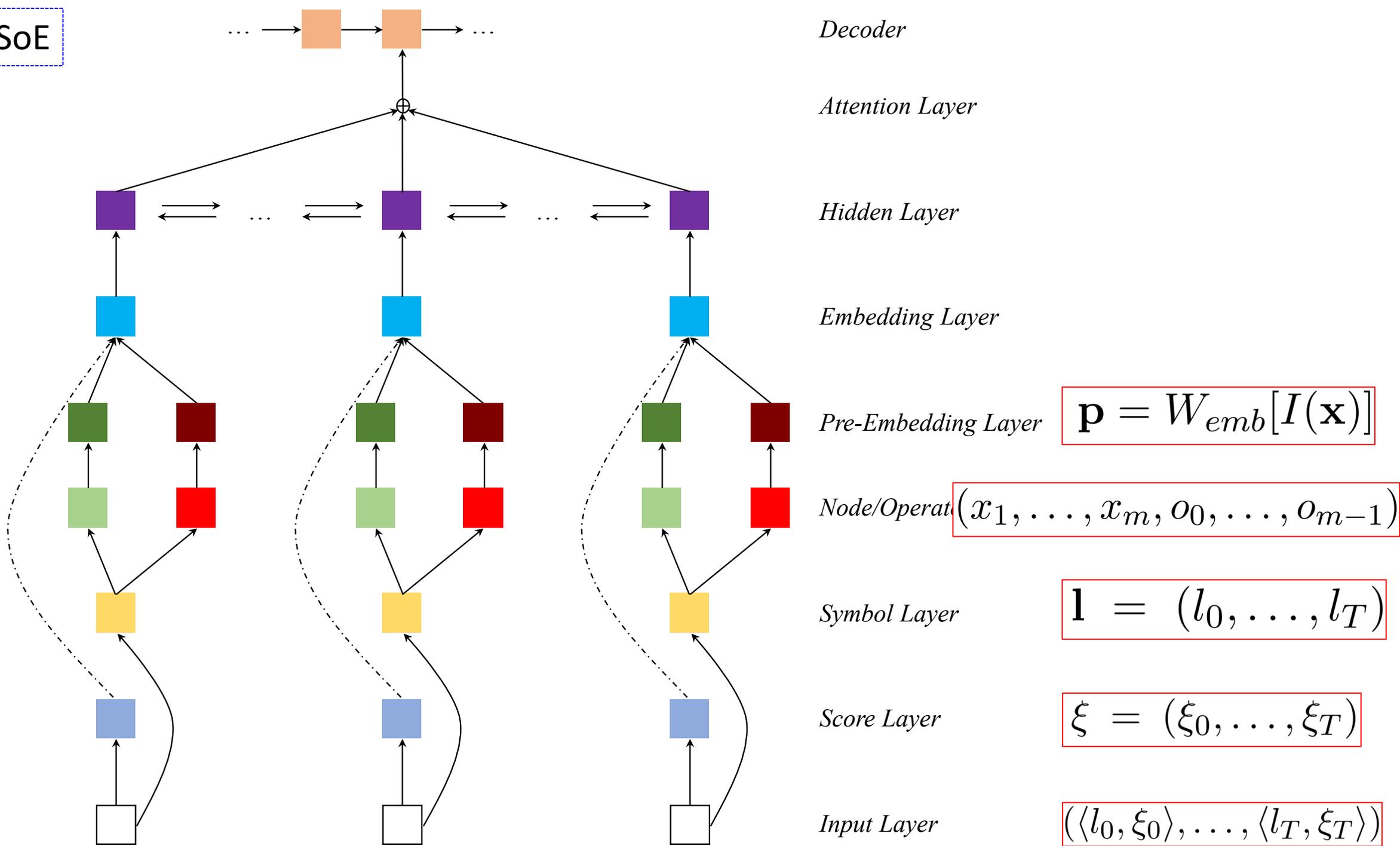
Score Layer

$$\xi = (\xi_0, \dots, \xi_T)$$

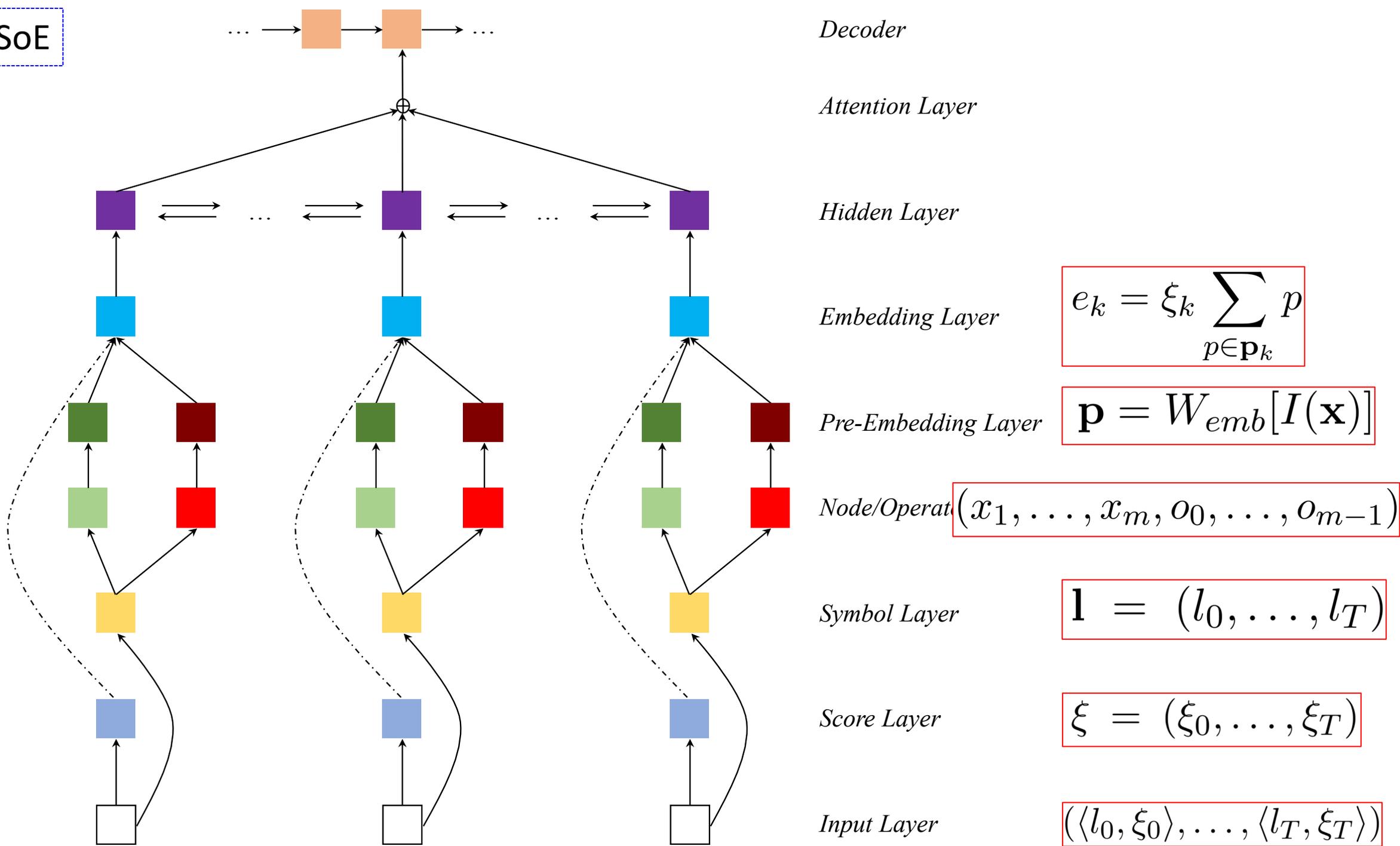
Input Layer

$$(\langle l_0, \xi_0 \rangle, \dots, \langle l_T, \xi_T \rangle)$$

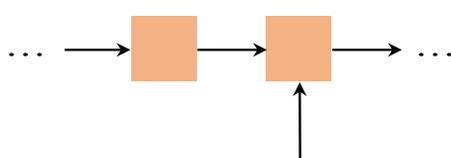
SoE



SoE



SoE



Decoder

$$0.9 \times (emb(\textcircled{c}) + emb(\text{NNP}) + emb(\otimes) + emb(\text{John}))$$

Layer

$$e_k = \xi_k \sum_{p \in \mathbf{p}_k} p$$

Embedding Layer

$$\mathbf{p} = W_{emb}[I(\mathbf{x})]$$

NNP ⊗ John / NP ⊗ John / © NNP ⊗ John /

$$(x_1, \dots, x_m, o_0, \dots, o_{m-1})$$

Symbol Layer

$$\mathbf{l} = (l_0, \dots, l_T)$$

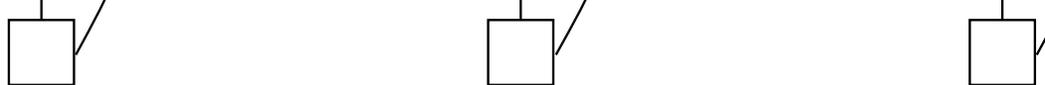
Layer

$$\xi = (\xi_0, \dots, \xi_T)$$

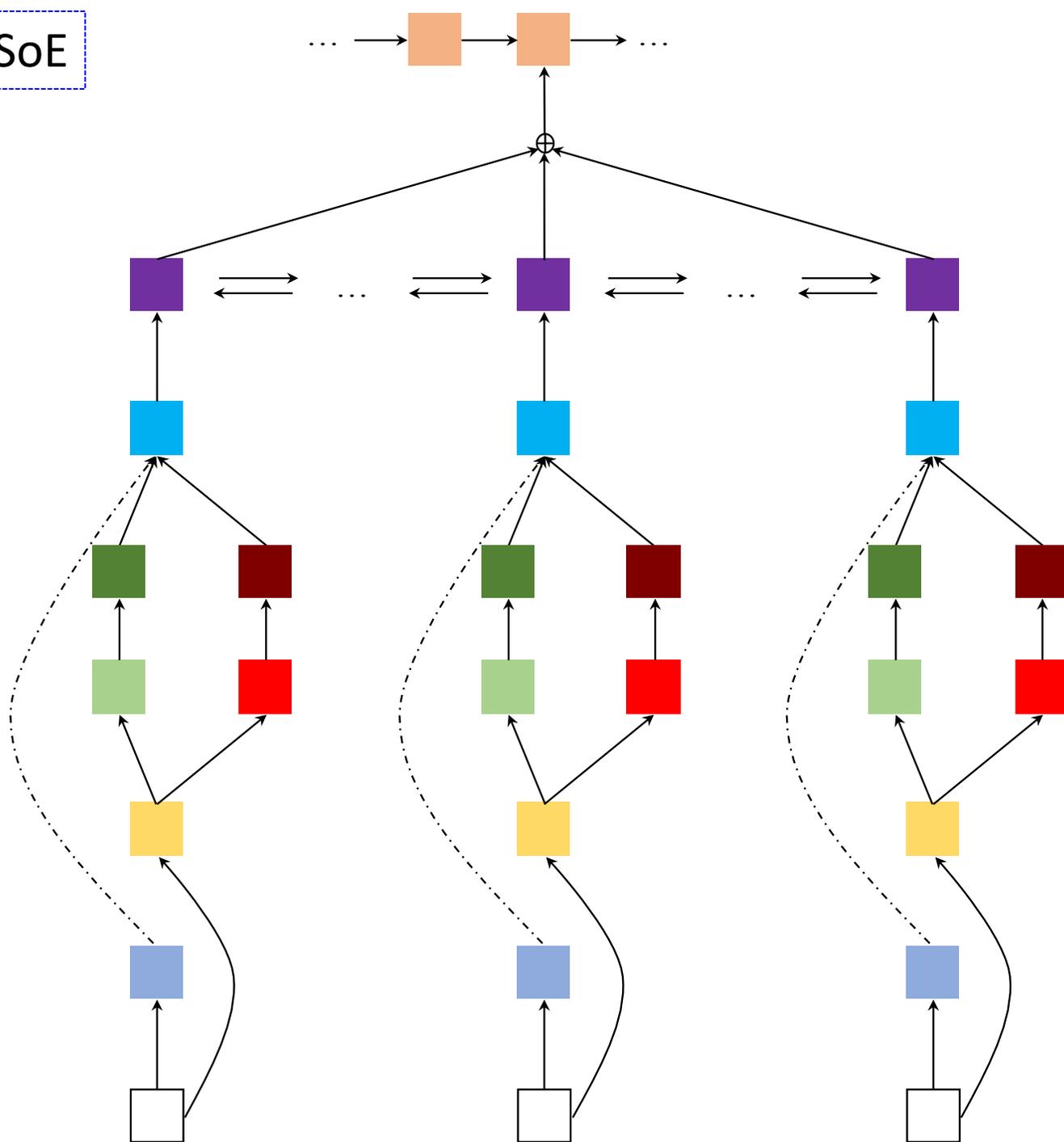
Input Layer

$$(\langle l_0, \xi_0 \rangle, \dots, \langle l_T, \xi_T \rangle)$$

1.0 / 0.8 / 0.9 /



SoE



Decoder

$$\text{Attention Layer } \alpha_{ij} = \frac{\exp(a(s_{i-1}, h_j))}{\sum_{k=0}^T \exp(a(s_{i-1}, h_k))}$$

Hidden Layer

Embedding Layer

$$e_k = \xi_k \sum_{p \in \mathbf{p}_k} p$$

Pre-Embedding Layer

$$\mathbf{p} = W_{emb}[I(\mathbf{x})]$$

Node/Operator

$$(\mathbf{x}_1, \dots, \mathbf{x}_m, o_0, \dots, o_{m-1})$$

Symbol Layer

$$\mathbf{l} = (l_0, \dots, l_T)$$

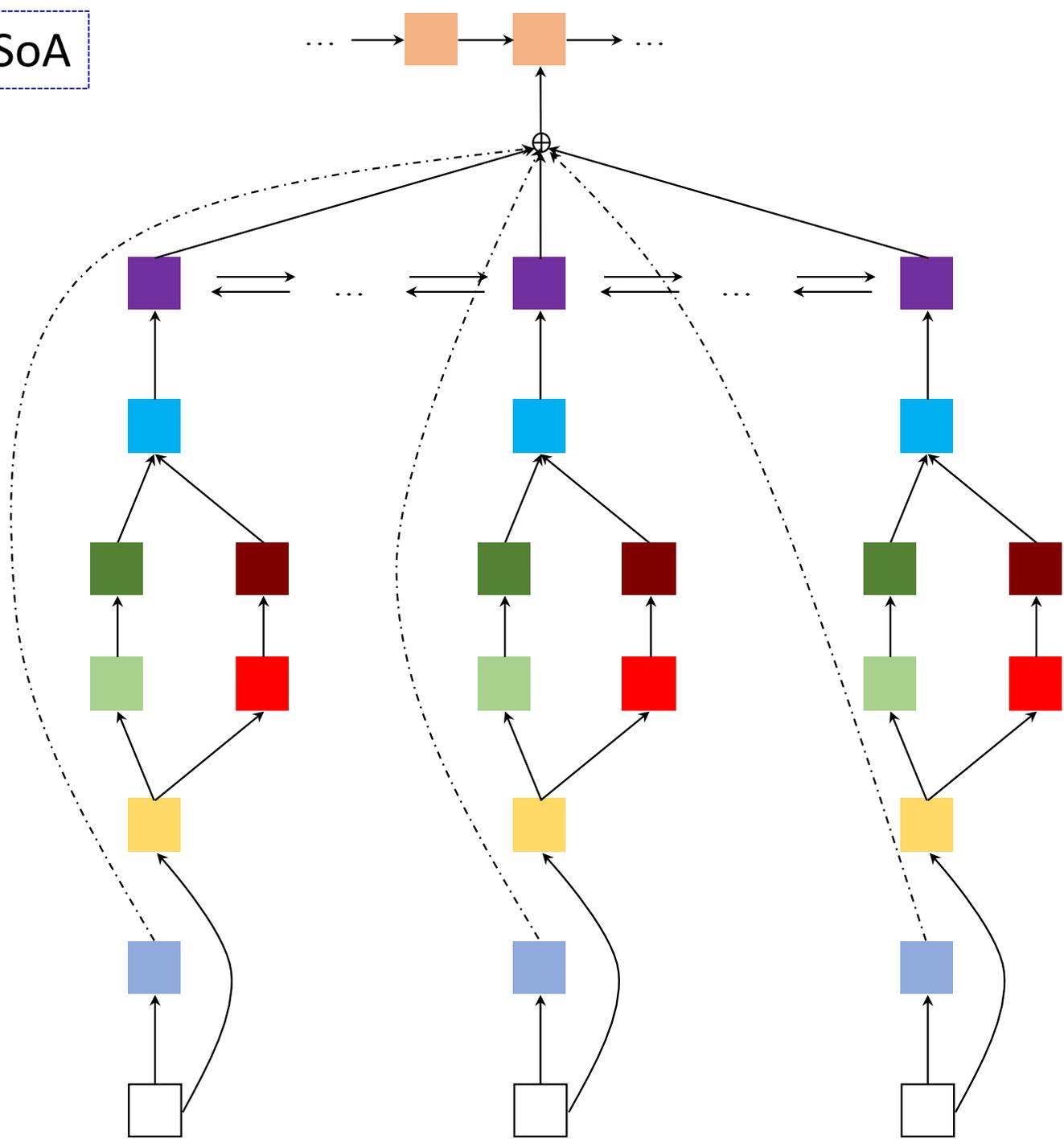
Score Layer

$$\xi = (\xi_0, \dots, \xi_T)$$

Input Layer

$$(\langle l_0, \xi_0 \rangle, \dots, \langle l_T, \xi_T \rangle)$$

SoA



Decoder

Attention Layer $\alpha_{ij} = \frac{\exp(\xi_j a(s_{i-1}, h_j))}{\sum_{k=0}^T \exp(\xi_k a(s_{i-1}, h_k))}$

Hidden Layer

Embedding Layer $e_k = \sum_{p \in \mathbf{p}_k} p$

Pre-Embedding Layer $\mathbf{p} = W_{emb}[I(\mathbf{x})]$

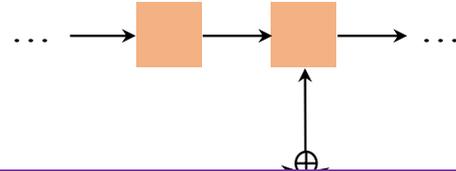
Node/Operator $(x_1, \dots, x_m, o_0, \dots, o_{m-1})$

Symbol Layer $\mathbf{l} = (l_0, \dots, l_T)$

Score Layer $\xi = (\xi_0, \dots, \xi_T)$

Input Layer $(\langle l_0, \xi_0 \rangle, \dots, \langle l_T, \xi_T \rangle)$

SoA



Decoder

Attention Layer

$$\alpha_{ij} = \frac{\exp(\xi_j a(s_{i-1}, h_j))}{\sum_{k=0}^T \exp(\xi_k a(s_{i-1}, h_k))}$$

$0.9 \times \alpha \times \text{hidden}(\textcircled{C} \text{NNP} \otimes \text{John})$

er

$(\text{emb}(\textcircled{C}) + \text{emb}(\text{NNP}) + \text{emb}(\otimes) + \text{emb}(\text{John}))$

$$e_k = \sum_{p \in \mathbf{p}_k} p$$

$$\mathbf{p} = W_{\text{emb}}[I(\mathbf{x})]$$

$(x_1, \dots, x_m, o_0, \dots, o_{m-1})$

$\text{NNP} \otimes \text{John} / \text{NP} \otimes \text{John} / \textcircled{C} \text{NNP} \otimes \text{John} /$

Symbol Layer

$$\mathbf{l} = (l_0, \dots, l_T)$$

1.0

0.8

0.9

ayer

$$\xi = (\xi_0, \dots, \xi_T)$$

Input Layer

$$(\langle l_0, \xi_0 \rangle, \dots, \langle l_T, \xi_T \rangle)$$

Experiments

Data

Language	Corpus	Usage	#Sent.
English-Japanese	ASPEC	train	100,000
		dev.	1790
		test	1812
English-Chinese	LDC	train	1,423,695
	FBIS		233,510
	NIST MT 02	dev.	876
	NIST MT 03	test	919
	NIST MT 04		1,788
NIST MT 05	1,082		

System Types		Systems & Configurations	MT 03		MT 04		MT 05	
			FBIS	LDC	FBIS	LDC	FBIS	LDC
Previous	FS	Mi et al. (2008)	27.10	28.21	28.67	30.09	26.57	28.36
	TN	Eriguchi et al. (2016)	29.00	29.71	30.24	31.56	28.38	30.33
		Chen et al. (2017)	28.34	29.64	30.00	31.25	28.14	29.59
		Li et al. (2017)	28.40	29.60	29.66	31.96	27.74	29.84
Ours	SN	s2s	27.44	29.18	29.73	30.53	27.32	28.80
	TN	1-best (No score)	28.61	29.38	30.07	31.58	28.59	30.01
		1-best (SoE)	28.78	30.65	30.36	32.22	29.31	30.16
		1-best (SoA)	29.39	30.80	30.25	32.39	29.30	30.61
	FN	Forest (No score)	28.06	29.63	29.51	31.41	28.48	29.75
		Forest (SoE)	29.58	31.07	30.67	32.69	29.26	30.41
Forest (SoA)		29.63	31.35	30.31	33.14	29.87	31.23	

- s2s is the worst
 - Syntactic information is useful

English-
Chinese

System Types		Systems & Configurations	MT 03		MT 04		MT 05	
			FBIS	LDC	FBIS	LDC	FBIS	LDC
Previous	FS	Mi et al. (2008)	27.10	28.21	28.67	30.09	26.57	28.36
	TN	Eriguchi et al. (2016)	29.00	29.71	30.24	31.56	28.38	30.33
		Chen et al. (2017)	28.34	29.64	30.00	31.25	28.14	29.59
		Li et al. (2017)	28.40	29.60	29.66	31.96	27.74	29.84
Ours	SN	s2s	27.44	29.18	29.73	30.53	27.32	28.80
	TN	1-best (No score)	28.61	29.38	30.07	31.58	28.59	30.01
		1-best (SoE)	28.78	30.65	30.36	32.22	29.31	30.16
		1-best (SoA)	29.39	30.80	30.25	32.39	29.30	30.61
	FN	Forest (No score)	28.06	29.63	29.51	31.41	28.48	29.75
		Forest (SoE)	29.58	31.07	30.67	32.69	29.26	30.41
		Forest (SoA)	29.63	31.35	30.31	33.14	29.87	31.23

- s2s is the worst
 - Syntactic information is useful
- No score is the worst
 - Score is useful

English-
Chinese

System Types		Systems & Configurations	MT 03		MT 04		MT 05	
			FBIS	LDC	FBIS	LDC	FBIS	LDC
Previous	FS	Mi et al. (2008)	27.10	28.21	28.67	30.09	26.57	28.36
	TN	Eriguchi et al. (2016)	29.00	29.71	30.24	31.56	28.38	30.33
		Chen et al. (2017)	28.34	29.64	30.00	31.25	28.14	29.59
		Li et al. (2017)	28.40	29.60	29.66	31.96	27.74	29.84
Ours	SN	s2s	27.44	29.18	29.73	30.53	27.32	28.80
	TN	1-best (No score)	28.61	29.38	30.07	31.58	28.59	30.01
		1-best (SoE)	28.78	30.65	30.36	32.22	29.31	30.16
		1-best (SoA)	29.39	30.80	30.25	32.39	29.30	30.61
	FN	Forest (No score)	28.06	29.63	29.51	31.41	28.48	29.75
		Forest (SoE)	29.58	31.07	30.67	32.69	29.26	30.41
		Forest (SoA)	29.63	31.35	30.31	33.14	29.87	31.23

- SoA is better than SoE
 - Adjusting attention is better than adjusting word embedding

English-
Chinese

System Types		Systems & Configurations	MT 03		MT 04		MT 05	
			FBIS	LDC	FBIS	LDC	FBIS	LDC
Previous	FS	Mi et al. (2008)	27.10	28.21	28.67	30.09	26.57	28.36
	TN	Eriguchi et al. (2016)	29.00	29.71	30.24	31.56	28.38	30.33
		Chen et al. (2017)	28.34	29.64	30.00	31.25	28.14	29.59
		Li et al. (2017)	28.40	29.60	29.66	31.96	27.74	29.84
Ours	SN	s2s	27.44	29.18	29.73	30.53	27.32	28.80
	TN	1-best (No score)	28.61	29.38	30.07	31.58	28.59	30.01
		1-best (SoE)	28.78	30.65	30.36	32.22	29.31	30.16
		1-best (SoA)	29.39	30.80	30.25	32.39	29.30	30.61
	FN	Forest (No score)	28.06	29.63	29.51	31.41	28.48	29.75
		Forest (SoE)	29.58	31.07	30.67	32.69	29.26	30.41
		Forest (SoA)	29.63	31.35	30.31	33.14	29.87	31.23

- SoA is better than SoE
 - Adjusting attention is better than adjusting word embedding
- Forest is better than 1-best
 - More syntactic information is useful

English-
Chinese

System Types		Systems & Configurations	MT 03		MT 04		MT 05	
			FBIS	LDC	FBIS	LDC	FBIS	LDC
Previous	FS	Mi et al. (2008)	27.10	28.21	28.67	30.09	26.57	28.36
	TN	Eriguchi et al. (2016)	29.00	29.71	30.24	31.56	28.38	30.33
		Chen et al. (2017)	28.34	29.64	30.00	31.25	28.14	29.59
		Li et al. (2017)	28.40	29.60	29.66	31.96	27.74	29.84
Ours	SN	s2s	27.44	29.18	29.73	30.53	27.32	28.80
	TN	1-best (No score)	28.61	29.38	30.07	31.58	28.59	30.01
		1-best (SoE)	28.78	30.65	30.36	32.22	29.31	30.16
		1-best (SoA)	29.39	30.80	30.25	32.39	29.30	30.61
	FN	Forest (No score)	28.06	29.63	29.51	31.41	28.48	29.75
		Forest (SoE)	29.58	31.07	30.67	32.69	29.26	30.41
		Forest (SoA)	29.63	31.35	30.31	33.14	29.87	31.23

- Forest (No score) is worse than 1-best (SoE/SoA)
 - Influence of noise

English-
Chinese

System Types		Systems & Configurations	MT 03		MT 04		MT 05	
			FBIS	LDC	FBIS	LDC	FBIS	LDC
Previous	FS	Mi et al. (2008)	27.10	28.21	28.67	30.09	26.57	28.36
	TN	Eriguchi et al. (2016)	29.00	29.71	30.24	31.56	28.38	30.33
		Chen et al. (2017)	28.34	29.64	30.00	31.25	28.14	29.59
		Li et al. (2017)	28.40	29.60	29.66	31.96	27.74	29.84
Ours	SN	s2s	27.44	29.18	29.73	30.53	27.32	28.80
	TN	1-best (No score)	28.61	29.38	30.07	31.58	28.59	30.01
		1-best (SoE)	28.78	30.65	30.36	32.22	29.31	30.16
		1-best (SoA)	29.39	30.80	30.25	32.39	29.30	30.61
	FN	Forest (No score)	28.06	29.63	29.51	31.41	28.48	29.75
		Forest (SoE)	29.58	31.07	30.67	32.69	29.26	30.41
		Forest (SoA)	29.63	31.35	30.31	33.14	29.87	31.23

- Forest (No score) is worse than 1-best (SoE/SoA)
 - Influence of noise
- FS/TN is worse than 1-best (SoE/SoA)
 - Better to use score in linearization

English-
Chinese

English- Japanese

System Types		Systems & Configurations	BLEU (test)
Previous	FS	Mi et al. (2008)	34.13
	TN	Eriguchi et al. (2016)	37.52
		Chen et al. (2017)	36.94
		Li et al. (2017)	36.21
Ours	SN	s2s	37.10
	TN	1-best (No score)	38.01
		1-best (SoE)	38.53
		1-best (SoA)	39.42
	FN	Forest (No score)	37.92
		Forest (SoE)	41.35
Forest (SoA)		42.17	

- s2s is the worst
- No score is the worst
- SoA is better than SoE
- Forest is better than 1-best
- Forest (No score) is worse than 1-best (SoE/SoA)
- FS/TN is worse than 1-best (SoE/SoA)

Merits & Demerits

- Use syntactic information explicitly
- Simpler model, more information
- Robust to parsing errors

- Lots of sentences are filtered out due to lengths
- Memory consumption
- Training/decoding efficiency
- Implementation tricks

Conclusion

First attempt to use forest in neural machine translation

Thanks

Q & A