## A Transition-Based Directed Acyclic Graph Parser for Universal Conceptual Cognitive Annotation

Daniel Hershcovich, Omri Abend and Ari Rappoport



ACL 2017

#### TUPA — Transition-based UCCA Parser

The **first parser** to support the combination of three properties:

1. Non-terminal nodes — entities and events over the text



#### TUPA — Transition-based UCCA Parser

The first parser to support the combination of three properties:

- 1. Non-terminal nodes entities and events over the text
- 2. Reentrancy allow argument sharing



#### TUPA — Transition-based UCCA Parser

The first parser to support the combination of three properties:

- 1. Non-terminal nodes entities and events over the text
- 2. Reentrancy allow argument sharing
- 3. Discontinuity conceptual units are split
- needed for many semantic schemes (e.g. AMR, UCCA).



#### Introduction

#### Linguistic Structure Annotation Schemes

- Syntactic dependencies
- Semantic dependencies (Oepen et al., 2016)



Bilexical dependencies.

▲□▶ ▲□▶ ▲□▶ ▲□▶ □ のQで

#### Linguistic Structure Annotation Schemes

- Syntactic dependencies
- Semantic dependencies (Oepen et al., 2016)
- Semantic role labeling (PropBank, FrameNet)
- AMR (Banarescu et al., 2013)
- UCCA (Abend and Rappoport, 2013)
- Other semantic representation schemes<sup>1</sup>

Semantic representation schemes attempt to abstract away from syntactic detail that does not affect meaning:

$$\dots$$
 bathed =  $\dots$  took a bath

#### The UCCA Semantic Representation Scheme

◆□▶ ◆□▶ ◆ 臣▶ ◆ 臣▶ ○ 臣 ○ の Q @

#### Universal Conceptual Cognitive Annotation (UCCA)

Cross-linguistically applicable (Abend and Rappoport, 2013). Stable in translation (Sulem et al., 2015).



## Universal Conceptual Cognitive Annotation (UCCA)

Rapid and intuitive annotation interface (Abend et al., 2017). Usable by non-experts. ucca-demo.cs.huji.ac.il

# Facilitates semantics-based human evaluation of machine translation (Birch et al., 2016). ucca.cs.huji.ac.il/mteval

Linker (L) Ground (G) Participant (A)	i i	sch Juli grea	ool counsellor . The family soon moved to Springfiel e Neal ( born 1969 ). Born into a conservative house	to William Alvin Pitt , who ran a trucking company , and Jane Etta ( née Hillhouse ), a 1, Missouri , where he lived together with his younger siblings , Douglas ( born 1966 ) and hold , he was raised as Southern Baptist , but has since stated that he does not " have a between agnosticism and atheism ." Pitt has described Springfield as " Mark Twain country hills , a lot of lakes ".
State (S)	4			
Process (P)	i		H William Bradley Pitt was born in Shawnee	, Oklahoma + 💬 F 🗙
Adverbial (D)	i	L	1-1 A William Bradley Pitt	+ 💬 F 🗙
Time (T)	i	L	1-2 F was	+ 💬 F 🗙
Center (C)	i			
Elaborator (E)	i	L	1-3 P born	+ 💬 F 🗙
Connector (N)	i		1-4 A in Shawnee , Oklahoma	+ 🗇 F 🗙
Relator (R)	i	L	1-4-1 <b>R</b>  in	+ 😳 F 🗙
Uncertain (UNC)	i	L	1-4-2 C UNA Shawnee , Oklahoma	+ 💬 F 🗙
Unanalyzable (UI	i	L		
Function (F)	i			

## Graph Structure

UCCA generates a directed acyclic graph (DAG). Text tokens are terminals, complex units are non-terminal nodes. *Remote edges* enable reentrancy for argument sharing. Phrases may be discontinuous (e.g., multi-word expressions).



## Transition-based UCCA Parsing

▲□▶ ▲□▶ ▲ 三▶ ▲ 三 ● ● ●

#### Transition-Based Parsing

First used for dependency parsing (Nivre, 2004). Parse text  $w_1 \dots w_n$  to graph *G* incrementally by applying transitions to the parser state: stack, buffer and constructed graph.

#### Transition-Based Parsing

First used for dependency parsing (Nivre, 2004). Parse text  $w_1 \dots w_n$  to graph *G* incrementally by applying transitions to the parser state: stack, buffer and constructed graph.

Initial state:

stack						buffer		
	You	want	to	take	a	long	bath	

▲□▶ ▲□▶ ▲□▶ ▲□▶ ■ ●の00

#### Transition-Based Parsing

First used for dependency parsing (Nivre, 2004). Parse text  $w_1 \dots w_n$  to graph *G* incrementally by applying transitions to the parser state: stack, buffer and constructed graph.

Initial state:

stack							buffer	
	You	want	to	take	a	long	bath	

TUPA transitions: {SHIFT, REDUCE, NODE<sub>X</sub>, LEFT-EDGE<sub>X</sub>, RIGHT-EDGE<sub>X</sub>, LEFT-REMOTE<sub>X</sub>, RIGHT-REMOTE<sub>X</sub>, SWAP, FINISH}

Support non-terminal nodes, reentrancy and discontinuity.

 $\Rightarrow$  Shift

## stack buffer You long want to take bath $\mathbf{a}$ graph

▲□▶ ▲□▶ ▲ 三▶ ▲ 三▶ 三三 - のへぐ

#### $\Rightarrow \operatorname{Right-Edge}_{\mathcal{A}}$

## stack buffer You take long bath want to $\mathbf{a}$ graph A You

◆□▶ ◆□▶ ◆目▶ ◆目▶ ▲□▶ ◆○♥

 $\Rightarrow$  Shift

stack buffer You long want take bath  $\operatorname{to}$  $\mathbf{a}$ graph A You

・ロト ・ 画 ・ ・ 画 ・ ・ 画 ・ 今々ぐ

 $\Rightarrow$  Swap

#### stack

#### buffer



#### $\Rightarrow$ Right-Edge<sub>P</sub>

#### stack buffer long want You take bath $\operatorname{to}$ $\mathbf{a}$ graph Р You want

▲□▶ ▲□▶ ▲目▶ ▲目▶ ▲□ ● のへで

#### $\Rightarrow$ Reduce

stack

buffer



 $\Rightarrow$  Shift

stack buffer You long take bath  $\operatorname{to}$  $\mathbf{a}$ graph Ρ You want

◆□▶ ◆□▶ ◆臣▶ ◆臣▶ 臣 のへぐ

 $\Rightarrow$  Shift



23

◆□ ▶ ◆□ ▶ ◆ □ ▶ ◆ □ ▶ ● □ ● ● ● ●

 $\Rightarrow \operatorname{NODE}_{\textit{F}}$ 



24

・ロト ・ 画 ・ ・ 画 ・ ・ 画 ・ 今々ぐ

#### $\Rightarrow$ Reduce



 $\Rightarrow$  Shift



◆□▶ ◆□▶ ◆ 臣▶ ◆ 臣▶ 三臣 - ∽ � � �

 $\Rightarrow$  Shift



27

・ロト・国ト・モート ヨー うらぐ

 $\Rightarrow \operatorname{NODE}_{\textit{C}}$ 



◆□▶ ◆□▶ ◆三▶ ◆三▶ ◆□▶





29

・ロト ・ 画 ・ ・ 画 ・ ・ 画 ・ 今々ぐ

 $\Rightarrow$  Shift



30

 $\Rightarrow$  Right-Edge<sub>P</sub>



31

◆□▶ ◆□▶ ◆三▶ ◆三▶ ◆□▶

 $\Rightarrow$  Shift



32

うせん 川田 ふぼや 小田 そうそう





33

うせん 川田 ふぼや 小田 そうそう

 $\Rightarrow$  Reduce



34

 $\Rightarrow$  Shift



 $\Rightarrow$  Swap


$\Rightarrow$  Right-Edge<sub>D</sub>



37

・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・





◆□▶ ◆□▶ ◆目▶ ◆目▶ ◆□▶

 $\Rightarrow$  Swap



39

のとの 川 (山) (山) (山) (山) (山) (山)

 $\Rightarrow \operatorname{Right-Edge}_{\mathcal{A}}$ 







41

・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・



◆□▶ ◆□▶ ◆目▶ ◆目▶ ◆□▶

 $\Rightarrow$  Shift



43

のとの 川 (山) (山) (山) (山) (山) (山)

 $\Rightarrow$  Shift



のとの 川 (山) (山) (山) (山) (山) (山)

#### $\Rightarrow \text{Left-Remote}_{\mathcal{A}}$



◆□▶ ◆□▶ ◆三▶ ◆三▶ ◆□▶

 $\Rightarrow$  Shift



うせん 川田 ふぼや 小田 そうそう





◆□▶ ◆□▶ ◆三▶ ◆三▶ ◆□▶

 $\Rightarrow$  Finish



・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・

#### Training

An *oracle* provides the transition sequence given the correct graph:



SHIFT, RIGHT-EDGE<sub>A</sub>, SHIFT, SWAP, RIGHT-EDGE<sub>P</sub>, REDUCE, SHIFT, SHIFT, NODE<sub>F</sub>, REDUCE, SHIFT, SHIFT, NODE<sub>C</sub>, REDUCE, SHIFT, RIGHT-EDGE<sub>P</sub>, SHIFT, RIGHT-EDGE<sub>F</sub>, REDUCE, SHIFT, SWAP, RIGHT-EDGE<sub>D</sub>, REDUCE, SWAP, RIGHT-EDGE<sub>A</sub>, REDUCE, REDUCE, SHIFT, SHIFT, LEFT-REMOTE<sub>A</sub>, SHIFT, RIGHT-EDGE<sub>C</sub>, FINISH

Learn to greedily predict transition based on current state. Experimenting with three classifiers:

Sparse	Perceptron with sparse features (Zhang and Nivre, 2011).
MLP	Embeddings + feedforward NN (Chen and Manning, 2014).
BiLSTM	Embeddings + deep bidirectional LSTM + MLP
	(Kiperwasser and Goldberg, 2016).

Features: words, POS, syntactic dependencies, existing edge labels from the stack and buffer + parents, children, grandchildren; ordinal features (height, number of parents and children)



Learn to greedily predict transition based on current state. Experimenting with three classifiers:

SparsePerceptron with sparse features (Zhang and Nivre, 2011).MLPEmbeddings + feedforward NN (Chen and Manning, 2014).BiLSTMEmbeddings + deep bidirectional LSTM + MLP<br/>(Kiperwasser and Goldberg, 2016).

Effective "lookahead" encoded in the representation.



Learn to greedily predict transition based on current state. Experimenting with three classifiers:

SparsePerceptron with sparse features (Zhang and Nivre, 2011).MLPEmbeddings + feedforward NN (Chen and Manning, 2014).BiLSTMEmbeddings + deep bidirectional LSTM + MLP<br/>(Kiperwasser and Goldberg, 2016).



Learn to greedily predict transition based on current state. Experimenting with three classifiers:

SparsePerceptron with sparse features (Zhang and Nivre, 2011).MLPEmbeddings + feedforward NN (Chen and Manning, 2014).BiLSTMEmbeddings + deep bidirectional LSTM + MLP<br/>(Kiperwasser and Goldberg, 2016).



Learn to greedily predict transition based on current state. Experimenting with three classifiers:

SparsePerceptron with sparse features (Zhang and Nivre, 2011).MLPEmbeddings + feedforward NN (Chen and Manning, 2014).BiLSTMEmbeddings + deep bidirectional LSTM + MLP<br/>(Kiperwasser and Goldberg, 2016).





55

◆□ ▶ ◆□ ▶ ◆ □ ▶ ◆ □ ▶ ● □ ● ● ● ●

### Experiments

(ロ)、(型)、(E)、(E)、 E) の(()

#### Experimental Setup

- UCCA Wikipedia corpus  $\begin{pmatrix} \text{train} & \text{dev} & \text{test} \\ 4268 + 454 + 503 \text{ sentences} \end{pmatrix}$ .
- Out-of-domain: English part of English-French parallel corpus, *Twenty Thousand Leagues Under the Sea* (506 sentences).



#### Baselines

No existing UCCA parsers  $\Rightarrow$  conversion-based approximation. Bilexical DAG parsers (allow reentrancy):

- DAGParser (Ribeyre et al., 2014): transition-based.
- TurboParser (Almeida and Martins, 2015): graph-based.

Tree parsers (all transition-based):

- MaltParser (Nivre et al., 2007): bilexical tree parser.
- Stack LSTM Parser (Dyer et al., 2015): bilexical tree parser.
- UPARSE (Maier, 2015): allows non-terminals, discontinuity.



UCCA bilexical DAG approximation (for tree, delete remote edges).

#### Bilexical Graph Approximation

- 1. Convert UCCA to bilexical dependencies.
- 2. Train bilexical parsers and apply to test sentences.
- 3. Reconstruct UCCA graphs and compare with gold standard.



#### **Evaluation**

Comparing graphs over the same sequence of tokens,

- Match edges by their terminal yield and label.
- Calculate labeled precision, recall and F1 scores.
- Separate primary and remote edges.



#### Results

 $TUPA_{BiLSTM}$  obtains the highest F-scores in all metrics:

	Primary edges		Remote edges			
	LP	LR	LF	LP	LR	LF
TUPA <sub>Sparse</sub>	64.5	63.7	64.1	19.8	13.4	16
TUPA <sub>MLP</sub>	65.2	64.6	64.9	23.7	13.2	16.9
TUPA <sub>Bilstm</sub>	74.4	72.7	73.5	47.4	51.6	49.4
Bilexical DAG			(91)			(58.3)
DAGParser	61.8	55.8	58.6	9.5	0.5	1
TurboParser	57.7	46	51.2	77.8	1.8	3.7
Bilexical tree			(91)			-
MaltParser	62.8	57.7	60.2	-	-	_
Stack LSTM	73.2	66.9	69.9	_	_	_
Tree			(100)			_
UPARSE	60.9	61.2	61.1	-	_	_

Results on the Wiki test set.

#### Results

Comparable on out-of-domain test set:

	Primary edges		Remote edges			
	LP	LR	LF	LP	LR	LF
<b>TUPA</b> Sparse	59.6	59.9	59.8	22.2	7.7	11.5
TUPA <sub>MLP</sub>	62.3	62.6	62.5	20.9	6.3	9.7
TUPA <sub>Bilstm</sub>	68.7	68.5	68.6	38.6	18.8	25.3
Bilexical DAG			(91.3)			(43.4)
DAGParser	56.4	50.6	53.4	-	0	0
TurboParser	50.3	37.7	43.1	100	0.4	0.8
Bilexical tree			(91.3)			_
MaltParser	57.8	53	55.3	-	—	-
Stack LSTM	66.1	61.1	63.5	-	-	-
Tree			(100)			_
UPARSE	52.7	52.8	52.8	-	-	-

Results on the 20K Leagues out-of-domain set.

#### Conclusion

- UCCA's semantic distinctions require a graph structure including non-terminals, reentrancy and discontinuity.
- TUPA is an accurate transition-based UCCA parser, and the **first** to support UCCA and any DAG over the text tokens.
- Outperforms strong conversion-based baselines.

Code: github.com/danielhers/tupa Demo: bit.ly/tupademo Corpora: cs.huji.ac.il/~oabend/ucca.html

### Conclusion

- UCCA's semantic distinctions require a graph structure including non-terminals, reentrancy and discontinuity.
- TUPA is an accurate transition-based UCCA parser, and the **first** to support UCCA and any DAG over the text tokens.
- Outperforms strong conversion-based baselines.

Future Work:

- More languages (German corpus construction is underway).
- Parsing other schemes, such as AMR.
- Compare semantic representations through conversion.
- Text simplification, MT evaluation and other applications.

Code: github.com/danielhers/tupa Demo: bit.ly/tupademo

Corpora: cs.huji.ac.il/~oabend/ucca.html

## Conclusion

- UCCA's semantic distinctions require a graph structure including non-terminals, reentrancy and discontinuity.
- TUPA is an accurate transition-based UCCA parser, and the **first** to support UCCA and any DAG over the text tokens.
- Outperforms strong conversion-based baselines.

Future Work:

- More languages (German corpus construction is underway).
- Parsing other schemes, such as AMR.
- Compare semantic representations through conversion.
- Text simplification, MT evaluation and other applications.

Code: github.com/danielhers/tupa Demo: bit.ly/tupademo

Corpora: cs.huji.ac.il/~oabend/ucca.html

#### Thank you!

▲□▶ ▲□▶ ▲ 三▶ ▲ 三▶ 三 のへぐ

#### References I

Abend, O. and Rappoport, A. (2013).

Universal Conceptual Cognitive Annotation (UCCA). In *Proc. of ACL*, pages 228–238.

Abend, O. and Rappoport, A. (2017). The state of the art in semantic representation. In *Proc.* of *ACL*. to appear.

Abend, O., Yerushalmi, S., and Rappoport, A. (2017). UCCAApp: Web-application for syntactic and semantic phrase-based annotation. In Proc. of ACL: System Demonstration Papers. to appear.

Almeida, M. S. C. and Martins, A. F. T. (2015). Lisbon: Evaluating TurboSemanticParser on multiple languages and out-of-domain data. In Proc. of SemEval, pages 970-973.

 Banarescu, L., Bonial, C., Cai, S., Georgescu, M., Griffitt, K., Hermjakob, U., Knight, K., Palmer, M., and Schneider, N. (2013).
Abstract Meaning Representation for sembanking. In Proc. of the Linguistic Annotation Workshop.

Birch, A., Abend, O., Bojar, O., and Haddow, B. (2016). HUME: Human UCCA-based evaluation of machine translation. In Proc. of EMNLP, pages 1264–1274.

Chen, D. and Manning, C. (2014).

A fast and accurate dependency parser using neural networks. In  $\it Proc.~of~EMNLP,~pages~740-750.$ 

#### References II

Dyer, C., Ballesteros, M., Ling, W., Matthews, A., and Smith, N. A. (2015). Transition-based dependency parsing with stack long short-term memory. In Proc. of ACL, pages 334–343.

#### Kiperwasser, E. and Goldberg, Y. (2016).

Simple and accurate dependency parsing using bidirectional LSTM feature representations. TACL, 4:313–327.

#### Maier, W. (2015).

Discontinuous incremental shift-reduce parsing. In *Proc. of ACL*, pages 1202–1212.

#### Nivre, J. (2004).

#### Incrementality in deterministic dependency parsing.

In Keller, F., Clark, S., Crocker, M., and Steedman, M., editors, *Proceedings of the ACL Workshop Incremental Parsing: Bringing Engineering and Cognition Together*, pages 50–57, Barcelona, Spain. Association for Computational Linguistics.

Nivre, J., Hall, J., Nilsson, J., Chanev, A., Eryigit, G., Kübler, S., Marinov, S., and Marsi, E. (2007). MaltParser: A language-independent system for data-driven dependency parsing. *Natural Language Engineering*, 13(02):95–135.

 Oepen, S., Kuhlmann, M., Miyao, Y., Zeman, D., Cinková, S., Flickinger, D., Hajic, J., Ivanova, A., and Uresová, Z. (2016).
Towards comparability of linguistic graph banks for semantic parsing. In *LREC*.

Ribeyre, C., Villemonte de la Clergerie, E., and Seddah, D. (2014). Alpage: Transition-based semantic graph parsing with syntactic features. In Proc. of SemEval, pages 97–103.

#### References III

#### Sulem, E., Abend, O., and Rappoport, A. (2015).

Conceptual annotations preserve structure across translations: A French-English case study. In *Proc. of S2MT*, pages 11–22.

#### Zhang, Y. and Nivre, J. (2011).

Transition-based dependency parsing with rich non-local features.

In Proceedings of the 49th Annual Meeting of the Association for Computational Linguistics: Human Language Technologies, pages 188–193.

# Backup

# UCCA Corpora

		Wiki		20K		
	Train	Dev	Test	Leagues		
# passages	300	34	33	154		
# sentences	4268	454	503	506		
# nodes	298,993	33,704	35,718	29,315		
% terminal	42.96	43.54	42.87	42.09		
% non-term.	58.33	57.60	58.35	60.01		
% discont.	0.54	0.53	0.44	0.81		
% reentrant	2.38	1.88	2.15	2.03		
# edges	287,914	32,460	34,336	27,749		
% primary	98.25	98.75	98.74	97.73		
% remote	1.75	1.25	1.26	2.27		
Average per non-terminal node						
# children	1.67	1.68	1.66	1.61		

Corpus statistics.

◆□▶ ◆□▶ ◆ 臣▶ ◆ 臣▶ ○ 臣 ○ の Q @

#### Evaluation

Mutual edges between predicted graph  $G_p = (V_p, E_p, \ell_p)$  and gold graph  $G_g = (V_g, E_g, \ell_g)$ , both over terminals  $W = \{w_1, \ldots, w_n\}$ :

$$M(G_{p}, G_{g}) = \left\{ (e_{1}, e_{2}) \in E_{p} \times E_{g} \mid y(e_{1}) = y(e_{2}) \wedge \ell_{p}(e_{1}) = \ell_{g}(e_{2}) \right\}$$

The yield  $y(e) \subseteq W$  of an edge e = (u, v) in either graph is the set of terminals in W that are descendants of v.  $\ell$  is the edge label.

Labeled precision, recall and F-score are then defined as:

$$LP = \frac{|M(G_p, G_g)|}{|E_p|}, \quad LR = \frac{|M(G_p, G_g)|}{|E_g|},$$
$$LF = \frac{2 \cdot LP \cdot LR}{LP + LR}.$$

Two variants: one for primary edges, and another for remote edges.