# Unsupervised Evaluation Metrics and Learning Criteria for Non-Parallel Textual Transfer SUPPLEMENTARY MATERIAL

## 1 Textual Transfer Model

#### 1.1 Summary

We iteratively update (1)  $\theta_{D_0}$ ,  $\theta_{D_1}$ ,  $\theta_{D'_0}$ , and  $\theta_{D'_1}$ by gradient descent on  $L_{adv_0}$ ,  $L_{adv_1}$ ,  $L_{adv'_0}$ , and  $L_{adv'_1}$ , respectively, and (2)  $\theta_E$ ,  $\theta_G$  by gradient descent on  $L_{total} = \lambda_1 L_{rec} + \lambda_2 L_{para} + \lambda_3 L_{cyc} + \lambda_4 L_{lang} - \lambda_5 (L_{adv_0} + L_{adv_1}) - \lambda_6 (L_{adv'_0} + L_{adv'_1})$ .

#### 1.2 Full Algorithm

Please refer to Algorithm 1.

### 2 Tables and Plots in Results

Figures 2a and 2b show the learning trajectories for the Literature dataset, which show similar trends as those for Yelp. While the plots for the two datasets appear different from an initial glance, comparing similarities at fixed error rates and comparing perplexities at fixed similarities reveals that the results largely resemble those for the Yelp dataset. The baseline M0 struggles on the Literature dataset. The particularly low perplexity for M0 does not indicate fluent sentences, but rather the piecing together of extremely common words and phrases.



Figure 1: Met by Sim using the Literature dataset

Algorithm 1: Training procedure1 Pretrain language models $LM_0$ and $LM_1$ to be used in language modeling loss $L_{lang}$ .2 Initialize parameters $(\theta_E, \theta_G, \theta_{D_0}, \theta_{D_1}, \theta_{D'_0}, \theta_{D'_1})$ .3 while losses have not converged do4Sample mini-batch $\{\mathbf{x}_t^{(i)}\}_{i=1}^k$ from $\mathbf{X}_t$ , and obtain transferred sentences $\{\widetilde{\mathbf{x}}_t^{(i)}\}_{i=1}^k$ by running the decoder $G(\mathbf{y}_{1-t}, E(\mathbf{x}_t, \mathbf{y}_t))$ , for $t = 0, 1$ .5Get content representations $\mathbf{z}_t^{(i)} = E(\mathbf{x}_t^{(i)}, \mathbf{y}_t)$ , and $\widetilde{\mathbf{z}}_t^{(i)} = E(\widetilde{\mathbf{x}}_t^{(i)}, \mathbf{y}_{1-t})$
used in language modeling loss $L_{lang}$ . 2 Initialize parameters $(\theta_E, \theta_G, \theta_{D_0}, \theta_{D_1}, \theta_{D'_0}, \theta_{D'_1})$ . 3 while losses have not converged do 4 Sample mini-batch $\{\mathbf{x}_t^{(i)}\}_{i=1}^k$ from $\mathbf{X}_t$ , and obtain transferred sentences $\{\widetilde{\mathbf{x}}_t^{(i)}\}_{i=1}^k$ by running the decoder $G(\mathbf{y}_{1-t}, E(\mathbf{x}_t, \mathbf{y}_t))$ , for t = 0, 1. 5 Get content representations
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$\mathbf{z}_{t}^{(i)} = E(\mathbf{x}_{t}^{(i)}, \mathbf{y}_{t}), \text{ and } \widetilde{\mathbf{z}}_{t}^{(i)} = E(\widetilde{\mathbf{x}}_{t}^{(i)}, \mathbf{y}_{1-t})$
for $t = 0, 1, \forall i$ , where we use $\mathbf{x}_t^{(i)}$ as inputs
for the RNNs and $\mathbf{y}_{1-t}$ as initial hidden
states for the RNNs.
6 Obtain probability distribution of the $\sim^{(i)}$
back-transferred sentences $\{\widetilde{\widetilde{\mathbf{x}}}_{t}^{(i)}\}_{i=1}^{k}$
through decoder $G(\mathbf{y}_t, E(\widetilde{\mathbf{x}}_t, \mathbf{y}_{1-t}))$ , for
$t = 0, 1, \forall i.$ Unfold G from $(\mathbf{y}_t, \mathbf{z}_t^{(i)})$ (i.e., by using
$(\mathbf{y}_t, \mathbf{z}_t^{(i)})$ as initial hidden state of the RNN), and feed in $\mathbf{x}_t^{(i)}$ to obtain $\mathbf{h}_t^{(i)}$ ; and unfold G
from $(\mathbf{y}_{1-t}, \mathbf{z}_t^{(i)})$ , and feed in previous
output probability distributions to obtain
$\widetilde{\mathbf{h}}_{t}^{(i)}$ . This step is done for $t = 0, 1, \forall i$ .
8 Compute $L_{rec}$ by (1); Compute $L_{adv_0}$ and
$L_{adv_1}$ of the first discriminator by (2), and
$L_{adv'_{0}}$ and $L_{adv'_{1}}$ of the second discriminator
by (6); Compute $L_{cyc}$ by (3); Compute
$L_{para} \text{ by (4); Compute } L_{lang} \text{ by (5).}$ 9 Update $\theta_{D_0}, \theta_{D_1}, \theta_{D'_0}, \text{ and } \theta_{D'_1} \text{ by gradient}$
descent on $L_{adv_0}$ , $L_{adv_1}$ , $L_{adv_0}$ , and $L_{adv_1}$ ,
respectively.
10 Update $\theta_E$ , $\theta_G$ by gradient descent on
$L_{total} = \lambda_1 L_{rec} + \lambda_2 L_{para} + \lambda_3 L_{cyc} + \lambda_4 L_{lang} -$
$= \frac{\lambda_1 L_{rec} + \lambda_2 L_{para} + \lambda_3 L_{cyc} + \lambda_4 L_{lang}}{\lambda_5 (L_{adv_0} + L_{adv_1}) - \lambda_6 (L_{adv_0} + L_{adv_1'})}.$
11 end

In our analysis, we used Sim as the primary metric for semantic preservation. However, if we were to use Met instead (where Met is computed by METEOR scores between original sen-

¥-1	$\mathrm{Acc} \approx 0.800$					$Sim \approx 0.800$				
Yelp	$Acc(\uparrow)$	$Sim(\uparrow)$	$Met(\uparrow)$	$PP(\downarrow)$	$\mathrm{GM}(\uparrow)$	Acc	$\operatorname{Sim}$	Met	PP	GM
M0: Shen et al. (2017)	0.818	0.719	0.165	37.3	10.0	0.591	0.793	0.305	56.1	0.00
M1: M0+para	0.819	0.734	0.196	26.3	14.2	0.704	0.798	0.288	31.0	16.3
M2: $M0+cyc$	0.813	0.770	0.271	36.4	18.8	0.795	0.801	0.312	37.4	20.8
M3: M0+ <i>cyc</i> + <i>lang</i>	0.807	0.796	0.257	28.4	21.5	0.792	0.802	0.272	28.7	21.4
M4: M0+cyc+para	0.798	0.783	0.275	39.7	19.2	0.794	0.799	0.320	39.4	20.3
M5: M0+cyc+para+lang	0.804	0.785	0.254	27.1	20.3	0.781	0.794	0.288	28.0	20.2
M6: $M0+cyc+2d$	0.805	0.817	0.322	43.3	21.6	0.834	0.807	0.321	47.7	21.4
M7: M0+ <i>cyc</i> + <i>para</i> + <i>lang</i> +2 <i>d</i>	0.818	0.805	0.288	29.0	22.8	0.830	0.799	0.281	27.8	22.6
T to set as	$Acc \approx 0.700$					$Sim \approx 0.750$				
Literature	Acc	$\operatorname{Sim}$	Met	PP	GM	Acc	$\operatorname{Sim}$	Met	PP	GM
M0: Shen et al. (2017)	0.694	0.728	0.080	22.3	8.81	n/a	n/a	n/a	n/a	n/a
M1: M0+para	0.702	0.747	0.108	23.6	11.7	0.678	0.749	0.106	30.8	10.7
M2: $M0+cyc$	0.692	0.781	0.194	49.9	12.8	0.778	0.754	0.109	55.0	14.0
M3: M0+cyc+lang	0.698	0.754	0.089	39.2	12.0	0.698	0.754	0.089	39.2	12.0
M4: M0+cyc+para	0.702	0.757	0.117	33.9	12.8	0.719	0.756	0.112	29.7	14.0
M5: M0+ <i>cyc</i> + <i>para</i> + <i>lang</i>	0.688	0.753	0.089	28.6	11.8	0.727	0.750	0.080	28.6	13.7
M6: $M0+cyc+2d$	0.704	0.794	0.274	63.2	12.8	0.775	0.758	0.115	55.1	14.3
M7: M0+cyc+para+lang+2d	0.706	0.768	0.142	49.0	12.8	0.749	0.756	0.121	45.6	14.1

Table 1: Results at fixed levels of post-transfer classification accuracy (Acc) and semantic similarity (Sim). Under similar Acc, the best Sim and Met are in bold. Under similar Sim, the best PP is in bold. In both tables, the best GM scores are also in bold. Here, *para* = paraphrase loss, *cyc* = cyclic loss, *lang* = language modeling loss, and 2d = two pairs of discriminators. Cells with n/a indicate that the model never reaches the corresponding Acc or Sim.

tence and transferred sentence, averaged over sentence pairs), the plots and our conclusions would be largely unchanged. Using the Literature dataset as an example, Figure 1 shows that the correlation between Met and Sim is very large. Specifically, we randomly sample 200 transferred corpora generated using different models, and generated at different times during training. We obtain Met and Sim of each of these 200 transferred corpora using techniques discussed in the main text. We thus have 200 data points, as shown in Figure 1.

### **3** Examples

Table 2 provides examples of textual transfer.



(a) Cosine similarity (Sim) by error rate  $(1-{\rm Acc})$  for Literature.

(b) Perplexity (PP) by cosine similarity (Sim) for Literature.

Figure 2: Learning trajectories with selected models from Table 2 of main text. Metrics are computed on the development sets.

Model	Acc	Sim	PP	GM	Sentence	Style
Original			_	_	i got my car back and was extremely unhappy .	Negative
M0	0.818	0.719	37.3	10.0	i got my favorite loves and was delicious.	Positive Positive
M7	0.818	0.805	29.0	22.8	i got my car back and was very happy.	
Original					the mozzarella sub is absolutely amazing.	
M0	0.818	0.719	37.3	10.0	the front came is not much better.	Negative
M7	0.818	0.805	29.0	22.8	the cheese sandwich is absolutely awful.	
Original			_	_	they are completely unprofessional and have no experience .	Negative
M0	0.818	0.719	37.3	10.0	they are super fresh and well !	Positive
M7	0.818	0.805	29.0	22.8	they are very professional and have great service .	Positive
Original					i would honestly give this place zero stars if i could.	Negative
M0	0.818	0.719	37.3	10.0	i would recommend give this place from everyone again.	Positive
M7	0.818	0.805	29.0	22.8	i would definitely recommend this place all stars if i could .	Positive
Original					for all those reasons, we wo n't go back.	Negative
M0	0.818	0.719	37.3	10.0	for all of pizza, you do you go.	Positive
M0 M7	0.818	0.805	29.0	22.8	for all those reviews, i highly recommend to go back.	Positive
	0.010	0.005	29.0			
Original	0.010	0.710	27.2	10.0	the owner was super nice and welcoming.	Positive
M0 M7	0.818	0.719	37.3	10.0	the server was extremely bland with all.	Negative
M7	0.818	0.805	29.0	22.8	the owner was very rude and unfriendly .	Negative
Original					this is one of the best hidden gems in phoenix .	Positive
M0	0.818	0.719	37.3	10.0	this is one of the worst _num_ restaurants in my life .	Negative
M7	0.818	0.805	29.0	22.8	this is one of the worst restaurants in phoenix .	Negative
Original	_	_	_	_	i declined on their offer, but appreciated the gesture !	Positive
M0	0.818	0.719	37.3	10.0	i asked on their reviews, they are the same time !	Negative
M7	0.818	0.805	29.0	22.8	i paid for the refund, and explained the frustration !	Negative
Original		_			it was a most extraordinary circumstance .	Dickens
MO	0.694	0.728	22.3	8.81	it was a little deal of the world.	Modern
M2	0.692	0.781	49.9	12.8	it was a huge thing on the place.	Modern
M6	0.704	0.794	63.2	12.8	it was a most important effort over the relationship.	Modern
Original					i conjure you, tell me what is the matter.	Dickens
M0	0.694	0.728	22.3	8.81	i 'm sorry, i 'm sure i 'm going to be, but i was a little man.	Modern
M2	0.692	0.781	49.9	12.8	i 'm telling you , tell me what 's the time .	Modern
M6	0.704	0.794	63.2	12.8	i am telling you, tell me what 's the matter.	Modern
1010	0.701	0.771	05.2	12.0	a public table is laid in a very handsome hall for breakfast,	modern
Original	—	—	—	—	a public table is faid in a very handsome nam for breakfast, and for dinner, and for supper.	Dickens
					the other of the man was a little, and then, and -person-'s	
M0	0.694	0.728	22.3	8.81	eyes, and then -person	Modern
					a little table is standing there for all , and for me ,	
M2	0.692	0.781	49.9	12.8	and for you .	Modern
	- <b>-</b>	- <b>-</b>	<i>(</i> <b>) )</b>		a small table is placed in a very blue room for breakfast,	
M6	0.704	0.794	63.2	12.8	and for dinner, and for dinner.	Modern
					does n't she know it 's dangerous for a young woman to	
Original	—		—		go off by herself?	Modern
M0	0.694	0.728	22.3	8.81	do n't have been a little of a man of your own ?	Dickens
					it n't she know it 's dangerous for a little woman to	
M2	0.692	0.781	49.9	12.8	go out from us ?	Dickens
	0.504	0.504	(2.2.2	10.0	does n't she know it 's a dangerous act for a young lady	D' 1
M6	0.704	0.794	63.2	12.8	to go off by herself?	Dickens
Original					it whispered to me about my new strength and abilities .	Modern
M0	0.694	0.728	22.3	8.81	it is not a little man .	Dickens
M0 M2	0.692	0.728	49.9	12.8	it appears to me about my new strength and desire.	Dickens
M2 M6	0.092	0.781	63.2	12.8	it appears to me my new strength and desire .	Dickens
1410	0.704	0./24	05.2	12.0	it appears to me my new suchgan and desire.	DICKEIIS

Table 2: Textual transfer examples

## References

Tianxiao Shen, Tao Lei, Regina Barzilay, and Tommi Jaakkola. 2017. Style transfer from non-parallel text by cross-alignment. In *Advances in Neural Information Processing Systems 30*, pages 6833–6844. Curran Associates, Inc.