Responsible Gist MT Use in the Age of Neural MT

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What Makes Neural MT (NMT) Different?

- Scores well on automated metrics & human evaluations
- Improves many types of errors (especially fluency)
- More languages & platforms than ever

But...

Sometimes fails catastrophically



Humorous Catastrophic Failures

Bark bark bark! Bark bark bark bark, bark. BARK!

Good luck! God bless you. Good!

Automatically Translated

bark bark bark. bark bark bark bark bark bark bark!

Good luck. It's good for you! Good luck ...

Automatically Translated

Just now Like Reply More

BARK bark bark bark bark! barkbarkbark BARK!

Good morning! God bless you!

Automatically Translated

ricualier rioner

Blessed!! Bless you and bless you!

Automatically Translated

Facebook, 29 April 2020



(Semi-)Humorous Catastrophic Failures

INTERNET NEWS JANUARY 18, 2020 / 12:27 PM / UPDATED 8 MONTHS AGO

Facebook says technical error caused vulgar translation of Chinese leader's name

By Poppy McPherson

REUTERS

3 MIN READ

YANGON (Reuters) - Facebook Inc FB.O on Saturday blamed a technical error for Chinese leader Xi Jinping's name appearing as "Mr Shithole" in posts on its platform when translated into English from Burmese, apologizing for any offense caused.



Dangerous Catastrophic Failures





https://www.haaretz.com/israel-news/palestinian-arrested-over-mistranslated-good-morning-facebook-post-1.5459427



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Proceedings of the 14th Conference of the Association for Machine Translation in the Americas October 6 - 9, 2020, Workshop on the Impact of Machine Translation

When are (N)MT Errors Dangerous?

- Output is believable (in context)
- Lack of means and/or motivation to verify
- Use case involves MT informing action



Believable Output

Believability = Fluency + Plausibility + Human Judgment

- Fluency: Does it "feel" like the target language?
 - Users more likely to trust fluent output (Martindale & Carpuat 2018)
 - NMT more likely to produce fluent but not adequate output (Martindale et al 2019)
- Plausibility: Does it make sense?
 - MT output is more believable when it is plausible (Work in progress)
- Human: People use heuristics to judge credibility of information¹

¹Rieh, S. Y. (2010). "Credibility and cognitive authority of information." In M. Bates & M. N. Maack (Eds.), *Encyclopedia of Library and Information Sciences* (3rd ed., pp. 1337-1344).



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Gist MT?



When are Gist MT Errors Dangerous?

Lack of means and/or motivation to verify?

Gist MT use characteristics

- High volume of foreign language text and/or tasks
- Impractical to translate everything or hire only bilinguals
 - Especially bilinguals with domain expertise
- Monolingual domain experts use MT to triage text or glean information
- Ideally: Bilinguals translate/evaluate documents/info monolinguals find
 In practice: people may cut corners...



When are Gist MT Errors Dangerous?

Use case involves MT informing action?

Gist MT use examples

- Journalist looking for relevant, local Tweets after an event
- Business analyst monitoring press for info about foreign competitors
- Investigator checking social media as part of background check



Example: USCIS Refugee Vetting



Appendix C: Translations

Internet Translation Services

The most efficient approach to translate foreign language contents is to utilize one of the many free online language translation services provided by Google, Yahoo, Bing, and other search engines.

if needed. Use the following steps to translate using Google:

In-Person Translation Services

Occasionally, officers will encounter foreign text written in a dialect or colloquial usage that does not necessarily translate easily using the available online tools mentioned above. Furthermore, there are currently no tools available to translate text written on images. Officers are responsible for determining

"Information collected from social media, by itself, will not be a basis to deny refugee resettlement" Official statement, September 2019



Page 29

Example: USCIS Refugee Vetting

"Information collected from social media, by itself, will not be a basis to deny refugee resettlement" --Official statement, September 2019

However...

- Incorrect MT could tip scales of suspicion (in either direction)
- Social media is out of domain from MT training
- Often low-resource languages



Is NMT for Gisting Worth the Risk?

• IMHO: Yes!

Good news:

- Truly misleading output is rare
- Faster to read, easier to understand
- Users like it

Just need to mitigate risk



How can we mitigate the dangers?

Dangers

- Output has errors
- Output is believable (in context)
- Lack of means and/or motivation to verify
- Use case involves MT informing action

Mitigation goals

- Error-free MT
- Encourage *appropriate* skepticism
- Make it easier to recognize potential errors
- Verify before acting



Page 32

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Page 33

Mitigation Strategies

Policy interventions

- Normative principles organizations with gist MT use cases should follow
- Changes to procedures and training

Technological interventions

- Changes to the technology environment or the technology itself
- Requires additional research and development



Policy Interventions

- 1. Independent, in-domain evaluation
- 2. Training for MT users
- 3. Workflows that require validation before action



P1: Independent, In-Domain Evaluation

Principle: An organization should not deploy or encourage the use of MT without independent evaluation in the domain(s) and language pair(s) it is intended to be used on.

• If the intended use shifts/expands, additional testing should be conducted

Why? MT quality varies by language/domain

Independent – Not conducted by the MT company

Domain – Style and/or topic

Evaluation – Formal or informal

• Evaluators should know source language



P2: Training for MT Users

Principle: Users should be trained to understand the technology well enough to expect variations in quality including dropped or hallucinated words and phrases.

Why? NMT is not intuitive! Hard to recognize what you don't expect.

Example hands-on exercises:

- Change context window, capitalization, punctuation, etc and observe output changes
- Compare output from high- and low- resource languages
- Try to get the system to hallucinate (e.g. fake Hawaiian)



P3: Require Validation Before Action

Principle: Organizations with workflows that include critical decisions or actions informed by MT should require validation by someone who knows the source language before taking action.

Why? Establishing a consistent process deters corner-cutting.

• Even professional translation services rely on at least one level of quality control!

Considerations

- Level of validation proportionate to impact of action/decision
- E.g., Self-validation through other resources *may* be sufficient for minimal-impact actions/decision



Technological Interventions

- 1. Provide access to multiple MT outputs
- 2. Provide access to additional language resources
- 3. Build in "nudges" to help the user recognize quality issues



T1: Multiple MT Outputs

What: Display outputs from two or more MT systems/models

LOE: Moderate

- Obtain licenses and/or build models
- Modify/create interface to display

Why? Users can observe differences to flag possible errors Anecdote: Users actually prefer this anyway!



T2: Additional Language Resources

What: Provide CAT-like tools to MT users

LOE: Low-Moderate

- Teach users features in existing services (e.g. Google Translate, Systran, Wiktionary, Linguee)
- Obtain access to resources (dictionaries/terminologies/TMs, etc)
- Integrate access alongside MT

Why?

- Individual word lookup can validate/clarify MT output
- Terminologies can resolve technical terms
- TM lookup can provide alternate contexts



Page 41

T3: Nudges

What: Automatically flag questionable output

- Quality estimation
- Diff on multiple outputs

LOE: High

• QE is an open research area

Why? Draw user's attention to problem areas



Summary

MT informing action

| Dangers | Mitigation goals | Recommended Interventions |
|---|--|---|
| Output has errors | Error-free MT | • (Continue improving) |
| Output is believable (in context) | Encourage <i>appropriate</i> skepticism | P1 (Evaluation), P2 (Training), T3 (Nudges) |
| Lack of means and/or motivation to verify | Make it easier to recognize potential errors | T1 (Multi-outputs), T2 (Lang resources), T3 (Nudges) |
| • Use case involves | Verify before acting | P3 (Verify) |



Conclusion

- There can be risks to gist MT use
- Steps can be taken to mitigate them
- These are just examples
- Stakeholders should be looking at these mitigations and others
 - Organizational leadership
 - MT integrators
 - MT researchers
- See also: AI Ethics



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