

## Breaking the barrier of context-freeness. Towards a linguistically adequate probabilistic dependency model of parallel texts

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- 1. Dependency-based SMT
- 2. Parallel dependency analyses
- 3. Generative dependency model
- 4. Conclusion
- 5. Extra stuff

1.1. Phrase-based vs. syntax-based SMT

- **1.2. The purpose of this talk**
- 1.3. The vision
- 1.4. The setting: our abstract SMT model

#### Phrase-based SMT (state-of-art)!

 context = aligned words, adjacent word sequences ("phrases")

#### Or syntax-based SMT?

 context = aligned words, governor, complements





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**Background:** Most syntax-based SMT uses context-free formalisms where sentences are always projective (no crossing branches). However, as observed by Nilsson et al (2007), 11-34% of sentences in CoNLL dep. treebanks for Slovene, Arabic, Dutch, Czech, Danish are non-projective.

**Problem:** Need linguistically realistic SMT models that can deal with nonprojectivity, island constraints, complement-adjunct distinction, deletions and additions, translational divergences such as head-switching, etc.

**This talk:** Define a probabilistic dependency model that attempts to do this (as a first step, not a final solution).

Not this talk: Specify algorithms for model learning, translation and parallel parsing (ideas, see paper). Report experimental results (no implementation yet, work in progress).



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The vision: Create a probabilistic generative dependency model that assigns local probabilities to each generative step in a parallel analysis.

"Unusually" low elicited local probabilities indicate **localized grammatical errors** => model makes verifiable linguistic predictions about localized errors (one evaluation criterion in future SMT).

Computation is performed by local structure-changing repair-operations that are **guided by the errors in the analysis**, as in human processing.





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**Dependency model**: A generative probability measure P on the space Ana of all conceivable parallel dependency analyses.

**Model learning:** estimate P from a given parallel dependency treebank T (and possibly a given parallel corpus C as well).

**Translation:** Given source text t, compute:

 $Translate(t) = argmax_{A \in Ana, Y(A)=t} P(A)$ 

Y(A) = source text of A. Y'(A) = target text of A.

**Parallel parsing:** Given source and target texts t,t', compute:  $Parse(t, t') = argmax_{A \in Ana, Y(A)=t, Y'(A)=t'} P(A)$ 



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- 2.1. Deep trees (dependencies)
- 2.2. Parallel dependency analyses
- 2.3. Syntactic translation units
- 2.4. Surface trees (landing sites)
- 2.5. Word order control
- 2.6. Extraction paths and island constraints



**Phrases** are a derived notion: each word heads a phrase consisting of all the words that can be reached from the word by following the arrows.

dobj	direct object
iobj	indirect object
mod	modifier
nobj	nominal object

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	pobj	prep. object
	pred	predicative
-	rel	relative
	root	root node

subj	subject
vobj	verbal object



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#### Parallel dependency analyses

consist of three components:

- a dependency analysis of the source text
- a dependency analysis of the target text
- a word alignment linking corresponding words in the source and target texts (lexical translation units)





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**Syntactic translation units** can be computed from parallel dependency treebanks (Buch-Kromann, 2007a).

If word alignments are inconsistent with parses, **merging** can make them consistent. Eg, headswitching, relation-changing, etc.

The resulting syntactic translation units **can be much larger than original alignments** (2-50 nodes).

Small treebanks can be used to **bootstrap** large treebanks.





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Deep trees may have crossing arcs. But in order to control word order, we need a **surface tree** without crossing arcs. Example:





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Landing sites allow us to **control the local word order** by looking at the relative order of the landed nodes at each landing site. Examples:



The left example is bad because the landed node "hard" precedes the landing site "a".

The right example is ok wrt. the order of the landed nodes at "a".



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The **extraction path** is the path from a word's governor to its landing site. By looking at this path, we can determine whether any **island constraints** are violated. Eg, the **adjunct island constraint** is violated below.





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The dependency model is **generative**, ie, it is based on a **Markov process** (like Collins 1997).

# The graph is grown in a recursive top-down

manner – first the source analysis, then the target analysis. Each step is emitted with a certain probability. **procedure** probabilistic graph generation **begin** 

recursively expand source root TOP (cf. Figure 7) recursively translate source root TOP (cf. Figure 8) **return** generated graph and probability end

- $\mathbf{ro}_{\mathbf{P}}$  down expansion of source node  $w_i$
- S1. Identify fairling site and relative word order
- S2. Select complement
- S3. Generate and recursively expand complements
- S4. Generate and recursively expand adjuncts

#### **Top-down translation of source node** $w_i$

- T1. Identify landing sites and word order in target tunit
- T2. Generate and recursively expand tunit arguments
- T3. Identify deleted source adjuncts
- T4. Generate and recursively translate parallel adjuncts
- T5. Generate added target adjuncts



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#### S1/T1. Select landing site and word order.

Eg, we must choose a **landing site** for "today" among its transitive governors ("be", "to", "need") and a **valid position** (0-5) relative to the other landed nodes at the landing site.



The extraction path needs to be checked for "**blocking edges**" that prevent the extraction.





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#### Relative probability of extraction path:

 $\min\left(1, \frac{P_{\text{extpath}}(\text{to } \xleftarrow{\text{vobj}} \text{ be}|\text{today}^*)}{P_{\text{deptree}}(\text{to } \xleftarrow{\text{vobj}} \text{ be})}\right)$  $\cdot \min\left(1, \frac{P_{\text{extpath}}(\text{need } \underbrace{\text{pobj}} \text{ to}|\text{today}^*)}{P_{\text{deptree}}(\text{need } \underbrace{\text{pobj}} \text{ to})}\right)$ 

### Rel. prob. of chosen local word order:

$$P_{\text{worder}}(\text{today}|c_{\text{need},0}) \prod_{\omega=1,2,5} P_{\text{worder}}(\text{stop}|c_{\text{need},\omega})$$

Finally **normalize** so that probabilities of all choices of landing site and local word order sum to 1.



Probability of finding edge in extraction path given extr. word.

Probability of finding edge in dependency tree.

Ratio>1: above chance-level (with prob. 1 don't block) Ratio<1: below (blocking edge)

c<sub>need,o</sub> is the local word order context in position o at "need".



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#### **T2 Translate arguments of a tunit:**

select tunits for the arguments, striking a compromise btw. adequacy and fluency. Adequacy: How probable is the target argument dependency structure as a translation of the source arg. dep. structure?

 $A(\text{være}, \tau_4) = P_{\text{tunit}}(\tau_4 | \text{være}^*)$ 

**Fluency:** How well does the target arg. structure fit into existing target structure?

$$\begin{split} F(\text{være},\tau_4) = & P_{\text{comp'}}(\text{be}|\text{vobj},x_2^*) \\ & \cdot P_{\mathbf{S}'_{234}}(\text{be}(\text{pred}\text{here})) \end{split}$$





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**Compromise:** Weigh adequacy and fluency with some parameter  $\lambda$  (say 1/2), and normalize:

$$P_{\text{transfer}}(\text{være}, \tau_4) = \frac{A^{1/2}F^{1/2}}{\text{normalization}}$$

Iterate through all arguments:

$$P_{\text{transfer}}(\text{de}, \tau_1) \cdot P_{\text{transfer}}(\text{være}, \tau_4)$$



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#### **T3. Delete singular source adjuncts**

To model deletions in the translation, we must decide for each adjunct I phrase whether we will delete it.

Eg, when translating "være" ("be"), we choose to **keep** "idag" ("today").

#### $P_{\text{del}}(\text{KEEP}|\text{idag}^*)$

Later on at "bør" ("ought"), we decide to **delete** "nemlig" ("namely").

 $P_{\text{del}}(\text{delete}|\text{nemlig}^*)$ 





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#### T4. Translate parallel adjuncts

To translate the non-deleted adjunct "idag" ("today"), we must:

(a) **select governor and adjunct role** within the target unit "be(predhere)".

Eg, select "be" + adjunct role "mod":

 $\frac{P_{\text{adjtrans}}(\text{be, mod}|\text{idag}^*, \text{mod})}{P_{\text{adjtrans}}(\text{be, mod})}$ normalization

(b) **translate** "idag" ("today") by selecting a translation unit for it, emitting probabilities as in step T2.





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#### T5. Add singular target adjuncts

Generate **singular target adjuncts** (adjunct role first, then adjunct tree) and then STOP for each node in the target unit in target top-down order.

Eg, for "være" ("be"), generate "," and STOP for "need", and STOP for "to".

 $P_{\text{add-arole}}(\text{pnct}|\text{need}^*)P_{\text{add-adj}}(,|\text{need}^*)$ 

- $\cdot P_{\text{add-arole}}(\text{STOP}|\text{to}^*)$
- $\cdot P_{\text{add-arole}}(\text{stop}|\text{need}^*)$





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#### 4.1. Conclusion and further work

#### Conclusion: I have:

- argued that it is important to **develop syntax-based SMT** models;
- argued that context-free SMT models cannot model non-projectivity;
- proposed a **dependency-based model** that can handle non-projectivity, island constraints, complement-adjunct distinction, deletions and additions (and head-switching, see extra stuff) at least in theory.

**Future work:** Much still remains to be done:

- build a **functioning SMT system** and evaluate it
- extend the model wrt morphology, syntax and discourse structure

#### **THANKS FOR LISTENING**



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- 5.1. Head-switching
- 5.2. Translation and parallel parsing
- 5.3. Statistical estimation
- 5.4. Parallel dependency treebanks

## Lexical tunit alignments

#### Syntactic tunit alignments



Figure 4: A head-switching example (left) and the associated minimal reduction (right).



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Source match  $H_1 =$ zwemt  $H_2 =$  toevallig  $H_3 =$  graag

Target match  $H'_1 = \text{swims}$   $H'_{2a,b} = \text{happens}(^{\text{pobj}}\text{to})$  $H'_{3a,b} = \text{like}(^{\text{pobj}}\text{to})$ 

Figure 5: Syntactic translation template induced from Figure 4, with source and target match.



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• **Time complexity (exact):** Exact translation and parallel parsing with our language model is NP-hard (Buch-Kromann, 2006).

• **Time complexity (heuristic):** For monolingual parsing, it is possible to find heuristic algorithms based on local search with time complexity O(n log<sup>k</sup> n) (cf. Buch-Kromann 2006, 2007b).

• Algorithm: Start with the empty analysis, construct analysis incrementally by applying local probability-increasing parsing operations that introduce new words, or create or modify dependency edges. Alternative for translation: parse, then use generative procedure greedily.

- Errors: Probabilistic dependency model can be used to pinpoint errors in the analysis (error = unusually low probability in generative step).
- Error-driven operations: A chain of elementary operations each of which corrects an error in the graph introduced by the previous operation.



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• Statistical estimation: Use your favorite statistical methods, eg, Generalized Linear Models and Generalized Additive Models (log-linear methods are a special case).

• Correction estimators: In steps S1, T1, and T4 of the model, we need to estimate a **posterior divided by a prior** (P(x|y) / P(x)). Thus a correction estimator, where we first estimate P(x) and then C(x|y) = P(x|y) / P(x), may be particularly useful for this purpose – eg, the XHPM method proposed by (Buch-Kromann, 2006), which is a generalization of (Li and Abe, 1997).



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Examples of parallel dependency treebanks include:

- The Prague Czech-English Dependency Treebank.
- The Copenhagen Danish-English Dependency Treebank.
- Constituency-based parallel treebanks converted to dependency representation.
- Any pair of monolingual treebanks with the same underlying corpus.

