Towards one-shot learning for rare-word translation with external experts

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Abstract

Neural machine translation (NMT) has significantly improved the quality of automatic translation models. One of the main challenges in current systems is the translation of rare words. We present a generic approach to address this weakness by having external models annotate the training data as Experts, and control the model-expert interaction with a pointer network and reinforcement learning. Our experiments using phrase-based models to simulate Experts to complement neural machine translation models show that the model can be trained to copy the annotations into the output consistently. We demonstrate the benefit of our proposed framework in outof-domain translation scenarios with only lexical resources, improving more than 1.0 BLEU point in both translation directions English \rightarrow Spanish and German \rightarrow English.

1 Introduction

Sequence to sequence models have recently become the state-of-the-art approach for machine translation (Luong et al., 2015; Vaswani et al., 2017). This model architecture can directly approximate the conditional probability of the target sequence given a source sequence using neural networks (Kalchbrenner and Blunsom, 2013). As a result, not only do they model a smoother probability distribution (Bengio et al., 2003) than the sparse phrase tables in statistical machine translation (Koehn et al., 2003), but they can also jointly learn translation models, language models and even alignments in a single model (Bahdanau et al., 2014).

One of the main weaknesses of neural machine

translation models is poor handling of low frequency events. Neural models tend to prioritize output fluency over translation adequacy, and faced with rare words either silently ignore input (Koehn and Knowles, 2017) or fall into underor over-translation (Tu et al., 2016). Examples of these situations include named entities, dates, and rare morphological forms. Improper handling of rare events can be harmful to industrial systems (Wu et al., 2016), where translation mistakes can have serious ramifications. Similarly, translating in specific domains such as information technology or biology, a slight change in vocabulary can drastically alter meaning. It is important, then, to address translation of rare words.

While domain-specific parallel corpora can be used to adapt translation models efficiently (Luong and Manning, 2015), parallel corpora for many domains can be difficult to collect, and this requires continued training. Translation lexicons, however, are much more commonly available. In this work, we introduce a strategy to incorporate external lexical knowledge, dubbed "Expert annotation," into neural machine translation models. First, we annotate the lexical translations directly into the source side of the parallel data, so that the information is exposed during both training and inference. Second, inspired by CopyNet (Gu et al., 2016), we utilize a pointer network (Vinyals et al., 2015) to introduce a copy distribution over the source sentence, to increase the generation probability of rare words. Given that the expert annotation can differ from the reference, in order to encourage the model to copy the annotation we use reinforcement learning to guide the search, giving rewards when the annotation is used. Our work is motivated to be able to achieve One-Shot learning, which can help the model to accurately translate the events that are annotated during inference. Such ability can be transferred from an Expert

100

which is capable of learning to translate lexically with one or few examples, such as dictionaries, or phrase-tables, or even human annotators.

We realize our proposed framework with experiments on English-Spanish and German \rightarrow English translation tasks. We focus on translation of rare events using translation suggestions from an Expert, here simulated by an additional phrase table. Specifically, we annotate rare words in our parallel data with best candidates from a phrase table before training, so that rare events are provided with suggested translations. Our model can be explicitly trained to copy the annotation approximately 90% of the time, and it outperformed the baselines on translation accuracy of rare words, reaching up to 97% accuracy. Also importantly, this performance is maintained when translating data in a different domain. Further analysis was done to verify the potential of our proposed framework.

2 Background - Neural Machine Translation

Neural machine translation (NMT) consists of an encoder and a decoder (Sutskever et al., 2014; Vaswani et al., 2017) that directly approximate the conditional probability of a target sequence $Y = y_1, y_2, \dots, y_T$ given a source sequence $X = x_1, x_2, \dots, x_M$. The model is normally trained to maximize the log-likelihood of each target token given the previous words as well as the source sequence with respect to model parameters θ as in Equation 1:

$$\log P(Y|X;\theta) =$$

$$\Sigma_{t=1}^{T} (\log P(y_t|X, y_1, y_2, \cdots, y_t - 1))$$
(1)

The advantages of NMT compared to phrasedbased machine translation come from the neural architecture components:

• The embedding layers, which are shared between samples, allow the model to continuously represent discrete words and effectively capture word relationship (Bengio et al., 2003; Mikolov et al., 2013). Notably we refer to two different embedding layers being used in most models, one for the first input layer of the encoder/decoder, and another one at the decoder output layer that is used to compute the probability distribution (Equation 1). Figure 1: A generic illustration of our framework. The source sentence is annotated with experts before learning. The model learns to utilize the annotation by using them directly in the translation)



- Complex neural architectures like LSTMs (Hochreiter and Schmidhuber, 1997) or Transformers (Vaswani et al., 2017) can represent structural sequences (sentences, phrases) effectively.
- Attention models (Bahdanau et al., 2014; Luong et al., 2015) are capable of hierarchically modeling the translation mapping between sentence pairs.

The challenges of NMT These models are often attacked over their inability to learn to translate rare events, which are often named entities and rare morphological variants (Arthur et al., 2016; Koehn and Knowles, 2017; Nguyen and Chiang, 2017). Learning from rare events is difficult due to the fact that the model parameters are not adequately updated. For example, the embeddings of the rare words are only updated a few times during training, and similarly for the patterns learned by the recurrent structures in the encoders / decoders and attention models.

3 Expert framework description

Human translators can benefit from external knowledge such as dictionaries, particularly in specific domains. Similarly, the idea behind our framework is to rely on external models to annotate extra input into the source side of the training data, which we refer as **Experts**. Such expert models would not necessarily outperform NMT models themselves, but rather complement them and compensate for their weaknesses.

The illustration of the proposed framework is given in Figure 1. Before the learning process, the source sentence is annotated by one or several expert models, which we abstract as any model that can show additional data perspectives. For example, these experts could be a terminology list or a statistical phrase-based system to generate translations for specific phrases, but it can also be used in various other situations. For example, we might use it to integrate a model that can do metric conversion or handling of links to web addresses, which can be useful for certain applications. Then NMT model then learns to translate to the target sentence using the annotated source.

3.1 Annotation

The aforementioned idea of Experts in our work is inspired by the fact that human translators can benefit from domain experts when translating domainspecific content. Accordingly, we design the annotation and training process as follows:

- Words are identified as candidates for annotation using a frequency threshold.
- Look up possible translations of the candidates from the Expert and annotate them directly next to the candidates. We use special bounding symbols to help guide the model to copy the annotation during translation.
- Train a neural machine translation model using these annotated sentences.
- During inference, we annotate the source sentence in the same fashion as in training.

Byte-Pair encoding We consider BPE (Sennrich et al., 2016) one of the crucial factors for annotation in order to efficiently represent words that do not appear in the training data. The rare words (and their translation suggestions, which can be rare as well) are split into smaller segments, alleviating the problem of dealing with UNK tokens (Luong et al., 2014).

Embedding sharing Our annotation method includes target language tokens directly in the source sentence. In order to make the model perceive these words the same way in the source and the target, we create a joint vocabulary of the source and target language and simply tie the embedding projection matrices of the source encoder, target encoder and target decoder. This practice has been explored in various language modeling works (Press and Wolf, 2016; Inan et al., 2016) to improve regularisation.

3.2 Copy-Generator

Hypothetically, the model could learn to simply ignore the annotation during optimization because it contains strange symbols (the target language) in source language sentences. If this were the case, adding annotations would not help translate rare events.

Therefore, inspired by the CopyNet (Gu et al., 2016; Gulcehre et al., 2016), which originates from pointer networks (Vinyals et al., 2015) that learn to pick the tokens that appeared in the memory of the models, we incorporate the copy-mechanism into the neural translation model so that the annotations can be simply pasted into the translation. Explicitly, the conditional probability is now presented as a mixture of two distributions: copy and generated.

$$P(Y|X;\theta) = \Sigma_{t=1}^{T} [\gamma P_G(y_t|X, y_1, y_2, \cdots, y_t - 1) + (1 - \gamma) P_C(y_t|X, y_1, y_2, \cdots, y_t - 1)]$$
(2)

The distribution over the whole vocabulary P_G is estimated from the softmax layer using equation 1, and the copy distribution P_C is used from the attention layer from the decoder state over the context (dubbed 'alignment' in previous works (Bahdanau et al., 2014)). The mixture coefficient γ controls the bias between the mixtures and is estimated using a feed-forward neural network layer with a sigmoid function, which is placed on top of the decoder hidden state (before the final output softmax layer ¹). Ideally, the model learns to adjust between copying the input annotation or generating a translation.

It is important to note that, in previous works the authors had to build dynamic vocabulary for each sample due to the vocabulary mismatch between the source and target (Gu et al., 2016). Since we tied the embeddings of source and target languages, it becomes trivial to combine the two distributions. The use of byte-pair encodings also helps to eliminate unknown words on both sides, alleviating the task of excluding copying unknown tokens.

3.3 Reinforcement Learning

Why reinforcement learning While our annotation provides target language tokens that can be

¹Using an additional attention layer yields similar result.

directly copied to the generated output, and the copy generator allows a direct gradient path from the output to the annotation, the annotation is not guaranteed to be in the reference. When this is the case, the model does not receive the learning signal to copy the annotation.

In order to remedy this, we propose to cast the problem as a reinforcement learning task (Ranzato et al., 2015) in which we have the model sample and provide a learning signal by rewarding the model if it copies the annotation into the target, as seen in the loss function in Equation 3:

$$L(\theta) = -\mathbb{E}_{W \sim p_{\theta}}(r(W, REF))$$
(3)

Reward function For this purpose, we designed a reward function that can encourage the model to prioritize copying the annotation into the target, but still maintain a reasonable translation quality. For suggestion utilization, we denote HIT as the score function that gives rewards for every overlap of the output and the suggestion. If all annotated words are used then HIT(W, REF) = 1.0, otherwise the percentage of the copied words. For the translation score, we use the GLEU function (Wu et al., 2016) - the minimum of recall and precision of the *n*-grams up to 4-gram between the sample and the reference, which has been reported to correspond well with corpus-level translation metrics such as BLEU (Papineni et al., 2002). The reward function is defined as in Equation 4:

$$r(W, REF) = \alpha HIT(W, REF) + (1 - \alpha)GLEU(W, REF)$$
(4)

Variance reduction The use of reinforcement learning with translation models has been explored in various works (Ranzato et al., 2015; Bahdanau et al., 2016; Rennie et al., 2016; Nguyen et al., 2017), in which the models are difficult to train due to the high variance of the gradients (Schulman et al., 2017). To tackle this problem, we follow the Self-Critical model proposed by (Rennie et al., 2016) for variance reduction:

- Pre-training the model using cross-entropy loss (Eq. 1) to obtain a solid initialization presearch, which allows the model to achieve reasonable rewards to learn faster.
- During the reinforcement phase, for each sample/mini-batch, the decoder explores the

search space with Markov chain Monte Carlo sampling, and at the same time performs a greedy search for a 'baseline' performance. We encourage the model to perform better than baseline, which is used to decide the sign of the gradients (Williams, 1992).

Notably, there is no gradient flowing in the baseline subgraph since the argmax operators used in the greedy search are not differentiable.

4 Experiment setup

In the experiments, we realise the generic framework described in Section 3 with the tasks of translating from English \rightarrow Spanish and German \rightarrow English.

For both language pairs, we used data from Europarl (version 7) (Koehn, 2005) and IWSLT17 (Cettolo et al., 2012) to train our neural networks. For validation, we use the IWSLT validation set (dev2010) to select the best models based on perplexity (for cross-entropy loss) and BLEU score (for reinforcement learning). For evaluation, we use IWSLT tst2010 as the indomain test set. We also evaluate our models on out-of-domain corpora. For English->Spanish an additional Business dataset is used. The corpus statistics can be seen on Table 1. The out-ofdomain experiments for the German→English are carried out on the medical domain, in which we use the UFAL Medical Corpus v1.0 corpus (2.2 million sentences) to train the Expert and the Oracle system. The test data for this task is the HIML2017 dataset with 1517 sentences. We preprocess all the data using standard tokenization, true-casing and BPE splitting with 40K joined operations.

4.1 Implementation details

Our base neural machine translation follows the neural machine translation with global attention model described in (Luong et al., 2015)². The encoder is a bidirectional LSTM network, while the decoder is an LSTM with attention, which is a 2-layer feed-forward neural network (Bahdanau et al., 2014). We also use the input-feeding method (Luong et al., 2015) and context-gate (Tu et al., 2016) to improve model coverage. All networks in our experiments have layer size (embedding and hidden) of 512 (English \rightarrow Spanish)

²The framework is implemented in PyTorch, which will be made public with the final version of the paper

and 1024 (German-English) with 2 LSTM layers. Dropout is put vertically between LSTM layers to improve regularization (Pham et al., 2014). We create mini-batches with maximum 128 sentence pairs of the same source size. For crossentropy training, the parameters are optimized using Adam (Kingma and Ba, 2014) with a learning rate annealing schedule suggested in (Denkowski and Neubig, 2017), starting from 0.001 until 0.00025. After reaching convergence on the training data, we fine-tune the models on the IWSLT training set with learning rate of 0.0002. Finally, we use our best models on the validation data as the initialization for reinforcement learning using a learning rate of 0.0001, which is done on the IWSLT set for 50 epochs. Beam search is used for decoding.

4.2 Phrase-based Experts

We selected phrase tables for the Experts in our experiments. While other resources like terminology lists can also be used for the translation annotations, our motivation here is that the phrasetables can additionally capture multi-word phrase pairs, and additionally can better capture the distribution tail of rare phrases as compared to neural models (Koehn and Knowles, 2017). We selected the translation with the highest average probabilities in the 4 phrase table scores for annotation.

On the English \rightarrow Spanish task, the phrase tables are trained on the same data as the NMT model, while on the German \rightarrow English direction, we simulate the situation when the expert is not in the same domain as the test data to observe the potentials. Therefore, we train an additional table on the UFAL Medical Corpus v.1.0 corpus (which is not observed by the NMT model) to for the outof-domain annotation.

5 Evaluation

5.1 Research questions

We aim to find the answers to the following research questions:

- Given the annotation quality being imperfect, how much does it affect the overall translation quality?
- How much does annotation participate in translating rare words, and how consistently can the model learn to copy the annotation?

• How will the model perform in a new domain? The copy mechanism does not depend on the domain of the training or adaptation data, which is optimal.

5.2 Evaluation Metrics

To serve the research questions above, we use the following evaluation metrics:

- BLEU: score for general translation quality.
- SUGGESTION (SUG): The overlap between the hypothesis and the phrase-table (on word level), showing how much the expert content is used by the model.
- SUGGESTION ACCURACY (SAC): The intersection between the hypothesis, the phrase-table suggestions and the reference. This metrics shows us the accuracy of the system on the rare-words which are suggested by the phrase-table.

Discussion The SUG metric shows the consistency of the model on the copy mechanism. Models with lower SUG are not necessarily worse, and models with high SUG can potentially have very low recall on rare-word translation by systematically copying bad suggestions and failing to translate rare-words where the annotator is incorrect. However, we argue that a high SUG system can be used reliably with a high quality expert. For example, in censorship management or name translation which is strictly sensitive, this quality can help reducing output inconsistency. On the other hand, the SAC metrics show improvement on rareword translation, but only on the intersection of the phrase table and the reference. This subset is our main focus. General rare-word translation quality requires additional effort to find the reference aligned to the rare words in the source sentences, which we consider for future work.

5.3 Experimental results

English \rightarrow Spanish Results for this task are presented on table 2. First, the main difference between the settings is the SUG and SAC figures for all test sets. Both of them increase dramatically from baseline to annotation, and also increase according to the level of supervision in our model proposals. While the copy mechanism can help us to copy more from the annotation, the REINFORCE models are successfully trained to

	Engli	ish→Spanish	German→English			
Portion	N. Sentences	Rare words coverage	N. Sentences	Rare words coverage		
All	2.2M	82% (68K)	1.9M	82% (68K)		
IWSLT Dev2010	1435	48% (135)	505	51% (196)		
IWSLT Test2010	1701	46% (124)	1460	50% (136)		
Out-of-domain	749	80% (384)	1511	66.64% (1334)		

Table 1: Phrase-table coverage statistics. The out-of-domain section in English-Spanish is Business and Biomedical in German-English. We show the total number of rare words detected by frequency (in parentheses) and the percentage covered by the Experts (intersecting with the reference).

System—Data	dev2010			tst2010			BusinessTest		
	BLEU	SAC	SUG	BLEU	SAC	SUG	BLEU	SAC	SUG
1. Baseline	37.0	78.8	48.1	31.1	73.7	46.0	32.1	69.6	58.1
2. + AN	37.0	97.0	71.9	31.1	93.0	74.2	32.0	91.5	79.1
3. + AN-RF	37.97	92.42	82.2	31.3	94.73	89.5	33.82	96.1	93.0
4. + AN-CP	37.3	90.9	77.8	30.7	96.5	85.5	33.2	89.8	84.9
5. + AN-CP-RF	38.1	100	99.2	31.13	100	99.2	33.34	98.3	97.6

Table 2: The results of English - Spanish on various domains: TEDTalks and Business. We use AN for using annotations from the phrase table, RF for using REINFORCE (α = 0.5) and CP for using the Copy mechanism.

System—Data	dev2010			tst2010			HIML		
	BLEU	SAC	SUG	BLEU	SAC	SUG	BLEU	SAC	SUG
1. Baseline	37.5	66	45	36.14	66.9	45.1	32.4	46.3	37.2
2. + AN	37.1	93	84.1	35.6	91.9	84.4	33.99	87.1	85.1
3. + AN-CP	37.2	96	88.2	35.89	94.1	90.7	34.1	96.5	95.0
4. + AN-CP-RF	36.6	97	92.9	35.89	98.5	95.5	33.1	98.0	97.6
Biomedical-Oracle	-	-	-	-	-	-	37.82	81.77	65.44

Table 3: The results of German \rightarrow English on various domains: TEDTalks and Biomedical. We use AN for using annotations from the phrase table, RF for using REINFORCE (α = 0.5) and CP for using the Copy mechanism.

make the model copy more consistently. Their combination helps us achieve the desired behavior, in which almost all of the annotations given are copied, and we achieve 100% accuracy on the rare-words section that the phrase table covers. As mentioned in the discussion above, the SAC and SUG figures, while being not enough to quantitatively prove that the total number of rare words translated, show that the phrase table is complementary to the neural machine translation, and the more coverage the expert has, the more benefit this method can bring.

We notice an improvement of 1 BLEU point on dev2010 but only slight changes compared to the baseline on tst2010. On the out-of-domain set, however, the improved rare-word performance leads to an increase of 1.7 BLEU points over the baseline without annotation. Our models, despite training on a noisier dataset, are able to improve translation quality.

German \rightarrow **English** Results are shown in Table 3. On the dev2010 and tst2010 in-domain datasets, we observe similar phenomena to the En-Es direction. Rare-word performance increases with the number of words copied, and the combination of the copy mechanism and REINFORCE help us copy consistently. Surprisingly, however, the BLEU score drops with annotations. This may be because of the relative morphologically complexity of the German words compared to the English, making it harder to generate the correct

word form.

In the experiments with an out-of-domain test set (HIML), we use annotations from that domain to simulate a domain-expert. For comparison, we also trained an NMT model adapted to the UFAL corpus, which we call the Oracle model. In this domain, our models show the same behavior, in which almost every word annotated is copied to the output. The annotation efficiently improves translation quality by 1.7 BLEU points over the baseline without annotation. The adapted model has a higher BLEU score, but here performs worse than our annotated model in terms of phrase-table overlap and rare-word translation accuracy for words in this set. Our model shows significantly better rare word handling than the baseline. Though the best obtainable system is adapted to the in-domain data, this requires parallel text: this experiment shows the high potential to improve NMT on out-of-domain scenarios using only lexical-level materials. We notice a surprising drop of 1.0 BLEU points for the RE-INFORCE model. Possible reasons include inefficient beam search on REINFORCE models, or the GLEU signal was out-weighted by the HIT one during training, which is known for the difficulty (Zaremba and Sutskever, 2015).

5.4 Further Analysis

Name translation Names can often be translated by BPE, but it is noticeable about examples of the inconsistency, which can be alleviated using annotations, as illustrated in Figure 2-Top.

Copying long phrases We find that with very high supervision, the model can learn to copy even phrases completely into the output, as in Figure 2-Bottom. Though this is potentially dangerous, as the output may the lose the additional fluency which comes from NMT, it is controllable by combining RL and cross entropy loss (Paulus et al., 2017).

Attention Plotting the attention map for the decoded sequences we notice that, while we marked the beginning and end of annotated sections and the separation between the source and the suggestion with # and ## tokens, those positions received very little weight from the decoder. One possible explanation is that these tokens do not contribute to the translation when decoding, and the annotations may useful without bounding tags. For the annotations used in the translation, we identified two prominent cases; for the rare words whose annotation need only be identically copied to the target, the attention map focuses evenly on both source and annotation, while the heat map typically heavily emphasizes only the annotation otherwise. An example is illustrated in figure 3.

Effect of α The full results with respect to different α values which are used in Equation 3 for reward weighting can be seen in Table 4. Higher α values emphasize the signal to copy the source annotation, as can be seen from the increase in terms of Accuracy and Suggestion utilization across the values. As expected, as α goes toward 1.0, the model gradually loses the signal needed to maintain translation quality and finally diverges.

α		tst2010)	BusinessTest				
	BL	AC	SUG	BL	AC	SUG		
0.0	31.3	91.2	78.2	33.7	76.5	71.9		
			88.2					
0.5	31.3	94.7	89.5	33.8	96.1	93.0		
1.0	did not converge							

Table 4: Performances w.r.t to different alpha values. Metrics shown are BLEU (BL), ACCURACY (AC) and SUGGESTION (SUG)

6 Related Work

Translating rare words in neural machine translation is a rich and active topic, particularly when translating morphologically rich languages or translating named entities. Sub-word unit decomposition or BPE (Sennrich et al., 2016) has become the de-facto standard in most neural translation systems (Wu et al., 2016). Using phrase tables to handle rare words was previously explored in (Luong et al., 2014), but was not compatible with BPE. (Gulcehre et al., 2016) explored using pointer networks to copy source words to the translation output, which could benefit from our design but would require significant changes to the architecture and likely be limited to copying only. Additionally, models that can learn to remember rare events were explored in (Kaiser et al., 2017).

Our work builds on the idea of using a phrasebased neural machine translation to augment source data, (Niehues et al., 2016; Denkowski and Neubig, 2017), but can be extended to any annotation type without complicated hybrid phrasebased neural machine translation systems. We were additionally inspired by the use of feature functions with lexical-based features from dictioFigure 2: **Top**: Examples of name annotations with our framework from tst2010. The name Kean is originally split by BPE into 'K' and 'ean'. This is incorrectly translated without annotation (in blue) and corrected with the annotation (in red). **Bottom**: An example of phrase copying, in which the German word is translated into a long English phrase.



Figure 3: An attention heat map of an English-Spanish sentence pair (source on X-axis, target on Y-axis) with annotated sections in red rectangles. Annotations and their source are bounded by # characters.



naries and phrase-tables in (Zhang et al., 2017). They also rely on sample-based techniques, (Shen et al., 2015), to train their networks, but their computation is more expensive than the self-critical network in our work. We focus here on rare events, with the possibility to construct interactive models for fast updating without retraining. We also use the ideas of using REINFORCE to train sequence generators for arbitrary rewards (Ranzato et al., 2015; Nguyen et al., 2017; Bahdanau et al., 2016). While this method remains difficult to train, it is promising to use to achieve non-probabilistic features for neural models: for example enforcing formality in outputs in German, or censoring undesired outputs.

7 Conclusion

In this work, we presented a framework to alleviate the weaknesses of neural machine translation models by incorporating external knowledge as **Experts** and training the models to use their annotations using reinforcement learning and a pointer network. We show improvements over the unannotated model on both in- and out-ofdomain datasets. When only lexical resources are available and in-domain fine-tuning cannot be performed, our framework can improve performance. The annotator might potentially be trained together with the main model to balance translation quality with copying annotations, which our current framework seems to be biased to.

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