# Simple extensions for a reparameterised IBM Model 2

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### Abstract

A modification of a reparameterisation of IBM Model 2 is presented, which makes the model more flexible, and able to model a preference for aligning to words to either the right or left, and take into account POS tags on the target side of the corpus. We show that this extension has a very small impact on training times, while obtaining better alignments in terms of BLEU scores.

# 1 Introduction

Word alignment is at the basis of most statistical machine translation. The models that are generally used are often slow to train, and have a large number of parameters. Dyer et al. (2013) present a simple reparameterization of IBM Model 2 that is very fast to train, and achieves results similar to IBM Model 4.

While this model is very effective, it also has a very low number of parameters, and as such doesn't have a large amount of expressive power. For one thing, it forces the model to consider alignments on both sides of the diagonal equally likely. However, it isn't clear that this is the case, as for some languages an alignment to earlier or later in the sentence (above or below the diagonal) could be common, due to word order differences. For example, when aligning to Dutch, it may be common for one verb to be aligned near the end of the sentence that would be at the beginning in English. This would mean most of the other words in the sentence would also align slightly away from the diagonal in one direction. Figure 1 shows an example sentence in which this happens. Here, a circle denotes an alignment, and darker squares are more likely under the alignment model. In this case the modified Model 2 would simply make both directions equally likely, where we would really like for only one direction to be more likely. Trevor Cohn Computing and Information Systems The University of Melbourne t.cohn@unimelb.edu.au



Figure 1: Visualization of aligned sentence pair in Dutch and English, darker shaded squares have a higher alignment probability under the model, a circle indicates a correct alignment. The English sentence runs from bottom to top, the Dutch sentence left to Right.

In some cases it could be that the prior probability for a word alignment should be off the diagonal.

Furthermore, it is common in word alignment to take word classes into account. This is commonly implemented for the HMM alignment model as well as Models 4 and 5. Och and Ney (2003) show that for larger corpora, using word classes leads to lower Alignment Error Rate (AER). This is not implemented for Model 2, as it already has an alignment model that is dependent on both source and target length, and the position in both sentences, and adding a dependency to word classes would make the the Model even more prone to overfitting than it already is. However, using the reparameterization in (Dyer et al., 2013) would leave the model simple enough even with a relatively large amount of word classes.

Figure 2 shows an example of how the model extensions could benefit word alignment. In the example, all the Dutch words have a different

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Figure 2: Visualization of aligned sentence pair in Dutch and English, darker shaded squares have a higher alignment probability under the model, a circle indicates a correct alignment. The English sentence runs from bottom to top, the Dutch sentence left to Right.

word class, and so can have different gradients for alignment probability over the english words. If the model has learned that prepositions and nouns are more likely to align to words later in the sentence, it could have a lower lambda for both word classes, resulting in a less steep slope. If we also split lambda into two variables, we can get alignment probabilities as shown above for the Dutch word 'de', where aligning to one side of the diagonal is made more likely for some word classes. Finally, instead of just having one side of the diagonal less steep than the other, it may be useful to instead move the peak of the alignment probability function off the diagonal, while keeping it equally likely. In Figure 2, this is done for the past participle 'gezien'.

We will present a simple model for adding the above extensions to achieve the above (splitting the parameter, adding an offset and conditioning the parameters on the POS tag of the target word) in section 2, results on a set of experiments in section 3 and present our conclusions in section 4.

### 2 Methods

We make use of a modified version of Model 2, from Dyer et al. (2013), which has an alignment model that is parameterised in its original form solely on the variable  $\lambda$ . Specifically, the probability of a sentence e given a sentence f is given as:

$$\prod_{i=1}^{m} \sum_{j=0}^{n} \delta(a_i|i,m,n) \cdot \theta(e_i|f_{a_i})$$

here, m is the length of the target sentence e, n the same for source sentence f,  $\delta$  is the alignment model and  $\theta$  is the translation model. In this paper we are mainly concerned with the alignment model  $\delta$ . In the original formulation (with a minor tweak to ensure symmetry through the center), this function is defined as:

where,  $h(\cdot)$  is defined as

$$h(i, j, m, n) = -\lambda \left| \frac{i}{m+1} - \frac{j}{n+1} \right|$$

and  $Z_{\lambda}(i, m, n)$  is  $\sum_{j'=1}^{n} e^{\lambda h(i, j', m, n)}$ , i.e. a normalising function. Like the original Model 2 (Brown et al., 1993), this model is trained using Expectation-Maximisation. However, it is not possible to directly update the  $\lambda$  parameter during training, as it cannot be computed analytically. Instead, a gradient-based approach is used during the M-step.

Two different optimisations are employed, the first of which is used for calculating  $Z_{\lambda}$ . This function forms a geometric series away from the diagonal (for each target word), which can be computed efficiently for each of the directions from the diagonal. The second is used during the M-step when computing the derivative, and is very similar, but instead of using a geometric series, an arithmetico-geometric series is used.

In order to allow the model to have a different parameter above and below the diagonal, the only change needed is to redefine  $h(\cdot)$  to use a different parameter for  $\lambda$  above and below the diagonal. We denote these parameters as  $\lambda$  and  $\gamma$  for below and above the diagonal respectively. Further, the offset is denoted as  $\omega$ .

we change the definition of  $h(\cdot)$  to the following instead:

$$\begin{split} h(i,j,m,n) &= \\ \begin{cases} -\lambda \left| \frac{i}{m+1} - \frac{j}{n+1} + \omega \right| & j <= j_{\downarrow} \\ -\gamma \left| \frac{i}{m+1} - \frac{j}{n+1} + \omega \right| & \text{otherwise} \end{cases} \end{split}$$

 $j_{\downarrow}$  is the point closest to or on the diagonal here, calculated as:

$$\max(\min(\lfloor\frac{i\cdot(n+1)}{m+1}+\omega\cdot(n+1)\rfloor,n),0)$$

Here,  $\omega$  can range from -1 to 1, and thus the calculation for the diagonal  $j_{\downarrow}$  is clamped to be in a valid range for alignments.

As the partition function  $(Z(\cdot))$  used in (Dyer et al., 2013) consists of 2 calculations for each target position *i*, one for above and one for below the diagonal, we can simply substitute  $\gamma$  for the geometric series calculations in order to use different parameters for each:

$$s_{\downarrow}(e^{\lambda h(i,j_{\downarrow},m,n)},r) + s_{n-\uparrow}(e^{\gamma h(i,j_{\uparrow},m,n)},r)$$
  
where  $j_{\uparrow}$  is  $j_{\downarrow} + 1$ .

#### 2.1 Optimizing the Parameters

As in the original formulation, we need to use gradient-based optimisation in order to find good values for  $\lambda$ ,  $\gamma$  and  $\omega$ . Unfortunately, optimizing  $\omega$  would require taking the derivative of  $h(\cdot)$ , and thus the derivative of the absolute value. This is unfortunately undefined when the argument is 0, however we work around this by choosing a subgradient of 0 at that point. This means the steps we take do not always improve the objective function, but in practice the method works well.

The first derivative of  $\mathcal{L}$  with respect to  $\lambda$  at a single target word becomes:

$$\begin{aligned} \nabla_{\lambda} \mathcal{L} = \sum_{k=1}^{j_{\downarrow}} p(a_i = k | e_i, \mathbf{f}, m, n) h(i, k, m, n) \\ - \sum_{l=1}^{j_{\downarrow}} \delta(l | i, m, n) h(i, l, m, n) \end{aligned}$$

And similar for finding the first derivative with respect to  $\gamma$ , but summing from  $j_{\uparrow}$  to *n* instead. The first derivative with respect to  $\omega$  then, is:

$$\nabla_{\omega} \mathcal{L} = \sum_{k=1}^{n} p(a_i = k | e_i, \mathbf{f}, m, n) h'(i, k, m, n)$$
$$- \sum_{l=1}^{j_{\downarrow}} \delta(l | i, m, n) h'(i, l, m, n)$$

Where  $h'(\cdot)$  is the first derivative of  $h(\cdot)$  with respect to  $\omega$ . For obtaining this derivative, the arithmetico-geometric series (Fernandez et al., 2006) was originally used as an optimization, and for the gradient with respect to *omega* a geometric series should suffice, as an optimization, as there is no conditioning on the source words. This is not done in the current work however, so timing results will not be directly comparable to those found in (Dyer et al., 2013).

Conditioning on the POS of the target words then becomes as simple as using a different  $\lambda$ ,  $\gamma$ , and  $\omega$  for each POS tag in the input, and calculating a separate derivative for each of them, using only the derivatives at those target words that use the POS tag. A minor detail is to keep a count of alignment positions used for finding the derivative for each different parameter, and normalizing the resulting derivatives with those counts, so the step size can be kept constant across POS tags.

### **3** Empirical results

The above described model is evaluated with experiments on a set of 3 language pairs, on which AER scores and BLEU scores are computed. We use similar corpora as used in (Dyer et al., 2013): a French-English corpus made up of Europarl version 7 and news-commentary corpora, the Arabic-English parallel data consisting of the non-UN portions of the NIST training corpora, and the FBIS Chinese-English corpora.

The models that are compared are the original reparameterization of Model 2, a version where  $\lambda$  is split around the diagonal (split), one where pos tags are used, but  $\lambda$  is not split around the diagonal (pos), one where an offset is used, but parameters aren't split about the diagonal (offset), one that's split about the diagonal and uses pos tags (pos & split) and finally one with all three (pos & split & offset). All are trained for 5 iterations, with uniform initialisation, where the first iteration only the translation probabilities are updated, and the other parameters are updated as well in the subsequent iterations. The same hyperparameters are

Model	Fr-En	Ar-En	Zh-En
Tokens	111M	46M	17.3M
(after)	110M	29.0M	10.4M
average	1.64	0.76	0.27
Model 4	15.5	6.3	2.2

Table 1: Token counts and average amount of time to train models (and separately training time for Model 4) on original corpora in one direction in hours, by corpus.

used as in (Dyer et al., 2013), with stepsize for updates to  $\lambda$  and  $\gamma$  during gradient ascent is 1000, and that for  $\omega$  is 0.03, decaying after every gradient descent step by 0.9, using 8 steps every iteration. Both  $\lambda$  and  $\gamma$  are initialised to 6, and  $\omega$  is initialised to 0. For these experiments the pos and pos & split use POS tags generated using the Stanford POS tagger (Toutanova and Manning, 2000), using the supplied models for all of the languages used in the experiments. For comparison, Model 4 is trained for 5 iterations using 5 iterations each of Model 1 and Model 3 as initialization, using GIZA++ (Och and Ney, 2003).

For the comparisons in AER, the corpora are used as-is, but for the BLEU comparisons, sentences longer than 50 words are filtered out. In Table 2 the sizes of the corpora before filtering are listed, as well as the time taken in hours to align the corpora for AER. As the training times for the different versions barely differ, only the average is displayed for the models here described and Model 4 training times are given for comparison. Note that the times for the models optimizing only  $\lambda$  and  $\gamma$ , and the model only optimizing  $\omega$  still calculate the derivatives for the other parameters, and so could be made to be faster than here displayed. For both the BLEU and AER results, the alignments are generated in both directions, and symmetrised using the grow-diag-final-and heuristic, which in preliminary tests had shown to do best in terms of AER.

The results are given in Table 2. These scores were computed using the WMT2012 data as gold standard. The different extensions to the model make no difference to the AER scores for Chinese-English, and actually do slightly worse for French-English. In both cases, Model 4 does better than the models introduced here.

Model	Fr-En	Zh-En
Original	16.3	42.5
Split	16.8	42.5
Pos	16.6	42.5
Offset	16.8	42.5
Pos & Split	16.8	42.5
Pos & Split & Offset	16.7	42.5
Model 4	11.2	40.5

Table 2: AER results on Chinese-English andFrench-English data sets

Model	Fr-En	Ar-En	Zh-En
Original	25.9	43.8	32.8
Split	25.9	43.2	32.8
Pos	25.9	43.9	32.9
Offset	26.0	43.9	32.8
Pos & Split	26.0	44.1	33.2
Pos & Split & Offset	26.0	44.2	33.3
Model 4	26.8	43.9	32.4

Table 3: BLEU results on Chinese-English andFrench-English data sets

For the comparisons of translation quality, the models are trained up using a phrase-based translation system (Koehn et al., 2007) that used the above listed models to align the data. Language models were augmented with data outside of the corpora for Chinese-English (200M words total) and Arabic-English (100M words total). Test sets for Chinese are MT02, MT03, MT06 and MT08, for Arabic they were MT05, MT06 and MT08, and for French they were the newssyscomb2009 data and the newstest 2009-2012 data.

The results are listed in Table 3<sup>1</sup>. BLEU scores for Arabic-English and Chinese-English are computed with multiple references, while those for French-English are against a single reference. Although the different models made little difference in AER, there is quite a bit of variation in the BLEU scores between the different models. In all cases, the models conditioned on POS tags did better than the original model, by as much as 0.5 BLEU points. For Arabic-English as well as Chinese-English, the full model outperformed

<sup>&</sup>lt;sup>1</sup>The difference in these results compared to those reported in Dyer et al. (2013) is due to differences in corpus size, and the fact that a different translation model is used.

Model 4, in the case of Chinese-English by 0.9 BLEU points.

The low impact of the split and offset models are most likely due to the need to model all alignments in the corpus. The distributions can't skew too far to aligning to one direction, as that would lower the probability of a large amount of alignments. This is reflected in the resulting parameters  $\lambda$ ,  $\gamma$  and  $\omega$  that are estimated, as the first two do not differ much from the parameters estimated when both are kept the same, and the second tends to be very small.

As for the Pos model, it seems that only varying the symmetrical slope for the different POS tags doesn't capture the differences between distributions for POS tags. For example, the  $\lambda$  and  $\gamma$  parameters can differ quite a lot in the Pos & Split model when compared to the Pos model, with one side having a much smaller parameter and the other a much larger parameter for a given POS tag in the first model, and the single parameter being closer to the model average for the same POS tag in the second model.

The low variation in results between the different models for French-English might be explained by less word movement when translating between these languages, which could mean the original model is sufficient to capture this behaviour.

# 4 Conclusion

We have shown some extensions to a reparameterized IBM Model 2, allowing it to model word reordering better. Although these models don't improve on the baseline in terms of AER, they do better than the original in all three languages tested, and outperform M4 in two of these languages, at little cost in terms of training time. Future directions for this work include allowing for more expressivity of the alignment model by using a Beta distribution instead of the current exponential model.

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