Machine Translation for Machines: the Sentiment Classification Use Case

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Abstract

We propose a neural machine translation (NMT) approach that, instead of pursuing adequacy and fluency ("human-oriented" quality criteria), aims to generate translations that are best suited as input to a natural language processing component designed for a specific downstream task (a "machine-oriented" criterion). Towards this objective, we present a reinforcement learning technique based on a new candidate sampling strategy, which exploits the results obtained on the downstream task as weak feedback. Experiments in sentiment classification of Twitter data in German and Italian show that feeding an English classifier with machine-oriented translations significantly improves its performance. Classification results outperform those obtained with translations produced by general-purpose NMT models as well as by an approach based on reinforcement learning. Moreover, our results on both languages approximate the classification accuracy computed on gold standard English tweets.

1 Introduction

Traditionally, machine translation (MT) pursues a "human-oriented" objective: generating fluent and adequate output to be consumed by speakers of the target language. But what if the intended use of MT is to feed a natural language processing (NLP) component instead of a human? This, for instance, happens when MT is used as a pre-processing step to perform a downstream NLP task in a language for which dedicated tools are not available due to the scarcity of task-specific training data. The rapid growth of cloud-based software-as-a-service offerings provides a typical example of this situation: a variety of affordable high-performance NLP tools can be easily accessed via APIs but often they are available only for a few languages. Translating into one of these high-resource languages gives the possibility to address the downstream task by: *i*) using existing tools for that language to process the translated text, and *ii*) projecting their output back to the original language.

However, using MT "as is" might not be optimal for different reasons. First, despite the qualitative leap brought by neural networks, MT is still not perfect (Koehn and Knowles, 2017). Second, previous literature shows that MT can alter some of the properties of the source text (Mirkin et al., 2015; Rabinovich et al., 2017; Vanmassenhove et al., 2018). Finally, even in the case of a perfect MT able to preserve all the traits of the source sentence, models are still trained on parallel data, which are created by humans and thus reflect quality criteria relevant for humans.

In this work, we posit that these criteria might not be the optimal ones for a machine (i.e. a downstream NLP tool fed with MT output). In this scenario, MT should pursue the objective of preserving and emphasizing those properties of the source text that are crucial for the downstream task at hand, even at the expense of human-quality standards. To this end, inspired by previous human-oriented – MT approaches based on Reinforcement Learning (Ranzato et al., 2016; Shen et al., 2016) and Bandit Learning (Kreutzer et al., 2017; Nguyen et al., 2017), we explore a NMT optimization strategy that exploits the weak feedback from the downstream task to influence system's behaviour towards the generation of optimal "machine-oriented" output.

As a proof of concept, we test our approach on a sentiment classification task, in which Twitter data in German and Italian are to be classified according to their polarity by means of an English classifier. In this setting, a shortcoming of previous translation-based approaches (Denecke, 2008; Balahur et al., 2014) is that, similar to other traits, sentiment is often not preserved by MT (Salameh et al., 2015; Mohammad et al., 2016; Lohar et al., 2017). Although it represents a viable solution to leverage sentiment analysis to a wide number of languages (Araujo et al., 2016), the translationbased approach should hence be supported by advanced technology able to preserve the sentiment traits of the input. Along this direction, our experiments show that machine-oriented MT optimization makes the classifier's task easier and eventually results in significant classification improvements. Our results outperform those obtained with translations produced by general-purpose NMT models as well as by an NMT approach based on reinforcement learning (Ranzato et al., 2016). Most noticeably, on both languages we are able to approximate the classification accuracy computed on gold standard English tweets.

2 Background and Methodology

During training, NMT systems based on the encoder-decoder framework (Sutskever et al., 2014; Bahdanau et al., 2015) are optimized with maximum likelihood estimation (MLE), which aims to maximize the log-likelihood of the training data. In doing so, they indirectly model the human-oriented quality criteria (adequacy and fluency) expressed in the training corpus. A different strand of research (Ranzato et al., 2016; Shen et al., 2016; Kreutzer et al., 2018) focuses on optimizing the model parameters by maximizing an objective function that leverages either an evaluation metric like BLEU (Papineni et al., 2002) or an external human feedback. These methods are based on Reinforcement Learning (RL), in which the MT system parameters θ define a *policy* that chooses an action, i.e. generating the next word in a translation candidate $\hat{\mathbf{y}}$, and gets a *reward* $\Delta(\hat{\mathbf{y}})$ according to that action. Given S training sentences $\{\mathbf{x}^{(s)}\}_{s=1}^{S}$, the RL training goal is to maximize the expected reward:

$$\mathcal{L}_{RL} = \sum_{s=1}^{S} E_{\hat{\mathbf{y}} \sim p_{\theta}(.|\mathbf{x}^{(s)})} \Delta(\hat{\mathbf{y}})$$

$$= \sum_{s=1}^{S} \sum_{\hat{\mathbf{y}} \in \mathbf{Y}} p_{\theta}(\hat{\mathbf{y}}|\mathbf{x}^{(s)}) \Delta(\hat{\mathbf{y}})$$
(1)

where \mathbf{Y} is the set of all translation candidates. Since the size of this set is exponentially large, it is impossible to exhaustively compute the expected reward, which is thus estimated by sampling one or few candidates from this set. In the MT adaptation (Ranzato et al., 2016) of REIN-FORCE (Williams, 1992), the closest approach to the one we present, only one candidate is sampled.

$$\hat{\mathcal{L}}_{RL} = \sum_{s=1}^{S} p_{\theta}(\hat{\mathbf{y}} | \mathbf{x}^{(s)}) \Delta(\hat{\mathbf{y}}), \hat{\mathbf{y}} \sim p_{\theta}(.|\mathbf{x}^{(s)}) \quad (2)$$

We now focus on how the key elements of RL methods have been adapted to properly work in the proposed "MT for machines" setting. Our novel *Machine-Oriented* approach is described in Algorithm 1.

Algorithm 1 Machine-Oriented RL

- Input: x^(s) s-th source sentence in training data, l^(s) the ground-truth label, K number of sampled candidates
- 2: **Output:** sampled candidate $\hat{\mathbf{y}}^{(s)}$
- 3: $\mathbf{C} = \emptyset$ \triangleright Candidates set
- 4: **for** *k* = 1,...,K **do**

5:
$$\mathbf{c} \sim p_{\theta}(.|\mathbf{x}^{(s)})$$

- 6: $\mathbf{f} = P_{class}(\mathbf{l}^{(s)}|\mathbf{c}) \triangleright$ Feedback from the classifier
- 7: $\mathbf{C} = \mathbf{C} \cup (\mathbf{c}, \mathbf{f})$
- 8: $\hat{\mathbf{y}}^{(s)} = \max_{\mathbf{f}}(\mathbf{C}) \qquad \triangleright$ Best candidate w.r.t. feedback

Reward Computation. In current RL approaches to MT, rewards are computed either on a development set containing reference translations (Ranzato et al., 2016; Bahdanau et al., 2017) or by means of a weak feedback (e.g. a 1-to-5 score) when ground-truth translations are not accessible (Kreutzer et al., 2017; Nguyen et al., 2017). In both cases, the reward reflects a human-oriented notion of MT quality. Instead, in our machine-oriented scenario, the reward reflects the performance on the downstream task, independently from translation quality.

In the sentiment classification use case, given a source sentence $(\mathbf{x}^{(s)})$ and its sentiment ground-truth label $(\mathbf{l}^{(s)})$, the reward is defined as the probability assigned by the classifier to the ground-truth class for each translated sentence **c** $(P_{class}(\mathbf{l}^{(s)}|\mathbf{c}))$ in Algorithm 1, line 6). By maximizing this reward, the MT system learns to produce a translation that has higher chances to be correctly labeled by the classifier. This comes at the risk of obtaining less fluent and adequate output. However, as will be shown in Section 4, this

type of reward induces highly polarized translations that are best suited for our downstream task.

Sampling Approach. A possible sampling strategy is to exploit beam search (Sutskever et al., 2014) to find, at each decoding step, the candidate with the highest probability. Another solution is to use multinomial sampling (Ranzato et al., 2016) which, at each decoding step, samples tokens over the model's output distribution. In (Wu et al., 2018), the higher results achieved by multinomial sampling are ascribed to its capability to better explore the probability space by generating more diverse candidates. This finding is particularly relevant in the proposed "MT for machines" scenario, in which the emphasis on final performance in the downstream task admits radical (application-oriented) changes in the behaviour of the MT model, even at the expense of human quality standards.

To increase the possibility of such changes, we propose a new sampling strategy. Instead of generating only one candidate token via multinomial sampling, K candidate sentences are first randomly sampled (lines 4-5 in Algorithm 1). Then, the reward is collected for each of them (line 7) and the candidate with the highest reward is chosen (line 8). On one side, randomly exploring more candidates increases the probability to sample a "useful" one, possibly by diverting from the initial model behaviour. On the other side, selecting the candidate with the highest reward will push the system towards translations emphasizing input traits that are relevant for the downstream task at hand. In the sentiment classification use case, these are expected to be sentiment-bearing terms that help the classifier to predict the correct class.

3 Experiments

Our evaluation is done by feeding an English sentiment classifier with the translations of German and Italian tweets generated by:

- A general-purpose NMT system (Generic);
- The same system conditioned with REIN-FORCE (*Reinforce*);
- The same system conditioned with our *Machine-Oriented method* (*MO-Reinforce*).

As other terms of comparison, we calculate the results of:

- The English classifier on the gold standard English tweets (*English*);
- German and Italian classifiers on the original, untranslated tweets (*Original*).

Task-specific data. We experiment with a dataset based on Semeval 2013 data (Nakov et al., 2013), which contains polarity-labeled parallel German/Italian–English corpora (Balahur et al., 2014). For each language pair, the development and test sets respectively comprise 583 (197 negative and 386 positive) and 2,173 tweets (601 negative and 1,572 positive). To cope with the skewed data distribution, the negative tweets in the development sets are over-sampled, leading to new balanced sets of 772 tweets.

NMT Systems. Our *Generic* models are based on Transformer (Vaswani et al., 2017), with parameters similar to those used in the original paper. Training data amount to 6.1M (De-En) and 4.56M (It-En) parallel sentences from freely-available corpora. The statistics of the parallel corpora are reported in Table 1. For each language pair, all data are merged and tokenized. Then, byte pair encoding (Sennrich et al., 2016) is applied to obtain 32K sub-word units.

	De-En	It-En	
Europarl	2M	2M	
JRC	0.7M	0.8M	
Wikipedia	2.5M	1M	
ECB	0.1M	0.2M	
TED	0.1M	0.2M	
KDE	0.3M	0.3M	
News11	0.2M	0.04M	
News	0.2M	0.02M	
Total	6.1M	4.56M	

Table 1: Statistics of the parallel corpora used for training the generic NMT systems

To emulate both scarce and sufficient training data conditions, MT systems are trained using 5% and 100% of the available parallel data. In the most favorable condition (i.e. with 100% of the data), the BLEU score of the two models is 30.48 for De-En and 28.68 for It-En.

To condition the generic models and obtain the *Reinforce* and *MO-Reinforce* systems, we use the polarity-labeled German/Italian tweets in our de-

velopment sets, with reward as defined in Section 2. The SGD optimizer is used, with learning rate set to 0.01. In *MO-Reinforce*, the number of sampled candidates is set to K = 5.

Classifiers. To simulate an English cloud-based classifier-as-a-service, the pre-trained BERT (Base-uncased) model (Devlin et al., 2019) is fine-tuned with a balanced set of 1.6M positive and negative English tweets (Go et al., 2009). The German and Italian classifiers are also created by fine-tuning BERT on the polarity-labeled tweets composing the source side of our development set (772 tweets).¹ Before being passed to the classifiers, URLs and user mentions are removed from the tweets, which are then tokenized and lower-cased.

4 Results and Discussion

Table 2 shows our classification results, presenting the F1 scores obtained by the different MT-based approaches in the two training conditions. When NMT is trained on 100% of the parallel data, for both languages *Reinforce* produces translations that lead to classification improvements over those produced by the *Generic* model (+0.5 De-En, +0.8 It-En). Although the scores are considerably better than those obtained by the *Original* classifiers (+9.3 De-En, +7.2 It-En), the gap with respect to the *English* classifier is still quite large (-1.4 De-En and -2.3 It-En).

The observed F1 gains over the *Generic* model reflect an improvement in translation quality. Indeed, the BLEU score (not reported in the table) increases for both languages (+0.83 De-En, +1.37 It-En). As suggested by Kreutzer et al. (2018), this can be motivated by the fact that, rather than actually leveraging the feedback, RL mainly benefits from the optimization on in-domain source sentences in the development set. This is confirmed by the fact that *MO-Reinforce*, which uses a different sampling strategy, is able to outperform *Reinforce* on both languages (+0.7 F1 on De-En, +1.7 on It-En), approaching the *English* upper bound.

Unsurprisingly, the BLEU score obtained by *MO-Reinforce* is close to zero. Indeed, the generated sentences are highly polarized and fluent, but

	De - En		It - En	
	5%	100%	5%	100%
Generic	79.7	83.2	78.2	81.6
Reinforce	80.4	83.7	77.8	82.8
MO-Reinforce	80.9	84.4	80.3	84.5
English	85.1			
Original	74.4 75.6			

Table 2: Classification results (F1) obtained with: *i*) automatic English translations by three models (*Generic*, *Reinforce*, *MO-Reinforce*), and *ii*) gold-standard English (*English*) and untranslated German/Italian (*Original*) tweets.

not adequate with respect to the source sentence. For example, the positive instances in the test set are translated into "It's good!", "I'm happy" or "I'm grateful", and the negative ones into "it is not good!" or "I'm sorry". This polarization shows that MO-Reinforce maximizes the exploitation of the received sentiment feedback thus producing an output that, at the expense of adequacy, can be easily classified by the downstream task.

When reducing the MT training data to only 5%, emulating a condition of parallel data scarcity, all the classification results decrease (on average by 3-4 points). However, also in this case the output of *MO-Reinforce* yields the closest scores to the *English* upper bound. This indicates that our method does not require large data quantities to outperform the other approaches.

To validate the hypothesis that MO-Reinforce can better leverage the feedback from the downstream task, Figures 1 and 2 show the average rewards for the De-En and the It-En candidates generated by Reinforce and MO-Reinforce at each epoch of the adaptation process. In line with the findings of Kreutzer et al. (2018), for both languages, Reinforce is not able to leverage the feedback and to generate candidates that increase their reward during training. Indeed, its curves in the two figures show either a stable (It-En) or even a slightly downward trend (De-En) that confirms the known limitations of NMT to preserve sentiment traits of the source sentences (see Section 1). In contrast, the MO-Reinforce curves show a clear upward trend indicating a higher capability to exploit the feedback and produce, epoch by epoch, increasingly polarized translations that are easier

¹This strategy is similar to the one proposed in (Eriguchi et al., 2018), where a pre-trained multilingual encoder is used to build a cross-lingual classifier that is then trained on task-specific data. In our case, however, labeled data are available in a small amount.



Figure 1: Average rewards for *Reinforce* and *MO-Reinforce* candidates at each training epoch (De-En).



Figure 3: Classification results with increasing amounts of labeled development data (De-En).

to classify.

Another important aspect to investigate is the relation between the size of the development set (i.e. the amount of human-annotated source language tweets needed by the RL methods) and final classification performance. Figures 3 and 4 show the De-En and It-En performance variations of Reinforce and MO-Reinforce at different sizes of the development set. For comparison purposes, also the Generic and English results (which are independent from the development set size) are included. In both plots, each point is obtained by averaging the results of three different data shuffles. With limited amounts of data (25% and 50%) Reinforce and MO-Reinforce have a similar trend. When adding more data, MO-Reinforce shows a better use of the labeled development data, with a boost in performance that allows it to approach the English upper bound in both language settings. These results suggest that the effort to create labeled data can be minimal, and with 75% of the set (579 points) it is already possible to achieve a better performance than Reinforce.

5 Conclusions

We proposed a novel interpretation of the machine translation task, which pursues *machine*-



Figure 2: Average rewards for *Reinforce* and *MO-Reinforce* candidates at each training epoch (It-En).



Figure 4: Classification results with increasing amounts of labeled development data (It-En).

oriented quality criteria (generating translations that are best suited as input to a downstream NLP component) rather than the traditional humanoriented ones (maximizing adequacy and fluency). We addressed the problem by adapting reinforcement learning techniques with a new, explorationoriented sampling strategy that exploits the results obtained on the downstream task as weak feedback. Instead of generating only one candidate totally randomly via multinomial sampling (i.e. random stepwise selection of each word during generation, as in *Reinforce*), our approach selects Kfull translation candidates, it computes the reward for each of them and finally chooses the one with the highest reward from the downstream task. As shown by our experiments in sentiment classification, this more focused (and application-oriented) selection allows our "MT for machines" approach to: *i*) better explore the hypotheses space, *ii*) make better use of the collected rewards and eventually iii) obtain better downstream classification results compared to translation-based solutions exploiting either general-purpose models or previous reinforcement learning strategies. In future work, we will target new application scenarios, covering multi-class classification and regression tasks.

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