First-order vs. higher-order modification in distributional semantics

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Abstract

Adjectival modification, particularly by expressions that have been treated as higherorder modifiers in the formal semantics tradition, raises interesting challenges for semantic composition in distributional semantic models. We contrast three types of adjectival modifiers - intersectively used color terms (as in white towel, clearly first-order), subsectively used color terms (white wine, which have been modeled as both first- and higher-order), and intensional adjectives (former bassist, clearly higher-order) - and test the ability of different composition strategies to model their behavior. In addition to opening up a new empirical domain for research on distributional semantics, our observations concerning the attested vectors for the different types of adjectives, the nouns they modify, and the resulting noun phrases yield insights into modification that have been little evident in the formal semantics literature to date.

1 Introduction

One of the most appealing aspects of so-called distributional semantic models (see Turney and Pantel (2010) for a recent overview) is that they afford some hope for a non-trivial, computationally tractable treatment of the context dependence of lexical meaning that might also approximate in interesting ways the psychological representation of that meaning (Andrews et al., 2009). However, in order to have a complete theory of natural language meaning, these models must be supplied with or connected to a compositional semantics; otherwise, we will have no account of the recursive potential that natural language affords for the construction of novel complex contents.

In the last 4-5 years, researchers have begun to introduce compositional operations on distributional semantic representations, for instance to combine verbs with their arguments or adjectives with nouns (Erk and Padó, 2008; Mitchell and Lapata, 2010; Baroni and Zamparelli, 2010; Grefenstette and Sadrzadeh, 2011; Socher et al., 2011)¹. Although the proposed operations have shown varying degrees of success in a number of tasks such as detecting phrase similarity and paraphrasing, it remains unclear to what extent they can account for the full range of meaning composition phenomena found in natural language. Higher-order modification (that is, modification that cannot obviously be modeled as property intersection, in contrast to firstorder modification, which can) presents one such challenge, as we will detail in the next section.

The goal of this paper is twofold. First, we examine how the properties of different types of adjectival modifiers, both in isolation and in combination with nouns, are represented in distributional models. We take as a case study three groups of adjectives: 1) color terms used to ascribe true color properties (referred to here as **intersective** color terms), as prototypical representative of first-order modifiers; 2) color terms used to ascribe properties other than simple color (here, **subsective** color terms), as representatives of expressions that could in principle

¹In a complementary direction, Garrette et al. (2011) connect distributional representations of lexical semantics to logic-based compositional semantics.

be given a well-motivated first-order or higher-order analysis; and 3) **intensional** adjectives (e.g. *former*), as representative of modifiers that arguably require a higher-order analysis. Formal semantic models tend to group the second and third groups together, despite the existence of some natural language data that questions this grouping. However, our results show that all three types of modifiers behave differently from each other, suggesting that their semantic treatment needs to be differentiated.

Second, we test how five different composition functions that have been proposed in recent literature fare in predicting the attested properties of nominals modified by each type of adjective. The model by Baroni and Zamparelli (2010) emerges as a suitable model of adjectival composition, while multiplication and addition shed mixed results.

The paper is structured as follows. Section 2 provides the necessary background on the semantics of adjectival modification. Section 3 presents the methods used in our study. Section 4 describes the characteristics of the different types of adjectival modification, and Section 5, the results of the composition operations. The paper concludes with a general discussion of the results and prospects for future work.

2 The semantics of adjectival modification

Accounting for inference in language is an important concern of semantic theory. Perhaps for this reason, within the formal semantics tradition the most influential classification of adjectives is based on the inferences they license (see (Parsons, 1970) and (Kamp, 1975) for early discussion). We very briefly review this classification here.

First, so called intersective adjectives, such as (the literally used) *white* in *white dress*, yield the inference that both the property contributed by the adjective and that contributed by the noun hold of the individual described; in other words, a white dress is white and is a dress. The semantics for such modifiers is easily characterized in terms of the intersection of two first-order properties, that is, properties that can be ascribed to individuals.

On the other extreme, intensional adjectives, such as *former* or *alleged* in *former/alleged criminal*, do not license the inference that either of the properties holds of the individual to which the modified nominal is ascribed. Indeed, such adjectives cannot be used as predicates at all:

(1) ??The criminal was former/alleged.

The infelicity of (1) is generally attributed to the fact that these adjectives do not describe individuals directly but rather effect more complex operations on the meaning of the modified noun. It is for this reason that these adjectives can be considered higher-order modifiers: they behave as properties of properties. Though rather abstract, the higher-order analysis is straightforwardly implementable in formal semantic models and captures a range of linguistic facts successfully.

Finally, subsective adjectives such as (the nonliterally-used) white in white wine, consitute an intermediate case: they license the inference that the property denoted by the noun holds of the individual being described, but not the property contributed by the adjective. That is, white wine is not white but rather a color that we would probably call some shade of yellow. This use of color terms, in general, is distinguished primarily by the fact that color serves as a proxy for another property that is related to color (e.g. type of grape), though the color in question may or may not match the color identified by the adjective on the intersective use (see (Gärdenfors, 2000) and (Kennedy and McNally, 2010) for discussion and analysis). The effect of the adjective, rather than to identify a value for an incidental COLOR attribute of an object, is often to characterize a subclass of the class described by the noun (white wine is a kind of wine, brown rice a kind of rice, etc.).

This use of color terms can be modeled by property intersection in formal semantic models only if the term is previously disambiguated or allowed to depend on context for its precise denotation. However, it is easily modeled if the adjective denotes a (higher-order) function from properties (e.g. that denoted by *wine*) to properties (that denoted by *white wine*), since the output of the function denoted by the color term can be made to depend on the input it receives from the noun meaning. Nonetheless, there is ample evidence in natural language that a firstorder analysis of the subsective color terms would be preferable, as they share more features with predicative adjectives such as *happy* than they do with adjectives such as *former*.

The trio of intersective color terms, subsective color terms, and intensional adjectives provides fertile ground for exploring the different composition functions that have been proposed for distributional semantic representations. Most of these functions start from the assumption that composition takes pairs of vectors (e.g. a verb vector and a noun vector) and returns another vector (e.g. a vector for the verb with the noun as its complement), usually by some version of vector addition or multiplication (Erk and Padó, 2008; Mitchell and Lapata, 2010; Grefenstette and Sadrzadeh, 2011). Such functions, insofar as they yield representations which strengthen distributional features shared by the component vectors, would be expected to model intersective modification.

Consider the example of *white dress*. We might expect the vector for *dress* to include non-zero frequencies for words such as *wedding* and *funeral*. The vector for *white*, on the other hand, is likely to have higher frequencies for *wedding* than for *funeral*, at least in corpora obtained from the U.S. and the U.K. Combining the two vectors with an additive or multiplicative operation should rightly yield a vector for *white dress* which assigns a higher frequency to *wedding* than to *funeral*.

Additive and multiplicative functions might also be expected to handle subsective modification with some success because these operations provide a natural account for how polysemy is resolved in meaning composition. Thus, the vector that results from adding or multiplying the vector for white with that for dress should differ in crucial features from the one that results from combining the same vector for white with that for wine. For example, depending on the details of the algorithm used, we should find the frequencies of words such as snow or milky weakened and words like straw or yellow strengthened in combination with wine, insofar as the former words are less likely than the latter to occur in contexts where *white* describes wine than in those where it describes dresses. In contrast, it is not immediately obvious how these operations would fare with intensional adjectives such as former. In particular, it is not clear what specific distributional features of the adjective would capture the effect that the adjective has on the meaning of the resulting modified nominal.

Interestingly, recent approaches to the semantic composition of adjectives with nouns such as Baroni and Zamparelli (2010) and Guevara (2010) draw on the classical analysis of adjectives within the Montagovian tradition of formal semantic theory (Montague, 1974), on which they are treated as higher order predicates, and model adjectives as matrices of weights that are applied to noun vectors. On such models, the distributional properties of observed occurrences of adjective-noun pairs are used to induce the effect of adjectives on nouns. Insofar as it is grounded in the intuition that adjective meanings should be modeled as mappings from noun meanings to adjective-noun meanings, the matrix analysis might be expected to perform better than additive or multiplicative models for adjective-noun combinations when there is evidence that the adjective denotes only a higher-order property. There is also no a priori reason to think that it would fare more poorly at modeling the intersective and subsective adjectives than would additive or multiplicative analyses, given its generality.

In this paper, we present the first studies that we know of that explore these expectations.

3 Method

We built a semantic space and tested the composition functions as specified in what follows.

3.1 Semantic space

The semantic space we used for our experiments consists of a matrix where each row vector represents an adjective, noun or adjective-noun phrase (henceforth, AN). We first introduce the source corpus, then the vocabulary that we represent in the space, and finally the procedure to build the vectors representing the vocabulary items from corpus data.

3.1.1 Source corpus

Our source corpus is the concatenation of the ukWaC corpus², a mid-2009 dump of the English Wikipedia³ and the British National Corpus⁴. The corpus is tokenized, POS-tagged and lemmatized

²http://wacky.sslmit.unibo.it/

³http://en.wikipedia.org

⁴http://www.natcorp.ox.ac.uk/

with TreeTagger (Schmid, 1995) and contains about 2.8 billion tokens. We extracted all statistics at the lemma level, ignoring inflectional information.

3.1.2 Vocabulary

The core vocabulary of the semantic space consists of the 8K most frequent nouns and the 4K most frequent adjectives from the corpus. By crossing the set of 700 most frequent adjectives (reduced to 663 after removing questionable items like *above*, *less* and *very*) and the 4K most frequent nouns and selecting those ANs that occured at least 100 times in the corpus, we obtained a set of 179K ANs that we added to the semantic space, for a total of 191K rows. These ANs were used for training the linear models as well as for providing a basis for the analysis of the results.

3.1.3 Semantic space parameters

The dimensions (columns) of our semantic space are the top 10K most frequent content words in the corpus (nouns, adjectives, verbs and adverbs), excluding the 300 most frequent words of *all* parts of speech.

For each word or AN, we collected raw cooccurrence counts by recording their sentenceinternal co-occurrence with each of words in the dimensions. The counts were then transformed into Local Mutual Information (LMI) scores, an association measure that closely approximates the commonly used Log-Likelihood Ratio but is simpler to compute (Evert, 2005). Specifically, given a row element r, a column element c and a counting function C(r, c), then

$$LMI = C(r, c) \cdot \log \frac{C(r, c)C(*, *)}{C(r, *)C(*, c)}$$
(1)

where C(r, c) is how many times r cooccurs with c, C(r, *) is the total count of r, C(*, c) is the total count of c, and C(*, *) is the cumulative cooccurrence count of any r with any c.

The dimensionality of the space was reduced using Singular Value Decomposition (SVD), as in Latent Semantic Analysis and related distributional semantic methods (Landauer and Dumais, 1997; Rapp, 2003; Schütze, 1997). Both LMI and SVD were used for the core vocabulary, and the AN vectors were computed based on the values for the core vocabulary. All of the results discussed in the article are based on the SVD-reduced space, because it yielded consistently better results, except for those involving multiplicative composition, which was carried out on the non-reduced model because SVD reduction introduces negative values for the latent dimensions used for the reduced space.

Some of the parameters of the space and composition functions were set based on performance on independent word similarity and AN similarity tasks (Rubenstein and Goodenough, 1965; Mitchell and Lapata, 2010). In addition to LMI, we tested the performance using log-transformed frequencies and found very poor performance in the aforementioned tasks. The number of latent dimensions for the SVD-reduced space was set at 300 after testing the performance using 300, 600 and 900 latent dimensions.

In the discussion, we use the cosine of two vectors as a measure of similarity. This is the most common choice in related work, as it has shown to be robust across different tasks and settings, though other options (in particular, measures that are not symmetric or do not normalize) could be explored (Widdows, 2004).

3.2 Composition models

The experiments described below were carried out using five compositional methods that have been explored in recent studies of compositionality in distributional semantic spaces (Mitchell and Lapata, 2010; Guevara, 2010; Baroni and Zamparelli, 2010). For each function, we define \mathbf{p} as the composition of the adjective vector, \mathbf{u} , and the noun vector, \mathbf{v} , a nomenclature that follows Mitchell and Lapata (2010).

Additive (*add*) AN vectors were obtained by summing the corresponding adjective and noun vectors. We also explored the effects of the additive model with normalized component adjective and noun vectors (add_n).

$$\mathbf{p} = \mathbf{u} + \mathbf{v} \tag{2}$$

Multiplicative (*mult*) AN vectors were obtained by component-wise multiplication of the adjective and noun vectors in the non-reduced semantic space.

$$\mathbf{p} = \mathbf{u} \odot \mathbf{v} \tag{3}$$

Dilation (*dl*) AN vectors were obtained by calculating the dot products of $\mathbf{u} \cdot \mathbf{u}$ and $\mathbf{u} \cdot \mathbf{v}$ and stretching \mathbf{v} by a factor λ (in our case, 16.7) in the direction of \mathbf{u} (Clark et al., 2008; Mitchell and Lapata, 2010). The effect of this operation is to "stretch" the head vector \mathbf{v} (noun, in our case) in the direction of the modifying vector \mathbf{u} (adjective).

$$\mathbf{p} = (\mathbf{u} \cdot \mathbf{u})\mathbf{v} + (\lambda - 1)(\mathbf{u} \cdot \mathbf{v})$$
(4)

The factor λ was selected based on the optimal parameters presented in Mitchell and Lapata (2010). We tested both reported values (16.7 and 2.2) and found $\lambda = 16.7$ to perform better in terms of rank of observed equivalent (see Section 5).

The preceding functions produce an AN vector from the component A and N vectors. The remaining two functions do not use the vector for the adjective, but learn a matrix representation for it. The composed AN vector is obtained by multiplying the matrix by the noun vector. The general equation for the two functions is the following, where **B** is a matrix of weights that is multiplied by the noun vector **v** to produce the AN vector **p**.

$$\mathbf{p} = \mathbf{B}\mathbf{v} \tag{5}$$

In the linear map (lim) approach proposed by Guevara (2010), one single matrix \mathbf{B} is learnt that represents all adjectives. An AN vector is obtained by multiplying the weight matrix by the concatenation of the adjective and noun vectors, so that each dimension of the generated AN vector is a linear combination of dimensions of the corresponding adjective and noun vectors. In our implementation, **B** is an 300 x 300 weight matrix representing an adjective, and v is a 300-dimension noun vector. Following Guevara (2010), we estimate the coefficients of the equation using (multivariate) partial least squares regression (PLSR) as implemented in the R pls package (Mevik and Wehrens, 2007), setting the latent dimension parameter of PLSR to 300. This value was chosen after testing values 100, 200 and 300 on the AN similarity tasks (Mitchell and Lapata, 2010). Coefficient matrix estimation is performed by feeding PLSR a set of input-output examples, where the input is given by concatenated adjective and noun vectors, and the output is the vector of the corresponding AN directly extracted from our semantic space. The matrix is estimated using a random sample of 2.5K adjective-noun-AN tuples.⁵

In the **adjective-specific linear map** (alm) model, proposed by Baroni and Zamparelli (2010), a different matrix B is learnt for each adjective. The weights of each of the rows of the weight matrix are the coefficients of a linear equation predicting the values of one of the dimensions of the normalized AN vector as a linear combination of the dimensions of the normalized component noun. The linear equation coefficients are estimated again using PLSR, and in the present implementation we use ridge regression generalized cross-validation (GCV) to automatically choose the optimal ridge parameter for each adjective (Golub et al., 1979). This procedure drastically outperforms setting a fixed number of dimensions. The model is trained on all N-AN vector pairs available in the semantic space for each adjective, and range from 100 to over 1K items across the adjectives we tested.

3.3 Datasets

We built two datasets of adjective-noun phrases for the present research, one with color terms and one with intensional adjectives.⁶

Color terms. This dataset is populated with a randomly selected set of adjective-noun pairs from the space presented above. From the 11 colors in the basic set proposed by Berlin and Kay (1969), we cover 7 (black, blue, brown, green, red, white, and yellow), since the remaining (grey, orange, pink, and purple) are not in the 700 most frequent set of adjectives in the corpora used. From an original set of 412 ANs, 43 were manually removed because of suspected parsing errors (e.g. white photograph, for black and white photograph) or because the head noun was semantically transparent (white variety). The remaining 369 ANs were tagged independently by the second and fourth authors of this paper, both native English speaker linguists, as intersective (e.g. white towel), subsective (e.g. white wine), or idiomatic, i.e. compositionally non-transparent (e.g. black hole). They were allowed the assignment of at

⁵2.5K ANs is the upper bound of the software package used. ⁶Available at http://dl.dropbox.com/u/513347/

resources/data-emnlp2012.zip. See Bruni et al. (to appear) for an analysis of the color term dataset from a multimodal perspective.

most two labels in case of polysemy, for instance for *black staff* for the person vs. physical object senses of the noun or *yellow skin* for the race vs. literally painted interpretations of the AN. In this paper, only the first label (most frequent interpretation, according to the judges) has been used. The κ coefficient of the annotation on the three categories (first interpretation only) was 0.87 (conf. int. 0.82-0.92, according to Fleiss et al. (1969)), observed agreement 0.96.⁷ There were too few instances of idioms (17) for a quantitative analysis of the sort presented here, so these are collapsed with the subsective class in what follows.⁸ The dataset as used here consists of 239 intersective and 130 subsective ANs.

Intensional adjectives. The intensional dataset contains all ANs in the semantic space with a preselected list of 10 intensional adjectives, manually pruned by one of the authors of the paper to eliminate erroneous examples and to ensure that the adjective was being intensionally used. Examples of the ANs eliminated on these grounds include past twelve (cp. accepted past president), former girl (probably former girl friend or similar), false rumor (which is a real rumor that is false, vs. e.g. false floor, which is not a real floor), or theoretical work (which is real work related to a theory, vs. e.g. theoretical speed, which is a speed that should have been reached in theory). Other AN pairs were excluded on the grounds that the noun was excessively vague (e.g. *past one*) or because the AN formed a fixed expression (e.g. former USSR). The final dataset contained 1,200 ANs, distributed as follows: former (300 examples), possible (244), future (243), potential (183), past (87), false (44), apparent (39), artificial (36), likely (18), theoretical (6).⁹

Table 1 contains examples of each type of AN we are considering.

Intersective	Subsective	Intensional
white towel	white wine	artificial leg
black sack	black athlete	former bassist
green coat	green politics	likely suspect
red disc	red ant	possible delay
blue square	blue state	theoretical limit

Table 1: Example ANs in the datasets.

4 Observed vectors

We began by exploring the empirically observed vectors for the adjectives (A), nouns (N), and adjective-noun phrases (AN) in the datasets, as they are represented in the semantic space. Note that we are working with the AN vectors directly harvested from the corpora (that is, based on the cooccurrence of, say, the phrase white towel with each of the 10K words in the space dimensions), without doing any composition. AN vectors obtained by composition will be examined in the following section. Though observed AN vectors should not be regarded as a gold standard in the sense of, for instance, Machine Learning approaches, because they are typically sparse¹⁰ and thus the vectors of their component adjective and noun will be richer, they are still useful for exploration and as a comparison point for the composition operations (Baroni and Lenci, 2010; Guevara, 2010).

Figure 1 shows the distribution of the cosines between A, N, and AN vectors with intensional adjectives (I, white box), intersective uses of color terms (IE, lighter gray box), and subsective uses of color terms (S, darker gray box).

In general, the similarity of the A and N vectors is quite low (cosine < 0.2, left graph of Figure 1), and much lower than the similarities between both the AN and A vectors and the AN and N vectors. This is not surprising, given that adjectives and nouns describe rather different sorts of things.

We find significant differences between the three types of adjectives in the similarity between AN and A vectors (middle graph of Figure 1). The adjective and adjective-noun phrase vectors are nearer for

⁷Code for the computation of inter-annotator agreement by Stefan Evert, available at http://www.collocations. de/temp/kappa_example.zip.

⁸An alternative would have been to exclude idiomatic ANs from the analysis.

⁹*Alleged*, one of the most prototypical intensional adjectives, is not considered here because it was not among the 700 most frequent adjectives in the space. We will consider it in future work.

¹⁰The frequency of the adjectives in the datasets range from 3.5K to 3.7M, with a median frequency of 109,114. The nouns range from 4.9K to 2.5M, with a median frequency of 148,459. While the frequency of the ANs range from 100 to 18.5K, with a median frequency of 239.



Figure 1: Cosine distribution in the different types of AN. We report the cosines between the component adjective and noun vectors $(\cos(A,N))$, between the observed AN and adjective vectors $(\cos(AN,A))$, and between the observed AN and noun vectors $(\cos(AN,N))$. Each chart contains three boxplots with the distribution of the cosine scores (y-axis) for the intensional (I), intersective (IE), and subsective (S) types of ANs. The boxplots represent the value distribution of the cosine between two vectors. The horizontal lines in the rectangles represent the first quartile, median, and third quartile. Larger rectangles correspond to a more spread distribution, and their (a)symmetry mirrors the (a)symmetry of the distribution. The lines above and below the rectangle stretch to the minimum and maximum values, at most 1.5 times the length of the rectangle. Values outside this range (outliers) are represented as points.

intersective uses than for subsective uses of color terms, a pattern that parallels the difference in the distance between component A and N vectors. Since intersective uses correspond to the prototypical use of color terms (a white dress is the color white, while white wine is not), the greater similarity for the intersective cases is unsurprising - it suggests that in the case of subsective adjectival modifiers, the noun "pulls" the AN further away from the adjective than happens with the cases of intersective modification. This is compatible with the intuition (manifest in the formal semantics tradition in the treatment of subsective adjectives as higher-order rather than firstorder, intersective modifiers) that the adjective's effect on the AN in cases of subsective modification depends heavily on the interpretation of the noun with which the adjective combines, whereas that is less the case when the adjective is used intersectively.

As for intensional adjectives, the middle graph shows that their AN vectors are quite distant from the corresponding A vectors, in sharp contrast to what we find with both intersective and subsective color terms. We hypothesize that the results for the intensional adjectives are due to the fact that they cannot plausibly be modeled as first order attributes (i.e. being *potential* or *apparent* is not a property in the same sense that being white or yellow is) and thus typically do not restrict the nominal description per se, but rather provide information about whether or when the nominal description applies. The result is that intensional adjectives should be even weaker than subsectively used adjectives, in comparison with the nouns with which they combine, in their ability to "pull" the AN vector in their direction. Note, incidentally, that an alternative explanation, namely that the effect mentioned could be due to the fact that most nouns in the intensional dataset are abstract and that adjectives modifying abstract nouns might tend to be further away from their nouns altogether, is ruled out by the comparison between the A and N vectors: the A-N cosines of the intensional and intersective ANs are similar. We thus conclude that here we see an effect of the type of modification involved.

An examination of the average distances among

the nearest neighbors of the intensional and of the color adjectives in the distributional space supports our hypothesized account of their contrasting behaviors. We predict that the nearest neighbors are more dispersed for adjectives that cannot be modeled as first-order properties (i.e., intensional adjectives), than for those that can (here, the color terms). We find that the average cosine distance among the nearest ten neighbors of the intensional adjectives is 0.74 with a standard deviation of 0.13, which is significantly lower (*t*-test, p < 0.001) than the average similarity among the nearest neighbors of the color adjectives, 0.96 with astandard deviation of 0.04.

Finally, with respect to the distances between the adjective-noun and head noun vectors (right graph of Figure 1), there is no significant difference for the intersective vs. subsective color terms. This can be explained by the fact that both kinds of modifiers are subsective, that is, the fact that a white dress is a dress and that white wine is wine.

In contrast, intensional ANs are closer to their component Ns than are color ANs (the difference is qualitatively quite small, but significant even for the intersective vs. intensional ANs according to a *t*-test, *p*-value = 0.015). This effect, the inverse of what we find with the AN-A vectors, can similarly be explained by the fact that intensional adjectives do not restrict the descriptive content of the noun they modify, in contrast to both the intersective and subsective color ANs. Restriction of the nominal description may lead to significantly restricted distributions (e.g. the phrase red button may appear in distinctively different contexts than does button; similarly for green politics and politics), while we do not expect the contexts in which former bassist and bassist appear to diverge in a qualitatively different way because the basic nominal descriptions are identical, though further research will be necessary to confirm these explanations.

Finally, note that, contrary to predictions from some approaches in formal semantics, subsective color ANs and intensional ANs do not pattern together: subsective ANs are closer to their component As, and intensional ANs closer to their component Ns. This unexpected behavior underscores the fact highlighted in the previous paragraph: that the distributional properties of modified expressions are more sensitive to whether the modification restricts the nominal description than to whether the modifier is intersective in the strictest sense of term.

We now discuss the extent to which the different composition functions account for these patterns.

5 Composed vectors

Since intersective modification is the point of comparison for both subsective and intensional modification, we first discuss the composed vectors for the intersective vs. subsective uses of color terms, and then turn to intersective vs. intensional modification.

5.1 Intersective and subsective modification with color terms

To adequately model the differences between intersective and subsective modification observed in the previous section, a successful composition function should yield a significantly smaller distance between the adjective and AN vectors for intersectively used adjectives, whereas it should yield no significant difference for the distances between the noun and AN vectors.

Table 2 provides a summary of the results with the observed data (obs) and the composition functions discussed in Section 3.2. The median rank of observed equivalent (ROE) is provided as a general measure of the quality of the composition function. It is computed by finding the cosine between the composed AN vectors and all rows in the semantic space and then determining the rank in which the observed ANs are found.¹¹ The remaining columns report the differences in standardized (z-score) cosines between the vector built with each of the composition functions and the observed AN, A, and N vectors. A positive value means that the cosines for intersective uses are higher, while a negative value means that the cosines for subsective uses are higher. The first row (obs) contains a numerical summary of the tendencies for observed ANs explained in the previous section. This is the behavior that we expect to model.

Two composition functions come close to modeling the observed behavior: *alm* and *mult*, though *alm* is better in terms of ROE, consistent with the

¹¹The ROE is provided as a general guide; however, recall that the ROE was taken into account to tune the λ parameter in the dilation model, and that the ANs of the color dataset were included when training the matrices for the *alm* model.

model	ROE	Δ :AN		$\Delta:A$		$\Delta:N$	
obs	-	-		.54	***	.10	
add	286	.40	***	.14		.15	
add_n	11	.40	***	.65	***	.65	***
mult	111	.40	***	.74	***	.29	*
dl	298	.63	***	.85	***	66	***
lim	1,940	.46	***	.20		.38	**
alm	1	.16		.52	***	.27	*

Table 2: Intersective vs. subsective uses of color terms. The first column reports the rank of the observed equivalent (ROE), the rest report the differences (Δ) betwen the intersective and subsective uses of color terms when comparing the composed AN with the observed vectors for: AN, adjective (A), noun (N). See text for details. Significances according to a t-test: *** for p< 0.001, ** < 0.01, * < 0.05.

results reported in Baroni and Zamparelli (2010). In both cases, we find that these functions yield higher similarities for AN-A for the intersective than for the subsective uses of color terms, and a very slight (though still mildly significant) difference for the distance to the head noun. The add_n function performs very good in terms of ROE (median 11). This suggests that, for adjectival modification, providing a vector that is in the middle of the two component vectors (which is what normalized addition does) is a reasonable approximation of the observed vectors. However, precisely because the resulting vector is in the middle of the two component vectors, this function cannot account for the asymmetries in the distances found in the observed data. The non-normalized version also cannot account for these effects because the adjective vector, being much longer (as color terms are very frequent), totally dominates the AN, which results in no difference across uses when comparing to the adjective or to the noun.

The dilation model shows a strange pattern, as it yields a strongly significant negative difference in the AN-N distance. The *lim* function exhibits the opposite pattern as predicted, yielding no difference for the AN-A similarities and a difference for the AN-N similarities. A possible explanation for the AN-A results is that *lim* learns from such a broad range of AN pairs that the impact of the distance between intersective vs. subsective uses of color terms from their component adjectives is dampened. Moreover, *lim* is by far the worst function in terms of ROE.

All composition functions except for alm find intersective uses easier to model. This is shown in the positive values in column Δ :AN, which mean that the similarity between observed and composed AN vectors is greater for intersective than for subsective ANs. This is consistent with expectations. The subsective uses are specific to the nouns with which the color terms combine, and the exact interpretation of the adjective varies across those nouns. In contrast, the interpretation associated with intersective use is consistent across a larger variety of nouns, and in that sense should be predominantly reflected in the adjective's vector. The exception in this respect is the alm function, since the weights for each adjective matrix are estimated in relation to the noun vectors with which the adjective combines, on the one hand, and the related observed AN vectors, on the other; thus, the basic lexical representation of the adjective is inherently reflective of the distributions of the ANs in which it appears in a way that is not the case for the adjective representations used in the other composition models. And indeed, alm is the only function that shows no difference in difficulty (distance) between the predicted and observed AN vectors for intersective vs. subsective ANs.

Both *mult* and *alm* seem to account for the observed patterns in color terms. However, an examination of the nearest neighbors of the composed ANs suggest that alm captures the semantics of adjective composition in this case to a larger extent than mult. For instance, the NN for blue square (intersective) are the following according to mul: blue, red, official colour, traditional colour, blue number, yellow; while alm yields the following: blue square, red square, blue circle, blue triangle, blue pattern, yellow circle. Similarly, for green politics (subsective) mul yields: pleasant land, green business, green politics, green issue, green strategy, green product, while alm yields green politics, green movement, political agenda, environmental movement, progressive government, political initiative.

5.2 Intensional modification

Table 3 contains the results of the composition functions comparing the behavior of intersective color ANs and intensional ANs. The tendencies in the ROE are as in Table 2, so we will not comment on

model	ROE	$\Delta:AN$		$\Delta:A$		$\Delta:N$	
obs	-		-	1.39	***	27	***
add	198	.66	***	.71	***	81	***
add_n	40	.93	***	.20	*	.20	*
mult	110	.58	***	1.09	***	25	***
dl	354	.97	***	27	**	.47	***
lim	7,943	.27	***	.65	***	47	***
alm	1	.81	***	1.43	***	59	***

Table 3: Intersective vs. intensional ANs. Information as in Table 2.

them further (note the very poor performance of *lim*, though). As noted above, we expect more difficulty in modeling intensional modification vs. other kinds of modification, and this is verified in the results (cf. the positive values in second column). The difference with the results in the previous subsection is that in this case the *alm* function does present a higher difficulty in modeling intensional ANs, unlike with the color terms. This points to a qualitative difference between subsective and intensional adjectives that could be evidence for a first-order analysis of subsective color terms.

A good composition function should provide a large positive difference when comparing the AN to the A, and a small negative difference (because the effect is very small in the observed data) when comparing the AN to the N. The functions that best match the observed data are again *alm* and *mult*. Add and *lim* show the predicted pattern, but to a much lesser degree (cf. smaller differences in column Δ :A). Dl yields the exact opposite effect and *add_n*, though good in terms of ROE, is subject to the problems discussed in the previous section.

Again, *alm* seems to be capturing relevant semantic aspects of composition with intensional adjectives. For instance, the nearest neighbors of *artificial leg* according to *alm* are *artificial leg*, *artificial limb*, *artificial joint*, *artificial hip*, *scar*, *small wound*.

6 Discussion and conclusions

The present research provides some evidence for treating adjectives as matrices or functions, rather than vectors, although simple operations on vectors such as addition (for its excellent approximation to observed vectors) and multiplication (for its ability to reproduce the observed trends in the data) still account for some aspects of adjectival modification. The dilation model, in contrast, is not suitable for adjectival modification.

Our results also show that *alm* performs better than *lim*, but it is worth observing that it does so at the expense of modeling each adjective as a completely different function. We consider *lim* very attractive in principle because it generalizes across adjectives and is thus more parsimonious. Part of the poor results on *lim* were due to limitations of our implementation, as we trained the matrices on only 2.5K ANs, while our semantic space contains more than 170K ANs. However, the linguistic literature and the present results suggest that it might be useful to try a compromise between *alm* and *lim*, training one matrix for each subclass of adjectives under analysis.

Beyond the new data it offers regarding the comparative ability of the different composition functions to account for different kinds of adjectival modification, the study presented here underscores the complexity of modification as a semantic phenomenon. The role of adjectival modifiers as restrictors of descriptive content is reflected differently in distributional data than is their role in providing information about whether or when a description applies to some individual. Formal semantic models, thanks to their abstractness, are able to handle these two roles with little difficulty, but also with limited insight. Distributional models, in contrast, offer the promise of greater insight into each of these roles, but face serious challenges in handling both of them in a unified manner.

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