INSPECTORRAGET: An Introspection Platform for RAG Evaluation

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Abstract

Large Language Models (LLM) have become a popular approach for implementing Retrieval-Augmented Generation (RAG) systems, and a significant amount of effort has been spent on building good models and metrics. In spite of increased recognition of the need for rigorous evaluation of RAG systems, few tools exist that go beyond the creation of model output and automatic calculation. We present IN-SPECTORRAGET, an introspection platform for performing a comprehensive analysis of the quality of RAG system output. INSPEC-TORRAGET allows the user to analyze aggregate and instance-level performance of RAG systems, using both human and algorithmic metrics as well as annotator quality. INSPEC-TORRAGET is suitable for multiple use cases and is available publicly to the community¹. A live instance of the platform is available at https://ibm.biz/InspectorRAGet.

1 Introduction

The recent advances in Large Language Models (LLMs) have led to an explosion of research on Retrieval-Augmented Generation (RAG): combining generative LLMs with data retrieval to provide responses grounded on authoritative document collections (Lewis et al., 2020). RAG systems have been deployed in diverse domains (see (Gao et al., 2024) for a recent survey).

There has been increased recognition of the importance of RAG evaluation (Longpre et al., 2024). This consists of 1) designing, 2) running, and 3) analyzing experiments (see Section 2). The research community has mainly limited analyzing to aggregate metrics via benchmark datasets (Liu et al., 2023; Chen et al., 2023), evaluation metrics (Es et al., 2023) as well as evaluation frameworks (such as RAGAs (Es et al., 2023) and ARES (Saad-Falcon et al., 2023)).

For any input (e.g., a question), a RAG system runs a retriever and passes the input and retrieved passages to a generator. This RAG output can then be evaluated on a variety of metrics. The output is affected by variations in retriever and generator models as well as different model configurations. Improving system performance requires actionable analysis of these variations, specific to RAG. We present a platform for performing a comprehensive analysis of the quality of RAG output:

Holistic analysis: End-to-end analysis of RAG system performance involves aggregate evaluations along several dimensions and configurations, enabling continuous benchmarking of models and datasets (Gehrmann et al., 2022). A comprehensive set of RAG evaluation metrics comprises algorithmic scores, LLM judges (Es et al., 2023) and human judgements, and our platform enables comparison and correlation analysis to create a full picture of performance.

Quality analysis of all aspects of a RAG experiment: While most evaluation works focus on the quality of model outputs, it is important to also analyze the quality of all other aspects of a RAG experiment. These include (a) the annotator behavior (e.g. inter-annotator agreement and underperforming annotators) (b) the effectiveness of evaluation metrics at modeling the desired functionality (e.g., faithfulness to context(s)), and (c) the RAG-specific properties of the employed dataset (e.g., relevant passages and question attributes). This analysis can be used to improve the experiment as well as providing context for the interpretation of the results.

Error analysis through instance-level inspection: Actionable error analysis for RAG requires going beyond the typical aggregate-level statistics into inspection of individual instances. This is essential for developers to identify the source of undesirable output, correct erroneous reference answers, clarify ambiguous instances, and form hypotheses for model improvement.

Currently, these analyses can only be done piecemeal, requiring the manual examination of eval-



Figure 1: RAG evaluation life cycle. Evaluations of the RAG output are analyzed using INSPECTORRAGET.

uation output using various ad-hoc data processing scripts and spreadsheet tools which are not sustainable, lack re-usability, and are difficult to interpret. We provide the first platform with endto-end capabilities for thorough RAG analysis, an undersupported aspect of RAG system development intended for researchers, model developers, and stakeholders. Our contributions are as follows:

- 1. We present INSPECTORRAGET, a rich interactive platform for performing a comprehensive analysis of RAG system output quality.
- 2. We evaluate INSPECTORRAGET on its ability to yield concrete and actionable insights on two use cases, on both new and existing datasets.
- 3. We open source the platform to the community¹ and host it on HuggingFace².

2 The RAG Evaluation Life Cycle

We briefly describe the life cycle of evaluating RAG systems, and situating INSPECTORRAGET within it, as shown in Figure 1. Evaluating RAG systems involves three main steps:

(1) **Design the evaluation experiment**, which consists of *Models, Datasets, Metrics* and *Metric Evaluators*, as defined below.

(2) Run the evaluation experiment and compute the evaluation scores. This involves (a) generating the model responses/output and (b) passing the responses to the metric evaluators (algorithms or humans) to produce instance-level scores.

(3) Analyze the experiment results to gain actionable insights about the models, datasets, metrics, annotation quality, etc.

2.1 INSPECTORRAGET for RAG Evaluation

INSPECTORRAGET focuses on the third step of the RAG evaluation life cycle. To analyze an experiment using the platform, users upload the standard-

ized experiment results JSON file which contains the following information:

• *Datasets:* The set of data instances included in the experiment, each containing user input (e.g., question/conversation), contexts (e.g., passages), and, optionally, reference responses.

• *Model metadata:* Name and description of the RAG models that were evaluated.

• *Metric metadata:* Metadata about the *metrics* on which model responses were evaluated. These include metric name, type (i.e., *algorithmic*, LLM-as-a-judge and *human*, such as crowd workers), and scale (yes/no, Likert scale, numeric, etc.).

• *Model output and Evaluation scores:* The model responses and evaluation scores for the models along each metric on every data instance ³.

The platform and the experiment results file format have been designed in a model-agnostic and metric-agnostic way to support analysis of diverse evaluation experiments (see Appendix A).

Once provided with the input file, INSPECTOR-RAGET validates it for errors (e.g., missing evaluation scores) and augments it with additional statistics (e.g. inter-annotator agreement for human evaluations). The augmented results power the platform's frontend: a visual analytics application that enables users to interactively analyze and gain insights on different aspects of the experiment.

Finally, to help users employ the platform as part of the broader RAG evaluation life cycle we also provide sample experiment runners, showing how to run experiments using popular evaluation frameworks and output the results in the format expected by INSPECTORRAGET for analysis of experiment results. Our experiment runners showcase integrations with the Language Model Evaluation Harness (Gao et al., 2023) and RAGAs (Es et al., 2023) evaluation frameworks, as well as Hugging-Face (Wolf et al., 2020) (see Appendix B).

¹https://github.com/IBM/InspectorRAGet
²https://ibm.biz/InspectorRAGet

³In case of multiple annotators per metric, such as when multiple humans annotate a single response, multiple evaluation scores are included: one per annotator.



Figure 2: Illustration of INSPECTORRAGET's core views and corresponding visualizations. Screenshots are drawn from the RAG model performance use case, described in Section 4.1.

3 INSPECTORRAGET Platform

INSPECTORRAGET is a React web application built with NextJS 13 framework⁴. We use the Carbon Design System⁵ for the user interface. The experiment results are provided as a json input file that is loaded on the platform. This enables our platform to be lightweight; it can easily be run on virtual machines or even personal laptops/desktops. To enable privacy, INSPECTORRAGET is a stateless application and does not retain any uploaded datasets. INSPECTORRAGET's frontend offers a series of views (presented as separate tabs), each tailored to a different aspect of the analysis process. Excerpts of these views are shown in Figure 2. We describe each view along with hypotheses that can be formed, analyses it enables, and insights which may require further investigation.

3.1 Dataset Characteristics

The Dataset Characteristics view (Figure 2a) provides details regarding characteristics of the dataset such as answerability (e.g. answerable, unanswerable, partial), question type (e.g. factoid, comparative, explanation), and question length. These details can provide a quick snapshot of dataset trends, outliers, and potential biases.

3.2 Predictions Table

When analyzing an experiment, it helps to first see a few examples of instances. INSPECTORRAGET's predictions view shows a table of all questions with

⁴https://react.dev,https://nextjs.org ⁵https://carbondesignsystem.com

the respective model responses (Figure 2b). This view not only gets developers acquainted with the experiment but also helps them spot patterns in model responses (e.g., length, repetitions of text).

3.3 Performance Overview

After getting acquainted with the experiment, developers and stakeholders can get an overview of the experiment results through the overall performance view. This view shows the aggregate score and ranking of each model for each evaluation metric. This information is rendered both in tabular form, as well as through a Radar chart with separate tables/visualizations for human and algorithmic metrics (Figures 2c and 2d).

While designing this view, special attention was given to quantifying the uncertainty present in human evaluations to avoid misinterpretation of the results. Aggregate evaluation scores for human metrics are shown along with (a) their standard deviation and (b) a visualization of inter-annotator agreement (through sparkline charts). Despite its resemblance to leaderboards (Zheng et al., 2023; Hendrycks et al., 2021), the goal of this view is not only to declare winners but to also make initial observations that need to be further explored.

3.4 Model Behavior

After obtaining an overview of aggregate model performance, one can drill down and inspect model responses for individual instances through the model behavior view. Users start the analysis by filtering instances based on criteria, such as dataset domain, whether a question is answerable, etc. The view then shows a histogram of model scores for all instances satisfying the filter (Figure 2e), as well as a sortable table of the actual instances (Figure 2f). Upon selecting an instance, users see a detailed view of the instance, including the conversation/question, context(s), the model responses and their respective evaluation scores (Figure 2g). Instance-level analysis is crucial for conducting error analysis and understanding the root causes of issues observed in other views, as well as identifying "I know it when I see it" types of issues. The view also provides users with the functionality to easily copy, flag or comment on instances.

3.5 Model Comparator

In addition to inspecting individual model responses, one can also analyze entire models. This is enabled by the model comparator view, which for a chosen pair of models and a metric, shows whether the scores of the two models for the selected metric are derived from the same distribution (Figure 2h). This is shown both through a scatter plot, depicting the scores of the two models for the metric, as well as through the result of a statistical significance test, computed using Fisher's randomization method (Smucker et al., 2007). Continuing the support for instance-level analysis, the view also allows one to drill down and inspect the instances where two models received very similar/dissimilar evaluation scores. This view can be useful for spotting similarities and differences between models.

3.6 Metric Behavior

Similarly to comparing models, one can also compare metrics. This is accomplished through the metric behavior view, which shows the Spearman correlation scores for each pair of metrics. This allows developers to gain insights on metrics, such as identifying whether an automatic metric correlates well with human judgements or whether supposedly orthogonal metrics correlate with each other (see fluency and answer relevance in Figure 2i), hinting at issues with metric definitions.

3.7 Annotator Behavior

Finally, when human evaluation is performed, it is imperative to also analyze its quality. This is enabled by the annotator behavior view, which contains two types of visualizations related to annotation quality: (a) Model-level visualizations that show the annotator agreement when evaluating each model (Figure 2j). These can help provide insights on challenges that certain models pose to human annotators (e.g., if humans had trouble reaching agreement when evaluating certain models). (b) Annotator-level visualizations depicting individual annotators' performance. These include a visualization of inter-annotator agreement (Figure 2k), computed using Cohen's kappa (Cohen, 1960)), annotator contribution (i.e., how often an annotator agreed with the majority) (Figure 21), and annotation time (not shown for space reasons). Insights drawn from this view can drive additional analyses (e.g., to understand why annotators had trouble annotating the responses of certain models) or changes to the experiment setting (e.g., give feedback to individual annotators or improve the annotation guidelines).

Use Case	Dataset	Models	Evaluation Metrics
RAG Model Performance	CLAPNQ	Llama-13B (Touvron et al., 2023), GPT-3.5 Turbo (Brown et al., 2020), Mistral (Jiang et al., 2023)	 Human: fluency, answer relevance, faithfulness, win-rate Algorithmic: Recall, Rouge, Bert-KPrec, Answerability, Extractiveness, Length
LLM-as- a-Judge Performance	MT- Bench	Alpaca-13B (Taori et al., 2023), Claude-v1 (Anthropic, 2023), GPT-3.5 (Brown et al., 2020), GPT-4 (OpenAI et al., 2024), Vicuna-13B (Chiang et al., 2023)	Human: Win-RateAlgorithmic: LLM-Judge GPT-4

Table 1: Evaluation settings for the use cases presented in this paper.

4 Evaluating INSPECTORRAGET

To showcase the value of INSPECTORRAGET, we evaluate its ability to yield actionable insights on two use cases: (1) *Analyzing RAG Model Performance:* We collected human judgements of model responses for a RAG dataset. This allows us to show the full scope of our platform for comparing human and algorithmic metrics as well as multiple annotators. (2) *Analyzing LLM-as-a-Judge Performance:* We use annotations comparing human and LLM-as-a-judge on model output for multi-turn question answering.

The experiment setting for each use case⁶ (e.g. dataset, models, and evaluation metrics) is summarized in Table 1. For each use case we describe the discovered insights along with the *Source* views used to identify them and propose possible **Actions** for improving the RAG experience.

4.1 Analyzing RAG Model Performance

To illustrate the full capabilities of our platform on RAG we performed our own manual evaluation on CLAPNQ (Rosenthal et al., 2024), a long form question answering dataset. We also explored Fine-Grained ASQA (Stelmakh et al., 2022; Wu et al., 2023) and InstructQA (Adlakha et al., 2023) as alternative RAG model evaluations but both of these evaluations did not provide enough details suitable for analysis (e.g., missing model information and incomplete human annotations).

CLAPNQ is built on the portion of the Natural Questions dataset (Kwiatkowski et al., 2019) that only has a long answer (gold passage) without an extractive short answer. The responses in CLAPNQ are grounded on the gold passage and must be concise and complete. We ensure that every question is evaluated by the same algorithmic metrics and the same number of human evaluators (3 per question). The human evaluation annotation task was completed on Appen⁷, a crowdsourcing platform for collecting high-quality annotations. Each task has a question, grounding passage, and multiple randomly shown model responses for the annotator to provide their evaluations. We asked annotators to evaluate the answers on three metrics: *fluency*, *answer relevance*, and *faithfulness* as commonly used in the literature (Es et al., 2023; Chiang and Lee, 2023). In addition, we also asked them to perform a head-to-head comparison of all models for *win-rate*. These annotations allow us to use the platform to compare and draw insights from the models, data, metrics, and annotators. We next describe key insights we found using the platform.

Low Performance: It is clear that Llama is the model least preferred by the annotators based on win-rate. Its answers are somewhat faithful but not relevant. It is also the only one that has lower fluency for 29/100 tasks. Annotators did not like the phrase "Sure I'd be happy to help" which was used frequently by Llama. Llama answers are also the longest and most extractive (which correlates with high faithfulness). Source: *Predictions, Performance Overview, Model Behavior, Model Comparator* Action: Propose to stakeholders to reconsider Llama as model of choice for this use case.

Algorithmic vs Human: Based on algorithmic metrics Mistral is the worst, but it was considerably preferred over Llama by human evaluators. We suspect that this is because its responses are slightly shorter, which biases Recall. Source: *Performance Overview*. Action: Continue including human evaluation in future evaluation rounds, as it provides different insights than algorithmic metrics.

Dataset Inconsistencies: During the human evaluation the reference responses (labeled Reference) were rated highest by the annotators which shows that the dataset is of good quality. However, the annotators disagree most on these responses. Filtering to see specific instances of disagreement,

⁶The use cases are at ibm.biz/InspectorRAGet

⁷https://www.appen.com/

shows that the most disagreement is 25 cases of Answer relevance. Opening up an example where there was no agreement, shows a case of a tricky question+answer which explains the disagreement. Source: *Performance Overview*, *Annotator Behavior*, *Model Behavior*. Action: Investigate whether data inconsistencies should be improved or removed, and if further annotator training is needed.

Data Types: It is also interesting to explore the answerable and unanswerable splits separately. Examples of insights include finding examples where an unanswerable question was answered by the models and is faithful, which may indicate that the question is actually answerable. One may also search for unanswerable examples that are not faithful to show that the model is coming up with an answer from its own knowledge or is hallucinating. Source: *Performance Overview, Model Behavior, Dataset Characteristics.* Action: Performance can differ due to question type, domain and other characteristics. Provide model feedback to stakeholders per dimension.

Metric correlations: Win rate is correlated mostly with human metrics. This highlights the importance of human evaluation. The algorithmic metrics do not indicate which responses are preferred. Extractiveness and BertK-Precision is correlated with faithfulness. This is expected as a faithful model will have information extracted from the passage either directly or reworded. The metric correlation can be used to inspect why there are cases with low extractiveness/BertK-Precision and high faithfulness. This may highlight annotator confusion. Source: *Metric Behavior*. Action: Perform a human evaluation for accurate model preference. Investigate possible annotator confusion.

4.2 Analyzing LLM-as-a-Judge Performance

There has been a significant uptick in the popularity of LLMs as judges to evaluate the quality of LLM responses in RAG settings, complemented by active research in the efficacy of these judges (Chiang and Lee, 2023; Shen et al., 2023). Our platform also seamlessly supports analyzing the performance of LLM-as-a-judge approaches, and we illustrate this use case using MT-Bench (Zheng et al., 2023), a dataset of 80 high quality multiturn questions. The MT-Bench authors compare LLM-as-a-judge with humans by releasing human judgments (provided by 58 experts) and algorithmic GPT-4 judgments on the MT-Bench dataset. tered around comparing model performance, but rather judge performance. The MT-Bench authors discuss several limitations of LLM-as-a-judge: positional bias, verbosity bias, self-enhancement bias (judge favors its own model's answers), and limited reasoning ability (low reasoning and math capability). They show that GPT-4 judge matches human evaluation at over 80%. We expand on their insights and introduce additional ones.

Self-Enhancement Bias: The expert annotators tend to agree with each other and match GPT-4 closely on all models, but GPT-4 seems to prefer responses from its own model. Source: *Annotator Behavior, Model Behavior.* Action: Inform stakeholders of the slight bias of GPT-4.

Verbosity Bias: Answer length is strongly correlated with win-rate. This is true for LLM-as-ajudge and human annotators. Claude-v1, GPT-3.5, and GPT-4 usually have the longer response and win, while Llama and Alpaca rarely have the longer response and usually lose. Source: *Model Behav-ior*, **Action**: Analyze data and share with stakeholders. Do the answers need to be long for these questions? What is missing in the short responses?

Positional Bias: As hinted in the paper, the first answer being favored is not as much of an issue for GPT-4. We believe it also may be model dependent. For instance Llama is always shown to GPT-4 first but also almost always loses and Claude-v1 is always shown second and always wins. Source: *Model Behavior*, **Action**: Revisit evaluation design. Investigate if there may be some unintentional bias because these two models were never randomized.

5 Conclusion

We present INSPECTORRAGET, a publicly available⁸ platform to empower researchers, developers, and stakeholders to gain a deeper understanding of the strengths and limitations of RAG systems. It includes aggregate-level and instance-level views as well as capabilities to explore human and algorithmic metrics and annotator behavior, allowing for a more holistic analysis. Our evaluation shows INSPECTORRAGET's ability to yield concrete and actionable insights on two pertinent use cases analyzing RAG model performance and LLM-as-ajudge performance. We publicly release our platform, input files used in this paper, and notebooks. In the future, we will explore augmenting INSPEC-TORRAGET to facilitate comprehensive pattern

This INSPECTORRAGET use case is not cen-

⁸https://github.com/IBM/InspectorRAGet

discovery, as well as extending its analytical capabilities beyond RAG to other popular LLM tasks, such as summarization and code generation.

6 Ethical Considerations

A key claim in our paper is to include human annotations in the evaluation process. Human evaluation is subjective and prone to errors. We expect even the best annotators to make mistakes. We suggest mitigating this by including multiple annotators per question, but biases still may occur.

All proposed actions are based on evidence provided by the tool and considered to be our own opinions for possible areas of improvement. Any biases in the datasets may impact the analysis. The platform is meant to be used as a means of drawing conclusions and insights of RAG systems; it does not create its own conclusions or insights.

We acknowledge that there are accessibility limitations in the platform and we plan on providing additional features to improve these limitations.

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A Experiment Results File Format

As INSPECTORRAGET is a web application, we naturally gravitated towards adopting JSON as the input format. Our prescribed structure for an experiment results file is intuitive and strives to minimize repetition of information.

The experiment result file can be broadly split into six sections along their functional boundaries. The first section captures general details about the experiment, including its name, description, and timestamp. The second and third sections describe the sets of models and metrics used in the experiment, respectively. The fourth and fifth sections cover the dataset, in the form of a list of documents/passages that are used in the experiment and a list of *tasks*, each representing a data instance, which is composed of the user input (e.g., question/conversation), references to the corresponding documents/passages, and, optionally, a list of reference responses. Finally, the sixth section includes information about the outcome of the evaluation, in the form of the scores of the different evaluation metrics for each task.

Note, that as part of the experiment results file, one is not providing the implementation of the metrics and models used, but rather high-level *metadata* about them (e.g., the name of a metric and its scale - e.g., yes/no, numeric - and the name of a model) along with their *outputs* (i.e., the resulting evaluation scores and model responses). This separation of the evaluation experiment implementation and run from the analysis of the results, allows INSPECTORRAGET to be agnostic of the specific models or metrics used, thus allowing the analysis of diverse evaluation experiments.

A detailed description of the input format can be found on our GitHub repository ⁹.

B Sample Experiment Runners

To help users employ INSPECTORRAGET as part of the broader RAG evaluation life cycle, we have also released sample experiment runners, showcasing how to use INSPECTORRAGET in combination with popular evaluation frameworks. Each experiment runner is provided in the form of a Python notebook, which demonstrates how to use the corresponding evaluation framework to run an evaluation experiment and transform its output to the input format expected by INSPECTORRAGET for an analysis of the evaluation results. As of this writing, we have released notebooks demonstrating integrations of our platform with the following popular frameworks:

- *Language Model Evaluation Harness*¹⁰ (Gao et al., 2023); a popular evaluation framework used to evaluate LLMs on different tasks.
- *RAGAs* ¹¹ (Es et al., 2023); a popular evaluation framework specifically designed for the evaluation of RAG systems through LLM-asa-judge techniques.
- *HuggingFace*¹² (Wolf et al., 2020), which offers libraries and assets (incl. datasets, models, and metric evaluators) that can be used to both create and evaluate RAG systems.

All experiment runners are available on the platform's GitHub repository.

¹¹https://github.com/

⁹https://github.com/IBM/InspectorRAGet

¹⁰https://github.com/EleutherAI/ lm-evaluation-harness

explodinggradients/ragas
¹²https://huggingface.co