Interactive Web Search for Chinese Long-form Question Answering

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Abstract

Long-form question answering (LFQA) aims at answering complex, open-ended questions with detailed, paragraph-length responses. The de facto paradigm of LFQA necessitates two procedures: information retrieval, which searches for relevant supporting facts, and information synthesis, which integrates these facts into a coherent answer. In this paper, we introduce WebCPM, the first Chinese LFQA dataset. One unique feature of WebCPM is that its information retrieval is based on interactive web search, which engages with a search engine in real time. Following WebGPT (Nakano et al., 2021), we develop a web search interface. We recruit annotators to search for relevant information using our interface and then answer questions. Meanwhile, the web search behaviors of our annotators would be recorded. In total, we collect 5,500 high-quality questionanswer pairs, together with 15, 372 supporting facts and 125, 954 web search actions. We finetune pre-trained language models to imitate human behaviors for web search and to generate answers based on the collected facts. Our LFQA pipeline, built on these fine-tuned models, generates answers that are no worse than human-written ones in 32.5% and 47.5% of the cases on our dataset and DuReader (He et al., 2018), respectively. The interface, dataset, and codes are publicly available at https: //github.com/thunlp/WebCPM.

1 Introduction

Long-form question answering (LFQA) (Fan et al., 2019) targets answering complex, open-ended questions with detailed, paragraph-length responses. Current LFQA solutions generally follow the *retrieve-then-synthesize* paradigm, which comprises two core ingredients: information retrieval and information synthesis. The former searches external knowledge sources (e.g., the web) for diverse

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relevant supporting facts, and the latter integrates the collected facts into a coherent answer.

One defect of the conventional LFQA paradigm is that it often resorts to non-interactive retrieval methods, which use the original question as the query to retrieve a pile of uncurated information. On the contrary, humans are able to perform interactive web search by engaging with a search engine in real time. For a complex question, humans tend to decompose it into multiple sub-questions and ask them in sequence. By identifying and browsing relevant information, humans can improve their understanding of the topic and refine their searches by asking follow-up questions or related terms. This iterative process enables expanding the scope of their searches and improving the results they receive. Overall, interactive web search not only provides access to diverse information sources, but also reflects the cognitive process of how humans solve questions, which allows for better interpretability.

WebGPT (Nakano et al., 2021) is one pioneering work that supports interactive web search for LFQA. The authors first build a web search interface backed up by Microsoft Bing, then recruit annotators to collect information using the interface to answer questions. After that, they fine-tune GPT-3 (Brown et al., 2020) to imitate human behaviors for web search and to organize the collected information into answers. In the experiments, WebGPT shows exceptional ability in LFQA, even surpassing human experts. Despite its impressive performance, WebGPT still remains mysterious to the community. This is because WebGPT's interface, dataset, and trained models are not publicly available, and the inner workings of its core design elements remain opaque. These factors make it hard for the community to understand the challenges of interactive web search for LFQA and to continue exploring this line of study.

Resource	WebCPM (this work)	DuReader (He et al., 2018)	CMRC (Cui et al., 2019)	C ³ (Sun et al., 2020)	WebGPT (Nakano et al., 2021)	GopherCite (Menick et al., 2022)
Language?	ZH	ZH	ZH	ZH	EN	EN
Is Public?	1	1	1	\checkmark	×	×
Targets long-form QA?	1	×	×	×	\checkmark	\checkmark
Has free-form answer?	1	1	×	×	\checkmark	\checkmark
Has web search behavior?	1	×	×	×	\checkmark	×
Avg. question length	29.0	9.6	16.3	12.2		
Avg. supporting fact length	555.7	187.3	495.5	116.9	-	-
Avg. answer length	257.5	104.9	17.0	5.5	-	-

Table 1: A comparison of our WebCPM to relevant datasets. "-" means the information is unknown. For the length statistics, we record the number of Chinese characters.

In view of this, we deem it urgent to provide an accessible platform and public benchmark for this area. To this end, we first construct an interface (Figure 1) to record web search behaviors when humans gather relevant information for longform questions. In the interface, users can execute pre-defined actions to perform multiple rounds of searching and browsing. When finding relevant information on a web page, they can record it as a supporting fact. Meanwhile, their web-browsing behaviors will be recorded. After collecting enough information, users can finish the web search and answer the questions based on their collected facts.

Based on the interface, we choose Chinese as the testbed and construct **WebCPM**, focusing on interactive **Web** search with Chinese Pre-trained Models. WebCPM is the first public QA dataset that involves interactive web search, and also the first dataset that targets Chinese LFQA. WebCPM contains 5,500 question-answer pairs, together with 15,372 supporting facts and 125,954 web search actions. Table 1 summarizes the difference between WebCPM and relevant QA datasets. Among existing Chinese QA datasets, WebCPM possesses the longest question, supporting fact, and answer, which shows the complexity of the questions and the richness of the annotated answers.

Then we propose a general framework consisting of (1) a *search model*, which imitates human web search behaviors for information retrieval. Specifically, the search model comprises three modules to execute a series of pre-defined actions on our interface: an action prediction module, a search query generation module, and a supporting fact extraction module; (2) a *synthesis model*, which generates a coherent answer conditioned on the collected facts.

In the experiments, we choose 8 representative pre-trained language models (PLMs) with up to 10B parameter size, and evaluate their ability of interactive web search and information synthesis. We find that scaling model sizes is critical to achieving better performance. By selecting the best-performing backbone PLM for the search and synthesis model, we combine them into a holistic LFQA pipeline and compare its capability with humans. Human evaluation reveals that our pipeline generates answers that are no worse than humans 32.5% of the time on our test set. When applied to questions whose annotated answers are longer than 400 Chinese characters from DuReader (He et al., 2018), our pipeline generates answers that are better than golden annotated ones 47.5% of the cases, showing satisfying out-of-distribution generalization performance. We also show that our search model surpasses the conventional noninteractive retrieval method. Finally, we analyze the contribution of core design elements of our framework and the human-like behaviors our models acquire. We envision these resources to serve as the testbed for other research topics, such as behavior cloning (Bain and Sammut, 1995) and tool learning (Qin et al., 2023).

2 Related Work

Retrieval and Synthesis in LFQA. For information retrieval, prior works generally resort to local repositories (e.g., Wikipedia). Recently there is a surge of interest in leveraging the whole web as the knowledge source (Nakano et al., 2021; Lazaridou et al., 2022; Menick et al., 2022; Thoppilan et al., 2022), which not only widens the scope of information sources but enables real-time coverage of up-to-date knowledge. On the other hand, how to structure the retrieved facts into a plausible and nuanced answer for LFQA is still under-explored. Some investigated how humans craft complicated answers, either by studying the functional structures of long-form answers (Xu et al., 2022) or exploring how to compose exemplification in answers (Wang et al., 2022); others revisit existing evaluation metrics of LFQA (Krishna et al., 2021).

Question 麦田怪圈是什么?它们是如何形成的? W	Action Name	Functionality	
Query 麦田怪圈如何形成? How do crop circles for	n? O Undo C Reset	Q Search <quer< td=""><td>y> Call Bing search with <query></query></td></quer<>	y> Call Bing search with <query></query>
		\leftarrow Go Back	Return to the previous window
Vindow (search mode)	9 17 Quote f Merge	🖑 Load Page <1:	> Load the details of page <1>
难解谜团:麦田怪圈究竟是如何形成的? ¹ Page <1 Unsolved mysteries: How did crop circles form?	Fact #1 2023-01-21 19:59:00 麦田圈是指通过压扁农作物产	🖑 Load Page <2:	> Load the details of page <2>
麦田怪圈出现最多的季节是在春天和夏天,有人认为,夏季	生的几何图案	🖑 Load Page <32	> Load the details of page <3>
天气变化无常,龙卷风是造成怪圈的主要原因 The crop circles appear most often in spring and summer. Some people think that the weather in summer is erratic, and	Crop circle refers to a geometric pattern produced by flattening	↑ Scroll Up	Scroll up for a pre-set stride
tornadoes are the main cause of the strange circles	crops	↓ Scroll Down	Scroll down for a pre-set stride
Title of page <2> [®] Page <2	Fact #2 2023-01-21 20:05:12 Content of Fact #2	<pre>99 Quote <content></content></pre>	Extract <content> from the current page as a supporting fact</content>
Title of page <3>	>	Ĵ Merge	Merge two facts into a single fac
- Go Back Number of remaining actions	(86/100) (¹) Finish	() Finish	End the search process

Figure 1: Left: an example screenshot of our interface in the search mode. Right: the actions our interface supports.

Comparison with WebGPT. We largely follow WebGPT and also propose improved design elements (with details elaborated in appendix E), including (1) interface: we modify the actions defined by WebGPT to make them easier for model learning and more user-friendly; (2) framework: we decompose web search into 3 sub-tasks and implement a modular search model. We additionally explore how to teach the synthesis model to ignore irrelevant facts (§ 6.3) and generate novel contents (appendix F.1); (3) evaluation and analysis: besides evaluating the whole pipeline following WebGPT (§ 6.2), we also evaluate each individual module (§ 6.1 and § 6.3). This fine-grained evaluation helps us better understand the contribution of core design elements of our framework and the human behaviors learned by our model.

Tool Learning. Recent research demonstrates PLMs with promising capabilities of manipulating tools, i.e., tool learning (Qin et al., 2023). PLMs can make sequential decisions in complex interactive environments, such as planning in robotic tasks (Huang et al., 2022a; Ahn et al., 2022; Huang et al., 2022b), manipulating search engines (Nakano et al., 2021), shopping on ecommerce websites (Yao et al., 2022), etc. By harnessing the rich world knowledge learned during pre-training, PLMs can perform grounded actions to interact with the real world. We envision our benchmark to serve as the testbed for future explorations in this area.

3 Web Search Environment

Following WebGPT, we construct a text-only interface to record web search behaviors when humans gather relevant information for long-form questions. Our interface, backed up by Bing Search API, supports 10 mainstream web search actions as shown in Figure 1. When an action is executed, our interface responds with changes in the window.

When the action Search is performed, the interface enters *search mode* (Figure 1), which displays the links recommended by Bing for a specific query <query>. Each link comprises a title and a brief snapshot of the specific web page. Each window displays three links one time, and more links can be accessed by executing the Scroll Down action.

When finding the *i*-th link in the current window to be relevant, users could execute the Load Page $\langle i \rangle$ action $(i \in \{1, 2, 3\})$. The interface would enter the browsing mode (Figure 6 in the appendix) and render the texts cleaned from the HTML of the <i>-th web page. The content users could view at a time in the window is restricted up to 500 Chinese characters, and more content can be accessed with the Scroll action. Users can utilize the Quote action to extract consecutive sentences in the current window as a supporting fact. To enable extracting texts that stretch across two windows, the Merge action is designed to merge the last two facts into a single fact (see appendix A.2 for more details). We also display all the existing extracted supporting facts for users.

After browsing the *i*-th page, users can return to the previous *search mode* using the Go Back action to access other links. Meanwhile, a refined query can be sent at any time. In general, users can freely interact with our interface multiple times until executing the Finish action or triggering the maximum number of actions (100 in our case). The interface would automatically record meaningful actions and observations during web search. Owing to the multilingual nature of Bing system, although this work focuses on Chinese, our interface can be flexibly adapted to other languages as well. For more technical details, please refer to appendix A.

4 Data Collection

We employ 23 **annotators** from different walks of life, who are experienced in search engine operation. We ask them to answer long-form questions by first searching for relevant information using our interface, then writing a nuanced answer. For quality control, we recruit 8 experts familiar with QA research as **quality inspectors**. Next, we introduce the construction process of our dataset, with detailed annotation guides left in appendix B.

Question Creation. Creating new long-form questions from scratch without any reference is counterproductive, thus we turn to public QA forums as the question source. Specifically, we engage annotators to refer to the questions on an English QA forum Reddit, and then create new questions written in Chinese. The details of this creation process are elaborated in appendix C. We find empirically that questions created in this way often necessitate multiple rounds of searching and browsing to collect sufficient information.

Interactive Web Search. Given a question, we ask annotators to search for accurate and relevant information from trusted sources using our interface. This process may involve sending refined queries to Bing multiple times, as well as exploring various web pages they deem to be relevant. We require annotators to carefully judge the factual accuracy of the information before extracting it as a supporting fact. The search process would be finished until sufficient supporting facts are collected. Among our created questions, 26.2% are unanswerable and finally discarded because annotators cannot find sufficient useful information.

Answer Annotation. After gathering enough supporting facts, the annotators would write selfcontained answers based on their collected information. We give them instructions for answer annotation, including writing answers that are relevant to the question and have rich content, maintaining logical consistency, clarity, and coherence, and providing viewpoints in an unbiased manner. Quality Control. Each annotated instance is checked and approved by the quality inspectors before being selected for the final dataset. First, inspectors would manually inspect the action sequences recorded on the interface and discard lowquality ones (e.g., those with evident clerical errors in the issued queries). Second, they would carefully check the collected supporting facts. If these facts are apparently insufficient to answer the question, irrelevant to the question, or factually incorrect, the corresponding action sequence would be abandoned. The above procedures remove 25%collected instances. For the remaining instances, inspectors would carefully examine their annotated answers. If an answer contradicts the abovementioned instructions, inspectors would return it to annotators and point out which requirement is not satisfied. Annotators would revise their answers possibly for multiple rounds until the revised answer is up to standard.

Dataset Statistics. Ultimately, we collect 5,500 instances, each formatted in a tuple of (*question*, *web search behavior*, *supporting fact*, *answer*), and also record the observations at each action execution. We display an example in Figure 2 for reference, where we present the following: the original question, the simplified action sequence, the collected supporting facts, and the annotated answer. We partition the dataset into $\{4, 700, 400, 400\}$ as the training, development, and test set. On average, each question involves performing 22.9 actions, sending 2.5 queries, and loading 3.3 web pages. The detailed proportion of each action is visualized in Figure 7 in the appendix.

5 Framework

In this section, we introduce how to teach PLMs for (1) interactive web search using our interface (§ 5.1) and (2) information synthesis (§ 5.2). The overall framework is illustrated in Figure 3.

5.1 Search Model

Overview. We partition web search into 3 subtasks: action prediction, search query generation, and supporting fact extraction. Each task is cast as a text-to-text format and we train 3 separate modules using a generative PLM. By combining the 3 modules, we build the search model, which executes a series of actions to gather relevant information. The action prediction module decides which action to perform at each step. If the module

Question: 麦田怪圈是什么? 它们是如何形成的?

Translated Question:

What are crop circles? How are they made?

Human Action Sequence:

 $\texttt{Search} \rightarrow \texttt{Load} \texttt{ Page <1>} \rightarrow \texttt{Quote} \rightarrow \texttt{Scroll Down} \times 5 \rightarrow \texttt{Scroll Up} \rightarrow \texttt{Scroll Down} \times 11 \rightarrow \texttt{Go Back} \rightarrow \texttt{Scroll} \text{ Scroll Down} \times 11 \rightarrow \texttt{Go Back} \rightarrow \texttt{Scroll} \text{ Scroll} \text{ Scroll} \text{ Scroll} \texttt{ Scroll} \text{ Scr$ $\texttt{Search} \rightarrow \texttt{Load Page <1} \rightarrow \texttt{Go Back} \rightarrow \texttt{Load Page <3} \rightarrow \texttt{Scroll Down} \times 4 \rightarrow \texttt{Scroll Up} \times 3 \rightarrow \texttt{Quote} \rightarrow \texttt{Scroll Up} \times 3 \rightarrow \texttt{Quote} \rightarrow \texttt{Scroll Up} \times 3 \rightarrow \texttt{Quote} \rightarrow \texttt{Scroll Up} \times 3 \rightarrow \texttt{Scroll U$ Scroll Down \rightarrow Quote \rightarrow Merge \rightarrow Quote \rightarrow Scroll Down \rightarrow Quote \rightarrow Finish

Supporting Facts:

1. 麦田铎圈(Crop Circle),是指在麦田或其它田地上,通过某种未知力量(大多数铎圈是人类所为)把农作物压平 而产生出来的几何图案。这个神秘现象有时被人们称之为"Crop Formation"。麦田怪圈的出现给了对支持外星人存在 论的人们多种看法。

2. 人为说: 人为说一般认为,麦田圈是用木板压成的。木板两头系上绳子形成圈套,在制作时,一脚踩在木板上拖动木板压倒麦子,并拉着细绳与圆心保持固定的距离,逐渐就可以形成一个圆圈。为了便于制造,主要形状所有圆 圈的直径都可以被6除尽。以前曾经出现过制作麦田圈被当场抓获的事情,制作者使用的就是这种工具。

3. 自然形成说: 也有人认为,麦田圈只是一种,成因还未被人类发现。就像雷电,古时候人类也是以为是雷神电母做的,对于麦田圈中经常出现人文信息的现象,他们认为这只是人们"先入为主"造成的错觉。 4. 磁场说: 有专家认为,磁场中有一种神奇的移动力,可产生一股电流,使农作物"平躺"在地面上。美国专家杰弗里·威尔逊研究了130多个麦田怪圈,发现90%的怪圈附近都有连接高压电线的变压器,方圆270%内容有一个水池。 由于接受灌溉,麦田底部的土壤释放出的离子会产生负电,与高压电线相连的变压器则产生正电,负电和正电碰撞 后会产生电磁能,从而击倒小麦形成怪圈。

Translated Supporting Facts:

1. Crop circles, also known as "crop formations", are geometric patterns that appear in fields of crops, often as a result of unknown forces (though most crop circles are actually created by humans). This mysterious phenomenon has sometimes been used to support the belief in extraterrestrial life.

2. Human-made theory: According to the human-made theory, crop circles are believed to be created using planks of wood. The ends of the planks are attached to ropes to form a loop, and the crops are flattened by dragging the planks across them while maintaining a fixed distance from the center of the circle using the ropes. This process can gradually create a circular pattern. To make the process easier, the diameters of most circles can be evenly divided by 6. In the past, there have been instances of crop circle makers being caught in the act, and they have used this method to create the circles.

3. Natural formation theory: According to the natural formation theory, some people believe that crop circles are a phenomenon whose cause has not yet been discovered by humans. Similar to how lightning was once thought to be the work of the god, the appearance of human-like symbols in crop circles is seen as a result of people's preconceptions.

4. Magnetic field theory: According to the magnetic field theory, some experts believe that crop circles are created by a mysterious force in the magnetic field that generates an electric current that causes the crops to lie flat on the ground. American expert Jeffrey Wilson studied over 130 crop circles and found that 90% were located near transformers connected to high-voltage power lines and within 270 meters of a pond or other source of water. The irrigation of the soil in the fields can cause the release of ions in the soil at the bottom of the field, creating a negative charge. The transformer connected to the high-voltage power lines generates a positive charge, and the collision of the negative and positive charges creates electromagnetic energy that knocks down the wheat, forming a crop circle.

Answer:

麦田怪圈是指在麦田或其它田地上,通过某种未知力量(大多数怪圈是人类所为)把农作物压平而产生出来的几何 图案。【1】而麦田怪圈的形成有三个说法,人为说、自然说与磁场说。人为说一般认为,麦田圈是用木板压成的。 木板两头系上绳子形成圈套,在制作时,一脚踩在木板上拖动木板压倒麦子,并拉着细绳与圆心保持固定的距离, 逐渐就可以形成一个圆圈。为了便于制造,主要形状所有圆圈的直径都可以被6除尽。【2】自然形成说认为麦田堡 圈的成因还未被人类发现。就像雷电,古时候人类也是以为是雷神电母做的,对于麦田圈中经常出现人文信息的现象,他们认为这只是人们"先入为主"造成的错觉。【3】磁场说认为,磁场中有一种神奇的移动力,可产生一股电 流,使农作物"平躺"在地面上。【4】

Translated Answer:

Crop circles are geometric patterns created by flattening crops through an unknown force (most crop circles are made by humans) in a field of wheat or other crops. [1] There are three theories about the formation of crop circles: human-made, natural, and magnetic fields. The human-made theory generally believes that crop circles are made using wooden boards. The wooden boards are connected by ropes to form a hoop, and during the production process, one-foot steps on the wooden board, dragging the wooden board to flatten the wheat, and pulling the fine rope to maintain a fixed distance from the center, gradually forming a circle. In order to facilitate manufacture, the main shape of all circles has a diameter that can be evenly divided by 6. [2] The natural formation theory believes that the cause of crop circles has not yet been discovered by humans. Like lightning, ancient humans also thought it was made by the god, and for the phenomenon of human information often appearing in crop circles, they think it is just a "preconceived" illusion caused by people. [3] The magnetic field theory believes that there is a mysterious moving force in the magnetic field that can generate an electric current, causing crops to "lie flat" on the ground. [4]

Figure 2: A sampled example from WebCPM, where we translated the original Chinese version into English.

predicts Search or Quote as the current action, then it calls the other two modules to generate the

contents of the query or the supporting fact.

Each module performs inference conditioned on



Figure 3: Illustration of our LFQA framework. For an action sequence of T steps, the search model (consisting of 3 modules) executes actions to collect supporting facts, which are sent to the synthesis model for answer generation.

the current state S_t of the interface at time step t. S_t comprises the original question Q_0 , the query currently searching Q_t , the past action sequence $\mathcal{A}_{t-1} = \{a_1, ..., a_{t-1}\}$, the last and the current content displayed in the window \mathcal{W}_{t-1} and \mathcal{W}_t , current supporting facts $\mathcal{F}_t = \{f_1, ..., f_{|\mathcal{F}_t|}\}$, and the number of remaining actions. If an action is executed, the components of S_t would be updated. \mathcal{W} can be either the three links in the *search mode* or the specific page content in the *browsing mode*. We only maintain the recent two observations (\mathcal{W}_{t-1} and \mathcal{W}_t) displayed in the window instead of concatenating all the past observations because the latter may exceed the input length limit of the PLM. Next, we introduce the three modules in detail.

Action Prediction. This module predicts which action to perform next. Since there are 10 possible actions in total, action prediction can be viewed as a 10-category classification task. Take the action Search as an example, denote $\{x_1, ..., x_N\}$ as the tokenized sequence for the action name Search, where x_* denotes a specific token. The probability of Search can be factorized as follows:

$$\mathcal{P}(\text{Search}|\mathcal{S}_t) = \mathcal{P}(x_1|\mathcal{S}_t) \times \prod_{i=2}^{N} \mathcal{P}(x_i|\mathcal{S}_t, x_1, ..., x_{i-1}).$$

During inference, we select the action with the highest probability to perform on the interface.

Search Query Generation. This module generates a query $Q_{t+1} = \{q_1, ..., q_{|Q_{t+1}|}\}$ to search Bing, which is also formulated as text generation:

$$\mathcal{P}(\mathcal{Q}_{t+1}|\mathcal{S}_t) = \mathcal{P}(q_1|\mathcal{S}_t) \times \prod_{i=2}^{|\mathcal{Q}_{t+1}|} \mathcal{P}(q_i|\mathcal{S}_t, q_1, ..., q_{i-1}).$$

Supporting Fact Extraction. Assume in the browsing mode, the current content of the window is $\mathcal{W}_t = \{w_1, ..., w_{|\mathcal{W}_t|}\}$. We aim to extract a supporting fact $f = \{w_i, ..., w_j\}$ from \mathcal{W}_t , where $1 \leq i \leq j \leq |\mathcal{W}_t|$. While a naive solution is to directly generate all the tokens of fauto-regressively, this solution suffers from low inference speed in practice. As an alternative, we only generate the first and last few (N_f) tokens of f given S_t . Formally, we maximize $\mathcal{P}([s], w_i, ..., w_{i+N_f-1}, [e], w_{j-N_f+1}, ..., w_j | \mathcal{S}_t),$ where [s] and [e] denote the special tokens that indicate the start and end of the fact f. During inference, after decoding the start and end tokens, we can locate the desired sequence in W_t by text matching. If the start / end tokens occur in multiple locations of \mathcal{W}_t , we always extract the longest sequence from W_t , and a large N_f could lower the frequency of this multi-location issue. Note disjoint spans in \mathcal{W}_t can be extracted by executing multiple Quote actions consecutively.

5.2 Synthesis Model

The **information synthesis** task learns to organize a series of supporting facts into a coherent answer. However, not as perfect as humans, the trained search model occasionally gathers irrelevant noises, which would influence the quality of the generated answer. To remedy this, we corrupt the collected facts in the training data of the synthesis model by introducing noises. Specifically, given a series of human-extracted facts $\{f_1, ..., f_N\}$, we randomly select a few unrelated facts $\{f'_1, ..., f'_{N'}\}$ from other training instances. After randomly shuffling all the facts, we concatenate them as the final input. During training, the model is optimized to generate the human-annotated answer conditioned on the corrupted supporting facts, i.e., maximizing $\mathcal{P}(Answer|Q_0, f_1, ..., f_N, f'_1, ..., f'_{N'})$. Since the annotated answer does not contain the information of f'_* , the model learns to ignore irrelevant facts and only focus on important ones for generation.

6 Experiments and Analyses

Our problem consists of 4 sub-tasks: action prediction, search query generation, supporting fact extraction, and information synthesis. Correspondingly, we first train 4 modules and evaluate each sub-task independently by feeding the ground truth input to each module (§ 6.1). Then we combine all modules into a unitary pipeline and only feed the question to the pipeline for a holistic evaluation (§ 6.2). Finally, we conduct in-depth analyses for each module to understand their behaviors (§ 6.3).

6.1 Individual Sub-task Evaluation

Settings. We evaluate 8 typical generative PLMs that support Chinese, covering 3 architectures:

- T5 architecture (Raffel et al., 2019): mT5_{BASE} (Xue et al., 2021), a 580M model pretrained on mC4; mT0_{BASE} (Muennighoff et al., 2022), which fine-tunes mT5_{BASE} on diverse downstream tasks; Mengzi-T5_{BASE} (Zhang et al., 2021b), a 220M model pre-trained on 300G internet corpora.
- BART architecture (Lewis et al., 2020): mBART_{LARGE} (Liu et al., 2020), a 680M model pre-trained on monolingual corpora of multiple languages; C-BART_{LARGE} (Shao et al., 2021), a 406M model pre-trained on 200G web texts.
- CPM architecture (Zhang et al., 2021a): CPM_{2.6B}, CPM_{7B}, and CPM_{10B}, which contain 2.6B, 7B, and 10B parameters, respectively, and are pre-trained with increasing sizes of data. Among these PLMs, mT5_{BASE}, mT0_{BASE}, and

mBART_{LARGE} are multilingual and the others are Chinese-only PLMs. We elaborate on details of the above PLMs in appendix D. We adopt recommended fine-tuning configurations of the original papers for all PLMs. For evaluation metrics, we treat action prediction as a 10-category classification task and choose *Micro-F1* and *Macro-F1* as the metric. We treat the other three tasks as text generation and calculate *Rouge-L* of the generated sequence and the ground truth.

Task Metric	Action Mi. Ma.		Query <i>R-L</i>	Fact <i>R</i> -L	Synth. <i>R-L</i>
mT5 _{BASE}	53.8	44.0	62.4	56.7	56.8
mT0 _{BASE}	58.2	52.1	64.6	60.0	51.4
Mengzi-T5 _{BASE}	58.1	51.2	62.6	61.9	57.7
mBARTLARGE	53.6	41.1	50.4	56.5	60.2
C-BART _{LARGE}	43.8	31.3	56.1	49.3	50.6
CPM _{2.6B}	55.6	49.8	61.6	52.6	55.0
CPM _{7B}	58.9	50.5	67.8	59.8	56.4
CPM _{10B}	60.4	54.5	70.0	62.4	61.2

Table 2: Sub-task evaluation (test performance) using 8 PLMs. We report *Micro-F1 (Mi.)*, *Macro-F1 (Ma.)* for action prediction, and *Rouge-L (R-L)* for query generation, fact extraction, and information synthesis.

Results. The results are listed in Table 2, from which we conclude that: (1) $\mathbf{mT0}_{BASE}$ outperforms $mT5_{BASE}$ in action prediction, query generation, and supporting fact extraction, but performs poorer in information synthesis. We conjecture this is because mT0_{BASE} enhances language skills more related to the first three tasks during its multi-task fine-tuning. Rather, the information synthesis ability might have been weakened. Besides, Mengzi-T5_{BASE} performs generally well on all tasks despite owning much fewer parameters; (2) in general, **mBART**LARGE and **C-BART**LARGE show inferior performance than all other PLMs, except that **mBART**LARGE exhibits excellent performance in information synthesis; (3) comparing the results of CPM_{2.6B}, CPM_{7B}, and CPM_{10B}, we find that the performance generally gets improved as the model size increases. Blessed by the scaling law (Kaplan et al., 2020), larger PLMs own stronger understanding and generation abilities and could achieve better downstream performance.

6.2 Holistic Pipeline Evaluation

We choose the modules trained by CPM_{10B} , which performs the best among all the PLMs in § 6.1, and combine them into the overall pipeline. Then we evaluate its performance compared with humans.

Compared Answer Pairs. For each test question of WebCPM, we compare the annotated answer with 3 types of answers generated by our synthesis model. Specifically, the 3 types of answers differ in the source of supporting facts, including (1) the facts collected by our search model, (2) groundtruth human-collected facts, and (3) the facts collected using a commonly adopted non-interactive web search method. For (3), we directly input the original question into Bing, extract the paragraphs



Figure 4: Results of human evaluation. (a) Comparison of human annotation and our pipeline-generated answers with different sources of supporting facts. (b) The experiments on two DuReader datasets: Search and Zhidao. We compare our pipeline with the golden annotation. (c) Ablation study for our synthesis model.

from all the retrieved links, and rank them using TF-IDF. Then we concatenate the top-k paragraphs as the input until it exceeds 3072 tokens.

Evaluation Protocol. We engage 8 annotators to manually compare different answers based on human preference. Given a question and a pair of answers, we ask them to perform an overall assessment and decide which answer they would prefer based on multiple factors, including the overall usefulness, coherence, and relevance to the question. Since all three retrieval methods use the same search engine, their collected facts sometimes have a high overlap, which leads to similar answers. Thus we allow annotators to mark two answers as *equivalent* if both are of comparable quality.

Results. We derive from the results in Figure 4 (a) that: (1) the answers obtained purely by our pipeline are preferred or comparable to humanwritten answers 19.0% + 13.5% = 32.5% of the time. This result implies ample opportunity for advancement of our pipeline in future endeavors, which is discussed in appendix G. (2) When applying our synthesis model to the human-collected facts, the performance grows to 16.0%+29.5%=45.5% preference or equivalence, which is due to the improved quality of the collected facts. (3) The facts gathered by non-interactive search lead to slightly worse performance (7.5% + 18% = 25.5%) than our search model. The superiority of our search model over non-interactive search may be because our model (a) sends diverse queries to Bing multiple times so that more abundant information can be retrieved, and (b) it critically decides whether a web page contains important information, which performs better than TF-IDF.

Experiments on DuReader. Next, we apply our pipeline (search model and synthesis model) to 2

Chinese QA datasets from DuReader, i.e., Zhidao and Search. Although not specially designed for LFQA, DuReader contains a variety of question types, and we randomly sample 400 test questions whose annotated answers are longer than 400 Chinese characters. For these questions, we engage annotators to compare our pipeline-generated answers with the golden annotations of DuReader. From the results in Figure 4 (b), we find that our pipeline generates answers better than the annotated ones 44.0% and 51.0% of the time on Search and Zhidao (47.5% on average), showing satisfying outof-distribution generalization performance. The fact that the same pipeline surpasses fewer humanwritten answers on our dataset than DuReader also reflects the high quality of our annotated answers. Note the *equivalent* ratio is 0% because both answers are based on totally different supporting facts, and it is easy to determine which one is better.

6.3 Further Analysis

Next, we conduct in-depth analyses to gain a deeper understanding of each module. Without loss of generality, we evaluate CPM_{7B} in this section.

Ablation Study for the Synthesis Model. We evaluate whether corrupting the synthesis model's training data by introducing irrelevant facts improves its ability to ignore noisy facts. We train a baseline model without corrupting the training data and keep other settings the same as our model. For each test question, we feed the supporting facts collected by our search model to both synthesis models and generate two answers. Annotators would evaluate which answer is more relevant to the original question (the *equivalent* option is allowed).

According to Figure 4 (c), by corrupting the training data, our model performs better than the

Task	Action		Fact	Task	Query
Metric	Mi.	Ma.	R-L	Metric	R- L
\mathcal{S}_t	58.9	50.5	59.8	\mathcal{S}_t	67.8
- \mathcal{F}_t	55.5	49.3	54.7	- \mathcal{F}_t	66.9
- \mathcal{W}_{t-1}	57.7	52.0	59.3	- past queries $\in \mathcal{A}_{t-1}$	65.3
- \mathcal{A}_{t-1}	53.4	44.1	60.3	- seen titles $\in \mathcal{A}_{t-1}$	65.3

Table 3: Ablation study of the search model when different components are removed from S_t , respectively.

baseline 43.7% of the time and is worse 18.0% of the cases. This demonstrates that **our method indeed enhances the model's ability to ignore noisy information**, which makes the generated answer more relevant to the original question. In appendix F.1, we further explore the use of another corruption method that flexibly balances generating novel contents and copying supporting facts.

Effects of Components in S_t . We conduct ablation studies for several components of S_t to examine how they contribute to each module of the search model. This is achieved by modifying both the training and evaluation data of each module. For action prediction and supporting fact extraction, we remove one of the following: the existing collected facts \mathcal{F}_t , the contents displayed in the last window \mathcal{W}_{t-1} , or the past actions \mathcal{A}_{t-1} . For query generation, the following items are removed from S_t : the existing collected facts \mathcal{F}_t , the already searched queries, or the titles of the links browsed before. The information of the latter two items is included in A_{t-1} . Specifically, for the past action Search / Load Page, \mathcal{A}_{t-1} not only includes the action name, but also records the specific searched query / the title of the loaded page.

The results are listed in Table 3, from which we observe that: (1) for action prediction, the removal of either \mathcal{F}_t or \mathcal{W}_{t-1} only leads to minimal performance changes, while removing A_{t-1} leads to a significant performance drop. This shows that the past actions are critical factors for action prediction; (2) for supporting fact extraction, only removing \mathcal{F}_t impairs the performance significantly (-5.1). This indicates that aligned with humans, the module considers what has been extracted to decide which information to extract next; (3) for query generation, removing either searched queries or accessed link titles in A_{t-1} causes a great negative impact (-2.5), which means the module might have learned to generate queries based on what has been searched and newly observed information during web search. This feature is



Figure 5: Case study for query generation. We show the generated queries for two test questions.

humanoid in that humans also consider both information to avoid sending repetitive queries and to ask follow-up questions about an accessed link.

Case Study for Query Generation. To fathom the human behaviors learned by our query module, we conduct a case study by sampling the generated queries for different questions in the test set. We illustrate two representative results in Figure 5 to showcase the typical strategies learned by our query module, including copying the original question, decomposing the question into multiple sub-questions, rephrasing questions with related terms, etc. These strategies make the queries more diverse, which helps gather more abundant information from various sources.

7 Conclusion

In this paper, we construct a benchmark of interactive web search for Chinese long-form QA, together with an open-source interface. We decompose the task into 4 sub-tasks and design a modular pipeline. By fine-tuning representative PLMs, we conduct both an individual evaluation for each module and a holistic evaluation for the pipeline. In-depth analyses are carried out to understand the core design elements of our framework. We expect our interface, dataset, framework, and analyses to facilitate more future explorations in this area.

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Limitations

The human evaluation shows that our pipeline performs worse than humans in the process of information retrieval and synthesis 67.5% of the time, which still leaves room for improvement (see appendix G for future works).

Ethical Statement

In this research, we adhere to the highest ethical standards and commit to making every effort to minimize any potential harm. Specifically:

- When creating our dataset, we have ensured that all data collected is obtained through legitimate and legal means. In addition, we have obtained the appropriate permissions and consent from all necessary parties.
- We have also taken steps to protect the privacy of individuals whose data is included in our dataset through de-identification during annotation.
- We are committed to eliminating bias, discrimination, or stereotypes during annotation by removing any suspect examples.
- We take the responsibility of open-sourcing the interface, dataset, codes, and trained models to the public. However, there are cases that these resources are maliciously used. For instance, our models may be utilized to generate responses without proper attribution of the information source, causing severe consequences. We would strive to ensure that they are used ethically and not for any malicious or harm-causing intent.

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Appendices

A Implementation Details of the Interface

Our interface includes two components: an API back end and a website front end.

A.1 API Back End

The API backend implements three APIs with different functions: (1) *search*, which receives queries from users and returns search results recommended by Bing; (2) *extract*, which receives a URL and returns the text-only contents of the corresponding web page; (3) *record*, which receives the actions conducted by agents and stores them in a database.

Search API. The search API is based on Bing API. When it receives keywords from users, it calls Bing API to search for relevant results and converts them into the format we specify. Each result consists of a title, the link to the page, and a brief summary of the page contents. To ensure the originality of the answers generated during annotation, we have implemented a filter in the search API to exclude results from certain websites (e.g., Reddit forums). This is necessary because some of the questions are sourced from websites that may appear in search results.

Extract API. The contents of web pages often include huge quantities of layout information and multimedia that is inappropriate to display directly to agents and is meaningless for our task. Therefore, we use a third-party tool¹ to extract the simplified text-only contents of web pages. This ensures that only clean and meaningful text will be presented to the users.

Record API. Actions conducted by users are recorded in the website front end, when users finish the annotation process of a question, the front end will call this Record API, and the detailed action information and meaningful observations during web search will be uploaded and stored in our database.

A.2 Website Front End

The website front end is designed as a graphic user interface for human annotators, which supports two modes: the *search mode* and the *browsing mode*. Each time an action is performed, it will be recorded and the corresponding changes will be rendered in our website and displayed to the users.

¹https://github.com/mozilla/ readability

Window. In the *search mode*, the window displays the searched results returned by our API back end. We present at most three links at a time in each window, and the Scroll action can be used to access other links. In the *browsing mode*, when clicking a specific link, Load Page action is triggered and the front end will call the extract API and display the text-only contents of the web page. The length of content in each window is limited up to 500 Chinese characters, and the Scroll action can be used to access more content. In the main paper, we illustrate an example for the search mode of our interface, here we present the example for the browsing mode in Figure 6. In addition, we also display the existing supporting facts and the remaining number of actions for ease of human annotation.

Actions. Once an action is performed, we record the current state of the interface, which includes the content displayed in the window, the current query issued, the existing collected supporting facts, the remaining number of actions, etc. We also record the specific information about the current action, for instance, Search <query> includes the content of the query, Load Page <idx> includes all the detailed information about a web page, and Quote <content> includes the consecutive sentences selected by the user.

It should be noted that the action Merge is specially designed for extracting a supporting fact that crosses the boundary of two windows in the *browsing mode*. For instance, the user can perform Quote <content1>, Scroll Down, Quote <content2>, and Merge to get one supporting fact, which is concatenated by both content1 and content2.

Besides, we also implement (1) the Undo action, which supports revoking the last action performed, and (2) the Reset action, which terminates the current annotation and starts a new one. Both actions will not be recorded since they do not belong to meaningful web search behaviors.

B Annotation Principle

Below we present the annotation principles for web search, supporting fact extraction, and question answering. These principles are part of our annotation guides, which are sent to our contractors before annotation. The original version of the following is written in Chinese, and we have translated it into English.

Question 麦田怪圈是什么?它们是如何形成的? What ar	e crop circles? How are they made?		
Query 麦田怪圈如何形成? How do crop circles form?	う Undo 💭 Reset		
Window (browsing mode)	19 Quote $ extsf{Merge}$		
麦田怪圈是指在麦田上,通过某种未知力量把农作物 压平而产生出来的几何图案。相当一部分人认为,所 谓麦田怪圈只是某些人的恶作剧。英国科学家安德鲁 经过长达17年的调查研究认为,麦田怪圈有80%属于 人为制造 <i>Crop circles refer to geometric patterns produced by</i>	Fact #1 2023-01-21 19:59:00 麦田圏是指通过压扁农作物产 生的几何图案 crop circle refers to a geometric pattern produced by flattening crops		
flattening crops by some unknown force on wheat fields. A considerable number of people believe that the so-called crop circles are just some people's prank. After 17 years of investigation and research, British scientist Andrew believes that 80% of the crop circles are man-made	Fact #2 2023-01-21 20:05:12 < content of Fact #2>		
Constant Con	00) () Finish		

Figure 6: An example of browsing mode of our interface.

B.1 Web Search Principle

Look for Relevant Information. In the search process, it is important to ensure that the content being searched is closely related to the question at hand. During the labeling process, users may encounter various concepts that are related to the question but may not be central to the main idea. These peripheral concepts should be ignored in the search. For instance, when searching for information about "the principle of the constant speed of light", it is possible to come across the concept of "Lorentz transformation", which is related to the topic but only tangentially. As such, it is not necessary to include a detailed explanation of "Lorentz transformation".

Send Simple Queries. Search engines are often less effective when the question being asked is long and complex. In such cases, it is advisable to simplify and refine the main question or keywords to improve the chances of finding relevant information and reduce the number of unnecessary search actions. For example, instead of searching for the question "I have a question that bothers me a lot, why do most crustaceans / seafood turn from light gray to red / orange when heated?", it would be more effective to simplify it to "why does seafood change color when heated?". This ensures the simplicity of the queries, making it more likely to find relevant information.

Avoid Unnecessary Search. Search engines typically rank web pages based on their relevance to the query, with higher-ranked results being more relevant. If the top-ranked results for a particular search do not align with the user's needs, it may not be productive to continue scrolling through the results to find relevant information. Instead, it is more efficient to issue a new query to reduce the number of unnecessary search actions.

B.2 Supporting Fact Extraction Principle

Find Diverse Relevant Facts. The supporting facts should contain information that is relevant to the original question. When possible, it is generally more effective to extract supporting facts from diverse sources, while ensuring that the content remains highly relevant to the original question. It is important to avoid duplicating summaries of the same content from different sources, as this does not contribute to answering the question.

Avoid Recording Fragmentary Facts. The extracted supporting fact should contain complete and coherent information. It is important to avoid intercepting sentences with incomplete semantics or taking them out of context, as this can alter the meaning of the supporting fact. In addition, please ensure the integrity of the supporting fact by including all relevant information and expressing it in a coherent manner.

Ensure the Factual Accuracy. It is important to summarize information from trusted sources whenever possible. This helps ensure the reliability of the information being used. You can also judge the

factual accuracy of a supporting fact by comparing it with other searched results.

B.3 Answer Principle

A good long-form answer is typically wellresearched, well-written, and provides a thorough and detailed response. It should be well-organized and easy to read, with clear and concise language that is appropriate for the intended audience. Additionally, a good answer should be objective and unbiased, presenting multiple viewpoints on the topic if applicable.

Coherence and Relevance. Coherence refers to the overall logical consistency and clarity of the answer. The desired answer should have a clear structure, with each paragraph building upon the previous one and contributing to the overall argument. The ideas presented should flow smoothly and be easy to follow. Relevance means the extent to which the answer addresses the original question. The desired answer should stay on topic, providing information that is directly relevant to the question. It should not include unnecessary or tangential information. Together, coherence and relevance help guarantee that the answer is easy to understand and stays focused on the main topic, making it more useful and informative for the reader.

Objectivity. The content of the answer should be based on the information obtained during the search process. The desired answer should present information and viewpoints in an unbiased manner, without expressing personal opinions or preferences. While the annotation process inevitably involves subjectivity, the questions are relatively straightforward and it should not be difficult to maintain a degree of objectivity. Please be neutral and fair, and present multiple sides of an issue if applicable.

Conciseness. There is no specific word count requirement for answers, but it is important to provide concise, comprehensive, and in-depth answers that include necessary auxiliary information. It is generally best to avoid extremely long or short answers. In addition, the sentences in the answer should be concise and clear and should avoid redundancy. For example, the question "How toxic is barium chloride?" should not be answered simply with "very toxic". Instead, a more detailed description of the toxicity of barium chloride, including the poisoning dose, poisoning symptoms, and poisoning mechanism, would be more informative and useful. It is important to provide a well-rounded and thorough answer to the question, rather than just a brief or overly general response.

Normative. It is important to answer questions in written language, as this can help make the answer more formal. Annotators should avoid using irregular or unconventional expressions that may not be understood by everyone. Typos or grammatical errors are not allowed.

C More Details for Data Collection

We limit our annotators and quality inspectors to native Chinese speakers. We make sure all our annotators are fairly compensated by the market price.

Question Creation. Chinese QA forums, such as Zhihu and Baidu Zhidao, are known for their abundance of long-form questions. However, when these questions are utilized as direct queries on Bing, users can often access multiple websites that contain well-organized answers, thus making the web search process less challenging. Such an issue is not mitigated even if we block the source from Zhihu and Baidu Zhidao. In view of this, we strive to annotate new open-ended questions that have not been answered on Chinese QA forums.

Following ELI5 (Fan et al., 2019), we turn to creating questions from Reddit forums² as an alternative. We closely follow the way ELI5 collects the source questions. After collection, we engage annotators to refer to these questions and then ask new questions in Chinese. This way significantly improves the productivity of question creation.

For quality control, our quality inspectors would check whether the created question is meaningful, semantically coherent, comprehensible, and reasonable. Only those questions that satisfy the above requirements would be retained. In addition, we also remove the questions that are politically sensitive. In total, 22.4% newly created questions are discarded.

Web Search and Answer Annotation. Before annotation, we provide our annotators with detailed annotation guidance. They got paid based on the number of instances they annotate instead of the time spent during annotation. Note for answer annotation, we did not require annotators to use all

²https://www.reddit.com/r/ explainlikeimfive



Figure 7: Proportion of different pre-defined actions in our WebCPM.

the collected facts when composing the answer but asked them to record which facts are leveraged in their answer.

Proportion for Different Actions. We record the proportion of different pre-defined actions in our collected dataset in Figure 7. As can be seen, Scroll Down, Quote, and Search are the most frequently used actions. The proportion of Load Page <1> is larger than those of Load Page <2> and Load Page <3>. This is because search engines rank search results based on their relevance to the query. Humans tend to visit the links according to the order recommended by search engines. If humans have collected enough supporting facts on the first page or find it to be irrelevant, they probably would not continue browsing other web pages of the current query.

D Details for the PLMs Evaluated

We select 6 series of representative and publicly available generative PLMs that support Chinese. For all the models, we use them for their intended uses. In the following, we give a brief introduction to them:

mT5 (Xue et al., 2021) is a multilingual encoderdecoder PLM with a general-purpose text-to-text format. Its pre-training data mC4 (Xue et al., 2021) covers 101 languages collected from the public Common Crawl web scrape. **mT5** achieves superior performance in various multilingual benchmarks.

mT0 (Muennighoff et al., 2022) is a multi-task fine-tuned version of Google's **mT5**. The model attained strong zero-shot performance and cross-lingual generalization ability. Through explicit

multi-task learning, a variety of language capabilities are enhanced through knowledge transfer; inevitably, some capabilities, which are not required by the trained tasks, might have been impaired.

Mengzi-T5 (Zhang et al., 2021b) is a powerful Chinese encoder-decoder PLM that achieved state-of-the-art results on the CLUE benchmark. Instead of chasing a larger scale, the authors turn to developing lightweight yet more powerful models for easier deployment. Mengzi-T5 was trained on Chinese Wikipedia, Chinese News, and Common Crawl and the total size of the pre-training corpus is 300G.

mBART (Liu et al., 2020) is a multi-lingual variant of BART, which is a sequence-to-sequence denoising auto-encoder. **mBART** is pre-trained on large-scale monolingual corpora with the BART (Lewis et al., 2020) pre-training objective. The model performs extremely well in machine translation tasks and can be generalized to languages that are not in the pre-training corpora.

C-BART (Shao et al., 2021) is the Chinese version of BART. Compared with **mBART**, the model was pre-trained only on a Chinese corpus. The model shows superior performance on keyword recognition tasks evaluated by the Rouge-L metric.

 CPM^3 is the generative pre-trained model series provided by OpenBMB⁴. We choose three PLMs $CPM_{2.6B}$ (CPM-1 (Zhang et al., 2021a)), CPM_{7B} (CPM-Live), and CPM_{10B} (CPM-Ant) with increasing model sizes. The three models are trained with increasingly larger sizes of data and training computations.

Training Details. For each model, we follow the configuration recommended by the original papers. During training, we select the model checkpoint with the best performance on the development set and evaluate it on the test set. The maximum sequence length is 2048 for $mT0_{BASE}$, $mT5_{BASE}$, and Mengzi-T5_{BASE}, 1024 for mBART_{LARGE}, 512 for C-BART_{LARGE}, and 3072 for CPM. We truncate the input sequence if it exceeds the maximum sequence length of a PLM.

E Design Differences between WebGPT and WebCPM

Interface. Our interface supports slightly different actions than WebGPT. To begin with, we remove 1 actions defined by WebGPT: Find in

³https://github.com/OpenBMB/CPM-Live ⁴https://live.openbmb.org/en/

Page: <text>, which supports finding the next occurrence of <text> and scrolling to it. In our pilot studies, even if we give the annotators the options for this action, our annotators seldom execute them. Considering that it may be hard for our model to learn those extremely low-frequency actions, we do not include both actions in the final list of our actions.

Secondly, we modify the functionalities of the Scroll actions in WebGPT. Specifically, WebGPT merged any consecutive Scroll Down and Scroll Up actions made by humans into new actions Scroll Down <?> and Scroll Up <?>, where ? is the number of consecutive actions. These new actions are utilized by their models instead of the original Scroll Down and Scroll Up actions. Therefore, there exists a gap between what humans actually perform and what the model is allowed to execute. We contend that this gap could result in problems for behavior cloning. Specifically, humans perform consecutive Scroll Down actions because after each action, they carefully check the current window and find nothing useful. However, when merging consecutive actions, the intermediate observations would not be shown to the model, which makes decision making even more difficult.

Finally, we also implement a new Merge action to support merging two supporting facts into one. As mentioned before, Merge is specially designed for extracting a supporting fact that crosses the boundary of two windows. This action is critical to avoid recording fragmentary supporting facts. As shown in Figure 7, Merge takes up a relatively large (5.4%) percentage among all the actions, which is frequently executed by our annotators. This action makes it possible for our annotators to extract extremely long sequences as supporting facts.

Framework. WebGPT does not disclose the implementation details for both interactive web search and information synthesis (i.e., BC model in the original paper). In view of this, we propose our own framework from scratch, with several design choices not mentioned by WebGPT:

We decompose the web search process into 3 distinct sub-tasks, i.e., action prediction, search query generation, and supporting fact extraction. We train 3 modules for each sub-task, respectively. This decomposition allows us to evaluate three modules in isolation and gain a deeper understanding of the strengths and weaknesses of each module. Furthermore, it allows for flexibility in the system, as different modules can be updated or replaced independently.

For our synthesis model, instead of directly finetuning on the (*question, supporting fact, answer*) data, we explore (1) how to teach the model to ignore irrelevant facts (§ 6.3). We achieve this goal by introducing noisy facts into the training data to explicitly force the model to ignore noisy facts, and (2) how to generate novel contents beyond the collected facts (appendix F.1). We corrupt the training data by deleting partial supporting facts and forcing the model to generate novel content based on its pre-trained knowledge.

Evaluation. WebGPT only evaluates the the whole pipeline through human evaluation. In addition to the holistic pipeline evaluation (\S 6.2), we also evaluate each individual module of our pipeline (\S 6.1). To the best of our knowledge, this is the first work to decompose interactive web search into action prediction, search query generation, and supporting fact extraction, and design the evaluation metrics for the three sub-tasks. It should be noted that holistic evaluation requires manual inspection, which is time-consuming despite being more accurate. Additionally, the holistic evaluation can only be conducted through interaction with the interface, whereas the individual sub-task evaluation can be conducted locally (by feeding the ground truth S_t of the test data to each module). As such, individual sub-task evaluation is more flexible to implement, making it easier for hyper-parameter tuning, thus accelerating the development and iteration of the QA system. Besides, individual evaluation is more fine-grained, which helps us better understand the contribution of each part of the pipeline.

Analysis. In addition to evaluating the LFQA performance of our pipeline, we also conduct an indepth analysis to understand the contribution of core design elements of our framework. In § 6.3, we conduct ablation studies for the search model and the synthesis model, and a case study for the query module. We also show that our model indeed acquires humanoid behaviors when interacting with the search engine.

p	0.1	0.2	0.3	0.4	0.5	1.0
NOVELTY Length	$\begin{array}{c} 0.06 \\ 256 \end{array}$	$0.12 \\ 216$	$\begin{array}{c} 0.16 \\ 206 \end{array}$	$0.29 \\ 201$	$0.41 \\ 193$	$0.83 \\ 126$

Table 4: Results when the training data of synthesis model is corrupted with different *p*. We report two metrics for the generated sequence: NOVELTY and Length.

F Additional Experiments and Analyses

F.1 Generating Novel Contents v.s. Copying Supporting Facts

Another fascinating research question of our synthesis model is whether it could generate novel content based on its pre-trained knowledge. This ability is important especially when the collected facts are insufficient or fragmentary. Considering that copying the supporting facts and generating novel contents are often contradictory to each other, here we propose a method to flexibly strike a balance between both.

Framework. Specifically, we propose another way to corrupt the training data of the synthesis model. We split each collected fact into multiple sub-sentences according to punctuation and randomly erase part of these sub-sentences. We set a hyper-parameter $p \in [0, 1]$, which denotes the probability of erasing a sub-sentence. A higher p means more sub-sentences would be removed. After that, we concatenate the remaining sub-sentences into a new fact keeping the original order. Finally, we optimize the model to generate the human-annotated answer conditioned on the corrupted facts, i.e., maximizing:

$$\mathcal{P}(Answer | \mathcal{Q}_0, CORRUPT \{ f_1, ..., f_N \}).$$

Since the corrupted facts are fragmentary, the model learns to reconstruct those missing subsentences relying on its pre-trained knowledge.

Settings. We experiment with CPM_{7B} and follow most of the settings in § 6.1. We test when different p is applied to corrupt the training data. Ideally, a higher p encourages the model to generate more novel content instead of copying the supporting facts. Specifically, we choose pfrom {0.1, 0.2, 0.3, 0.4, 1.0}, where 1.0 means the model sees no supporting facts but is required to generate all the tokens in the annotated answer.

During the evaluation, we feed **the original** intact supporting facts to the trained synthesis

model. For evaluation metrics, we follow Welleck et al. (2019) to test the percentage of n-grams in the generated sequence that do not exist in the supporting facts, i.e.,

 $NOVELTY_n = \frac{|unique \text{ generated } n\text{-}grams|}{|total \ n\text{-}grams \ in \ supporting \ facts|}.$

The final novelty metric is defined as the average of NOVELTY₂, NOVELTY₃, and NOVELTY₄, i.e.,

NOVELTY =
$$\frac{1}{3}$$
 (NOVELTY₂ + NOVELTY₃ + NOVELTY₄).

Besides NOVELTY, we also record the number of generated tokens.

Results. We derive from the results listed in Table 4 that: (1) with p increasing, the metric NOV-ELTY constantly becomes larger. This demonstrates that by deleting more content of the supporting facts during training, we gradually encourage the synthesis model to generate novel content based on its pre-trained knowledge, instead of copying the supporting facts. However, it should also be noted that the generated information that is not included in the collected facts may suffer from poor factual accuracy. We expect future work to mitigate this issue; (2) in addition, with p increasing, the generated sequence tends to be shorter. This shows that only relying on the synthesis model cannot produce diverse, abundant, and informative contents, which emphasizes the importance of information retrieval in LFQA.

G Future Explorations

We expect future works to explore the following directions:

Efficient and Scalable Use. Despite the fascinating feature of interactive web search, such a process is inherently slower to execute than the conventional non-interactive retrieval process of open-domain QA. In this regard, we encourage further explorations in reducing the latency of our pipeline. Possible solutions include improving the speed and memory usage of the PLM.

Extension to Other Languages and Domains. It would be interesting to extend the current approach to other languages beyond Chinese. Considering that the search engine supports multiple languages, our interface can be easily adapted to building benchmarks for other languages. Leveraging the Reference Information. In addition to the annotated answers, we also require the annotators to record which supporting facts are referenced and leveraged in their answers. However, in this paper, we do not utilize this information when training our synthesis model. Intuitively, such information could guide the synthesis model to better organize existing supporting facts in a more coherent way, and to improve its ability in selecting important information and ignoring irrelevant noises.

Diversify the Interactive Elements. In this paper, we focus on supporting the mainstream web search actions for our users. It would interesting to explore incorporating more interactive elements into the interface, such as allowing the users to provide feedback on the retrieved information and supporting multimedia information retrieval. However, more actions also increase the difficulty of behavior cloning to a certain degree.

Improving Model Behavior from Human Feedbacks. WebGPT has demonstrated it is promising to use reinforcement learning from human feedback (RLHF) (Stiennon et al., 2020) to improve the quality of the generated answers. RLHF can also be used for improving the search model's web search behavior, and make it collect more diverse and relevant supporting facts. Our provided environment can be utilized by researchers to study RLHF in the future.

ACL 2023 Responsible NLP Checklist

A For every submission:

- A1. Did you describe the limitations of your work? *Section Limitations (page 9).*
- ✓ A2. Did you discuss any potential risks of your work? Section Ethical Statement (page 9).
- A3. Do the abstract and introduction summarize the paper's main claims? *Section Abstract and Section 1.*
- A4. Have you used AI writing assistants when working on this paper? *Left blank.*

B ☑ Did you use or create scientific artifacts?

Section 3, Section 4, and Section 6.

- B1. Did you cite the creators of artifacts you used? Section 6.1 and Section D.
- ☑ B2. Did you discuss the license or terms for use and / or distribution of any artifacts? Section E.
- \blacksquare B3. Did you discuss if your use of existing artifact(s) was consistent with their intended use, provided that it was specified? For the artifacts you create, do you specify intended use and whether that is compatible with the original access conditions (in particular, derivatives of data accessed for research purposes should not be used outside of research contexts)? *Section 1, Section D, and Section E*
- B4. Did you discuss the steps taken to check whether the data that was collected / used contains any information that names or uniquely identifies individual people or offensive content, and the steps taken to protect / anonymize it? Section Ethical Statement.
- B5. Did you provide documentation of the artifacts, e.g., coverage of domains, languages, and linguistic phenomena, demographic groups represented, etc.? Section 4 and Section C.
- B6. Did you report relevant statistics like the number of examples, details of train / test / dev splits, etc. for the data that you used / created? Even for commonly-used benchmark datasets, include the number of examples in train / validation / test splits, as these provide necessary context for a reader to understand experimental results. For example, small differences in accuracy on large test sets may be significant, while on small test sets they may not be. Section 4 and Section C.

C ☑ Did you run computational experiments?

Section 6 and Section F.

 C1. Did you report the number of parameters in the models used, the total computational budget (e.g., GPU hours), and computing infrastructure used?
 Section D.

The Responsible NLP Checklist used at ACL 2023 is adopted from NAACL 2022, with the addition of a question on AI writing assistance.

✓ C2. Did you discuss the experimental setup, including hyperparameter search and best-found hyperparameter values?
 Section 6.1 and Section D.

C3. Did you report descriptive statistics about your results (e.g., error bars around results, summary statistics from sets of experiments), and is it transparent whether you are reporting the max, mean, etc. or just a single run?

The training is relatively stable across different runs with random seeds.

C4. If you used existing packages (e.g., for preprocessing, for normalization, or for evaluation), did you report the implementation, model, and parameter settings used (e.g., NLTK, Spacy, ROUGE, etc.)?

Not applicable. Left blank.

- **D** Did you use human annotators (e.g., crowdworkers) or research with human participants? *Section 4.*
 - D1. Did you report the full text of instructions given to participants, including e.g., screenshots, disclaimers of any risks to participants or annotators, etc.?
 Section 4, Section B, and Section C.
 - ✓ D2. Did you report information about how you recruited (e.g., crowdsourcing platform, students) and paid participants, and discuss if such payment is adequate given the participants' demographic (e.g., country of residence)?
 Section 4 and Section C.
 - □ D3. Did you discuss whether and how consent was obtained from people whose data you're using/curating? For example, if you collected data via crowdsourcing, did your instructions to crowdworkers explain how the data would be used?
 Not applicable. Left blank.
 - □ D4. Was the data collection protocol approved (or determined exempt) by an ethics review board? *Not applicable. Left blank.*
 - D5. Did you report the basic demographic and geographic characteristics of the annotator population that is the source of the data?
 Not applicable. Left blank.