When Does Translation Require Context? A Data-driven, Multilingual Exploration

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Abstract

Although proper handling of discourse significantly contributes to the quality of machine translation (MT), these improvements are not adequately measured in common translation quality metrics. Recent works in context-aware MT attempt to target a small set of discourse phenomena during evaluation, however not in a fully systematic way. In this paper, we develop the Multilingual Discourse-Aware (MUDA) benchmark, a series of taggers that identify and evaluate model performance on discourse phenomena in any given dataset. The choice of phenomena is inspired by a novel methodology to systematically identify translations requiring context. We confirm the difficulty of previously studied phenomena while uncovering others that were previously unaddressed. We find that common context-aware MT models make only marginal improvements over context-agnostic models, which suggests these models do not handle these ambiguities effectively. We release code and data for 14 language pairs to encourage the MT community to focus on accurately capturing discourse phenomena.¹

1 Introduction

In order to properly translate discourse phenomena including anaphoric pronouns, lexical cohesion, and discourse markers, a machine translation (MT) model must use information from previous utterances (Guillou et al., 2018; Läubli et al., 2018; Toral et al., 2018).

However, while generating proper translations of these phenomena is important for comprehension, they represent a small portion of words in natural language. Therefore, common metrics such as BLEU (Papineni et al., 2002) cannot be used to judge the quality of discourse translation.

Dataset	Lang.	Phenomena				
Müller et al. (2018)	$ $ EN \rightarrow DE	Pronouns				
Bawden et al. (2018)	$EN \rightarrow FR$	Pronouns, Coherence Lexical Consistency				
Voita et al. (2018) Voita et al. (2019b)	$EN \rightarrow RU$	Pronouns Deixis, Ellipsis Lexical Consistency				
Jwalapuram et al. (2020)	$ \begin{array}{c c} DE \rightarrow EN \\ FR \rightarrow EN \\ RU \rightarrow EN \end{array} $	Pronouns, Coherence Lexical Consistency Discourse Connectives				
Our Work	14 Pairs (§5)	Pronouns, Ellipsis Formality Lexical Consistency Verb Forms				

Table 1: Some representative works on contextual machine translation that perform evaluation on discourse phenomena, contrasted to our work. For a more complete review see Maruf et al. (2021).

Recent work on neural machine translation (NMT) models that attempt to incorporate extrasentential context (Tiedemann and Scherrer, 2017; Miculicich et al., 2018; Maruf and Haffari, 2018, inter alia) often perform targeted evaluation of certain discourse phenomena, mostly focusing on ellipsis, formality (Voita et al., 2019b,a), and pronoun translation (Müller et al., 2018; Bawden et al., 2018; Lopes et al., 2020). However, only a limited set of discourse phenomena for a few language pairs have been studied (see summary in Table 1). The difficulty of broadening these studies stems from the reliance of previous work on introspection and domain knowledge to identify the relevant discourse phenomena, frequently involving expert speakers, which then requires engineering complex language-specific methods to create test suites or manually designing data for evaluation.

In this paper, we identify sentences that contain discourse phenomena through a data-driven, semiautomatic methodology. We apply this method to create a multilingual benchmark testing discourse phenomena in the domain of MT. First, we develop P-CXMI (§2) as a metric to identify when context is helpful in MT, or more broadly text generation in general. Then, we perform a systematic analysis of words with high P-CXMI to find categories of trans-

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¹Code available at https://github.com/CoderPat/MuDA . See §A for example usages of our released toolkit

lations where context is useful (§3). We identify novel discourse phenomena that to our knowledge have not been addressed previously (e.g. consistency of verb forms), without requiring *a-priori* language-specific knowledge. Finally, we design a series of methods to automatically tag words belonging to the identified classes of ambiguities (§4) and we evaluate existing translation models for different categories of ambiguous translations (§5).

We examine a parallel corpus spanning 14 language pairs, measuring translation ambiguity and model performance. We find that the contextaware methods, while improving on standard evaluation metrics, only perform significantly better than context-agnostic baselines for certain discourse phenomena in our benchmark. Our benchmark provides a more fine-grained evaluation of translation models and reveals weaknesses of context-aware models, such as verb form cohesion. We also find that DeepL, a commercial document-level translation system, does better in our benchmark than its sentence-level ablation and Google Translate. We hope that the released benchmark and code, as well as our findings, will spur targeted evaluation of discourse phenomena in MT to cover more languages and more phenomena in the future.

2 Measuring Context Usage

2.1 Cross-Mutual Information

Past work on contrastive evaluation has examined correct and incorrect translations of specific discourse phenomena (Bawden et al., 2018; Müller et al., 2018), but this provides only a limited measure of context usage on phenomena defined by the creators of the dataset. We are therefore interested in devising a metric that is able to capture *all* context usage by a model, beyond a predefined set.

Conditional Cross-Mutual Information (CXMI) (Bugliarello et al., 2020; Fernandes et al., 2021) measures the influence of context on model predictions at the corpus level. CXMI is defined as:

$$\begin{split} \mathrm{CXMI}(C \to Y|X) = \\ \mathrm{H}_{q_{MT_A}}(Y|X) - \mathrm{H}_{q_{MT_C}}(Y|X,C), \end{split}$$

where X and Y are a source and target sentence, respectively, C is the context, $H_{q_{MT_A}}$ is the entropy of a *context-agnostic* MT model, and $H_{q_{MT_C}}$ refers to a *context-aware* MT model. This quantity can be estimated over a held-out set with N sentence pairs and their respective context as:

$$\begin{aligned} \text{CXMI}(C \to Y | X) \approx \\ &- \frac{1}{N} \sum_{i=1}^{N} \log \frac{q_{MT_A}(y^{(i)} | x^{(i)})}{q_{MT_C}(y^{(i)} | x^{(i)}, C^{(i)})} \end{aligned}$$

Importantly, the authors find that training a *sin-gle* model q_{MT} as both the context-agnostic and context-aware model ensures that non-zero CXMI values are due to context and not other factors (see Fernandes et al. (2021) and §3.1 for details).

Although this approach is promising, it is defined only at a *corpus level*: as the previous equation shows, CXMI is estimated by over a full set of sentences. Since we are interested in measuring how important context is for single sentences or words within a sentence, we extend this definition to capture lower-level context dependency in the next section.

2.2 Context Usage Per Sentence and Word

Pointwise Mutual Information (P-MI) (Church and Hanks, 1990) measures the association between two random variables for *specific* outcomes. Mutual information can be seen as the expected value of P-MI over all possible outcomes of the variables.

Taking inspiration from this, we define the **Pointwise Cross-Mutual Information** (P-CXMI) for a source, target, context triplet (x, y, C) as:

$$P-CXMI(y, x, C) = -\log \frac{q_{MT_A}(y|x)}{q_{MT_C}(y|x, C)}$$

Intuitively, P-CXMI measures how much more (or less) likely a target sentence y is when it is given context C, compared to not being given that context. Note that this is estimated *according to the models* q_{MT_A} *and* q_{MT_C} since, just like CXMI, this measure depends on their learned distributions.

We can also apply P-CXMI at the *word level* to measure how much more likely a particular word in a sentence is when it is given the context, by leveraging the auto-regressive property of the neural decoder. Given the triplet (x, y, C) and the word index *i*, we can measure the P-CXMI for that particular word as:

$$\mathbf{P}\text{-}\mathbf{CXMI}(i, y, x, C) = -\log \frac{q_{MT_A}(y_i|y_{t < i}, x)}{q_{MT_C}(y_i|y_{t < i}, x, C)}$$

Note that nothing constrains the form of C or even x and P-CXMI can, in principle, be applied to any conditional language modelling problem.

Avelile's mother had HIV virus. Avelile had the virus, she was born with the virus. 阿维利尔 的母亲是携有艾滋病病毒。 <mark>阿维利尔</mark> 也有艾滋病病毒。她一生下来就有。	Lexical Cohesion
<i>Your daughter?</i> Your niece? <i>Votre fille ?</i> Votre nièce ?	Formality (T-V)
Roger. I got'em. Two-Six, this is Two-Six, we're mobile. 了解 捕捉した。 2-6 こちら移動中だ。	Formality (Honorifics)
<i>Our tools today don't look like shovels and picks.</i> They look like the stuff we walk around with. <i>As ferramentas de hoje não se parecem com pás e picaretas.</i> Elas se parecem com as coisas que usamos.	Pronouns
Louis XIV had a lot of people working for him. They made his silly outfits, like this. Luis XIV tenía un montón de gente trabajando para él. Ellos hacían sus trajes tontos, como éste.	Verb Form
They're the ones who know what society is going to be like in another generation. I don't. Ancak onlar başka bir nesilde toplumun nasıl olacağını biliyorlar. Ben bilmiyorum.	Ellipsis

Table 2: Examples of high P-CXMI tokens and corresponding linguistic phenomena. Contextual sentences are *italicized*. The high P-CXMI target token is highlighted in pink, source and contextual target tokens related to the high P-CXMI token are highlighted in blue and green respectively.

We use this metric to find words that are strongly context-dependent, which is to say that their likelihood increases greatly with context relative to other words. These words are the ones that likely correspond to discourse phenomena.

3 Which Translation Phenomena Benefit from Context?

To identify salient translation phenomena that require context, we perform a *thematic analysis* (Braun and Clarke, 2006), examining words with high P-CXMI across different language pairs and manually identifying patterns and categorizing them into phenomena where context is useful for translation.

To do so, we systematically examined (1) the mean P-CXMI per part-of-speech (POS) tag, (2) the words with the highest mean P-CXMI across the corpus, and (3) the individual words with the highest P-CXMI in a particular sentence.

3.1 Data & Model

To compare linguistic phenomena that arise during document-level translation across language pairs, we use a dataset consisting of TED talks' transcripts and translations (Qi et al., 2018). We use this dataset due to its abundance of discourse phenomena, as well as its availability across many parallel languages. We study translation between English and Arabic, German, Spanish, French, Hebrew, Italian, Japanese, Korean, Dutch, Portuguese, Romanian, Russian, Turkish and Mandarin Chinese. These 14 target languages are chosen for their high availability of TED talks and linguistic tools, as well as for the diversity of language types in our comparative study (Table 4 in Appendix B). For each language pair, our dataset contains 113,711 parallel training sentences from 1,368 talks, 2,678 development sentences from 41 talks, and 3,385 testing sentences from 43 talks.

To obtain the P-CXMI for words in the data, we train a small Transformer (Vaswani et al., 2017) model for every target language and incorporate the target context by concatenating it with the current target sentence (Tiedemann and Scherrer, 2017). We train the model with *dynamic* context size (Fernandes et al., 2021), by sampling 0-3 target context sentences and estimating P-CXMI by using this model for q_{MT_A} and q_{MT_C} (details in Appendix G).

3.2 Analysis Procedure

We start our analysis by studying POS tags with high mean P-CXMI. In Appendix C, we report the mean P-CXMI for selected POS tags on test data. Some types of ambiguity, such as dual form pronouns (§3.3), can be linked to a single POS tag and be identified at this step, whereas others require finer inspection.

Next, we inspect the vocabulary items with high mean P-CXMI. At this step, we can detect phenomena that are reflected by certain lexical items that consistently benefit from context for translation.

Finally, we examine individual tokens that obtain the highest P-CXMI. In doing so, we identify patterns that do not depend on lexical features, but rather on syntactic constructions for example. In Table 2, we provide selected examples of tokens that have high P-CXMI and the discourse phenomenon we have identified from them.

3.3 Identified Phenomena

Through our thematic analysis of items with high P-CXMI, we identified various types of translation ambiguity. Unlike previous work, our method requires no prior knowledge of languages and easily scales to new languages (§4.4).

Although this procedure may find phenomena that are intuitive to the annotators, the data-driven approach makes confirmation bias less severe than works relying on introspection. Hence, our procedure can allow us to discover relevant phenomena that have not been previously addressed, such as verb forms. Examples of each phenomenon are given in Table 2.

3.3.1 Lexical Cohesion

Entities may have multiple possible translations in the target language, but the same entity should be referred to by the same word in a translated document. This is called lexical cohesion.

3.3.2 Formality

We identify two phenomena which fall under the general category of formality. First, several languages we examined have a T-V distinction (Appendix B, "Pronouns Politeness") in which the second-person pronouns a speaker uses to refer to someone depend on the relationship between the speaker and the addressee.

Second, languages such as Japanese and Korean use honorifics to indicate formality, which are special titles or words expressing courtesy or respect for position.

3.3.3 Pronoun Choice

Unlike in English, many languages use gendered pronouns for pronouns other than the third-person singular, or assign gender based on formal rules rather than semantic ones. In order to assign the correct pronoun, it is therefore necessary to use the previous context to distinguish the grammatical gender of the antecedent.

3.3.4 Verb Form

While English verbs may have five forms ², other languages may have a more fine-grained verb morphology. For example, English has only a single form for the past tense, while the Spanish past tense consists of six verb forms. Verbs must be translated using the verb form that reflects the tone, mood and cohesion of the document.

3.3.5 Ellipsis

Ellipsis refers to the omission of superfluous words that are able to be inferred from the context. For instance, in the last row of Table 2, the English text does not repeat the verb *know* in the second sentence as it can be understood from the previous sentence. However, in Turkish, there is no natural way to translate the verb-phrase ellipsis, so context is important for translating the verb correctly.

4 Cross-phenomenon MT Evaluation

Next, we we develop a series of methods to automatically tag tokens belonging to these classes of ambiguous translations and propose the **Mu**ltilingual **D**iscourse-Aware (MuDA) benchmark for context-aware MT models.

4.1 MT Evaluation Framework

Given a pair of parallel source and target documents (X, Y), our MuDA tagger assigns one or more tags from a set of discourse phenomena $\{t_i^1, \dots, t_i^n\}$ to each target token $y_i \in Y$. Using the compare-mt toolkit (Neubig et al., 2019), we compute the mean word f-measure of system outputs compared to the reference for each tag. This allows us to identify which discourse phenomena models can translate more or less accurately.



Figure 1: Number of MuDA tags on TED test data. Exact numbers of each tag are given in Appendix D. Number of tags for other document-level datasets can be found in Appendix E.

4.2 Automatic Tagging

We now describe our taggers for each identified discourse phenomenon. Note that these do **not** require C-XMI to be calculated, and are based on reliable methods for identifying each phenomenon mentioned in subsection 3.3. For formality, pronoun choice and verb form, we created language-specific word lists that were verified by native speakers.

Not all phenomena are present in each language. Phenomena that are absent are indicated in Appendix D, as a zero count for that language.

Lexical Cohesion To tag words that require lexical cohesion, we first extract

²(e.g. *write*, *writes*, *wrote*, *written*, *writing*)

word alignments from a parallel corpus $D = \{(X_1, Y_1), \dots, (X_{|D|}, Y_{|D|})\}$, where (X_m, Y_m) denote the source and target reference document pair. We use the AWESOME aligner (Dou and Neubig, 2021) to obtain:

$$A_m = \{ \langle x_i, y_j \rangle \mid x_i \leftrightarrow y_j, x_i \in X_m, y_j \in Y_m \},\$$

where each x_i and y_j are the lemmatized content source and target words and \leftrightarrow denotes a bidirectional word alignment. For each target word y_j that is aligned to source word x_i , if the alignment pair $\langle x_i, y_j \rangle$ occurred at least 3 times already in the current document, excluding the current sentence, we tag y_j for lexical cohesion ³.

Formality For languages with T-V distinction, we tag the target pronouns containing formality distinction if there has previously been a word pertaining to the same formality level in the same document.

Some languages such as Spanish often drop the subject pronoun, and T-V distinction is instead reflected in the verb form. For these languages, we use spaCy (Honnibal and Montani, 2017) and Stanza (Qi et al., 2020) to find POS tags and detect verbs with a second-person subject in the source, and conjugated in the second (T) or third (V) person in the target.

For languages with more complex honorifics systems, such as Japanese, we construct a word list of common honorifics-related words to tag (details in Appendix F.3).

Pronoun Choice To find pronouns in English that have multiple translations, we manually construct a list $P_{\ell} = \{\langle p_s, \mathbf{p}_t \rangle\}$ for each language (Appendix F.2), where each p_s is an English pronoun and \mathbf{p}_t the list of possible translations of p_s in the language ℓ . Then, for each aligned token pair $\langle x_i, y_j \rangle$, if x_i, y_j are both pronouns with $\langle x_i, \mathbf{p}_t | y_j \in \mathbf{p}_t \rangle \in P_{\ell}$, and the antecedent of x_i is *not* in current sentence, we tag y_j as an ambiguous pronoun. To obtain antencedents, we use AllenNLP (Gardner et al., 2017)'s coreference resolution module. This procedure is similar to Müller et al. (2018).

Verb Form For each target language, we define a list $V_{\ell} = \{v_1, \dots, v_k\}$ of verb forms (Appendix F.3) where $v_i \in V_{\ell}$ if there exists a verb form in English u_j and an alternate verb form $v_k \neq v_i$ in the target language such that an English verb with form u_j may be translated to a target verb with form v_i or v_k depending on the context. Then, for each target token y_j , if y_j is a verb of form $v_j \in V_\ell$, and another verb with form v_j has appeared previously in the same document, we tag y_j as ambiguous.

Ellipsis To detect translation ambiguity due to VP and NP ellipsis, we look for instances where the ellipsis occurs on the source side, but not on the target side, which means that the ellipsis must be resolved during translation. Since existing ellipsis models are limited to specific types of ellipsis, we first train an English (source-side) ellipsis detection model. To do so, we extract an ellipsis dataset from the English data in the Penn Treebank (Marcus et al., 1993) and train a BERT text classification model (Devlin et al., 2019), which achieves 0.77 precision and 0.73 recall (see Appendix F.4 for training details). Then, for each sentence pair where the source sentence is predicted to contain an ellipsis, we tag the word y_i in the target sentence Y_m if: (1) y_i is a verb, noun, proper noun or pronoun; (2) y_j has occurred in the previous target sentences of the same document; (3) y_i is not aligned to any source words, that is, $\not\exists x_i \in X_m$ s.t. $\langle x_i, y_i \rangle \in A_m$.

4.3 Evaluation of Automatic Tags

We apply the MuDA tagger to the reference translations of our TED talk data. We thus obtain an evaluation set of 3,385 parallel sentences for each of the 14 language pairs. In Appendix C we report the mean P-CXMI for each language and MuDA tag. Overall, we find higher P-CXMI on tokens with a tag compared to those without, which provides empirical evidence that models indeed rely on context to predict words with MuDA tags.

Appendix D shows that the frequency of tags varies significantly across languages. Overall, only 4.5% of the English sentences have been marked for ellipsis, giving an upper bound for the number of ellipsis tags in other languages. We find that languages from a different family than English have a relatively high number of ellipsis tags. We also find that Korean and especially Japanese have more formality tags than languages with T-V distinction, which reflects that register is more often important when translating to languages with honorifics.

Manual Evaluation To evaluate our tagger, we asked native speakers with computational linguistics backgrounds to manually verify MuDA tags for 8 languages on 50 randomly selected utterances as well as all words tagged with *ellipsis* in our corpus. This allows us to measure how many automatic

³This threshold of 3 can also be changed within the tagger.

	lexical formality		pronouns	verb form	ellipsis
es	1.00	0.92	1.00	1.00	0.53
fr	1.00	1.00	1.00	0.94	0.43
ja	1.00	1.00	1.00	-	0.41
ko	1.00	0.94	-	-	0.26
pt	0.99	0.88	1.00	-	0.31
ru	1.00	1.00	-	1.00	0.50
tr	1.00	1.00	-	1.00	0.57
zh	1.00	1.00	-	-	0.78

Table 3: Precision of MuDA tags on 50 utterances.

tags violate the given definition of the linguistic tag. Table 3 reports the tags' precision 4 .

For all languages, we obtain high precision for all tags except *ellipsis*, confirming that the methodology can scale to languages where no native speakers were involved in developing the tags. For *ellipsis*, false positives often come from one-to-many or non-literal translations, where the aligner does not align all target words to the corresponding source word. We believe that the *ellipsis* tagger is still useful in selecting difficult examples that require context for translation; despite the low precision, we find a significantly higher P-CXMI on *ellipsis* words for many languages (Appendix C).⁵

4.4 Extension to New Languages

While MuDA currently supports 14 language pairs, our methodology can be easily extended to new languages. The *lexical* and *ellipsis* tags can be directly applied to other languages provided a word aligner between English and the new target language. The *formality* tag can be extended by adding a list of pronouns or verb forms related to formality in the new language. Similarly, the *pronouns* and *verb forms* tag can also be extended by providing a list of ambiguous pronouns and verb forms.

Exhaustively listing all relevant phenomena in document-level MT is extremely complex and beyond the scope of our paper. To identify new discourse phenomena on other languages, our thematic analysis can be reused as follows: (1) Train a model with dynamic context size on translation between the new language pair; (2) Use the model to compute P-CXMI for words in a parallel documentlevel corpus of the language pair; (3) Manually analyze the POS tags, vocabulary items and individual tokens with high P-CXMI; (4) Link patterns of tokens with high P-CXMI to particular discourse phenomena by consulting linguistic resources.

5 Exploring Context-aware MT

Our MuDA tagger can be applied to documents in the supported languages to create benchmarking datasets for discourse phenomena during translation. We use our benchmark of the TED talk dataset enhanced with MuDA tags to perform an exploration of context usage across languages with 4 models, including commercial systems.

5.1 Trained Models

We train a sentence-level and document-level concatenation-based small transformer (base) for every target language. While conceptually simple, concatenation approaches have been shown to outperform more complex models when properly trained. For the context-aware model, the major difference from §3.1 is that we use a *static* context size of 3, since we are not using these models to measure P-CXMI. (Lopes et al., 2020).

To evaluate stronger models, we additionally train a large transformer model (large) that was pretrained on a large, sentence-level corpora, for German, French, Japanese and Chinese. Further details can be found in Appendix G.

5.2 Commercial Models

To assess if commercially available machine translation engines are able to leverage context and therefore do well in MuDA, we consider two engines:⁶ (1) the *Google Cloud Translation* v2 API. In early experiments, we assessed that this model only does sentence-level translation, but included it due to its widespread usage; (2) the *DeepL* v2 API. This model advertises its usage of context as part of translations and our experiments confirm this. Early experimentation with other providers (Amazon and Azure) indicated that these are not contextaware so we refrained from evaluating them.

To obtain provider translations, we feed the documents into an API request. To re-segment the translation into sentences, we include special marker tokens in the source that are preserved during translation and split the translation on those tokens. We also evaluate a *sentence-level* version of DeepL where we feed each sentence separately to compare with its document-level counterpart.

⁴Workers were paid 20\$/hour.

⁵Also note that wrongly assigned tags should also not penalize a system greatly as it should give a low score only if the translation does not match the falsely tagged word.

⁶translate.google.com, deepl.com. Translations were obtain from version of engines available in **April 2021**



Figure 2: Impact of context on BLEU, COMET, and Word f-measure per tag for base context-aware models. BLEU, COMET and word f-measures statistically significantly higher than no-context (p < 0.05) are marked with *. Languages for which the phenomenon doesn't exist are marked with \emptyset . BLEU scores are normalized between [0,1]



Figure 3: Impact of context on BLEU, COMET, and Word f-measure per tag for large models. Values that are statistically significantly higher than no-context (p < 0.05) are marked with *. Languages for which the phenomenon doesn't exist are marked with \emptyset . BLEU scores are normalized between [0,1]

5.3 Results and Discussion

Figure 2 shows results for base models, trained either without (no-context) or with context, and for the latter with either *predicted* (context) or *reference* context (context-gold) during decoding. Results are reported with respect to standard MT metrics BLEU (Papineni et al., 2002) and COMET (Rei et al., 2020), as well as the MuDA benchmark. The corpus-level metrics BLEU and COMET are calculated over the entire corpus, rather than just the sentences tagged by MuDA.

First, we find that BLEU scores are highest for context-gold models for most language pairs, but context-gold models have higher COMET scores. Moreover, in terms of mean word f-measure overall, we do not find significant differences between the three systems. It is therefore difficult to see which system performs the best on documentlevel ambiguities using only corpus-level metrics.

For words tagged by MuDA as requiring context for translation, context-aware models often achieve significantly higher word f-measure than contextagnostic models on certain tags such as *ellipsis* and *formality*, but not on other tags such as *lexical* and *verb form*. This demonstrates how MuDA allows us to clarify which inter-sentential ambiguities context-aware models are able to resolve.

For the pretrained large models (Figure 3), context-aware models perform better than the context-agnostic on corpus-level metrics, especially COMET. On words tagged with MuDA, context-aware models generally obtain the high-



Figure 4: Scores for commercial models. DeepL (doc) BLEU, COMET and word f-measures statistically significantly higher than DeepL (sent) are marked with *. Languages for which neither DeepL or Google translations are available are marked with \emptyset . BLEU scores are normalized between [0,1]

est f-measure as well, particularly when given reference context, especially on phenomena such as *lexical* and *pronouns*, but improvements are less pronounced than on corpus-level evaluation.

Among commercial engines (Figure 4), DeepL outperforms Google on most metrics and language pairs. The sentence-level ablation of DeepL performs worse than its document-level system for most MuDA tags.

Current context-aware MT systems translate some inter-sentential discourse phenomena well, but are unable to consistently obtain significant improvements over context-agnostic counterparts on challenging MuDA data. Tables with all results can be found in Appendix H.

6 Related Work

Several works have worked on measuring the performance of MT models on contextual discourse phenomena. The first example of this was done by Hardmeier et al. (2010), which evaluated automatically the precision and recall of pronoun translation in statistical MT systems. Jwalapuram et al. (2019) proposed evaluating models on pronoun translation based on a pairwise comparison between translations that were generated with and without context, and later Jwalapuram et al. (2020) extended this work to include more languages and phenomena in their automatic evaluation/test set creation. These works rely on prior domain knowledge and intuition to identify context-aware phenomena, whereas we take a systematic, data-driven approach.

Most works have focused on evaluating performance in discourse phenomena through the use of *contrastive datasets*. Müller et al. (2018) automatically create a dataset for anaphoric pronoun resolution to evaluate MT models in EN \rightarrow DE. Bawden et al. (2018) manually creates a dataset for both pronoun resolution and lexical choice in EN \rightarrow FR. Voita et al. (2018, 2019b) creates a dataset for anaphora resolution, deixis, ellipsis and lexical cohesion in EN \rightarrow RU. However, Yin et al. (2021) suggest that *translating* and *disambiguating* between two contrastive choices are inherently different, motivating our approach in measuring direct translation performance.

7 Conclusions and Future Work

We investigate types of ambiguous translations where MT models benefit from context using our proposed P-CXMI metric. We perform a datadriven thematic analysis across 14 languages to identify context-sensitive discourse phenomena, some of which (such as *verb forms*) have not been previously addressed in work on MT. In comparison to previous work, our approach is systematic, extensible, and does not require prior knowledge of the language. Additionally, the P-CXMI metric can be used to identify other context-dependent words in generation. We construct the MuDA benchmark that tags words in parallel corpora and evaluates models on 5 context-dependent phenomena. Our evaluation reveals that context-aware and commercial translation systems achieve small improvements over context-agnostic models on our benchmark, and we encourage further development of models that improve on context-aware translation.

Limitations

While MuDA relies on set of hand-crafted rules for tagging specific phenomena, these rules might involve the use of other error-prone systems (such as coreference resolution and alignment models) and these errors might be susceptible to problems (such as lack of out-of-domain generalization) that could limit the applicability of our tagger. However, this could be fixed by extending MuDA to use newer and better versions of these systems.

The use of F-1 per tag with surface-form matching between reference/translation can also lead to penalizing translations that use context correctly but choose other equivalent words. Nevertheless, this should also be mitigable by extending the scoring method to, for example, match synonyms.

Finally, the benchmarking of context-aware models might not apply to newer, state-of-the-art translation models, especially if these leverage large language models that were trained on long-context data.

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Language	Family	Word Order	Pronouns Politeness	Gendered Pronouns	Gender Assignment	
Arabic	Afro-Asiatic	VSO	None	1 and/or 2 and 3	Semantic-Formal	
English	Indo-European	SVO	None	3.Sing	Semantic	
German	Indo-European	SOV/SVO	Binary	3.Sing	Semantic-Formal	
Spanish	Indo-European	SVO	Binary	1 and/or 2 and 3	Semantic-Formal	
French	Indo-European	SVO	Binary	3.Sing	Semantic-Formal	
Hebrew	Afro-Asiatic	SVO	VO None 1 and/or 2 and		Semantic-Formal	
Italian	Indo-European	SVO	Binary	3.Sing	Semantic-Formal	
Japanese	Japonic	SOV	Avoided	3	None	
Korean	Koreanic	SOV	Avoided	3.Sing	None	
Dutch	Indo-European	SOV/SVO	Binary	3.Sing	Semantic-Formal	
Portuguese	Indo-European	SVO	Binary	3.Sing	Semantic-Formal	
Romanian	Indo-European	SVO	Multiple	3.Sing	Semantic-Formal	
Russian	Indo-European	SVO	Binary	3.Sing	Semantic-Formal	
Turkish	Turkic	SOV	Binary	None	None	
Mandarin	Sino-Tibetan	SVO	Binary	3.Sing	None	

Table 4: Properties of the languages in our study.

A MuDA Toolkit Usage

To tag an existing dataset and extract the tags for later use, run the following command:

```
python muda/main.py \
 --src /path/to/src \
 --tgt /path/to/tgt \
 --docids /path/to/docids \
 --dump-tags /tmp/maia_ende.tags \
 --tgt-lang lang
```

To evaluate models on a particular dataset (reporting per-tag metrics discussed in this paper), run the following command:

```
python muda/main.py \
 --src /path/to/src \
 --tgt /path/to/tgt \
 --docids /path/to/docids \
 --hyps /path/to/hyps.m1 /path/to/hyps.m2 \
 --tgt-lang lang
```

B Language Properties

Table 4 summarizes the properties of the languages analyzed in this work.

C P-CXMI Results

Table 5 presents the average P-CXMI value per POS tag and per MuDA tag.

D Tag Numbers

Table 6 lists the counts of each tag per language.

E Tagging other Document-level Datasets

We report the number of tags found for two other document-level datasets commonly used in the literature: (1) IWSLT-17 (Cettolo et al., 2012) test sets for EN \rightarrow DE and EN \rightarrow FR and (2) A randomly subsampled portion of the news-commentary dataset for EN \rightarrow {AR, DE, ES, FR, NL, PT, RU, ZH} (Barrault et al., 2019). These results can be found respectively in Figure 5 and Figure 6.



Figure 5: Number of tags for EN \rightarrow DE and EN \rightarrow FR in the IWSLT17 dataset. Lexical cohesion and verb form are common phenomena in this dataset.



Figure 6: Number of tags across languages in the news-commentary dataset. Lexical cohesion and verb form are common phenomena in this dataset.

	ar	de	es	fr	he	it	ja	ko	nl	pt	ro	ru	tr	zh
CXMI	0.073	0.008	0.011	0.011	0.021	0.015	0.067	0.035	0.005	0.009	0.051	0.015	0.016	0.081
P-CXMI	0.075	0.005	0.011	0.021	0.023	0.016	0.059	0.038	0.002	0.013	0.049	0.015	0.014	0.057
ADJ	0.017	-0.014	-0.011	0.000	-0.037	-0.008	0.001	-0.002	-0.006	-0.005	0.020	0.015	-0.006	0.007
ADP	0.017	-0.001	-0.004	-0.004	-0.006	-0.005	0.005	0.014	-0.005	-0.001	0.011	-0.003	-0.005	-0.001
ADV	0.038	-0.011	0.008	0.002	0.007	0.005	0.005	-0.006	0.001	0.011	0.062	0.023	-0.013	0.009
AUX	0.053	0.010	0.002	0.010	0.008	0.036	0.012	0.032	0.010	0.010	0.048	0.045	0.055	0.007
CCONJ	0.044	0.025	0.024	0.005	0.012	0.043	0.034	-0.020	0.010	0.009	0.165	0.042	-0.007	-0.023
DET	0.006	0.004	0.006	0.002	-0.004	0.001	0.011	0.043	-0.007	0.002	0.046	0.018	0.011	0.008
INTJ	-0.066		-0.024	0.013	0.010	-0.015	-0.087	0.004	0.037	-0.019	0.031	-0.041	-0.009	
NOUN	0.012	-0.010	0.000	0.010	-0.001	0.000	-0.008	0.003	-0.011	-0.003	0.044	-0.010	-0.006	-0.002
NUM	0.011	-0.005	-0.005	-0.008	0.002	0.017	0.019	-0.046	-0.002	0.009	0.008	0.025	-0.000	0.004
PART	0.025	-0.007	0.029	0.063		-0.718	0.006				0.018	0.016		-0.006
PRON	0.019	0.014	-0.002	0.021	0.039	0.003	-0.009	0.047	0.006	0.013	0.029	0.023	0.000	0.023
PRON.1	0.015	0.011	0.009	0.015	0.043	0.021			0.008	0.015	0.046	0.015	-0.012	0.025
PRON.1.Plur	0.027	0.007	-0.002	0.008	0.082	0.004				0.045	0.012	0.013	-0.022	0.033
PRON.1.Sing	-0.036	0.014	0.017	0.020	0.016	0.037				0.001	0.075	0.015	-0.006	
PRON.2	0.040	0.222	-0.020	0.037	0.108	0.015			0.013	0.171	-0.017	0.103	-0.026	0.009
PRON.2.Plur	0.075	-0.055	-0.019	-0.008	0.088	0.011					-0.008	0.069	-0.024	
PRON.2.Sing	0.009	0.226	-0.021	0.357	0.125	0.052					-0.033	0.412	-0.038	
PRON.3	0.018	0.026	-0.009	0.024	0.031	-0.020			0.004	0.033	0.029	0.042	0.008	0.045
PRON.3.Dual	0.057													
PRON.3.Plur	0.016	0.017	-0.021	0.037	0.050	0.024				0.058	0.062	0.038	0.047	0.038
PRON.3.Sing	0.017	0.032	0.000	0.030	0.026	0.009				0.014	0.046	0.044	-0.001	
PRON.Plur		0.001	0.018	0.096		0.021				0.003		-0.027		
PRON.Sing		0.002	-0.005	0.025	-0.004	0.005				0.002		0.007		
PROPN	0.016	-0.014	-0.002	0.018	0.017	-0.016	-0.018	0.003	-0.005	-0.013	0.007	0.021	-0.014	0.005
PUNCT	0.129	0.007	0.012	0.001	0.019	0.019	0.353	0.017	0.018	0.021	0.005	0.017	0.022	0.106
SCONJ	0.137	-0.001	0.017	0.001	0.007	-0.000	0.004	0.005	0.005	0.003	0.044	-0.001		
SYM	0.050	0.081	0.136	0.152		0.017	-0.034	-0.014	-0.010	-0.071		-0.040		0.015
VERB	0.042	0.006	0.004	0.003	0.007	0.004	0.008	0.036	0.002	0.005	0.047	0.015	0.014	0.015
VERB.Fut			0.043	0.004	0.019	0.008				-0.001		-0.018	0.007	
VERB.Imp			0.039	0.010		0.057				0.029	0.069			
VERB.Past		0.041	0.011	0.009	0.008	0.007			-0.001	0.005	-0.009	0.064	0.010	
VERB.Pres		0.013	0.001	-0.001		-0.006			0.011	0.014	0.039	0.002	0.016	
ellipsis	0.052	-0.053	-0.111	0.055	0.071	0.019	0.020	0.022	0.037	-0.070	0.111	-0.020	-0.041	0.082
formality		0.038	0.077	0.040		0.048	0.036	0.022	0.014	0.008	0.008	0.107	-0.073	0.012
lexical	-0.006	0.003	0.011	-0.001	0.003	0.001	-0.007	-0.008	-0.004	0.002	0.034	-0.002	0.008	0.004
no tag	0.041	0.001	0.003	0.005	0.005	0.006	0.011	0.013	0.002	0.005	0.036	0.009	0.003	0.017
pronouns	0.028	0.068	-0.002	0.055		0.006	-0.027			0.055	0.008			
verb form			0.042	0.009	0.009	0.041			-0.002		0.046	0.065	0.013	
with tag	-0.001	0.024	0.018	0.021	0.005	0.013	0.023	0.005	0.001	0.010	0.034	0.056	0.002	0.009

Table 5: P-CXMI for all POS tags and our ambiguity tags. In the top two rows, CXMI is the average of P-CXMI for each sentence across the corpus, and P-CXMI is the average of P-CXMI over all tokens in the corpus. Per-tag values are the average of P-CXMI for each token with the tag. The 3 highest P-CXMI scores are highlighted in varying intensities of green.

F Tagger Details

F.1 Formality Words

Table 7 gives the list of words related to formality for each target language.

F.2 Ambiguous Pronouns

Table 8 provides English pronouns and the list of possible target pronouns.

F.3 Ambiguous Verbs

Table 9 lists verb forms that may require disambiguation during translation.

F.4 Ellipsis Classifier

We train a BERT text classification model (Devlin et al., 2019) on data from the Penn Treebank, where we labeled each sentence containing the tag '*?*' as containing ellipsis (Bies et al., 1995). We obtain 248,596 sentences total, with 2,863 tagged as ellipsis. Then, our model using HuggingFace Transformers (Wolf

	pronouns	formality	verb form	lexical	ellipsis
ar	90	0	0	116	982
de	398	1000	0	19	1356
es	245	86	409	15	1496
fr	1591	839	1938	48	1586
he	0	0	468	122	1210
it	182	118	484	31	1320
ja	245	3328	0	94	990
ko	0	221	0	71	373
nl	0	783	1060	27	1590
pt_br	372	515	0	27	1677
ro	60	407	792	53	1002
ru	0	466	2091	41	668
tr	0	30	47	137	704
zh_cn	0	526	0	49	1092

Table 6: Total number of MuDA tags on TED test data. '0' indicates that the phenomenon does not apply to that language.

et al., 2020). To address the imbalance in labels, we up-weight the loss for samples tagged as ellipsis by a factor of 100.

G Training details

The *transformer-small* model has hidden size of 512, feedforward size of 1024, 6 layersa and 8 attention heads. The *transformer-large* model has hidden size of 1024, feedforward size of 4096, 6 layers, 16 attention heads.

As in Vaswani et al. (2017), we train using the Adam optimizer with $\beta_1 = 0.9$ and $\beta_2 = 0.98$ and use an inverse square root learning rate scheduler, with an initial value of 10^{-4} for large model and 5×10^{-4} for the base and multi models, with a linear warm-up in the first 4000 steps.

For the pretrained models we used Paracrawl (Esplà et al., 2019) for German and French, JParacrawl (Morishita et al., 2020) for Japanese and the Backtranslated News from WMT2021 for Chinese.

Due to the sheer number of experiments, we use a single seed per experiment.

We base our experiments on the framework Fairseq (Ott et al., 2019).

H Results Tables

de	du sie
es	tú, tu, tus, ti, contigo, tuyo, te, tuya usted, vosotros, vuestro, vuestra, vuestras, os
fr	tu, ton,ta, tes, toi, te, tien, tiens, tienne, tiennes vous, votre, vos
it	tu, tuo, tua, tuoi lei, suo, sua, suoi
ja	だ, だっ, じゃ, だろう, だ, だけど, だっ ござい, ます, いらっしゃれ, いらっしゃい, ご覧, 伺い, 伺っ, 存知, です, まし
ko	제가, 저희, 나 댁에, 성함, 분, 생신, 식사, 연세, 병환, 약주, 자제분, 뵙다, 저
nl	jij, jouw, jou, jullie, je u, men, uw
pt	tu, tua, teu, teus, tuas, te você, sua, seu, seus, suas, lhe
ro	tu, el, ea, voi, ei, ele, tău, ta, tale, tine dumneavoastră, dumneata, mata,matale,dânsul, dânsa dumnealui,dumneaei, dumnealor
ru	ты, тебя, тебе, тобой, твой, твоя, твои,тебе вы, вас, вам, вами, ваш, ваши
tr	sen, senin siz, sizin
zh	你 您

Table 7: Words related to formality for each target language.

	you	المستركبة المستركبة المستركبة المستركبة المستركبة المسترك المست
ar	•	انت، انتَ، انتِ، انتى، أنتم ، أنتن، انتو، أنتما، أنتما
ui	it	هو ، هي
	they, them	هو ، هي هم ، هن ، هما
de	it	er, sie, es
	it	él, ella
	they, them	ellos, ellas
20	this	ésta, éste, esto
es	that	esa, ese
	these	estos, estas
	those	aquellos, aquellas, ésos, ésas
	it	il, elle, lui
	they, them	ils, elles
	we	nous, on
fr	this	celle, ceci
	that	celle, celui
	these, those	celles, ceux
	it	esso, essa
	this	questa, questo
it	that	quella, quello
п	these	queste, questi
	those	quelle, quelli
ja	Ι	私, 僕, 俺
	it	ele, ela, o, a
	them	eles, elas, os, as
pt	they	eles, elas
	this, that	este, esta, esse, essa
	these, those	estes, estas, esses, essas
	it	el, ea
ro	they, them	ei, ele

Table 8: Ambiguous pronouns w.r.t. English for each target language.

es	Imperfect, Pluperfect, Future
fr	Imperfect, Past, Pluperfect
he	Imperfect, Future, Pluperfect
it	Imperfect, Pluperfect, Future
nl	Past
pt	Pluperfect
ro	Imperfect, Past, Future
ru	Past
tr	Pluperfect

Table 9: Ambiguous verb forms w.r.t. English for each target language.

		ar	de	es	fr	he	it	ja	ko	nl	pt	ro	ru	tr	zh
	no-context	17.25	28.02	35.72	37.74	32.70	32.30	7.10	6.80	32.22	39.03	25.36	17.00	12.32	15.96
BLEU	context	16.92	28.24	36.00	37.23	32.92	32.11	4.48	3.77	32.67	39.10	25.37	17.14	11.97	15.01
	context-gold	<u>18.61</u>	28.60	36.27	37.96	33.41	32.37	5.96	6.92	32.73	<u>39.55</u>	<u>28.49</u>	17.70	12.49	16.05
	no-context	0.0002	0.1841	0.3809	0.3087	0.0948	0.2608	-0.5366	-0.0275	0.3105	0.4562	0.3826	0.0033	0.2113	-0.1419
COMET	context	-0.0066	0.1846	0.3875	0.2811	0.0887	0.2496	-0.7728	-0.3339	0.3238	0.4444	0.3747	-0.0190	0.1831	-0.1917
	context-gold	0.0025	0.1886	0.3879	0.2821	0.0922	0.2467	-0.6827	-0.1000	0.3218	0.4506	0.3805	-0.0173	0.1871	-0.1274
	no-context	0.374	0.387	0.210	0.400	0.439	0.259	0.123	0.169	0.400	0.342	0.333	0.255	0.165	0.145
ellipsis	context	0.325	0.323	0.333	0.406	0.389	0.400	0.021	0.033	0.471	0.450	0.270	0.292	0.240	0.135
	context-gold	0.388	0.296	0.300	0.435	0.371	0.381	0.025	0.150	0.444	0.450	0.306	0.226	0.187	0.154
	no-context	_	0.607	0.370	0.792	_	0.429	0.443	0.399	0.682	0.599	0.434	0.464	0.097	0.691
formality	context	-	0.639	0.351	0.791	-	0.462	0.414	0.397	0.694	0.600	0.405	0.469	0.083	0.695
	context-gold	-	0.661	0.443	0.803	-	0.464	0.431	0.425	0.697	0.622	0.440	0.492	0.182	<u>0.741</u>
	no-context	0.639	0.762	0.819	0.826	0.723	0.766	0.615	0.574	0.821	0.853	0.661	0.624	0.671	0.645
lexical	context	0.630	0.736	0.833	0.830	0.722	0.772	0.572	0.524	0.825	0.851	<u>0.689</u>	0.624	0.647	0.644
	context-gold	<u>0.675</u>	0.737	0.832	0.832	0.727	0.773	0.614	0.593	0.828	0.857	<u>0.713</u>	0.625	0.647	<u>0.676</u>
	no-context	0.660	0.613	0.576	0.774	_	0.548	0.473	-	_	0.452	0.356	-	_	_
pronouns	context	0.691	0.614	0.538	0.771	-	0.549	0.377	-	-	0.451	0.414	-	-	-
	context-gold	0.700	0.624	0.550	0.788	-	0.530	0.428	-	-	0.485	0.432	-	-	-
	no-context	-	-	0.263	0.435	0.227	0.308	-	-	0.477	-	0.292	0.215	0.128	-
verb tense	context	-	-	0.287	0.442	0.229	0.282	-	-	0.479	-	0.292	0.215	0.094	-
	context-gold	-	-	0.272	0.435	0.229	0.285	-	-	0.487	-	0.328	<u>0.238</u>	0.120	-

Table 10: BLEU, COMET, and Word f-measure per tag for base context-aware models. BLEU, COMET and word f-measures statistically significantly higher than no-context (p < 0.05) are <u>underlined</u>.

	de	fr	ja	zh
no-context	36.09	45.64	15.55	22.15
context	35.86	45.40	12.68	22.68
context-gold	<u>36.69</u>	46.60	16.60	<u>22.98</u>
no-context	0.5256	0.6332	0.0602	0.1160
context	0.5337	0.6425	0.0753	0.2705
context-gold	<u>0.5427</u>	<u>0.6529</u>	<u>0.1808</u>	<u>0.2809</u>
no-context	0.429	0.462	0.126	0.254
context	0.518	0.393	0.068	0.230
context-gold	0.444	0.444	0.144	0.209
no-context	0.642	0.824	0.510	0.747
context	0.640	0.810	0.513	0.739
context-gold	0.692	0.820	<u>0.537</u>	0.739
no-context	0.773	0.864	0.704	0.661
context	0.776	0.868	0.699	0.671
context-gold	<u>0.796</u>	<u>0.875</u>	<u>0.740</u>	<u>0.696</u>
no-context	0.633	0.790	0.493	_
context	0.635	0.795	0.541	_
context-gold	0.665	0.801	0.536	-
no-context	_	0.526	_	_
context	-	0.532	-	-
context-gold	-	0.534	-	-
	context context-gold no-context context-gold no-context context-gold no-context context-gold no-context context-gold no-context context-gold no-context context-gold no-context context-gold no-context context-gold	no-context 36.09 context 35.86 context-gold 36.69 no-context 0.5256 context-gold 0.5427 no-context 0.5427 no-context 0.5427 no-context 0.642 context-gold 0.429 context 0.642 context 0.642 context 0.640 context-gold 0.642 no-context 0.642 context-gold 0.642 context 0.773 context 0.776 context-gold 0.796 no-context 0.633 context-gold 0.635 context-gold 0.665 no-context – context-gold 0.665	no-context context 36.09 36.69 45.64 45.40 context-gold 36.69 46.60 no-context 0.5256 0.6332 context-gold 0.5427 0.6529 no-context 0.5427 0.6529 no-context 0.5427 0.6529 no-context 0.5427 0.6529 no-context 0.429 0.462 context-gold 0.444 0.444 no-context 0.642 0.824 context-gold 0.642 0.820 no-context 0.640 0.810 context-gold 0.692 0.820 no-context 0.773 0.864 context-gold 0.796 0.875 no-context 0.633 0.790 context-gold 0.635 0.795 context 0.635 0.801 no-context 0.635 0.801 no-context 0.635 0.801 no-context 0.635 0.801 no-context -	no-context 36.09 45.64 15.55 context 35.86 45.40 12.68 context-gold 36.69 46.60 16.60 no-context 0.5256 0.6332 0.0602 context 0.5256 0.6332 0.0602 context 0.5427 0.6529 0.1808 no-context 0.429 0.462 0.126 context 0.518 0.393 0.068 context 0.642 0.824 0.510 context 0.642 0.824 0.513 context 0.642 0.820 0.537 no-context 0.692 0.820 0.537 no-context 0.773 0.864 0.704 context 0.633 0.790 0.493 context 0.635 0.795 0.541 context 0.635 0.801 0.536 no-context 0.665 0.801 0.536 no-context 0.635 0.795 0.541 context-gold 0.665 0.801 0.536 no-context $ 0.526$ $-$ context $ 0.532$ $-$

Table 11: Word f-measure per tag for large models. BLEU, COMET, word f-measures statistically significantly higher than no-context (p < 0.05) are underlined.

		ar	de	es	fr	he	it	ja	ko	nl	pt	ro	ru	tr	zh
	Google	11.73	34.76	43.47	30.77	10.77	31.34	12.98	8.77	38.51	38.49	28.54	24.79	18.22	28.92
BLEU	DeepL (sent)	x	34.29	42.00	42.57	х	35.41	14.88	х	37.58	37.37	28.98	25.67	х	27.94
	DeepL (doc)	x	<u>36.75</u>	<u>43.06</u>	<u>43.43</u>	х	<u>36.04</u>	15.66	х	38.29	<u>37.76</u>	<u>29.79</u>	<u>26.53</u>	х	27.34
	Google	0.3862	0.5480	0.7694	0.6655	0.3666	0.6707	0.2116	0.4721	0.6401	0.7925	0.7437	0.5121	0.7254	0.3697
COMET	DeepL (sent)	x	0.5750	0.7680	0.7121	х	0.6951	0.2973	х	0.6321	0.7513	0.8026	0.5501	х	0.3739
	DeepL (doc)	x	<u>0.5848</u>	<u>0.7882</u>	<u>0.7267</u>	х	<u>0.7049</u>	0.2343	х	0.6357	0.7572	0.8121	0.5495	х	0.2453
	Google	0.343	0.667	0.500	0.306	0.359	0.468	0.279	0.352	0.389	0.632	0.405	0.367	0.236	0.323
ellipsis	DeepL (sent)	x	0.417	0.400	0.422	х	0.500	0.275	х	0.500	0.421	0.458	0.385	х	0.303
	DeepL (doc)	x	0.435	0.526	0.493	х	0.553	0.208	х	0.500	0.359	0.532	0.385	х	0.295
	Google	-	0.621	0.404	0.738	-	0.458	0.489	0.300	0.638	0.633	0.479	0.512	0.367	0.599
formality	DeepL (sent)	-	0.641	0.419	0.733	-	0.455	0.487	х	0.610	0.625	0.533	0.533	х	0.729
	DeepL (doc)	-	0.670	0.446	0.785	-	0.503	0.520	х	0.641	0.614	0.526	0.534	х	0.664
	Google	0.665	0.786	0.854	0.827	0.697	0.794	0.602	0.611	0.825	0.860	0.700	0.635	0.677	0.693
lexical	DeepL (sent)	x	0.773	0.840	0.860	х	0.805	0.657	х	0.799	0.848	0.714	0.653	х	0.660
	DeepL (doc)	x	0.776	0.841	<u>0.872</u>	х	0.812	0.640	х	0.802	0.846	0.713	0.649	х	0.657
	Google	0.670	0.648	0.626	0.757	-	0.511	0.486	-	-	0.488	0.326	-	-	-
pronouns	DeepL (sent)	x	0.608	0.538	0.737	-	0.543	0.526	-	-	0.483	0.394	-	-	-
	DeepL (doc)	x	<u>0.706</u>	<u>0.588</u>	<u>0.789</u>	-	0.551	0.557	-	-	0.513	0.472	-	-	-
	Google	-	-	0.415	0.529	0.311	0.450	-	-	0.554	-	0.358	0.314	0.167	-
verb tense	DeepL (sent)	-	-	0.390	0.553	х	0.478	-	-	0.562	-	0.400	0.327	х	-
	DeepL (doc)	-	-	<u>0.426</u>	0.562	х	0.445	-	-	0.567	-	0.411	<u>0.349</u>	х	-

Table 12: Scores for commercial models. DeepL (doc) BLEU, COMET and word f-measures statistically significantly higher than DeepL (sent) are underlined.

ACL 2023 Responsible NLP Checklist

A For every submission:

- ✓ A1. Did you describe the limitations of your work? *Last section in page 9 (unnumber)*
- A2. Did you discuss any potential risks of your work? Work doesn't have immediate ethical risk
- A3. Do the abstract and introduction summarize the paper's main claims? *Section 1 and Abstract*
- A4. Have you used AI writing assistants when working on this paper? *Left blank.*

B ☑ Did you use or create scientific artifacts?

Section 4 and 5

- B1. Did you cite the creators of artifacts you used? Section 4 and 5
- B2. Did you discuss the license or terms for use and / or distribution of any artifacts?
 Datasets used are commonly used by the community, and the (permissive) license for our tagger is in the official code repository
- B3. Did you discuss if your use of existing artifact(s) was consistent with their intended use, provided that it was specified? For the artifacts you create, do you specify intended use and whether that is compatible with the original access conditions (in particular, derivatives of data accessed for research purposes should not be used outside of research contexts)? *Datasets used are commonly used by the community*
- □ B4. Did you discuss the steps taken to check whether the data that was collected / used contains any information that names or uniquely identifies individual people or offensive content, and the steps taken to protect / anonymize it? *Not applicable. Left blank.*
- B5. Did you provide documentation of the artifacts, e.g., coverage of domains, languages, and linguistic phenomena, demographic groups represented, etc.? Section 4
- B6. Did you report relevant statistics like the number of examples, details of train / test / dev splits, etc. for the data that you used / created? Even for commonly-used benchmark datasets, include the number of examples in train / validation / test splits, as these provide necessary context for a reader to understand experimental results. For example, small differences in accuracy on large test sets may be significant, while on small test sets they may not be. Section 4

C ☑ Did you run computational experiments?

Section 5

✓ C1. Did you report the number of parameters in the models used, the total computational budget (e.g., GPU hours), and computing infrastructure used?
 Section 5.1 and Appendix F

The Responsible NLP Checklist used at ACL 2023 is adopted from NAACL 2022, with the addition of a question on AI writing assistance.

- ✓ C2. Did you discuss the experimental setup, including hyperparameter search and best-found hyperparameter values?
 Section 5.1 and Appendix F
- C3. Did you report descriptive statistics about your results (e.g., error bars around results, summary statistics from sets of experiments), and is it transparent whether you are reporting the max, mean, etc. or just a single run? *Section 5*
- C4. If you used existing packages (e.g., for preprocessing, for normalization, or for evaluation), did you report the implementation, model, and parameter settings used (e.g., NLTK, Spacy, ROUGE, etc.)? Section 4 and 5

D D id you use human annotators (e.g., crowdworkers) or research with human participants? Section 4.3

- D1. Did you report the full text of instructions given to participants, including e.g., screenshots, disclaimers of any risks to participants or annotators, etc.?
 We provide a brief description (but not full text instructions) in section 4.3
- ✓ D2. Did you report information about how you recruited (e.g., crowdsourcing platform, students) and paid participants, and discuss if such payment is adequate given the participants' demographic (e.g., country of residence)?
 Section 4.3
- □ D3. Did you discuss whether and how consent was obtained from people whose data you're using/curating? For example, if you collected data via crowdsourcing, did your instructions to crowdworkers explain how the data would be used? *Not applicable. Left blank.*
- □ D4. Was the data collection protocol approved (or determined exempt) by an ethics review board? *Not applicable. Left blank.*
- ✓ D5. Did you report the basic demographic and geographic characteristics of the annotator population that is the source of the data? Section 4.3