## Simple Tagging System with RoBERTa for Ancient Chinese

Binghao Tang, Boda Lin, Si Li\*

School of Artificial Intelligence Beijing University of Post and Telecommunication, China {tangbinghao, linboda, lisi}@bupt.edu.cn

## Abstract

This paper describes the system submitted for the EvaHan 2022 Shared Task on word segmentation and part-of-speech tagging for Ancient Chinese. Our system is based on the pre-trained language model SIKU-RoBERTa and the simple tagging layers. Our system significantly outperforms the official baselines in the released test sets and shows the effectiveness. **Keywords:** EvaHan 2022, Word Segmentation, POS tagging

## 1. Introduction

Chinese Word Segmentation (CWS) is a fundamental task in Natural Language Processing (NLP). Generally speaking, word is the basic unit containing complete semantic information. Thus CWS is widely used for difference NLP tasks, such as machine translation (Yang et al., 2018), text classification (Zeng et al., 2018), and question answering (Liu et al., 2018). Comparing with CWS, Part-of-speech (POS) tagging is a more general task for many languages, which aims to assign pre-defined syntactical property for each token in the sentence. Some research (Ng and Low, 2004) validates combining them into a joint task can provide better performance than separately conducting these two tasks in a sequence. Thus the CWS is usually implemented with the prediction of POS tagging jointly in the recent years (Tian et al., 2020a).

Previous studies about this joint task are usually deemed as sequence labeling task (Zhang et al., 2016; Higashiyama et al., 2019; Qiu et al., 2020). These models achieve excellent performance in this task, especially with the wide usage of pre-trained language model (PLM) (Tian et al., 2020b). However, most Chinese versions of PLMs are pre-trained on the multilingual corpus (Devlin et al., 2019; Liu et al., 2020; Xue et al., 2021). Even if there are few PLMs pretrained on pure Chinese corpus, most of them use the Morden Chinese (Sun et al., 2019). Recently there are some work release PLMs pre-trained on ancient Chinese corpus to withdraw this lacking in CWS, e.g., SIKU-BERT (Wang et al., 2021) and SIKU-RoBERTa (Wang et al., 2021). Based on these two models, the first NLP tool evaluation competition in the field of ancient Chinese, i.e, EvaHan 2022 is released. EvaHan 2022 aims to exploit an efficient way to handle the joint task of CWS and POS tagging on ancient Chinese language.

In this paper, we describe our submitted system for the EvaHan 2022. Our system is based on the released ancient Chinese version of RoBERTa (Wang et al., 2021).

We utilize extra knowledge from ancient Chinese via the pre-trained RoBERTa, and further encode features by concrete context information with Bi-LSTMs.

The experimental results on the two test sets demonstrate the effectiveness of our method. Our method significantly outperforms the official baselines to a large margin in the in-domain test set.

## 2. Related Work

# 2.1. Chinese Word Segmentation & POS tagging

Chinese Word Segmentation (CWS) has been studied for a long time, as one of the most fundamental NLP tasks for Chinese language processing (Higashiyama et al., 2019; Qiu et al., 2020). And part-of-speech (POS) tagging is also a basic task for natural language processing. Some research (Ng and Low, 2004) demonstrates that combining CWS and POS tagging tasks together as a joint task can improve both of them. So many researchers dedicate to CWS and POS tagging and obtain many amazing achievements (Tian et al., 2020a). However, most research is based on modern Chinese while few works pay attention to ancient Chinese. Considering this situation, EvaHan 2022 release a competition for the joint task on ancient Chinese.

## 2.2. Pre-trained Language Model

BERT (Devlin et al., 2019) is widely used PLM for CWS (Tian et al., 2020a). Besides, (Wang et al., 2021) apply RoBERTa to implement CWS task. while there are many differences between modern Chinese and ancient Chinese. So straightly using the PLMs in the area of ancient Chinese usually gets unsatisfactory performances. Thus *SIKU-RoBERTa* (Wang et al., 2021), which continues to train on ancient Chinese corpus based on vallina Chinese RoBERTa (Liu et al., 2019), seems to be a good choice.

## 3. Method

We introduce the overall procedure of our system for this evaluation task, which includes the pre-processing, model architecture and the solution for the long sentence.

<sup>\*</sup>Corresponding author

https://circse.github.io/LT4HALA/ 2022/EvaHan



Figure 1: Overall Architecture

Set	Domain	Number of Word Tokens	Number of Character Tokens
Train	Zuozhuan	166, 142	194,955
Test A	Zuozhuan	28,131	33,298
Blind Test B	Other ancient Chinese Book	Around 40,000	Around 50,000

Table 1: The statistics data of the datasets.

## 3.1. Pre-processing

We firstly pre-process raw data. For example, the input sentence is "春秋/n 左/n 定公/n". To start with, we split sentence into single tokens and use notation to distinguish each token's position in origin word, i.e., B short for Begin, M short for Mid, E short for End, and combine it with its POS label. So the processed sentence should be like: "春 b-n 秋 e-n 左 b-n e-n 定 b-nr 公 e-nr".

#### 3.2. Model

The architecture of our model is shown in Figure 1. We define the input sequence is  $S = \{c_1, c_2, ..., c_n\}$ , where  $c_i$  is the *i*-th character of the input sentence. The input *S* is sent into the RoBERTa, a multi-layer Transformer (Vaswani et al., 2017) structure model. In the *l*-th layer of Transformer, the hidden representation  $H_l$  is calculated as following:

$$H_{l} = LayerNorm(H_{l-1} + Attention(H_{l-1}))$$
(1)

$$H_l = LayerNorm(\hat{H}_l + FFN(\hat{H}_l))$$
(2)

where the  $H_0$  is *S*, *LayerNorm* is the layer-wise normalization layer, and the *Attention* is the multi-head attention layer. Please refer to the original paper (Devlin et al., 2018; Liu et al., 2019) for more details.

After obtaining the encoding representation H from RoBERTa, a bidirectional LSTM is applied to further encoding the context representation :

$$R = BiLSTM(H) \tag{3}$$

Finally, we use a Multi-layer Proc (MLP) to predict the labeling sequence :

$$Y = MLP(R) \tag{4}$$

#### 3.3. Solution for Long Sentences

The dataset contains some long length sentences, which are beyond the maximum length processed by the proposed model. Considering this situation, we split these long sentences into some short subsentences. We try to keep all sub-sentences semantically complete thus we split the long sentence according to punctuation instead of the maximum length. Then we revert sentences from the output file of system and obtain our final submit file.

Hypermeter	Value	
learning rate	$2 \times e^{-3}$	
layer of BiLSTM	3	
dimension of embedding	300	
hidden dimension of BiLSTM	400	
dimension of MLP	500	
dropout ratio	0.33	

Table 2: hypermeters

## 4. Experiments

#### 4.1. dataset

We use the datasets released by the host of EvaHan 2022, which include one training set and two test sets. All the sentences are collected from the ancient Chinese texts like Zuozhuan (Li et al., 2012). The details about the statistics of the dataset are shown in Table 1. The training data contains punctuated, word-segmented and part-of-speech tagged text from zuozhuan, an ancient Chinese work. There are two test data sets. Test A contains different data from the same book of training data. And test A also have annotated version in the form of training. Test B contains texts which have similar content from different books and only Chinese characters and punctuation. Thus test B is designed as out-of-domain sets to test the generalization of system.

#### 4.2. Implementation Details

We use the RoBERTa as the backbone for all experiments. The PLM is implemented with Huggingface

Task (Test A)	CWS			POS		
	Precision	Recall	F1	Precision	Recall	F1
Baseline	90.64	92.08	91.35	89.06	89.54	89.30
*SIKU-BERT	-	_	88.84	-	-	90.10
*SIKU-RoBERTa	_	_	88.88	_	_	90.06
Our System	95.81	96.52	96.16	90.90	91.57	91.24
Task (Test B)	CWS		POS			
(1000 D)	Precision	Recall	F1	Precision	Recall	F1
Our System	94.04	90.59	92.28	86.86	83.67	85.24

Table 3: Experimental results on two tests in terms of F1 Score. The host of EvaHan 2022 do not report the results of official baseline and results of SIKU-BERT, and SIKU-RoBERTa on Test B. \* means the results about POS tagging of these two models are different from joint task of CWS and POS tagging.

Transformers . We use different learning rates for PLM and non-PLM layers in the model. The learning rate for PLM is  $5 \times 10e^{-5}$ , and the learning rate for non-PLM layers is  $2 \times 10e^{-3}$ . The optimizer is Adam (Kingma and Ba, 2014). We implement all experiments on Nvidia GTX1080Ti. Our system consumes about 7GiB GPU memory and it takes about 4 hours to achieve the best performance.

The other important hyperparameters are listed in Table 2.

Instead of performing a hyperparameter search, we directly chose the values of the parameters empirically.

## 4.3. Metric

Following the convention of CWS and POS tagging, we use Precision (P), Recall (R), and F1 Score as the evaluation metrics for all experiments. All the results are presented in percentages (%).

## 4.4. Baselines

We compare our system with the official baselines, which obtains on *Zuozhuan\_test* using Conditional Random Fields (CRF) training on *Zuozhuan\_train* without additional resources (Xiao-he, 2010). Besides, we also choose the BERT and RoBERTa pre-trained on the SIKU, which are noted as *SIKU-BERT* and *SIKU-RoBERTa* in Table 3.

## 4.5. Results

The results are shown in Table 3. Our system outperforms all the baselines in all metrics on both the CWS task and the POS tagging task, which demonstrate the effectiveness of our system. Besides, our system also obtain better performance comparing with the vanilla SIKU-RoBERTa. This comparison also can be regard as a ablation study, which validate the effectiveness of the additional layers we designed.

#### Algorithm 1: post-process

input tokens:  $w_1/y_1, w_2/y - 2, w_3/y_3, w_4/y_4$ output  $: w_1 w_2 w_3 \quad w_4$ for  $i \leftarrow 1$  to N do if  $y_i = b$  and  $y_{i+1} = b$  then  $\begin{bmatrix} w_i & w_{i+1} \end{bmatrix}$ if  $y_i = b$  and  $y_{i+1} = s$  then  $\begin{bmatrix} w_i & w_{i+1} \end{bmatrix}$ if  $y_i = m$  and  $y_{i+1} = b$  then  $v_{i-1}w_i \quad w_{i+1}$ if  $y_i = m$  and  $y_{i+1} = s$  then  $\bigcup_{i=1}^{k} w_{i-1}w_i = w_{i+1}$ if  $y_i = e$  and  $y_{i+1} = e$  then  $w_{i-1}w_iw_{i+1}$ if  $y_i = e$  and  $y_{i+1} = m$  then  $w_{i-1}w_i$  $w_{i+1}$ 

## 4.6. The Legality

The legality is an important issue for CWS task. The neural network may predicts some illegal labeling tokens such as " $w_1/b$   $w_2/b$ ". A traditional approach to dealing with this problem is using CRF to constrain the output sequence (Xiao-he, 2010). We do not apply CRF in our system for brevity, and the statistics results show only the 0.6% tokens in the test set are illegal. For those illegal tokens, we correct them by post-

processing which is shown in Algorithm 1. This low illegal ratio demonstrates that the great learning ability of RoBERTa can enables the model to learn implicit constraints between output labels (Liu et al., 2019).

#### 5. Conclusion

In this paper, we describe the simple tagging system submitted for the EvaHan2022. The proposed system apply a pre-trained RoBERTa and the BiLSTM layers to encoding context information. The experimental results on the official test sets demonstrate the effectiveness of our system, especially the comparison between our system and the original official RoBERTa validate

https://huggingface.co/SIKU-BERT/
sikuroberta

the effectiveness of the additional tagging layers. Besides, we also discuss the legality issue for CWS.

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