# **Visual Information Guided Zero-Shot Paraphrase Generation**

Zhe Lin and Xiaojun Wan

Wangxuan Institute of Computer Technology, Peking University Center for Data Science, Peking University The MOE Key Laboratory of Computational Linguistics, Peking University {linzhe,wanxiaojun}@pku.edu.cn

## Abstract

Zero-shot paraphrase generation has drawn much attention as the large-scale high-quality paraphrase corpus is limited. Back-translation, also known as the pivot-based method, is typical to this end. Several works leverage different information as "pivot" such as language, semantic representation and so on. In this paper, we explore using visual information such as image as the "pivot" of back-translation. Different with the pipeline back-translation method, we propose visual information guided zero-shot paraphrase generation (ViPG) based only on paired image-caption data. It jointly trains an image captioning model and a paraphrasing model and leverage the image captioning model to guide the training of the paraphrasing model. Both automatic evaluation and human evaluation show our model can generate paraphrase with good relevancy, fluency and diversity, and image is a promising kind of pivot for zero-shot paraphrase generation.

## 1 Introduction

Paraphrase generation is a long-standing problem for natural language processing that aims to rewrite a text in other forms while preserving its original semantics. Paraphrase generation has many applications in other down-stream tasks, such as machine translation (Mehdizadeh Seraj et al., 2015), semantic parsing (Berant and Liang, 2014) and so on.

With the development of supervised seq2seq generation, most paraphrase systems depend on the large-scale aligned paraphrase corpora to train the seq2seq model. This leads to the fact that the quality of aligned corpora is extremely important for training a paraphrase system. However, high-quality paraphrase datasets are still lacking in many domains. To solve this problem, there are a few works focusing on zero-shot paraphrase generation such as back-translation. Back-translation





(b)

Caption of Figure (a): a person on skis makes her way through the snow.

Caption of Figure (b): a person standing on skiis on the snowy slope.

Figure 1: An example that similar images may have different captions.

makes use of language as pivot and treats the backtranslated text as the paraphrase of the original text. For example, Mallinson et al. (2017) leveraged multilingual neural machine translation to generate paraphrase and Cai et al. (2021) proposed to employ semantic representation as the "pivot language" of back-translation to generate paraphrase. All these works show that back-translation can generate high-quality paraphrase.

Inspired by back-translation based paraphrase generation, we explore to leverage visual informa-

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tion (i.e. image in this study) to guide the zero-shot paraphrase generation as similar images or similar partial images may have different captions or descriptions that can be treated as paraphrases. Figure 1 shows an example. A naive method is that we can use image as the "pivot language" and generate paraphrase by back-translation with a text-to-image model and an image-to-text model. Unfortunately, text-to-image generation is still a challenging task and it is hard to generate an image of sufficient quality from the text. The semantic loss in text-toimage generation is so huge that generating paraphrases using this method is barely possible. Another method is that we can use an image captioning model to generate a caption from the image corresponding to the original text, and regard this caption as the paraphrase of the original text to train a supervised paraphrasing model. However, the generated caption may describe different elements in the image with the original text, which leads to huge semantic shift.

In this study, we propose visual information guided zero-shot **p**araphrase generation (ViPG), which leverages image information to guide the paraphrase generation based only on paired imagecaption data. We jointly train a specific image captioning model and a paraphrasing model, and leverage the output of the image captioning model to guide the training of the paraphrasing model. This can be regarded as distilling the knowledge of the image captioning model to the paraphrasing model at the word level.

Experiment results on two datasets show our model substantially outperforms the supervised paraphrasing model trained on paired captioncaption data and it can generate valid paraphrases with high diversity. We also compare our model with other zero-shot paraphrase generation methods such as autoencoder and back-translation, and analyze the strengths and weaknesses of these methods. In all, our contributions can be summarized as follows:

- To the best of our knowledge, we are the first to explore to leverage visual information to guide zero-shot paraphrase generation.
- We propose a novel model to leverage visual information to guide zero-shot paraphrase generation. Our method jointly trains an image captioning model and a paraphrasing model, and employs this image captioning

model to guide the training of the paraphrasing model. Our code is publicly available at https://github.com/L-Zhe/ViPG.

• Empirical studies on two image caption datasets show the effectiveness of our model and the image is demonstrated to be a promising kind of pivot for zero-shot paraphrase generation.

## 2 Related Works

There are several works leveraging image caption datasets like MSCOCO to train the paraphrasing model. Prakash et al. (2016) proposed residual-LSTM model to generate paraphrase. Gupta et al. (2018) found deep generative model such as variational auto-encoder can achieve better performance in paraphrase generation. Fu et al. (2019) regraded the bag of word as the latent variable of VAE to control the semantic of paraphrase. Chen et al. (2020) proposed a semantically consistent and syntactically variational encoder-decoder framework, which uses adversarial learning to ensure the syntactic latent variable be semantic-free. Cao and Wan (2020) leverage GAN to generate multiple diverse paraphrases. Lin and Wan (2021) raised multi-round paraphrase generation to improve the diversity and leveraged back-translation to maintain the semantic. All these works regard different captions of the same image as paraphrase and leverage caption-caption pairs to train paraphrasing model.

There are also some works focus on zero-shot paraphrase generation. Mallinson et al. (2017) revisited back-translation paraphrase generation with neural machine translation. Cai et al. (2021) leveraged AMR as the new pivot of back translation. Thompson and Post (2020) proposed a novel decoding strategy to generate diverse paraphrase via multilingual translation. Liu et al. (2020) leveraged simulated annealing to train unsupervised paraphrase generation model.

## 3 Methodology

As mentioned earlier, the pipeline back-translation with the "image pivot" can not generate valid paraphrase as the performance of the text-to-image generation model is poor. Different from the pipeline method, our proposed method jointly trains an image captioning model and a paraphrasing model, and leverages the output of the image captioning



Figure 2: The overview architecture of our proposed model, which includes a multi-modal joint encoder and a parameter-sharing decoder.  $v_{\mathcal{I}}$ ,  $v_{\mathcal{O}}$ ,  $v_{\mathcal{R}}$  are the tag vectors that indicate the different types of input.

model to guide the training of the paraphrasing model. The rationale is that an image may correspond to different captions with same meaning<sup>1</sup>. The image captioning model with our specific design (i.e., with additional input of object representations) may generate a caption that is different from the original caption for an input image while keeping the same meaning, and this output caption can be treated as the paraphrase of the original caption and it can be used for training the paraphrasing model. Our model relies only on image captioning dataset consisting of pairs of image and caption, and it does not need any text paraphrasing corpus and any data of caption pairs of same image. Each pair in the training dataset includes an image  $\mathcal{I}$  and a corresponding caption sentence  $\mathcal{S} = \{w^1, \cdots, w^N\}$ , where  $w^i$  is the *i*-th word of the sentence and N is the sentence length.

In this section, we begin by introducing the initial embeddings of the image and text, followed by describing our multi-modal joint encoder, which employs partial attention to encode the image and the text together. Then we introduce a decoder with masked object copy mechanism to guide text generation. Finally, the objective functions will be detailed. The overall architecture of our model is shown in Figure 2.

### 3.1 Initial Image and Text Embeddings

### 3.1.1 Image Embedding

For an input image  $\mathcal{I}$ , we first leverage Vision Transformer (Dosovitskiy et al., 2021) to encode the image into an embedding matrix  $\tilde{E}_{\mathcal{I}}$  as its excellent performance in many vision tasks. We further use  $v_{\mathcal{I}}$  as a tag embedding vector to indicate the image tag. After that, the initial image representation  $E_{\mathcal{I}}$  is obtained as follows:

$$\tilde{E}_{\mathcal{I}} = \operatorname{ViT}(\mathcal{I}) 
E_{\mathcal{I}} = \tilde{E}_{\mathcal{I}} + v_{\mathcal{I}}$$
(1)

where ViT is the Vision Transformer encoder,  $v_{\mathcal{I}} \in \mathbb{R}^d$  is the learnable parameter and  $E_{\mathcal{I}} \in \mathbb{R}^{l \times d}$ , where *d* is the feature's dimension and *l* is the patch length split by Vision Transformer. + operation between a matrix and a vector means that the vector is added to all components of the matrix at the dimension of sequential length.

Note that we use the Vision Transformer to get  $\tilde{E}_{\mathcal{I}}$  and fix it during the training of our model. This can save a bunch of training resources and has been proved to be reliable in many multi-modal tasks.

### 3.1.2 Text Embedding

A caption sentence can only describe the main elements of an image rather than all the details, and existing image captioning model tends to generate different captions talking about different objects for an image, which may cause semantic shift when using such image captioning model to guide the paraphrasing model. To tackle this problem, we extract the object words from the caption sentence and use them to help the image captioning model to generate more accurate and consistent captions.

Specifically, we regard nouns in a sentence as objects and the rest part of the sentence as the relation of these objects. We create the object sequence for all nouns in the sentence in this format: {POS\_TAG@index WORD}, where POS\_TAG is the part-of-speech of this word, index is used to distinguish different words of the same POS\_TAG. We replace all nouns in the sentence with their corresponding POS\_TAG@index. We regard the processed sequence as the relation described by the

<sup>&</sup>lt;sup>1</sup>Note that the different captions provided by human judges for a same image in most existing datasets like MSCOCO are often semantically inconsistent, so we do not aim to make use of the caption pairs to train the paraphrase model in this study.

sentence. Then we concatenate the object sequence and the relation sequence as the input text. Table 1 shows an example of the transformed input text.

**Original Text:** several men in hard hats are operating a giant pulley system .

**Object Sequence:** NNS@0 men NNS@1 hats NN@0 pulley NN@1 system

**Relation Sequence:** several NNS@0 in hard NNS@1 are operating a giant NN@0 NN@1.

Transformed Input Text:<POS\_DICT> NNS@0men NNS@1 hats NN@0 pulley NN@1 system<RELATION> several NNS@0 in hard NNS@1 are operating a giant NN@0 NN@1.

Table 1: An example about splitting a text to the object sequence and relation sequence.

We denote the embedding matrices of the object sequence and relation sequence as  $\tilde{E}_{\mathcal{O}}$  and  $\tilde{E}_{\mathcal{R}}$ , respectively. We also add the embedding matrices with different tag embedding vectors to indicate different parts of the input information (i.e., object or relation). Finally, we combine these two parts of information as a whole and add positional encoding.

$$\hat{E}_{\mathcal{O}} = \tilde{E}_{\mathcal{O}} + v_{\mathcal{O}}$$
$$\hat{E}_{\mathcal{R}} = \tilde{E}_{\mathcal{R}} + v_{\mathcal{R}}$$
$$E_{\mathcal{O}}, E_{\mathcal{R}}] = [\hat{E}_{\mathcal{O}}, \hat{E}_{\mathcal{R}}] + W_{PE}$$
(2)

where  $v_{\mathcal{O}}, v_{\mathcal{R}} \in \mathbb{R}^d$  are learnable parameters,  $W_{PE}$  is the positional encoding matrix, [\*, \*] is concatenation operation at the dimension of sequential length.

## 3.2 Multi-Modal Joint Encoder

We adopt Transformer encoder architecture as multi-modal joint encoder to further encode the image and text. In order to reduce the gap between image representation and text representation, we share the encoder parameters instead of leveraging separate encoders for image and text. We concate-nate the initial image embedding  $E_{\mathcal{I}}$  with the initial text embedding  $[E_{\mathcal{O}}, E_{\mathcal{R}}]$  and send them to the encoder at the same time.

The powerful performance of the Transformer encoder is due to its self-attention structure, as each element in the sequence can aggregate the whole sequential information with dynamic attention weight. However, this global attention is not suitable for our model as our image captioning model and paraphrasing model should focus on different input information. Instead, we just want the image feature to focus on the information from itself and the object feature. While the image information should be ignored when encoding the text feature. Based on the rules above, we introduce the partial attention as follows:

$$I_{i} = \text{MHAttn}(I_{i-1}, [I_{i-1}, O_{i-1}], [I_{i-1}, O_{i-1}])$$
  

$$\tilde{O}_{i} = \text{MHAttn}(O_{i-1}, O_{i-1}, O_{i-1})$$
  

$$\tilde{R}_{i} = \text{MHAttn}(R_{i-1}, [O_{i-1}, R_{i-1}], [O_{i-1}, R_{i-1}])$$
(3)

where MHAttn(Q, K, V) is the multi-head attention (Vaswani et al., 2017),  $I_{i-1}, O_{i-1}, R_{i-1}$  are the learned representation matrices of the image, object sequence and relation sequence at the (i-1)th layer.  $\tilde{I}_i$ ,  $\tilde{O}_i$ , and  $\tilde{R}_i$  are then fed into FFN module followed by residual connection and layer normalization that are the same as the vanilla Transformer encoder to get the representation matrices at the *i*-th layer. We employ I, O, R to represent the encoding representations of the image, object sequence and relation sequence at the last layer respectively.

### 3.3 Decoder

Decoder aims to generate text from the encoding feature. Different from the text sent into the encoder which is split into the object sequence and the relation sequence, the decoder directly generates the original text S.

Our model includes a caption decoder and a paraphrase decoder. The caption decoder generates the caption from the image feature representation and the object feature representation, and the paraphrase decoder generates the paraphrase corresponding to the original text. We share the parameters of these two decoders, and leverage different BOS tokens to guide the decoder to deal with different features. We leverage <IMG\_BOS> to guide the caption generation and employ <TXT\_BOS> to guide the paraphrase generation. The details of the decoder are as follows:

$$D_{\mathcal{I}} = \text{Decoder}([I, O], < \text{IMG_BOS} >)$$

$$D_{\mathcal{S}} = \text{Decoder}([O, R], < \text{TXT_BOS} >)$$

$$\tilde{P}_{\mathcal{I}} = \text{softmax}(W_o D_{\mathcal{I}} + b_o)$$

$$\tilde{P}_{\mathcal{S}} = \text{softmax}(W_o D_{\mathcal{S}} + b_o)$$
(4)

where Decoder(feature, BOStoken) is the Transformer decoder,  $W_o, b_o$  are learnable parameters,

which map the dimension of output features  $D_{\mathcal{I}}$ , and  $D_{\mathcal{S}} \in \mathcal{R}^{N \times d}$  to the size of vocabulary.

We add copy mechanism (See et al., 2017) to guide the decoder to generate the correct objects. We only copy from the object sequence rather than the whole sentence. The copy probabilities are calculated as follows:

$$P_{\mathcal{I}}^{c} = \operatorname{softmax}(D_{\mathcal{I}}^{\top}O)$$

$$P_{\mathcal{S}}^{c} = \operatorname{softmax}(D_{\mathcal{S}}^{\top}O)$$
(5)

Copy mechanism can improve the semantic accuracy of the generated text but may lead to low diversity of the object words. There may be more than one way to describe an object, and copying the object words from the original sentence directly can lose this diversity. Therefore we employ the masked object copy mechanism to avoid excessive copy. We randomly mask 20% object words in the object sequence as <UNK> during the copy process. This can help the model learn to generate the diverse object words rather than copy from the original sentence directly. The final output probabilities of the image caption and the paraphrase generation are denoted as  $P_{\mathcal{I}} = \{p_{\mathcal{I}}^1, \cdots, p_{\mathcal{I}}^N\}$  and  $P_{\mathcal{S}} = \{p_{\mathcal{S}}^1, \cdots, p_{\mathcal{S}}^N\}$ , respectively.

## 3.4 Loss Function

We employ cross-entropy loss to train the image captioning model as follows:

$$\mathcal{L}_{ce} = -\frac{1}{N} \sum_{i=1}^{N} \log p_{\mathcal{I}}^{i}(w^{i})$$
(6)

where  $p_{\mathcal{I}}^{i}(w^{i})$  is the corresponding probability of  $w^{i}$  in  $p_{\mathcal{I}}^{i}$ .

For the paraphrasing model, we do not directly optimize the cross-entropy loss based on  $P_S$  as this can lead to the degeneration of the model into an autoencoder. On the contrary, we align the information from the two models by reducing the gap between  $P_{\mathcal{I}}$  and  $P_S$ . Inspired by the R-Drop (Liang et al., 2021), we optimize the symmetric KL divergence between  $P_{\mathcal{I}}$  and  $P_S$  as follows:

$$\mathcal{L}_{kl} = -\frac{1}{2N} \sum_{i=1}^{N} \{ \mathrm{KL}(p_{\mathcal{I}}^{i} || p_{\mathcal{S}}^{i}) + \mathrm{KL}(p_{\mathcal{S}}^{i} || p_{\mathcal{I}}^{i}) \}$$
<sup>(7)</sup>

We train image captioning and paraphrase generation together and the total loss of our model is as follows:

$$\mathcal{L} = \mathcal{L}_{ce} + \lambda \mathcal{L}_{kl} \tag{8}$$

where  $\lambda$  is a hyper-parameter.

### 3.5 Inference

Although we leverage image-caption pair to train our model, the image is not required during the inference. In the inference phase, we split the original text to the object sequence and the relation sequence and leverage <TXT\_BOS> to guide the paraphrase generation.

### 4 Evaluation Setup

#### 4.1 Datasets

Two image caption corpora ( $MSCOCO^2$  and **Flickr30k**<sup>3</sup>) are used as our evaluation datasets. The MSCOCO dataset includes 118, 287 images and Flickr30k includes 31, 783 images, each image in both dataset has five different captions. We construct two types of training datasets for each corpus: 1) One-caption: We randomly sample one caption for each image and thus only one imagecaption pair per image is used for training; 2) Allcaptions: We use all five captions to create five image-caption pairs per image. For each dataset, we randomly sample 4000 captions as validation dataset. For MSCOCO, we leverage all 2,5014 captions provided by the official validation dataset for test. For Flickr30k, we randomly sample 8000 captions as the test dataset. Note that there is no ground-truth paraphrase for each caption in the validation and test datasets and we do not need them in our evaluation at all<sup>4</sup>.

### 4.2 Competitive Methods

The competitive methods used for comparison are mainly in three categories:

**Supervised models trained with captioncaption pairs:** Following previous works, we regard different captions of an image as paraphrases and leverage these caption-caption pairs to train a Transformer model as the supervised paraphrase generation model. And we also finetune the Bart model (Lewis et al., 2020) with the caption-caption

<sup>&</sup>lt;sup>2</sup>https://cocodataset.org

<sup>&</sup>lt;sup>3</sup>https://shannon.cs.illinois.edu/ DenotationGraph

<sup>&</sup>lt;sup>4</sup>We do not use datasets like Parabank and Quora for evaluation because these datasets are in totally different domains with our training datasets, and thus we use the in-domain caption data for evaluation in this study.

pairs. Besides, we take one caption in the captioncaption pair as the "reference" paraphrase of the other caption and evaluate the "reference" paraphrase as well.

AutoEncoder models with diversity decoding strategies: We train the Transformer and Bart models as the AutoEncoder models respectively. For both models, we leverage various decoding strategies including greedy search, top-k decoding and top-p decoding to generate diverse paraphrases.

**Pipeline back-translation methods with various kinds of pivot:** We employ language, AMR graph and image as pivots separately. For backtranslation with language pivot, we leverage English-German translation systems provided by Ng et al. (2019). For back-translation with AMR pivot, we generate paraphrase according to Cai et al. (2021). For back-translation with image pivot, we leverage text-to-image model provided by Ye et al. (2021) to generate image from text and leverage image captioning model provided by Rennie et al. (2017) to generate its correspond caption as the paraphrase of the original text.

## 4.3 Metrics

We evaluate our model in three aspects: diversity, relevancy and fluency. We leverage **Self-BLEU**, which calculates the BLEU score between the paraphrase and the original sentence, to evaluate the diversity of the paraphrase. We leverage **BERTScore** to measure the semantic relevancy. For fluency, we employ GPT-Large without finetuning to calculate the perplexity scores (**PPL**) of different models' outputs.

In addition, we perform human evaluation of model outputs with respect to diversity, relevancy and fluency. All ratings were obtained using a five point Likert scale. We randomly sample 200 instances, including 100 from MSCOCO and 100 from Flickr30k. We employ 6 graduate students to rate each instance, and we ensure every instance is rated by at least three judges.

## 4.4 Training Details

We leverage Vision Transformer base<sup>5</sup> to generate the initial image embedding with the dimension of 768. In order to align image features, we also set the latitude of encoder and decoder to 768. We set  $\lambda$  to 1 in loss function. Other hyper-parameters are same to the vanilla Transformer. We select the model with highest BERTScore on the validation dataset. During inference, we leverage beam search with 5 beam size to generate paraphrase.

## 5 Results

## 5.1 Result Analysis

Tables 2 and 3 show the results of automatic evaluation and human evaluation, respectively.

For supervised models trained with captioncaption pairs, the big semantic gap between the outputs of these models and the original sentence can be obvious from the low BERTScore. There are also great semantic differences between the caption reference and the original sentence. Using paired caption-caption data to train the paraphrasing model can lead to a huge semantic shift. The result of human evaluation also shows that supervised models trained with caption-caption pairs may generate paraphrase that changes the semantic of the original sentence, which can not be regarded as valid paraphrase.

For AutoEncoder models, they all get the high BERTScore but high self-BLEU, which means that the paraphrase generated by these models lacks diversity. Since Bart is a pretrained autoencoder model, top-k and top-p decoding strategies can hardly introduce diversity. For AutoEncoder, the diversity decoding strategy can indeed increase the paraphrase diversity, and yet it is harmful to the fluency of the generated sentence. The diversity decoding strategy can lead to a significant increase in PPL, this means that the quality of the generated paraphrase is affected. The human evaluation also shows the decline of sentence fluency caused by the diversity decoding strategy.

pipeline back-translation methods. For BackTranslation-AMR and BackTranslation-Language can generate good paraphrase with enough relevancy and diversity. From the human evaluation, we find that the paraphrase generated by BackTranslation-AMR has stronger diversity than BackTranslation-Language. BackTranslation-AMR can introduce diversity at syntactic level as the AMR is an abstract semantic representation of a sentence. However, BackTranslation-Image can not generate valid paraphrase with adequate semantic relevancy, this is because text-to-image generation is still a challenge task and may cause a huge semantic shift. In case study, we also show an example of BackTranslation-Image for a more

<sup>&</sup>lt;sup>5</sup>The Vision Transformer model we used is available at https://huggingface.co/google/ vit-base-patch16-224-in21k

M-1-1	MSCOCO			Flickr30k			
Model	Self-BLEU↓	<b>BERTScore</b> <sup>↑</sup>	PPL	Self-BLEU↓	<b>BERTScore</b> <sup>↑</sup>	PPL	
Source	-	-	178.82	-	-	234.11	
Supervised models trained with caption-caption pairs:							
Caption Reference	8.01	49.83	177.55	7.02	47.62	195.37	
Transformer	14.81	57.30	116.96	13.00	56.31	363.15	
Bart(Fine Tune)	19.61	61.29	85.15	19.46	62.33	278.37	
AutoEncoder models with diversity decoding strategies:							
Bart(Original)	99.89	99.94	178.24	99.91	99.97	233.94	
+ top-k(k=5)	99.82	99.90	177.61	99.74	99.89	233.16	
+ top-p(p=0.9)	99.86	99.92	177.97	99.85	99.94	233.70	
AutoEncoder	92.19	95.16	213.15	85.54	90.85	309.26	
+ top-k(k=5)	84.30	90.55	284.53	74.17	83.88	428.08	
+ top-p(p=0.9)	74.69	82.60	530.60	62.56	72.74	815.54	
Pipeline back-translation methods:							
BackTranslation-AMR	36.63	75.51	353.13	32.63	75.52	430.10	
BackTranslation-Language	54.17	84.17	202.05	53.87	84.75	258.28	
BackTranslation-Image	9.06	51.22	104.79	4.55	45.26	81.78	
ViPG(Ours):							
One-Caption	38.25	71.95	130.24	29.12	66.11	159.06	
All-Captions	43.40	76.38	155.61	31.21	69.54	359.66	

Table 2: Automatic evaluation results. The evaluation metrics include diversity, semantic relevancy and fluency.

Model	Rel.	Flu.	Div.	
			Lexi.	Synt.
Caption Reference	2.36	3.46	3.16	2.80
Transformer	2.81	3.40	3.31	3.03
Bart(fine tune)	2.28	3.89	3.47	3.11
AutoEncoder(top-k)	4.28	2.39	2.37	2.20
BT-Language	3.91	3.51	3.43	3.40
BT-AMR	3.54	3.39	3.20	3.88
BT-Image	1.39	3.09	2.73	2.59
ViPG(One-Caption)	3.78	3.72	3.71	3.42
ViPG(All-Captions)	3.73	3.64	3.60	3.34

Table 3: Human evaluation results. BT means Back-Translation. Rel., Flu. and Div. is the abbreviation of relevancy, fluency and diversity. Lexi. and Synt. mean lexical and syntactic, respectively.

### intuitive explanation.

For our ViPG model, we solve the semantic shift in BackTranslation-Image and get the adequate BERTScore. Beside, our model performs well on diversity and fluency. Our model gets the low self-BLEU which means high diversity. For fluency, our model also achieves the best PPL score among all valid paraphrasing models. The human evaluation shows that the diversity of our model is mainly at lexical level, while syntactic diversity also performs well. Briefly, our model performs much better than other paraphrasing models leveraging image-caption data and has strong competitiveness with zero-shot paraphrasing models.

We also find that the BERTScore has a significant improvement for our ViPG model trained by all-captions dataset, but the human evaluation scores of diversity and fluency have decreased. This means that using all captions of an image to create training dataset is harmful for our model.

### 5.2 Ablation Study

We perform the ablation study on MSCOCO to investigate the influence of different modules in our ViPG model. We replace the transformed input text with the original text to explore the effect of embedding nouns and relations separately. We remove the  $\text{KL}(p_{\mathcal{I}}^i||p_{\mathcal{S}}^i)$  and  $\text{KL}(p_{\mathcal{S}}^i||p_{\mathcal{I}}^i)$  separately to show the influence of symmetric KL divergence in loss function. To further explore the effect of the masked object copy mechanism, we conduct another two experiments. One of the experiments we remove the masked object copy mechanism. In another experiment, the copy mechanism can copy the words from the whole sentence, not just the object words. Table 5 shows the results of the ablation study.

We can see that each module in our model does contribute to the overall performance. Using the original text directly can lead to significant degradation of BERTScore. The reason of huge semantic shift is that there are many objects in an image and the image-caption model can not distinguish which

Cases from MSCOCO		
Original	a cup, toothbrushes, and other items sit on the side of a small sink.	
Transformer(supervised)	a bathroom sink and its reflection in the mirror.	
Bart(Fine tune)	a bathroom sink with toothbrushes and other bathroom items .	
AutoEncoder(top-k)	a cup, toothbrushes, and other items sit on the side of a small sink.	
AutoEncoder(top-p)	a cup, toothbrushes, and other items sit on the side of a small sink.	
BackTranslation-	a cup, toothbrushes and other objects lie on the side of a small sink.	
Language		
BackTranslation-AMR	cups, toothbrushes and other items are sat on the side of the small sink.	
BackTranslation-Image	a toothbrush sitting on top of a sink .	
ViPG(One-Caption)	a cup contains toothbrushes and other items on the side of a sink .	
ViPG(All-Captions)	a cup filled with toothbrushes and other items sitting on the side of a sink .	

Cases from Flickr30k			
Original	a woman and child stand on the beach while sailboats sail on the ocean .		
Transformer(supervised)	a mom and son enjoying the beach.		
Bart(Fine tune)	a woman and child are standing on a beach by sailboats .		
AutoEncoder(top-k)	a woman and child stand on the beach while sailboats sail on the ocean .		
AutoEncoder(top-p)	a woman and child stand on the fattening beach while the ocean sail glances		
	bieber strussel tugs installment on swatch transports palomitas the woman .		
BackTranslation-	a woman and child stand on the beach while sailboats sail the ocean .		
Language			
BackTranslation-AMR	women and children stand on the beach as boats sail in the ocean .		
BackTranslation-Image	two people walking on the beach with a boat .		
ViPG(One-Caption)	a woman and a child on the beach with sailboats in the ocean.		
ViPG(All-Captions)	a woman and child are on the beach looking at sailboats in the ocean .		

Table 4: Examples from MSCOCO and Flickr30k and the generated paraphrases by different models.

Model	Self-B↓	BS↑	PPL
Origin	38.25	71.95	130.24
Original Text	33.28	53.55	438.73
w/o $\operatorname{KL}(p_{\mathcal{I}}^{i}  p_{\mathcal{S}}^{i})$	7.89	12.44	530.98
w/o $\operatorname{KL}(p_{\mathcal{S}}^{i}  p_{\mathcal{I}}^{i})$	28.90	35.48	470.22
w/o Copy Mechanism	35.79	63.55	230.48
Copy the Whole Sent	61.49	77.50	203.61

Table 5: Self-B and BS is the abbreviation of self-BLEU and BERTScore.

object is described in the original text. The twopart of symmetric KL divergence is necessary for the model training. The object copy mechanism can improve the relevancy and fluency of paraphrasing. However, copying the whole sentence without restriction can lead to a lack of diversity.

### 5.3 Case Analysis

We perform case studies for better understanding the model performance. Table 4 shows running examples from MSCOCO and Flickr30k. Obviously, there are some degrees of semantic shift for the paraphrases generated by supervised models such as Transformer and Bart. BackTranslation-Image generates paraphrases with high semantic loss. Our ViPG model can generate paraphrases with good diversity, relevancy and fluency. However, as the shortage of image caption dataset, the paraphrases generated by our model may introduce additional semantic information, such as the "contains" and "filled with" in the example from MSCOCO. This does not affect the readability of paraphrase, but still a problem to be solved.

## 6 Conclusion

In this paper, we propose a visual information guided zero-shot paraphrase generation approach. We explore employing image as the "pivot" of the back-translation. Instead of using a pipeline backtranslation, we jointly train an image captioning model and a paraphrasing model together. We leverage the image captioning model to guide the training of the paraphrasing model. Both automatic evaluation and human evaluation show the competitive performance of our model. In the future, we will explore huge-scale image caption dataset to train our model and test the model's ability on other domains. Moreover, leveraging video as pivot for paraphrase generation is also an interesting research direction.

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