# Missing Modality meets Meta Sampling (M<sup>3</sup>S): An Efficient Universal Approach for Multimodal Sentiment Analysis with Missing Modality

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#### Abstract

Multimodal sentiment analysis (MSA) is an important way of observing mental activities with the help of data captured from multiple modalities. However, due to the recording or transmission error, some modalities may include incomplete data. Most existing works that address missing modalities usually assume a particular modality is completely missing and seldom consider a mixture of missing across multiple modalities. In this paper, we propose a simple yet effective meta-sampling approach for multimodal sentiment analysis with missing modalities, namely Missing Modality-based Meta Sampling (M<sup>3</sup>S). To be specific, M<sup>3</sup>S formulates a missing modality sampling strategy into the modal agnostic meta-learning (MAML) framework. M<sup>3</sup>S can be treated as an efficient add-on training component on existing models and significantly improve their performances on multimodal data with a mixture of missing modalities. We conduct experiments on IEMOCAP, SIMS and CMU-MOSI datasets, and superior performance is achieved compared with recent state-of-the-art methods.

#### 1 Introduction

Multimodal sentiment analysis (MSA) aims to estimate human mental activities by multimodal data, such as a combination of audio, video, and text. Though much progress has been made recently, there still exist challenges, including missing modality problem. In reality, missing modality is a common problem due to the errors in data collection, storage, and transmission. To address the issue with missing modality in MSA, many approaches have been proposed (Ma et al., 2021c; Zhao et al., 2021; Ma et al., 2021b; Parthasarathy and Sundaram, 2020; Ma et al., 2021a; Tran et al., 2017).

In general, methods that address the missing modality issue usually only consider the situation where a certain input modality is severely damaged.



0.625 0.600 0.575 Ă 0.550 0.525 0.500 ORIG ORIG + SPL-TRN 0.475 ORIG + M3S 20 50 60 10 зο 40 Epoch

Figure 1: M<sup>3</sup>S helps MMIN model achieve superior performance.

The strategies of these proposed methods can be divided into three categories: 1) Designing new architectures with a reconstruction network to recover missing modality with the information from other modalities (Ma et al., 2021c; Ding et al., 2014); 2) Formulating innovative and efficient loss functions to tackle missing modality (Ma et al., 2021a, 2022); 3) Improving the encoding and embedding strategies from existing models (Tran et al., 2017; Cai et al., 2018).

In the MSA tasks, most of the proposed methods focus on the situation where certain modalities are completely missing and the other modalities are complete. However, due to the transmission or collection errors, each modality may contain partial information based on a certain missing rate, while existing methods seldom consider this type of scenario and they are not suitable to be applied directly in this situation. Besides, our experiments also verify the inefficacy of existing methods in such a challenging situation, which is demonstrated in Section 5.

To address the aforementioned problem, in this paper, we propose a simple yet effective solution to the Missing Modality problem with Meta Sampling in the MSA task, namely M<sup>3</sup>S. To be specific, M<sup>3</sup>S combines the augmented missing modality trans-

form in sampling, following the model-agnostic meta-learning (MAML) framework (Finn et al., 2017). M<sup>3</sup>S maintains the advantage of metalearning and makes models easily adapt to data with different missing rates. M<sup>3</sup>S can be treated as an efficient add-on training component on existing models and significantly improve their performances on multimodal data with a mixture of missing modalities. We conduct experiments on IEMOCAP (Busso et al., 2008), SIMS (Yu et al., 2020) and CMU-MOSI (Zadeh et al., 2016) datasets and superior performance is achieved compared with recent state-of-the-art (SOTA) methods. A simple example is shown in Figure 1, demonstrating the effectiveness of our proposed M<sup>3</sup>S compared with other methods. More details are provided in the experiment section.

The main contributions of our work are as follows:

- We formulate a simple yet effective metatraining framework to address the problem of a mixture of partial missing modalities in the MSA tasks.
- The proposed method M<sup>3</sup>S can be treated as an efficient add-on training component on existing models and significantly improve their performances on dealing with missing modality.
- We conduct comprehensive experiments on widely used datasets in MSA, including IEMO-CAP, SIMS, and CMU-MOSI. Superior performance is achieved compared with recent SOTA methods.

## 2 Related Work

#### 2.1 Emotion Recognition

Emotion recognition aims to identify and predict emotions through these physiological and behavioral responses. Emotions are expressed in a variety of modality forms. However, early studies on emotion recognition are often single modality. Shaheen et al. (2014) and Calefato et al. (2017) present novel approaches to automatic emotion recognition from text. Burkert et al. (2015) and Deng et al. (2020) conduct researches on facial expressions and the emotions behind them. Koolagudi and Rao (2012) and Yoon et al. (2019) exploit acoustic data in different types of speeches for emotional recognition and classification tasks. Though much progress has been made for emotion recognition with single modality data, how to combine information from diverse modalities has become an interesting direction in this area.

## 2.2 Multimodal Sentiment Analysis

Multimodal sentiment analysis (MSA) is a popular area of research in the present since the world we live in has several modality forms. When the dataset consists of more than one modality information, traditional single modality methods are difficult to deal with. MSA mainly focuses on three modalities: text, audio, and video. It makes use of the complementarity of multimodal information to improve the accuracy of emotion recognition. However, the heterogeneity of data and signals bring significant challenges because it creates distributional modality gaps. Hazarika et al. (2020) propose a novel framework, MISA, which projects each modality to two distinct subspaces to aid the fusion process. And Hori et al. (2017) introduce a multimodal attention model that can selectively utilize features from different modalities. Since the performance of a model highly depends on the quality of multimodal fusion, Han et al. (2021b) construct a framework named MultiModal InfoMax (MMIM) to maximize the mutual information in unimodal input pairs as well as obtain information related to tasks through multimodal fusion process. Besides, Han et al. (2021a) make use of an end-toend network Bi-Bimodal Fusion Network (BBFN) to better utilize the dynamics of independence and correlation between modalities. Due to the unified multimodal annotation, previous methods are restricted in capturing differentiated information. Yu et al. (2021) design a label generation module based on the self-supervised learning strategy. Then, joint training the multimodal and unimodal tasks to learn the consistency and difference. However, limited by the pre-processed features, the results show that the generated audio and vision labels are not significant enough.

## 2.3 Missing Modality Problem

Compared with unimodal learning method, multimodal learning has achieved great success. It improves the performance of emotion recognition tasks by effectively combining the information from different modalities. However, the multimodal data may have missing modalities in reality due to a variety of reasons like signal transmission error and limited bandwidth. To deal with this problem, Ma et al. (2021b) propose an efficient approach based on maximum likelihood estimation to incorporate the knowledge in the modality-missing data. Nonetheless, the more complex scenarios like missing modalities exist in both training and testing phases are not involved. What's more, recent studies aim to capture the common information in different types of training data and leverage the relatedness among different modalities (Ma et al., 2021a; Tran et al., 2017; Parthasarathy and Sundaram, 2020; Wagner et al., 2011). To solve the problem that modalities will be missing is uncertain, Zhao et al. (2021) put forward a unified model: Missing Modality Imagination Network (MMIN). Ma et al. (2021c) utilize a new method named SMIL that leverages Bayesian meta-learning to handle the problem that modalities are partially severely missing, e.g., 90% training examples may have incomplete modalities.

## 3 Methodology

#### 3.1 **Problem Description**

The multimodal sentiment analysis aims at predicting the sentiment labels  $\mathcal{Y}$  based on the model  $f(\mathcal{X}; \theta)$  given the multimodal data  $\mathcal{X}$ . We consider the input data with three modalities, *i.e.*  $\mathcal{X} = (\mathcal{A}, \mathcal{V}, \mathcal{L})$ , where  $\mathcal{A}, \mathcal{V}$  and  $\mathcal{L}$  represents audio, video and linguistic data, respectively. In this paper, we tackle the missing modality issue, where each modality can include missing data.

### Algorithm 1 Meta-Sampling Training

- **Input:** Multimodal dataset  $(\mathcal{X} = (\mathcal{A}, \mathcal{V}, \mathcal{L}), \mathcal{Y})$ ; number of iterations K for inner loop; inner learning rate  $\alpha$ ; outer learning rate  $\beta$ ; estimation model  $f(\cdot; \theta)$ ; model's loss function  $l(f, \mathcal{Y})$ .
  - 1: while not converged do

2: Sample batch of data 
$$\mathcal{X}_1$$
 and  $\mathcal{X}_2$  from  $\mathcal{X}$ .

3: Get 
$$\mathcal{X}_1 = \mathcal{T}(\mathcal{X}_1; \mathcal{F})$$
 and  $\mathcal{X}_2 = \mathcal{T}(\mathcal{X}_2; \mathcal{F})$ 

- 4: Set  $\boldsymbol{\theta}_0 \leftarrow \boldsymbol{\theta}$
- 5: Meta-train:
- 6: **for** n = 0 to K 1 **do**

7: 
$$\boldsymbol{\theta}_{n+1} \leftarrow \boldsymbol{\theta}_n - \alpha \nabla_{\boldsymbol{\theta}_n} l\left(f(\tilde{\mathcal{X}}_1; \boldsymbol{\theta}_n), \mathcal{Y}_1\right)$$
  
8: end for

- 9:  $\boldsymbol{\theta}^* \leftarrow \boldsymbol{\theta}_K$
- 10: Meta-update:

11: 
$$\boldsymbol{\theta} \leftarrow \boldsymbol{\theta} - \beta \nabla_{\boldsymbol{\theta}^*} l\left(f(\mathcal{X}_2; \boldsymbol{\theta}^*), \mathcal{Y}_2\right)$$
  
12: end while

#### 3.2 Augmented Missing Modality Transform

Given a sample  $X_i = (A_i, V_i, L_i)$  from  $\mathcal{X}$ , we use a augmented transform  $\mathcal{T}(X_i; \mathcal{F})$  to generate a random sample with missing data based on a distribution  $\mathcal{F}$ . Specifically, for each modality  $m \in \{a, v, l\}$ , we define a missing ratio  $r_m \in$ [0, 1], where a, v and l stands for audio, video and linguistic modality, respectively. For the encoded feature in each modality m, we replace the values between  $[\lambda_m, \lambda_m + k_m - 1]$  with zeros, where  $k_m$  represents the number of missing values with  $k_m = \lfloor T_m \cdot r_m \rfloor$  and  $T_m$  is the dimension of the encoded feature.  $\lambda_m$  is sampled from the uniform distribution, *i.e.*,  $\lambda_m \sim \mathcal{U}(0, T_m - k_m)$ . As a result, the augmented sample with missing modality can be obtained by  $\mathbf{X}_i = \mathcal{T}(\mathbf{X}_i; \mathcal{F})$ , where  $\mathcal{F}$  represents the composition of uniform distributions for each individual modality.

## 3.3 Training with Meta-Sampling

Our  $M^3S$  follows MAML training framework (Finn et al., 2017) with augmentation sampling. For each training iteration, we adopt the following steps.

First, we sample two independent batch of data,  $\tilde{\mathcal{X}}_1$  and  $\tilde{\mathcal{X}}_2$ , based on the augmented missing modality transforms,  $\mathcal{T}(\mathcal{X}_1; \mathcal{F})$  and  $\mathcal{T}(\mathcal{X}_2; \mathcal{F})$ , where the missing rate for each modality is determined by the sampling distribution  $\mathcal{F}$ .  $\tilde{\mathcal{X}}_1$  and  $\tilde{\mathcal{X}}_2$  are used as tasks from support set and query set, respectively, in the meta-learning.

Then, in the meta-train process, the model's parameter  $\boldsymbol{\theta}$  is updated using gradient descent based on the loss function  $l\left(f(\tilde{\mathcal{X}}_1; \boldsymbol{\theta}), \mathcal{Y}_1\right)$  with the inner learning rate  $\alpha$  for each iteration n as follows:

$$\boldsymbol{\theta}_{n+1} \leftarrow \boldsymbol{\theta}_n - \alpha \nabla_{\boldsymbol{\theta}_n} l\left(f(\tilde{\mathcal{X}}_1; \boldsymbol{\theta}_n), \mathcal{Y}_1\right), \quad (1)$$

where  $\mathcal{Y}_1$  is the set of sentiment labels of  $\tilde{\mathcal{X}}_1$ , and the loss function  $l\left(f(\tilde{\mathcal{X}}_1; \boldsymbol{\theta}), \mathcal{Y}_1\right)$  is determined by loss used in each base model (*i.e.*, MMIM, MISA, Self-MM, MMIN. See Section 4.2 for more details). The meta-train process is conducted for *K* iterations. We denote  $\boldsymbol{\theta}_K$  as  $\boldsymbol{\theta}^*$ .

Finally, we use the query set  $\tilde{X}_2$  and its set of sentiment labels  $\mathcal{Y}_2$  in the outer loop meta-update step. The model parameters are updated with the learning rate  $\beta$  as follows:

$$\boldsymbol{\theta} \leftarrow \boldsymbol{\theta} - \beta \nabla_{\boldsymbol{\theta}^*} l\left(f(\tilde{\mathcal{X}}_2; \boldsymbol{\theta}^*), \mathcal{Y}_2\right).$$
 (2)

The whole algorithm in general case is shown



Figure 2: The Overall Architecture of  $M^3S$ . We first use augmented transform to generate two batches of data for features from each modality. Then the meta-train and meta-update are conducted on the two batches of data to learn the model parameters  $\theta$ .

in Algorithm 1 and Figure 2 illustrates the metasampling training process.

# 4 Experiment Setup

In this section, we present the setup of our experiments, including the used datasets, baseline methods, evaluation metrics, and implementation details of the proposed method.

#### 4.1 Datasets

We conduct our experiments on the following three datasets, *i.e.*, IEMOCAP (Busso et al., 2008), SIMS (Yu et al., 2020) and CMU-MOSI (Zadeh et al., 2016). The statistics of the datasets are reported in Table 1.

- **IEMOCAP** comprises of several recorded videos in 5 conversation sessions, and each session contains many scripted plays and dialogues. The actors performed selected emotional scripts and also improvised hypothetical scenarios designed to elicit specific types of emotions, which provided detailed information about their facial expressions and hand movements.
- **SIMS** dataset is a multimodal sentiment analysis benchmark containing 2281 video clips from various sources (*i.e.*, movies, shows, TV serials, etc.). SIMS contains fine-grained annotations of different modalities and includes people's natural expressions in video clips. And each sample in SIMS dataset is labeled with a score from -1 to 1, standing for sentiment response (*i.e.*, from strongly negative to strongly positive).

Dataset	Train	Valid	Test	All
SIMS	1368	456	457	2281
MOSI	1284	229	686	2199
IEMOCAP	4446	3342	3168	10956

• CMU-MOSI has 2199 video segments in total, which are sliced from 93 YouTube videos. The videos address a large array of topics like books, products, and movies. In these video segments, 89 narrators show their opinions on different topics. Most of the speakers are around 20-30 years old. They all express themselves in English, although they come from different countries.

## 4.2 Baseline Methods

We use four recent SOTA methods for comparison in the experiments. The methods include MMIM (Han et al., 2021b), MISA (Hazarika et al., 2020), Self-MM (Yu et al., 2021) and MMIN (Zhao et al., 2021), which are summarized as follows.

- † MMIM helps mutual information reach maximum and maintains information related to tasks during the process of multimodal fusion, which shows significant results in multimodal sentiment analysis tasks.
- † MISA is a novel model in emotion recognition that represents modality more effectively and improves the fusion process significantly.
- **† Self-MM** has novel architecture containing several innovative modules (like a module for

Method	Self-MM (SIMS)				MMIN (IEMOCAP)		
	MAE	Corr	Acc-2	F1-Score	Acc	Uar	F1-Score
ORIG	0.5171	0.3918	0.7291	0.6980	0.6136	0.6403	0.6049
ORIG + SPL-TRN	0.5049	0.4080	0.7392	0.7102	0.6357	0.6518	0.6235
$ORIG + M^3S$	0.5053	0.4091	0.7405	0.7119	0.6398	0.6536	0.6296
$\Delta_{ORIG}$	$\downarrow 0.0118$	$\uparrow 0.0173$	$\uparrow 0.0114$	$\uparrow 0.0139$	$\uparrow 0.0262$	$\uparrow 0.0133$	$\uparrow 0.0247$
Method	MISA (MOSI)			-	MMIM (MOSI)		
,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,	MAE	Corr	Acc-7	-	MAE	Corr	Acc-7
ORIG	0.8886	0.7349	0.3863	-	0.7175	0.7883	0.4592
ORIG + SPL-TRN	0.8279	0.7355	0.4155	-	0.7126	0.7825	0.4650
$ORIG + M^3S$	0.8393	0.7346	0.4282	-	0.7014	0.7985	0.4852
$\Delta_{ORIG}$	$\downarrow 0.0493$	$\downarrow 0.0003$	$\uparrow 0.0419$	-	$\downarrow 0.0161$	$\uparrow 0.0102$	$\uparrow 0.0260$

Table 2: Results of four baseline models with different training methods applied. Input and test data both have missing rates between 40% and 60%. ORIG stands for original model; SPL-TRN stands for sampling-training.  $\Delta_{ORIG}$  presents the improved performance based on original model that M<sup>3</sup>S has achieved.

label generation) and reaches brilliant results in multimodal sentiment analysis tasks.

† MMIN handles the problem that input data has uncertain modalities completely missing and achieves superior results under various missing modality conditions.

#### 4.3 Evaluation Metrics

Following the four baseline methods mentioned above, we use the following evaluation metrics, including mean absolute error (MAE), Pearson correlation (Corr), binary classification accuracy (Acc-2), weighted F1 score (F1-Score), accuracy score (Acc), unweighted average recall (Uar), and seven-class classification accuracy (Acc-7). Acc-7 denotes the ratio of predictions that are in the correct interval among the seven intervals ranging from -3 to 3. For all metrics, higher values show better performance except for MAE.

#### 4.4 Implementation Details

**Hyperparameter Settings.** The settings of inner learning rate, outer learning rate and batch size  $\{\alpha, \beta, \text{batch_size}\}$  are as follows: MMIN {2e-4, 1e-4, 256}; MMIM {1e-3, 1e-3, 32}; MISA {1e-4, 1e-4, 128}; For Self-MM, the learning rate for three modalities  $\{\mathcal{A}, \mathcal{V}, \mathcal{L}\}$  is {5e-3, 5e-3, 5e-5}, and the batch size is 32.

**Feature Extraction Details.** Following the baseline methods, we adopt the extracted features as the input for each modality. The feature extraction methods on each modality  $\{\mathcal{A}, \mathcal{V}, \mathcal{L}\}$  are listed as follows: MMIN {OpenSMILE-"IS13\_ComParE" (Eyben et al., 2010), DenseNet (Huang et al., 2017) trained on FER+ corpus (Barsoum et al., 2016), BERT (Devlin et al., 2018)}; Self-MM, MMIM, MISA {sLSTM (Hochreiter and Schmidhuber, 1997), sLSTM, BERT}.

Experimental Details. We use Adam as the optimizer for all four baseline models. The training epoch for {MMIN, MMIM, MISA} is  $\{60, 40, 500\}$ . Self-MM adopts the "early stop" strategy to obtain the best result. Therefore, its training epoch is unfixed. In Section 5.1, We compare the performance of three different training methods dealing with missing modalities in our experiment results: 1) original model's training method (ORIG), where the missing rate of each sample is fixed along the training process during different epochs; 2) original model with Sampling-Training strategy applied (ORIG + SPL-TRN), which adopts augmented sampling without meta-learning process, as illustrated in Section 3.2; 3) original model with M<sup>3</sup>S added on (ORIG +  $M^{3}S$ ), which is the proposed method.

#### 5 Results and Analysis

#### 5.1 Main Results

Built on the baseline models, we conduct experiments with the proposed  $M^3S$  method and show its effectiveness in Table 2. The missing rate is set as the medium rate, between 40% and 60%. Since  $M^3S$  can be an add-on component to existing methods with the capability of dealing with missing

Input Missing Rate	Method	MMIN (IEMOCAP)			MMIM (MOSI)		
input traceing rate		Acc	Uar	F1-Score	MAE	Corr	Acc-7
	ORIG	0.5849	0.5915	0.5748	0.7132	0.7905	0.4577
$60\%\sim80\%$	ORIG + SPL-TRN	0.5812	0.5901	0.5689	0.7268	0.7867	0.4549
00 /0 / ~ 00 /0	$ORIG + M^3S$	0.5900	0.6026	0.5764	0.7208	0.7890	0.4588
	$\Delta_{ORIG}$	$\uparrow 0.0051$	$\uparrow 0.0111$	$\uparrow 0.0016$	$\uparrow 0.0076$	$\downarrow 0.0015$	$\uparrow 0.0011$
	ORIG	0.6136	0.6403	0.6049	0.7175	0.7883	0.4592
$40\% \sim 60\%$	ORIG + SPL-TRN	0.6357	0.6518	0.6235	0.7126	0.7825	0.4650
40 /0 / ~ 00 /0	$ORIG + M^3S$	0.6398	0.6536	0.6296	0.7014	0.7985	0.4852
	$\Delta_{ORIG}$	$\uparrow 0.0262$	$\uparrow 0.0133$	$\uparrow 0.0247$	$\downarrow 0.0161$	$\uparrow 0.0102$	$\uparrow 0.0260$
$20\% \sim 40\%$	ORIG	0.6192	0.6453	0.6078	0.7129	0.7893	0.4694
	ORIG + SPL-TRN	0.6335	0.6513	0.6221	0.7218	0.7832	0.4665
	$ORIG + M^3S$	0.6367	0.6504	0.6266	0.7049	0.7923	0.4838
	$\Delta_{ORIG}$	$\uparrow 0.0175$	$\uparrow 0.0051$	$\uparrow 0.0188$	$\downarrow 0.0080$	$\uparrow 0.0030$	$\uparrow 0.0144$

Table 3: Results on MMIN and MMIM under three different missing rate levels. Test data have the same range of missing rates as input data.



Figure 3: Validation and testing losses of three methods along training built on the MMIM Model.

modality, we compare M<sup>3</sup>S with Sampling-Training (SPL-TRN) and four original baseline methods. For all the testing datasets, M<sup>3</sup>S achieves superior performance in almost all evaluation metrics compared with the original baseline methods, as expected. Since SPL-TRN only adopts augmented sampling without meta-learning process, it achieves worse performance than our M<sup>3</sup>S method in most of the experiments. This result demonstrates that the meta-sampling training process can better learn the common knowledge from other modalities to deal with the missing information. It also verifies that meta-training can better utilize the information from random augmentations. As a matter of fact, with the help of M<sup>3</sup>S, MMIN model achieves the highest Acc, highest Uar, and highest F1-Score. Also, built upon the other three baselines (Self-MM, MISA, MMIM),  $M^3S$  helps in reaching the lowest MAE, highest Corr, and highest Acc in most situations, which shows the efficiency and universality of  $M^3S$ .

## 5.2 Studies of Various Missing Rates

To verify the effectiveness of methods on different missing rates, we conduct experiments on two datasets by varying the input missing rate to three levels (*i.e.*, 20%-40%, 40%-60%, and 60%-80%). Results in Table 3 show that for nearly all the cases, our method M<sup>3</sup>S outperforms ORIG and ORIG+SPL-TRN methods. Specifically, when input missing rate falls within the range 40%-60%, ORIG+M<sup>3</sup>S shows the greatest increment in all metrics, which shows that M<sup>3</sup>S achieves the most significant effect on models with medium missing level.



Figure 4: Uar and F1-Score of three methods along training built on the MMIN Model.

#### 5.3 Convergence Comparison

As is shown in Figure 3(a) and 3(b), we plot the process of MMIM model's loss decline. It is clearly shown in plots that  $M^3S$  helps original model converge to the lowest loss after 10 to 15 epochs of training. As shown in Figure 4(a) and Figure 4(b), we also select MMIN model and plot its convergence process because the trend of its metrics changes more obviously. These two figures, along with Figure 1 show the characteristic of our method: although  $M^3S$  does not show strong competitiveness in the first few epochs, with the progress of training,  $M^3S$  helps model achieve faster growth of various metrics and finally converge to a higher result.

#### 5.4 Adaptation across Different Missing Rates

In order to further discover the efficiency of our method in helping models adapt to different missing rates, we conduct experiments with testing rates different from input rates. As shown in Table 4, compared to ORIG method, we can see that  $M^3S$  significantly improves nearly all metrics by at least 1%. It is worth noticing that a large missing rate

MMIN	ORIG	ORIG +	ORIG +	- A	
	ORIG	SPL-TRN	M <sup>3</sup> S	$\Delta_{ORIG}$	
Acc	0.6035	0.6152	0.6206	$\uparrow 0.0171$	
Uar	0.6281	0.6166	0.6166 0.6140		
F1-Score	0.5953	0.6023	0.6072	$\uparrow 0.0119$	
MMIM	ORIG	ORIG +	ORIG +	Δ	
		SPL-TRN	M <sup>3</sup> S	$\Delta_{ORIG}$	
MAE	0.7201	0.7412	0.7025	$\downarrow 0.0176$	
Corr	0.7794	0.7695	0.7884	$\uparrow 0.0090$	
Acc-7	0.4534	0.4461	0.4825	$\uparrow 0.0291$	

Table 4: Results on MMIN (IEMOCAP) and MMIM (MOSI), where input data have missing rates 40%-60% and test data have missing rates 60%-80%.

(60%-80%) is adopted in the testing, and M<sup>3</sup>S achieves much better performance than the other two methods. For example, the Acc-7 of M<sup>3</sup>S on MOSI dataset is over 3.6% higher than the one of ORIG+SPL-TRN method, demonstrating the capability of M<sup>3</sup>S when different modalities have large missing information.

### 5.5 Further Discussion and Limitations

The qualitative results and ablation study above show that M<sup>3</sup>S significantly helps baseline models improve their performance on inputs with various missing rates. However, when we apply M<sup>3</sup>S to Self-MM model and conduct experiments on CMU-MOSI dataset, we find that the results show little difference from the original model's result. Besides, from Table 2 we know that M<sup>3</sup>S improves Self-MM's performance on SIMS dataset significantly. Hence we assume that this is because Self-MM model has good adaptability to CMU-MOSI dataset but not SIMS dataset when both datasets have a mixture of missing across modalities. Therefore, some models may show adaptivity to certain datasets. And M<sup>3</sup>S may not significantly improve the model's performance on those datasets that model is already quite adaptive to.

Also, as shown in Table 3, it's revealed that when inputs have a large missing rate (60%-80%),  $M^3S$ becomes limited in improving evaluation metrics. We attribute this to the change of sampling range. That is, when inputs have missing rates no more than 60%, we can create sufficient augmented missing data to perform  $M^3S$ . However, when inputs have large missing rates, we can only get augmented data with missing rates restricted to a smaller range. Thus we get a smaller sampling range containing large missing rate data, which makes  $M^3S$  limited.

But in general, M<sup>3</sup>S method is recommended as it

P-value of t-test	Self-MM (SIMS)				MMIN (IEMOCAP)		
	MAE	Corr	Acc-2	F1-Score	Acc	Uar	F1-Score
$P(T \le t)$	0.1959	0.0384	0.0018	0.0615	0.0007	7.95E-5	0.0005
P-value of t-test	MISA (MOSI)			-	MMIM (MOSI)		
	MAE	Corr	Acc-7	-	MAE	Corr	Acc-7
$P(T \le t)$	0.0473	0.1873	0.0405	-	0.0277	0.1971	0.0263

Table 5: Two-tailed significance test (t-test) of M<sup>3</sup>S.

is easy to be added on different models and efficient in improving models' performance on multimodal sentiment analysis tasks most of the time, especially when input data has a medium missing rate. As shown in Table 5, nearly all evaluation metrics' P-value is smaller than 0.05 in the significance test, indicating significant improvement when M<sup>3</sup>S is applied.

## 6 Conclusion and Future Work

In this paper, we focus on a challenging problem, *i.e.*, multimodal sentiment analysis on a mixture of missing across modalities, which was seldom studied in the past. We propose a simple yet effective method called M<sup>3</sup>S to handle the problem. M<sup>3</sup>S is a meta-sampling training method that follows the MAML framework and combines the sampling strategy for augmented transforms. M<sup>3</sup>S maintains the advantages of meta-learning and helps SOTA models achieve superior performance on various missing input modalities.

In the experiments, we show that our method M<sup>3</sup>S improves four baselines' performance and helps them adapt to inputs with various missing rates. Furthermore, M<sup>3</sup>S is easy to realize in different multimodal sentiment analysis models. In future work, we plan to investigate how to better combine M<sup>3</sup>S with other training methods and extend the method to other multimodal learning tasks.

# **Ethical Considerations**

Our proposed method aims to help improve the performance of different SOTA methods on data with various missing rates. All experiments we conduct are based on the open public datasets (Section 4.1) and pretraining baseline methods (Section 4.2). When applying our method in experiments, there is minimal risk of privacy leakage. Furthermore, since our method is an add-on component

for different baselines, it is safe to apply it as long as the baseline model provides adequate protection for privacy.

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