# Various Errors Improve Neural Grammatical Error Correction

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#### Abstract

The lack of parallel data is an obstacle in datadriven grammatical error correction. Recently, researchers have addressed this problem by exploring data augmentation methods. А number of studies have focused on improving the diversity of errors in generated data. To investigate the importance of error diversity and its impact on performance improvements, we designed and integrated 188 modules to generate token-level or span-level errors. Experimental results demonstrate that the diversity of errors is crucial to performance improvements. The presented approach performs better than the baseline of round-trip translation, a purely data-driven approach. Furthermore, we report that a larger monolingual corpus does not always result in better performance.

## 1 Introduction

Grammatical error correction (GEC) is an important NLP application that automatically corrects mistakes in text, and can assist language learners in writing text. Typically, GEC is treated as data-driven machine translation (MT), where an erroneous sentence is 'translated' into a correct one (Brockett et al., 2006). Sequence-to-sequence models (Sutskever et al., 2014), which were originally proposed for MT, have also achieved excellent performance in GEC (Junczys-Dowmunt et al., 2018).

There are a number of publicly available datasets for GEC. The Lang-8 corpus (Mizumoto et al., 2012) is the largest among them, containing about one million sentence pairs. However, the amount of data is still insufficient for training high-quality neural machine translation (NMT) models. One promising way to address this issue is by generating additional erroneous data by adding artificial errors to grammatical sentences. Therefore, various state-of-the-art studies have developed the GEC-specific data augmentation methods in their 'pre-training and fine-tuning' paradigm (Lichtarge et al., 2019; Grundkiewicz et al., 2019; Kiyono et al., 2019).

Many studies aim at increasing the diversity in error types in augmented data, such that the model can handle a wide range of errors. Wan et al. (2020) presented a data augmentation method that injects noises to hidden-state representations based on ER-RANT error types (Bryant et al., 2017). Stahlberg and Kumar (2021) used error generation models, controlling types of generated errors.

However, there are two issues that need to be discussed on the error diversity in augmented data. First, we are not certain of performance changes when error types vary. Previous studies did not analyze the contributions of diversity in error types due to its lack of explicit control in augmented data. Second, we are not certain of the performance of other important factors for improving the usefulness of artificial error data other than the variety of error types; for example, the training epochs and the size of the monolingual corpus for data augmentation.

In order to address these issues, we explore a rulebased approach for data augmentation in the GEC task. Although we are uncertain whether a purely data-driven method for data augmentation (for example, back-translation) actually generates diverse errors, a rule-based approach allows explicit control of error types. We can evaluate the impact of error diversity by changing the combination of errorgenerating modules.

In this paper, we first classify errors in English text into five categories to design a wide range of modules for error generation. Next, we propose a framework for integrating the modules, in order to build artificial data with various errors. We conducted experiments to examine the impact of error diversity in the generated erroneous data. As a result, we verified that sufficient error type diversity in augmented data is important for better performance improvement. In addition to error types, we show that generating artificial data at all epochs, not only at once, and performing sufficient iterations in pretraining improve the performance even without using large monolingual corpora. Furthermore, our method achieves better performance than the baseline of round-trip translation, one of the state-of-theart methods for data augmentation.

### 2 Related Work

### 2.1 GEC as MT

After the notable successes in machine translation, the paradigm of NMT has been widespread in GEC since Yuan and Briscoe (2016). Moreover, among a variety of sequence-to-sequence models, Transformer (Vaswani et al., 2017) has also been popular in neural GEC (Junczys-Dowmunt et al., 2018), which has advantages in translation quality and parallel computing.

#### 2.2 Data Augmentation and Pre-Training

To make use of NMT in GEC, we need error annotated learner corpora as supervision data for NMT models. However, the size of the available supervision data in GEC is much smaller than that of NMT (Junczys-Dowmunt et al., 2018). Since preparing human-annotated GEC data is timeconsuming and expensive, researchers have explored data augmentation in GEC.

Lichtarge et al. (2019) confirmed the effectiveness of pre-training an NMT model on large artificial data, and fine-tuning it on supervision data for GEC. As explained in Section 1, several researchers have explored data augmentation for GEC. Typically, data augmentation methods for GEC make artificial data by introducing errors into grammatical sentences.

Many studies have shown that simple token-level perturbations are effective for data augmentation for GEC. Grundkiewicz et al. (2019) used confusion sets obtained from a spell checker to generate artificial errors; their system was ranked first in the two tracks of the BEA-2019 shared task (Bryant et al., 2019). Choe et al. (2019) used learner's error patterns and confusion sets, based on parts-of-speech, to create preposition and inflection errors, achieving the second-best result in the same shared task.

Back-translation, where the model generates an erroneous sentence from the correct one, is an MTbased error generation method (Rei et al., 2017). Naive back-translation has a problem with the diversity of generated examples. To solve this problem, Xie et al. (2018) gave more diversity to erroneous sentences, adding noise into each hypothesis in the beam. Kiyono et al. (2019) further studied the effectiveness of back-translation in largescale data. Stahlberg and Kumar (2021) used a Seq2Edits (Stahlberg and Kumar, 2020) model for span-level back-translation. This method can control the distribution of error types in the augmented data.

Round-trip translation (RTT), in which a grammatical sentence is first translated into a bridge language and then translated back, is also an effective MT-based error generation method (Lichtarge et al., 2019, 2020).

### **3** Error Categories

We categorize errors in English text into five categories to decompose various errors and investigate the importance of error diversity. This categorization is helpful to build error-generation modules, since an appropriate error generation method differs for each category. Additionally, we review previous studies on data augmentation for GEC, related to this categorization.

**Function Word** Errors in function words include the misuse of prepositions and pronouns; for example, *for* in "I went *for* ( $\rightarrow$ to) Tokyo." Errors in function words can be generated by word-level perturbations. Izumi et al. (2003) proposed the first rulebased error generation by replacing or deleting articles. Rozovskaya and Roth (2010) replaced prepositions following the error rate and patterns of nonnative writers.

**Inflection** Inflection errors involve inflectional forms of adjectives, nouns, and verbs; for example, *goed* in "I *goed* ( $\rightarrow$ went) to Tokyo." Most inflection errors occur at word-level, although some occur at span-level (e.g., perfect tense). Brockett et al. (2006) generated inflection errors in mass nouns using regular expressions. Choe et al. (2019) produced inflection errors of nouns and verbs.

**Lexical Choice** Lexical choice errors occur due to the misuses of synonyms or affixes; for example, *lost* in "I *lost* ( $\rightarrow$ missed) my flight." Xu et al. (2019) generated suffix errors (e.g., *arrive*  $\rightarrow$ arrival) using confusion sets.

**Word Order** Word order errors are caused by incorrect placements of words and phrases in sentences; for example, "I *my flight missed* ( $\rightarrow$ missed my flight)." Word order errors can be generated by swapping the order of adjacent words (Grundkiewicz et al., 2019).

**Writing System** Writing system errors include spelling, punctuation, compound, orthography, and case errors. For example, tu in "I went tu ( $\rightarrow$ to) Tokyo." Grundkiewicz et al. (2019) generated confusion sets of spelling errors using a spell checker.

# 4 Proposed Method

In this study, we designed 188 error-generation modules and a framework for integrating them into a data augmentation method for GEC. Table 1 shows the number of modules by category. The category "Others" in the table includes modules on deleting frequent function words and punctuations, and predicting masked tokens. We first explain the details of the modules, followed by a method for integrating the modules.

### 4.1 Error Generation Module

The input sentence of our framework is tokenized with SpaCy  $v2.3^1$ ; each word token is tagged with a part-of-speech tag, a dependency tag, a lemma, and an IOB tag for the named entity. Error generation

| Error category | # Modules |
|----------------|-----------|
| Function word  | 154       |
| Inflection     | 5         |
| Lexical choice | 2         |
| Word order     | 6         |
| Writing system | 19        |
| Others         | 2         |
| Total          | 188       |
|                |           |

Table 1: Breakdown of error generation modules.

modules use the output of SpaCy to inject artificial errors.

Modules for function word error are designed for each function word; therefore this group occupies the majority of the modules. They delete, replace, or insert tokens based on their conditions. For example, a module on the proposition than deletes than with a probability of 0.2, and replaces than with to, from, over, beyond with a probability of 0.4, 0.2, 0.1, 0.1, respectively<sup>2</sup>. Some modules insert tokens. For example, an insertion module for articles and demonstratives inserts a, an, the, this, that, these, those with a probability of 0.3, 0.3, 0.3, 0.025, 0.025, 0.025, 0.025, respectively, between two adjacent words if the preceding word is VB, VBD, VBG, VBN, VBP, VBZ, or IN in Penn Treebank definition, and if the subsequent word is NN, NNS, JJ, JJN, or JJS. The module inserts articles and demonstratives at the beginning of a sentence if its first word is NN, NNS, JJ, JJN, or JJS.

The modules for inflection error change the inflectional form of adjectives, nouns, and verbs using  $lemminflect^3$ . The other modules include the deletion of the passive auxiliary and replacement of *to* in infinitive use with *by* or *for*.

The modules for lexical choice error are for suffixes and synonyms. The suffix error module replaces a suffix with another using a manually defined suffix set. The synonym error module replaces a word with one of its synonyms using Word-Net (Miller, 1995).

The modules for word order error are divided into two parts. The former part of modules move words or spans by a distance sampled from their own normal distribution. The adverb word order module and

<sup>&</sup>lt;sup>1</sup>https://spacy.io/

<sup>&</sup>lt;sup>2</sup>We set the replacing words and the probabilities manually. We may obtain further improvements by searching better parameters.

<sup>&</sup>lt;sup>3</sup>https://github.com/bjascob/LemmInflect

the interrogative word order module move adverbs and interrogative words respectively. The module for prepositional phrase word order detects prepositional phrases and moves them. There is another special module that moves words regardless of its syntactic role. The latter part of modules swap the order of words in the detected spans. The adjective word order module detects consecutive adjectives and shuffles them. The "A of B" word order module detects the pattern of "(noun phrase) of (noun phrase)" and swaps the order of the two phrases.

The modules for writing system errors are implemented in various styles. Punctuation error modules delete, insert or replace various punctuations. Case error modules convert the first letter of a word to lowercase or uppercase. The module applies to named entities at the span-level<sup>4</sup>. The orthography error module randomly deletes a space between words. A variant of this module inserts a space into words based on word frequency such that, for example, "football" is more likely to be split into "foot ball" than "foo tball." The spelling error module deletes, swaps, inserts, or replaces some characters in words. The number of perturbing characters is sampled from a geometric distribution. Characters to be inserted or replaced with are sampled from a pre-defined distribution computed by a feed-forward network with a context window of five characters.

Due to space limitations, we cannot provide full explanations of the modules. Please refer to the implementation for details<sup>5</sup>.

#### 4.2 Integrating Error-Generation Modules

We propose a framework to integrate the presented modules. Figure 1 shows the outline of our framework. The framework consists of a stack of multiple error-generation modules, with the aim of generating diverse errors. Given a correct input sentence, it generates erroneous text artificially by applying error-generation modules one by one to the input. We manually determined the order for applying these modules, such that a sub-



Figure 1: The outline of our error generation framework.

sequent module does not completely overwrite errors made by preceding modules. For example, the preposition error module precedes the spelling error modules since "on  $\stackrel{\text{prep.}}{\leadsto}$  for  $\stackrel{\text{spell.}}{\leadsto}$  far" is preferable to "on  $\stackrel{\text{spell.}}{\leadsto}$  onn  $\stackrel{\text{prep.}}{\leadsto}$  for."<sup>6</sup>

An error-generation module is applied to each word or span detected by the module. Each errorgeneration module has its own beta distribution used for sampling a threshold for each sentence<sup>7</sup>. For each input word, an error-generation module checks its applicability, samples the probability from the uniform distribution for each word or span, and applies to it if the probability is smaller than the threshold sampled for the sentence.

### 5 Experimental Settings

#### 5.1 Training and Evaluation Dataset

We use the official datasets in Restricted Track in the BEA-2019 Shared Task (Bryant et al., 2019)<sup>8</sup>, which consists of four training datasets with annotated errors: FCE train (Yannakoudakis et al., 2011), NUCLE (Dahlmeier et al., 2013), Lang-8 (Mizumoto et al., 2012), and W&I train (Bryant et al., 2019). We removed the identical source-target pairs in the Lang-8 corpus, and over-sampled the FCE train, NUCLE, and W&I train datasets three times.

Searching for hyperparameters on a validation set, we evaluate GEC models on: the test set of the BEA-2019 Shared Task, which is tuned for its valid set and

<sup>&</sup>lt;sup>4</sup> '*long* Island' is easier to correct than '*long island*', as an erroneous example of 'Long Island'. The module addresses this issue by span-level error generation.

<sup>&</sup>lt;sup>5</sup>You can see the source code for our error-generating method and all our experiments in https://github.com/ nymwa/arteraro.

<sup>&</sup>lt;sup>6</sup>Since a preposition error module refers to the original word to select an erroneous word, it is impossible to produce errors that combine prepositional and spelling errors in this example.

<sup>&</sup>lt;sup>7</sup>The parameters of the beta distributions are set manually.

<sup>&</sup>lt;sup>8</sup>https://www.cl.cam.ac.uk/research/nl/ bea2019st/

ERRANT scorer (Bryant et al., 2017); the test set of the CoNLL-2014 Shared Task (Ng et al., 2014), tuned for the CoNLL-2013 Shared Task (Ng et al., 2013) dataset and  $M^2$  scorer (Dahlmeier and Ng, 2012); the test set of FCE in the official datasets in the BEA-2019 Shared Task, tuned for its valid set and ERRANT scorer; and the test set of JF-LEG (Napoles et al., 2017), tuned for its valid set and GLEU scorer (Napoles et al., 2015).

As source text for data augmentation, we use monolingual corpora in the WMT 2020 news task<sup>9</sup>: News crawl 2015–2020, Europarl v10, and News Commentary v16. The total number of sentences in these corpora is ca. 163.6M. When we use smaller corpora, for example, "16M sentences", we sample 16M sentences from the 163.6M sentences.

We preprocessed all the data by normalizing the punctuations and decomposable characters (e.g., à). We apply BPE-dropout (Provilkov et al., 2020) with the vocabulary size of 16,000 to all the training and evaluation data with a dropout probability of 0.1 for source sentences in training data and 0 for other data.

### 5.2 Model

In all experiments, we use the Transformer Big model (Vaswani et al., 2017) implemented in fairseq  $v0.10.2^{10}$  to train GEC models. It has six Transformer blocks with 16-head self-attention layers and a hidden-vector size of 1,024. We use the GeLU activation function (Hendrycks and Gimpel, 2016) in feed-forward layers with a hidden-vector size of 4,096. We tie embeddings of the input layers of the encoder and the input and output layers of the decoder (Press and Wolf, 2017). We use a pre-norm, instead of a post-norm, for each Transformer layer for better convergence. We decode an output with a beam size of 12 and length normalization with a penalty of 0.6. We train five models for each experiment and report their average score and the score of ensemble generation.

### 5.3 Pre-Training and Fine-Tuning

We pre-train models using augmented data and finetune them using the target domain data. We call this experiment "artificial+target setting." We apply the presented error-generation framework at each epoch. This means that the supervision data for pretraining are different at each epoch. We fine-tune models for 30 epochs in artificial+target settings. The number of pre-training epochs is different for each experiment. We call the experiment without pre-training "target-only setting." We train models for 40 epochs in target-only settings.

#### 5.4 Training Settings

We use: the cross-entropy loss with label smoothing of 0.1; AdamW optimizer (Loshchilov and Hutter, 2019) with  $(\beta_1, \beta_2) = (0.9, 0.999)$ ; a learning rate of 0.0015 for target-only settings and 0.001 for artificial+target settings; and a linear warm-up for the first 8k steps and inverted squared decay following the warm-up. The maximum length of the training sentence is 400 tokens. We use dropout for the attention and activation layers with a probability of 0.2, and other layers with that of 0.3. We apply a weight decay of 0.001 and gradient clipping of 1.0 for target-only settings and 0.3 for artificial+target settings. We set the max batch size as 4000 tokens. We accumulate gradients of 8 mini-batches for target-only settings and 128 for artificial+target settings.

### 6 Experimental Results

#### 6.1 Impact of the Diversity of Error Types

To examine the importance of the diversity in error types, we investigated GEC performance by changing the error variety in the artificial data used for pre-training. Table 2 shows the results on 16M pre-training for 10 epochs and fine-tuning.

When we pre-train models using data without error generation "No error (copy)"<sup>11</sup>, where the models are pre-trained for copying the monolingual data, the performance is worse than that of the model trained only with the target data, "Target-only." This result indicates that pre-training a model on erroneous data is necessary. The presented method "All modules" exhibits remarkable improvements over the target-only setting: 5.86, 5.46, and 2.54 points improvements (with ensembling) on BEA-19, CoNLL 14, and JFLEG, respectively.

<sup>&</sup>lt;sup>9</sup>http://www.statmt.org/wmt20/

translation-task.html

<sup>&</sup>lt;sup>10</sup>https://github.com/pytorch/fairseq

<sup>&</sup>lt;sup>11</sup>We apply gradient clipping of 0.1 for this setting to prevent divergence.

|   | BEA-19                      | CoNLL                       | JFLEG                       |  |  |  |  |  |
|---|-----------------------------|-----------------------------|-----------------------------|--|--|--|--|--|
|   | test                        | 14                          | test                        |  |  |  |  |  |
| Target-only                                 | 59.09 / 63.56               | 54.03 / 56.79               | 57.33 / 58.15               |  |  |  |  |  |
| Pre-training + fine-tuning, pre-trained on: |                             |                             |                             |  |  |  |  |  |
| No error (copy)                             | 60.02 / 62.96               | 52.39 / 53.85               | 55.93 / 56.09               |  |  |  |  |  |
| All modules                                 | <u>67.32</u> / <u>69.42</u> | <u>60.60</u> / <u>62.25</u> | <b>60.12</b> / <u>60.69</u> |  |  |  |  |  |
| Pre-training on error                       | s from a specific           | group and othe              | rs + fine-tuning            |  |  |  |  |  |
| None (others only)                          | 64.30 / 67.60               | 57.88 / 59.67               | 58.30 / 59.00               |  |  |  |  |  |
| Function word                               | 65.79 / 68.42               | 58.95 / 62.14               | 58.58 / 58.89               |  |  |  |  |  |
| Inflection                                  | 64.26 / 67.80               | 59.53 / 61.44               | 58.74 / 59.23               |  |  |  |  |  |
| Lexical choice                              | 64.91 / 67.28               | 58.56 / 60.87               | 58.68 / 59.13               |  |  |  |  |  |
| Word order                                  | 64.66 / 67.76               | 58.60 / 60.41               | 58.47 / 58.76               |  |  |  |  |  |
| Writing system                              | 66.11 / 68.54               | 59.83 / 62.11               | 59.69 / 60.34               |  |  |  |  |  |
| Pre-training on error                       | s excluding a sp            | ecific group + fi           | ne-tuning                   |  |  |  |  |  |
| - Function word                             | 66.57 / 69.36               | 59.64 / 61.62               | 59.79 / 60.17               |  |  |  |  |  |
| - Inflection                                | 67.03 / 69.00               | 60.80 / 62.66               | 60.10 / <b>60.82</b>        |  |  |  |  |  |
| - Lexical choice                            | 67.12 / <b>69.52</b>        | 60.47 / 61.84               | 60.12 / 60.81               |  |  |  |  |  |
| - Word order                                | 67.60 / 69.68               | 61.28 / 63.69               | <b>60.12</b> / 60.49        |  |  |  |  |  |
| - Writing system                            | 65.79 / 68.36               | 60.10/61.62                 | 59.28 / 59.70               |  |  |  |  |  |

Table 2: The effect of error diversity. The left and right scores represent the average score of five models and the ensemble generation score, respectively.

Using only other modules for error generation, "None (others only)" shows a considerable performance gain over the target-only setting. This result implies that mask-prediction and deletion are excellent heuristics even without linguistic features. Adding one group of modules further improves the performance. In particular, adding the modules for writing system errors is effective.

When we add four groups of modules, the results are almost identical to that of all modules, except for writing system modules. This result indicates that errors in the writing system play the most important role in the presented method. Although using all modules in error generation does not always achieve the best performance, we observe that pretraining on erroneous data of various types generally improves GEC performance.

#### 6.2 Impact of the Corpus Size

Kiyono et al. (2019) reported that the backtranslation approach for artificial error generation benefits from the larger size of text data. Figure 2 depicts the GEC performance pre-trained on artificial errors and fine-tuned on the target domain data, changing the size of the monolingual corpus from which the proposed method generates the artificial errors. The blue line presents the performance when we change the size of the monolingual corpus with the number of pre-training epochs fixed to 10. We can see that the size of the monolingual corpus matters, as reported in a previous study.



Figure 2: The effect of varying corpus size and training epochs. The results are an average of five models. The associated numbers indicate the training epochs.



Figure 3: The effect of generating artificial data at every epoch. The results are an average of five models. The associated numbers indicate the training epochs.

However, in this experiment, we cannot distinguish whether the performance gain is caused by the increased size of monolingual corpora or by the increased total steps required to update the model parameters during pre-training. Thus, we conducted another experiment where the total number of pretraining steps was fixed, with monolingual corpus of different sizes. The red line in Figure 2 shows the result. Even when we reduced the corpus size to 1M, we did not observe a severe performance drop unlike the blue line. This result suggests that the size of monolingual corpus for artificial data is not critical, and the number of total steps for updating the model parameters during pre-training is essential. Additionally, this result implies that the proposed method can train good GEC models for lowresource languages if error-generation modules are designed appropriately.

### 6.3 Impact of Generating Artificial Data at Every Epoch

To investigate the effect of generating artificial error data at every epoch, we compare two settings: gen-

| $ \begin{array}{c ccccccccccccccccccccccccccccccccccc$   |                                |          |         | BEA          | A-19      |       | С            | oNLL-1    | 13 C  | oNLL-  | 14        |              | FC    | CE    |              | JFL   | EG           |
|--|--------------------------------|----------|---------|--------------|-----------|-------|--------------|-----------|-------|--------|-----------|--------------|-------|-------|--------------|-------|--------------|
| $ \begin{array}{c ccccccccccccccccccccccccccccccccccc$   |                                |          | valid   |              |           | test  |              | (valid)   |       | (test) |           | valid        |       | test  |              | valid | test         |
| $ \begin{array}{cccccccccccccccccccccccccccccccccccc$  |                                | Р        | R       | $F_{0.5}$    | Р         | R     | $F_{0.5}$    | $F_{0.5}$ | Р     | R      | $F_{0.5}$ | $F_{0.5}$    | Р     | R     | $F_{0.5}$    | GLEU  | GLEU         |
| $ \begin{array}{cccccccccccccccccccccccccccccccccccc$  | Choe et al. (2019)             | 63.54    | 31.48   | 52.79        | 76.19     | 50.25 | 69.06        | -         | 74.76 | 34.05  | 60.33     | -            | -     | -     | -            | -     | -            |
| Wan et al. (2020)72.661.370.0-72.348.865.9-65.453.662.664.7Stahlberg and Kumar (2021)77.765.474.9-75.649.368.365.453.662.664.7Target only<br>single52.2828.0144.5663.3146.6859.0937.5265.7231.5954.0351.6158.8531.5850.1852.2557.33ensemble58.8027.9348.1669.8246.8063.5637.7071.7930.9356.7954.1363.9531.7053.1452.3258.15ensemble + reranking59.4332.6951.0770.3953.0166.0644.2867.4732.6955.4244.0056.8126.7546.3852.9858.23ensemble53.2523.0842.2165.2545.1059.9037.9467.0732.6955.4244.0056.8126.7546.3852.9858.23ensemble53.8326.6944.7367.0051.0363.0540.1967.8732.4855.7344.0056.8126.8246.7353.0458.37ensemble53.8326.6944.7367.0051.0363.0540.1963.7156.8346.3457.7931.3349.4354.9160.41Pre-trai   | Grundkiewicz et al. (2019)     | 59.1     | 36.8    | 53.00        | 72.28     | 60.12 | 69.47        | -         | -     | -      | 64.16     | -            | -     | -     | 55.81        | -     | 61.22        |
| $ \begin{array}{c ccccccccccccccccccccccccccccccccccc$   | Lichtarge et al. (2020)        | -        | -       | -            | 75.4      | 64.7  | 73.0         | -         | 74.7  | 46.9   | 66.8      | -            | -     | -     | -            | -     | 64.9         |
| $\begin{array}{c c c c c c c c c c c c c c c c c c c $   | Wan et al. (2020)              | -        | -       | -            | 72.6      | 61.3  | 70.0         | -         | 72.3  | 48.8   | 65.9      | -            | 65.4  | 53.6  | 62.6         | -     | -            |
| $ \begin{array}{cccccccccccccccccccccccccccccccccccc$  | Stahlberg and Kumar (2021)     | -        | -       | -            | 77.7      | 65.4  | 74.9         | -         | 75.6  | 49.3   | 68.3      | -            | -     | -     | -            | -     | 64.7         |
| ensemble       58.80       27.93       48.16       69.82       46.80       63.56       37.70       71.79       30.93       56.79       54.13       63.95       31.70       53.14       52.32       58.15         ensemble + reranking       59.43       32.69       51.07       70.39       53.01       66.06       44.28       67.42       42.86       60.49       55.37       62.44       35.85       54.38       54.89       60.49         Pre-training only       single       53.25       23.04       42.21       65.25       45.10       59.90       37.94       67.07       32.69       55.42       44.00       56.81       26.75       46.38       52.98       58.23         ensemble + reranking       53.83       26.69       44.73       67.00       51.03       63.05       40.19       67.87       32.48       57.73       44.09       57.38       26.82       46.73       53.04       58.37         ensemble + reranking       53.83       26.69       44.73       67.00       51.03       63.05       40.19       64.89       37.97       56.83       46.34       57.9       31.33       49.43       54.91       60.41         Pre-training + fine-tuning       fine-tuning<  | Target only                    |          |         |              |           |       |              |           |       |        |           |              |       |       |              |       |              |
| ensemble + reranking         59.43         32.69         51.07         70.39         53.01         66.06         44.28         67.42         42.86         60.49         55.37         62.44         35.85         54.38         54.89         60.49           Pre-training only         single         53.25         23.08         42.21         65.25         45.10         59.90         37.94         67.07         32.69         55.42         44.09         56.81         26.75         46.38         52.98         58.37           ensemble         54.50         23.04         42.81         66.61         45.33         60.89         38.19         67.87         32.48         57.73         44.09         57.38         26.82         46.73         53.04         58.37           ensemble + reranking         53.83         26.69         44.73         67.00         51.03         63.05         40.19         64.89         37.97         56.83         46.34         57.79         31.33         49.43         54.91         60.41           Pre-training + fine-tuning         60.91         34.19         52.61         74.39         57.38         70.20         45.45         73.74         41.30         63.71         56.58         63.26           | single                         | 52.28    | 28.01   | 44.56        | 63.31     | 46.68 | 59.09        | 37.52     | 65.72 | 31.59  | 54.03     | 51.61        | 58.85 | 31.58 | 50.18        | 52.25 | 57.33        |
| Pre-training only       53.25       23.08       42.21       65.25       45.10       59.90       37.94       67.07       32.69       55.42       44.00       56.81       26.75       46.38       52.98       58.23         ensemble       54.50       23.04       42.81       66.61       45.33       60.89       38.19       67.87       32.48       55.73       44.09       57.38       26.82       46.73       53.04       58.37         ensemble + reranking       53.83       26.69       44.73       67.00       51.03       63.05       40.19       64.89       37.97       56.81       45.77       31.33       49.43       54.91       60.41         Pre-training + fine-tuning       60.91       34.19       52.61       74.39       57.38       70.20       45.45       73.74       41.30       63.71       56.86       63.26       58.94       55.81       60.91         single       62.65       34.12       53.67       76.46       58.17       71.93       45.88       75.76       41.26       64.91       57.38       65.19       38.45       57.31       56.13       61.34         ensemble + reranking       61.12       37.74       54.39       70.21       71.80   | ensemble                       | 58.80    | 27.93   | 48.16        | 69.82     | 46.80 | 63.56        | 37.70     | 71.79 | 30.93  | 56.79     | 54.13        | 63.95 | 31.70 | 53.14        | 52.32 | 58.15        |
| single       53.25       23.08       42.21       65.25       45.10       59.90       37.94       67.07       32.69       55.42       44.00       56.81       26.75       46.38       52.98       58.23         ensemble       54.50       23.04       42.81       66.61       45.33       60.89       38.19       67.87       32.48       55.73       44.09       57.38       26.82       46.73       53.04       58.37         ensemble + reranking       53.83       26.69       44.73       67.00       51.03       63.05       40.19       64.89       37.97       56.83       46.34       57.79       31.33       49.43       54.91       60.41         Pre-training + fine-tuning       single       60.91       34.19       52.61       74.39       57.38       70.20       45.45       73.74       41.30       63.71       56.58       63.26       38.26       55.41       60.98         ensemble       62.65       34.12       53.67       76.46       58.17       71.93       45.88       75.76       41.26       64.91       57.38       65.19       38.45       57.23       56.13       61.34         ensemble + reranking       61.12       37.74       54.39   | ensemble + reranking           | 59.43    | 32.69   | 51.07        | 70.39     | 53.01 | 66.06        | 44.28     | 67.42 | 42.86  | 60.49     | 55.37        | 62.44 | 35.85 | 54.38        | 54.89 | 60.49        |
| ensemble         54.50         23.04         42.81         66.61         45.33         60.89         38.19         67.87         32.48         55.73         44.09         57.38         26.82         46.73         53.04         58.37           ensemble + reranking         53.83         26.69         44.73         67.00         51.03         63.05         40.19         64.89         37.97         56.83         46.34         57.79         31.33         49.43         54.91         60.41           Pre-training + fine-tuning<br>single         60.91         34.19         52.61         74.39         57.38         70.20         45.45         73.74         41.30         63.71         56.58         63.26         38.26         55.94         55.81         60.98           ensemble         62.65         34.12         53.67         76.46         58.17         71.93         45.88         75.76         41.26         64.91         57.38         65.19         38.45         57.23         56.13         61.34           ensemble + reranking         61.12         37.74         54.39         74.66         62.27         71.80         49.03         70.91         49.39         55.23         57.36         64.46         39.68         < | Pre-training only              |          |         |              |           |       |              |           |       |        |           |              |       |       |              |       |              |
| ensemble + reranking         53.83         26.69         44.73         67.00         51.03         63.05         40.19         64.89         37.97         56.83         46.34         57.79         31.33         49.43         54.91         60.41           Pre-training + fine-tuning<br>single         60.91         34.19         52.61         74.39         57.38         70.20         45.45         73.74         41.30         63.71         56.58         63.26         38.26         55.94         55.81         60.94           ensemble         62.65         34.12         53.67         76.46         58.17         71.93         45.88         75.76         41.26         64.91         57.38         65.19         38.45         57.23         56.13         61.34           ensemble + reranking         61.12         37.74         54.39         74.66         62.27         71.80         49.03         70.91         49.39         65.23         57.36         64.46         39.68         57.31         58.07         63.47  | single                         | 53.25    | 23.08   | 42.21        | 65.25     | 45.10 | 59.90        | 37.94     | 67.07 | 32.69  | 55.42     | 44.00        | 56.81 | 26.75 | 46.38        | 52.98 | 58.23        |
| Pre-training + fine-tuning<br>single         60.91         34.19         52.61         74.39         57.38         70.20         45.45         73.74         41.30         63.71         56.58         63.26         38.26         55.94         55.81         60.98           ensemble         62.65         34.12         53.67         76.46         58.17         71.93         45.88         75.76         41.26         64.91         57.38         65.19         38.45         57.23         56.13         61.34           ensemble + reranking         61.12         37.74         54.39         74.66         62.27         71.80         49.03         70.91         49.39         65.23         57.36         64.46         39.68         57.31         58.07 <u>63.47</u>  | ensemble                       | 54.50    | 23.04   | 42.81        | 66.61     | 45.33 | 60.89        | 38.19     | 67.87 | 32.48  | 55.73     | 44.09        | 57.38 | 26.82 | 46.73        | 53.04 | 58.37        |
| single         60.91         34.19         52.61         74.39         57.38         70.20         45.45         73.74         41.30         63.71         56.58         63.26         38.26         55.94         55.81         60.98           ensemble         62.65         34.12         53.67         76.46         58.17         71.93         45.88         75.76         41.26         64.91         57.38         65.19         38.45         57.23         56.13         61.34           ensemble + reranking         61.12         37.74         54.39         74.66         62.27         71.80         49.03         70.91         49.39         65.23         57.36         64.46         39.68         57.31         58.07         63.47   | ensemble + reranking           | 53.83    | 26.69   | 44.73        | 67.00     | 51.03 | 63.05        | 40.19     | 64.89 | 37.97  | 56.83     | 46.34        | 57.79 | 31.33 | 49.43        | 54.91 | 60.41        |
| ensemble         62.65         34.12         53.67         76.46         58.17         71.93         45.88         75.76         41.26         64.91         57.38         65.19         38.45         57.23         56.13         61.34           ensemble + reranking         61.12         37.74         54.39         74.66         62.27         71.80         49.03         70.91         49.39         65.23         57.36         64.46         39.68         57.31         58.07 <u>63.47</u>   | Pre-training + fine-tuning     |          |         |              |           |       |              |           |       |        |           |              |       |       |              |       |              |
| ensemble + reranking 61.12 37.74 54.39 74.66 62.27 71.80 49.03 70.91 49.39 65.23 57.36 64.46 39.68 57.31 58.07 63.47   | single                         | 60.91    | 34.19   | 52.61        | 74.39     | 57.38 | 70.20        | 45.45     | 73.74 | 41.30  | 63.71     | 56.58        | 63.26 | 38.26 | 55.94        | 55.81 | 60.98        |
| 8  | ensemble                       | 62.65    | 34.12   | 53.67        | 76.46     |       |              |           |       |        |           | 57.38        |       | 38.45 | 57.23        | 56.13 | 61.34        |
| Pre-training + fine-tuning + fine-tuning on subset of target data  | ensemble + reranking           | 61.12    | 37.74   | 54.39        | 74.66     | 62.27 | 71.80        | 49.03     | 70.91 | 49.39  | 65.23     | 57.36        | 64.46 | 39.68 | 57.31        | 58.07 | <u>63.47</u> |
|  | Pre-training + fine-tuning + f | ìne-tuni | ng on s | ubset of     | target of | lata  |              |           |       |        |           |              |       |       |              |       |              |
|  | single                         | 58.96    | 41.61   |              |           |       |              | -         | -     | -      | -         |              |       |       |              | -     | -            |
| ensemble 61.94 42.17 56.63 75.63 64.54 73.11 5 <u>8.83</u> 66.34 41.37 <u>59.19</u>  | ensemble                       | 61.94    | 42.17   | 56.63        | 75.63     | 64.54 | 73.11        | -         | -     | -      | -         | <u>58.83</u> | 66.34 | 41.37 | <u>59.19</u> | -     | -            |
| ensemble + reranking 61.73 43.13 $56.83$ 75.03 65.28 $72.85$ $58.63$ 65.38 42.10 $58.87$   | ensemble + reranking           | 61.73    | 43.13   | <u>56.83</u> | 75.03     | 65.28 | <u>72.85</u> | -         | -     | -      | -         | 58.63        | 65.38 | 42.10 | 58.87        | -     | -            |

Table 3: Comparison of the proposed method to other studies.

erating artificial data at every epoch; and generating them only once and reusing them at every epoch.

Figure 3 shows the results. We can achieve a higher performance when we generate artificial data at every epoch than we do so only once. Furthermore, the red line is less affected by the data size, whereas the blue line drops drastically when the data size is smaller than 4M. This result suggests that generating artificial data at every epoch is beneficial for diversifying erroneous sentences and improving the GEC performance regardless of the data size.

### 6.4 Comparison with State-of-the-Art Models

To compare our methods with state-of-the-art models, we conduct experiments using larger artificial data. We have shown that the effectiveness of the diversity in error types and generation of artificial data at every epoch. To verify the usefulness of our approach compared to the previous studies on data augmentation, we generate artificial data with 163.6M sentences 20 times, pre-train models for 20 epochs on the generated data, and fine-tune the models for 30 epochs. We accumulate gradients of 1,024 mini-batches and apply gradient clipping of 0.1 in this pre-training setting for faster training.

We explain the previous methods used for comparison. Choe et al. (2019) addressed the effectiveness of pre-training a model on artificial data, finetuning the model on all target data, followed by finetuning the model again on the subset of target training data whose domain is similar to a test set. In this setting, we fine-tune the pre-trained models on all target data for five epochs, followed by fine-tuning on the subset (BEA-19 train for BEA-19 test, and FCE train for FCE test) for 30 epochs.

Chollampatt et al. (2019) reranked the n-best candidates using the perplexity of each candidate computed by BERT (Devlin et al., 2019). We calculated the perplexity using RoBERTa large (Liu et al., 2019), following Salazar et al. (2020).

Table 3 shows the results. The "pre-training only" setting, which can be considered as an unsupervised GEC, exhibited close performances to the "target-only" setting. In other words, the proposed approach for artificial error generation is close to the fully supervised approach. The full models (the bottom group of the table) achieved comparable performance to the previous methods. The table also indicates that fine-tuning on a subset of target data, and model ensembling are quite effective in improving the performance.

#### 6.5 Comparison to RTT

We compare our method to RTT, which was verified by Lichtarge et al. (2019) for its effectiveness. We round-trip 16M sentences using four bridge languages, German (De), Finnish (Fi), French (Fr), and Latvian (Lv), and regard pairs of original and round-tripped sentences as supervision data for pretraining. Table 4 shows the performance of the Transformer Base models that we prepared for RTT. In the RTT experiments, we pre-trained models for

| BLEU        | $en \to X$ | $X \to en$ |
|-------------|------------|------------|
| De (WMT 14) | 26.6       | 31.8       |
| Fi (WMT 17) | 22.8       | 26.0       |
| Fr (WMT 14) | 37.3       | 35.2       |
| Lv (WMT 17) | 18.3       | 19.1       |

Table 4: BLEU scores of RTT models on WMT test data measured by SacreBLEU (Post, 2018).

|           | BEA-19<br>test              | CoNLL<br>14                 | JFLEG<br>test        |
|-----------|-----------------------------|-----------------------------|----------------------|
| RTT (De)  | 65.61 / 68.22               | 58.66 / 60.39               | 59.10 / 59.81        |
| RTT (Fi)  | 65.89 / 68.25               | 60.09 / 62.02               | <u>59.45</u> / 59.65 |
| RTT (Fr)  | 65.81 / 68.08               | 59.50/61.10                 | 58.78 / 59.31        |
| RTT (Lv)  | 65.80 / 67.97               | 58.79 / 60.51               | 59.20 / 59.65        |
| RTT (all) | <u>66.05</u> / <u>68.71</u> | <u>60.30</u> / <u>62.09</u> | 59.41 / <u>59.97</u> |
| Ours      | 67.32 / 69.42               | 60.60 / 62.25               | 60.12 / 60.69        |

Table 5: Comparison of our method and RTT.

10 epochs using round-tripped data and fine-tuned the models as we did for the erroneous data generated by the proposed method. To diversify the RTT data, we explore the "all" setting, where a training instance is chosen from the RTT'ed ones via four languages at each epoch.

Table 5 shows the average scores of the five models. Our error-generation modules perform better than all RTT configurations including the "all" setting. We also emphasize that RTT requires much more computations than the proposed modules because RTT must use an MT system twice (fore and back translations) to obtain artificial data. In contrast, the proposed method does not require special accelerators (e.g., GPUs and TPUs) for generating data. Therefore, the presented method is not only more effective but also more efficient than RTT.

### 7 Conclusion

In this study, we proposed a framework to generate artificial error data for GEC, incorporating various error-generation modules. We confirmed that the diversity of errors improved the performance of GEC models pre-trained on the error data. The performance of the presented method was better than that of the RTT baselines under the same conditions. We observed that the size of the monolingual corpus was less critical, but the number of total steps in pre-training was important.

The presented error-generation modules have several hyperparameters. Optimizing these hyperparameters for a specific dataset would be an immediate future work. We further plan to combine the error-generation modules with other methods. For example, integrating error-generation modules in a decoder of back-translation and/or round-trip translation model may be a promising direction.

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