# Thank you BART! Rewarding Pre-Trained Models Improves Formality Style Transfer

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#### Abstract

Scarcity of parallel data causes formality style transfer models to have scarce success in preserving content. We show that fine-tuning pre-trained language (GPT-2) and sequenceto-sequence (BART) models boosts content preservation, and that this is possible even with limited amounts of parallel data. Augmenting these models with rewards that target style and content –the two core aspects of the task– we achieve a new state-of-the-art.

#### **1** Introduction and Background

Style transfer is the task of automatically converting a text of one style into another, such as turning the formal "I viewed it and I believe it is a quality program." into the informal "I've watched it and it is AWESOME!!!!". This task, which can be used for, e.g., personalised response generation, translation of ancient text into modern text, and text simplification, is particularly challenging since style must be changed while ensuring that content is preserved. Accordingly, the performance of style transfer systems is commonly assessed on both style strength and content preservation.

Due to the general scarcity of parallel data, unsupervised approaches are popular. These include disentangling style and content by learning a distinct representation for each (Shen et al., 2017; Fu et al., 2018; John et al., 2019), and back translation (Zhang et al., 2018; Lample et al., 2019; Luo et al., 2019; Prabhumoye et al., 2018). A common strategy to enhance style accuracy is to introduce a reward in the form of a style classifier (Lample et al., 2019; Gong et al., 2019; Luo et al., 2019; Wu et al., 2019; Sancheti et al., 2020). As a result, unsupervised models achieve good accuracy in style strength. Content preservation is however usually unsuccessful (Rao and Tetreault, 2018).

Parallel data can help to preserve content, but is limited. Niu et al. (2018) combine the train sets

of two different domains and incorporate machine translation to train their models with a multi-task learning schema, plus model ensembles. Sancheti et al. (2020) use it to train a supervised sequence-tosequence model, and in addition to the commonly used style strength reward, they include a reward based on BLEU (Papineni et al., 2002) to enhance content preservation. Shang et al. (2019) propose a semi-supervised model combining parallel data with large amounts of non-parallel data.

Pre-trained models, successful in a variety of NLP tasks, have recently been used in formality style transfer. Zhang et al. (2020) propose several data augmentation methods for pre-training a transformer-based (Vaswani et al., 2017) model and then used gold data for fine-tuning. Using GPT-2 (Radford et al., 2019), Wang et al. (2019) and Wang et al. (2020) propose a harness-rule-based preprocessing method, and joint training of bi-directional transfer and auto-encoding with two auxiliary losses. Contemporary work by Chawla and Yang (2020) develops a semi-supervised model based on BART large (Lewis et al., 2020).

**Contributions** Focusing specifically on *formal*ity transfer, for which parallel data is available, (i) we take the contribution of pre-trained models a step further by augmenting them with reward strategies that target content and style, thereby achieving new state-of-the-art results. (ii) We analyse separately the contribution of pre-trained models on content and style, showing that they take care of preserving content (the hardest part of style transfer to date), while ensuring style strength. (iii) Moreover, experimenting with training size, we show that while parallel data contributes to content preservation, fine-tuning pre-trained models with 10% of parallel data is more successful than training on 100% of data from scratch. Reducing the need for parallel data opens up the applicability of



Figure 1: Model architectures. We use three special symbols: [BOS] in front of every source sentence, [SEP] between the source and target sentences (only in GPT-2), and [EOS] at the end of every target sentence.

supervised style transfer to new scenarios: tasks, domains, languages.<sup>1</sup>

#### 2 Method

We propose a framework to control the style of output text for style transfer atop pre-trained models. Given a source sentence  $x = \{x_1, \dots, x_n\}$  of length n with style  $s_1$  and a target style sentence  $y = \{y_1, \dots, y_m\}$  of length m with style  $s_2$ , our model aims to learn two conditional distributions, altering the style of a sentence while preserving its original content. Our framework consists of (i) fine-tuning pre-trained models on a formality transfer parallel corpus; (ii) incorporating rewards to enhance style change and content preservation.

#### 2.1 Models

**GPT-2** This model (Radford et al., 2019) is a transformer-based network (Vaswani et al., 2017). Given a sentence of tokens  $x = \{x_1, \dots, x_l\}$ , the standard language modeling objective is to minimize the following negative log likelihood:

$$L_{(\phi)} = -\Sigma_i \log(p(x_i | x_{i-k:i-1}; \phi)) \qquad (1)$$

where k is the size of the context window.

To make GPT-2 rephrase a text in the target style, the input pair  $\langle$ Source Sentence, Target Sentence $\rangle$ is represented as a single sequence with three special tokens to mark beginning [BOS] and end [EOS] of every sequence, and to separate source and target sentences [SEP] (Fig. 1(a)). During inference, we feed to GPT-2 the source sentence with [BOS] and [SEP] to infer the target sentence. **BART** This is a denoising autoencoder for pretraining sequence-to-sequence models (Lewis et al., 2020). Given a source sentence x and a target sentence y, the loss function is the cross-entropy between the decoder's output and the target sentence:

$$L(\phi) = -\Sigma_i \log(p(y_i|y_{1:i-1}, \boldsymbol{x}; \phi)) \qquad (2)$$

#### 2.2 Rewards

Atop the models, we implement two rewards, used in isolation and together, to enhance style strength (Style Classification Reward) and content preservation (BLEU Score Reward).

Style Classification Reward As often done in previous work (see Section 1), we use a classification confidence reward to encourage larger change in the confidence of a style classifier (SC). We pre-train the binary style classifier TextCNN (Kim, 2014) and use it to evaluate how well the transferred sentence y' matches the target style. SC's confidence is formulated as

$$p(s_i|\mathbf{y}') = softmax_i(TextCNN(\mathbf{y}', \theta))$$
 (3)

where  $i = \{1,2\}$ , and represent source and target style respectively.  $\theta$  are the parameters of the style classifier, fixed during fine-tuning. The reward is

$$R_{cls} = \lambda_{cls} [p(s_2 | \boldsymbol{y}') - p(s_1 | \boldsymbol{y}')]$$
(4)

where y' is the generated target sentence sampled from the model's distribution at each time step in decoding. For the GPT-2 based model, we also add a classification confidence reward to the source sentence, similar to Eq. 4, since the model generates sentence x' with the original style while generating the target sentence:

$$R_{cls_{source}} = \lambda_{cls} [p(s_1 | \boldsymbol{x}') - p(s_2 | \boldsymbol{x}')] \quad (5)$$

<sup>&</sup>lt;sup>1</sup>All code at https://github.com/laihuiyuan/ Pre-trained-formality-transfer.

		0	$\rightarrow 1$	1 -	ightarrow 0
Domain	Train	Valid	Test	Valid	Test
F&R E&M	51,967 52,595	2,788 2,877	1,332 1,416	2,247 2,356	1,019 1,082

Table 1: GYAFC dataset. 0 = informal; 1 = formal.

**BLEU Score Reward** Following Sancheti et al. (2020), we introduce a BLEU-based reward to foster content preservation as in Eq. 6, where y' is the target style text obtained by greedily maximizing the distribution of model outputs at each time step, and  $y^s$  is sampled from the distribution.

$$R_{bleu} = \lambda_{bleu}[bleu(\boldsymbol{y}', \boldsymbol{y}) - bleu(\boldsymbol{y}^s, \boldsymbol{y})] \quad (6)$$

**Gradients and Objectives** The rewards are used for policy learning. The policy gradient<sup>2</sup> is

$$\nabla_{\phi} J(\phi) = E[R \cdot \nabla_{\phi} log(P(\boldsymbol{y}^{s} | \boldsymbol{x}; \phi))]$$
(7)

where R is the SC reward and/or the BLEU reward,  $y^s$  is sampled from the distribution of model outputs at each decoding time step, and  $\phi$  are the parameters of the model. Similarly, we add the policy gradient regarding the source sentence for the SC reward (only for the GPT-2-based model).

The overall objectives for  $\phi$  are the loss of the base model (Eq. 1 or Eq. 2) and the policy gradient of the different rewards (Eq. 7).

# **3** Experiments

**Dataset** Grammarly's Yahoo Answers Formality Corpus (GYAFC) (Rao and Tetreault, 2018) is a formality style transfer dataset with parallel formal and informal sentences from two domains: Entertainment & Music (E&M) and Family & Relationships (F&R). Table 1 shows the number of sentences in train, validation, and test. Four human references exist for every valid/test sentence.

Setup All experiments are implemented atop Huggingface's transformers (Wolf et al., 2020). Our base models are the GPT-2-based model (117M parameters) and BART-based model (base with 139M parameters and large with 406M). We fine-tune them with the Adam optimiser (Kingma and Ba, 2015) with batch size 32; the initial learning rates are  $5e^{-5}$  (GPT-2) and  $3e^{-5}$  (BART). The final values for  $\lambda$  are set to 1 for SC and 0.2 for BLEU based on validation results. We use early



Figure 2: HM score of x%-sized training sets of GPT-2-/BART-based models with different rewards (none, +SC, +BLEU, +SC & BLEU) for the two domains (E&M and F&R).

stopping (patience 3) if validation performance does not improve. Test results are reported with the best validation settings.

**Evaluation** Following previous work (Luo et al., 2019; He et al., 2020; Sancheti et al., 2020), we adopt the following strategies. The binary classifier TextCNN (Kim, 2014) is pre-trained to evaluate style strength; on the human references it has an accuracy of 87.0% (E&M) and 89.3% (F&R). Based on the four human references, we calculate  $BLEU^3$  for content preservation. As overall score we compute the harmonic mean (HM) of style accuracy and BLEU. For our evaluation we also test BLEURT, a recent metric for content preservation which correlates better with human judgments than other metrics that take semantic information into account, e.g. METEOR (Sellam et al., 2020).

**Baselines** We train a basic supervised model (a Bi-LSTM with attention from OpenNMT (Klein et al., 2017)), to assess the impact of the size of parallel training data. We compare our models to the five baselines from Rao and Tetreault (2018), and to the best performing formality style transfer methods that report results on the datasets we use. These are mentioned in Section 1 and summarised as follows: Bi-directional FT (Niu et al.,

<sup>&</sup>lt;sup>2</sup>Additional details are provided in the Appendix.

<sup>&</sup>lt;sup>3</sup>We use multi-bleu.perl with default settings.

Domain	Model	BLEURT	BLEU	ACC	HM	Model	BLEURT	BLEU	ACC	HM	
-	OpenNMT + SC & BLEU (10% data)	-0.919	0.231	0.886	0.366	OpenNMT + SC & BLEU (100% data)	-0.420	0.403	0.804	0.537	
	(A) INFORMAL $\leftrightarrow$	FORMAL				(B) INFORMAL $\longrightarrow$ FORM	AL	$\begin{array}{cccccccccccccccccccccccccccccccccccc$			
	NMT-Combined (Rao and Tetreault, 2018)	-0.100	0.501	0.797	0.615	GPT-CAT (train on E&M and F&R, Wang et al. (2019))	0.176	0.725	0.876	0.793	
	GPT-2 + SC & BLEU (10% data, Ours)	-0.058	0.495	0.799	0.611	Chawla's (Chawla and Yang (2020))	0.260	0.762	0.910	0.829	
E&M	GPT-2 + SC & BLEU (100% data, Ours)	-0.007	0.542	0.923	0.683	BART + SC & BLEU (train on E&M, Ours)	0.218	0.730	0.887	0.801	
Eam	BART + SC & BLEU(10% data, Ours)	-0.030	0.547	0.855	0.667	BART + SC & BLEU (train on E&M and F&R, Ours)	0.236	0.745	0.937	0.830	
	BART + SC & BLEU (100% data, Ours)	0.044	0.577	0.859	0.690	BART large + SC & BLEU (train on E&M and F&R, Ours)	0.274	0.765	0.929	0.839	
	(C) INFORMAL $\leftrightarrow$ FORMAL &	COMBINED	DOMAIN	s		(D) BLEU EVALUATED AGAINST THE FI	RST REFER	ENCE	CE		
	Bi-directional FT (Niu et al., 2018)	0.023	0.554	0.818	0.661	*TS→CP (Sancheti et al. (2020))	-	0.292	-	-	
	BART large + SC & BLEU (100% data, Ours)	0.078	0.596	0.905	0.719	BART + SC & BLEU (100% data, Ours)	-	0.306	-	-	
	OpenNMT + SC & BLEU (10% data)	-0.706	0.303	0.859	0.448	OpenNMT + SC & BLEU (100% data)	-0.304	0.477	0.789	0.595	
	(A) INFORMAL $\leftrightarrow$	FORMAL				(B) INFORMAL $\longrightarrow$ FORM	AL				
	NMT-Combined (Rao and Tetreault, 2018)	-0.089	0.527	0.798	0.635	*GPT-CAT (train on E&M and F&R, Wang et al. (2019))	-	0.769	-	-	
	GPT-2 + SC & BLEU (10% data, Ours)	-0.027	0.528	0.849	0.651	Chawla's (Chawla and Yang (2020))	0.302	0.799	0.910	0.851	
F&R	GPT-2 + SC & BLEU (100% data, Ours)	0.038	0.572	0.915	0.704	BART + SC & BLEU (train on F&R, Ours)	0.271	0.770	0.897	0.829	
Tak	BART + SC & BLEU (10% data, Ours)	0.039	0.571	0.833	0.678	BART + SC & BLEU (train on F&R and E&M, Ours)	0.270	0.777	0.912	0.839	
	BART + SC & BLEU (100% data, Ours)	0.068	0.595	0.882	0.711	BART large + SC & BLEU (train on F&R and E&M, Ours)	0.324	0.793	0.920	0.852	
	(C) INFORMAL $\leftrightarrow$ FORMAL &	COMBINED	DOMAIN	s		(D) 10% PARALLEL TRAININ	G DATA				
	Bi-directional FT (Niu et al. (2018)	0.037	0.568	0.839	0.677	*CPLS (Shang et al., 2019)	-		-	-	
	BART large + SC & BLEU (100% data, Ours)	0.100	0.611	0.900	0.728	BART + SC & BLEU (Ours)	-	0.571	-		

Table 2: Comparison of our models to previous work. The best score for each metric in each block is boldfaced. Notes: (i) if the output of previous work is available, we re-calculate the scores using our evaluation metrics. Otherwise, scores are from the paper and we mark this with (\*); (ii) (B) shows our results on informal-to-formal to compare with Wang et al. (2019) and Chawla and Yang (2020), who only transfer in this direction; (iii) in (C) we train on the concatenated data from both domains, to compare against Niu et al. (2018); (iv) in (E&M (D)) we re-evaluate our system against the first reference only, as done by Sancheti et al. (2020).

2018), CPLS (Shang et al., 2019), GPT-CAT (Wang et al., 2019), S2S-SLS (GPT-2) (Wang et al., 2020), Transformer (data augmentation) (Zhang et al., 2020), TS $\rightarrow$ CP (Sancheti et al., 2020), and Chawla's (Chawla and Yang, 2020). Since supervised methods significantly outperform unsupervised approaches, results for the latter are not considered as the baseline in our experiment.. Disentanglement-based methods are not included since Lample et al. (2019) provide evidence that they are surpassed.

**Results** Figure 2 shows the HM score of x%sized training sets on the E&M and the F&R domains. Increasing train set size from 10% to 50% has a greater boost on GPT-2-based models than BART's. However, BART-based models obtain the highest results. Table 2 reports a selection of our models<sup>4</sup> and previous state-of-the-art work. Zooming in on the single measures, we see in Table 2 how varying training size reveals the impact of parallel data on content preservation: OpenNMT's BLEU score on E&M increases from 0.231 with 10% of the data to 0.403 with 100%. Style accuracy appears instead easier to achieve even with limited supervision. Increasing training size for fine-tuning either pre-trained model does not however yield dramatic improvements in content preservation (e.g. from 0.547 to 0.577 BLEU for BART

base on E&M). In fact, fine-tuning a pre-trained model (either GPT-2 or BART) with just 10% of parallel data, leads to better content preservation (0.547 BLEU with BART on E&M) than Open-NMT with 100% (0.403). This suggests that content preservation is largely taken care of by the pre-trained models, already, and can explain why the BLEU-based reward does not help too much in isolation (see Fig. 2). Conversely, the SC reward consistently boosts style accuracy in both BART and GPT-2. Nevertheless, combining rewards can be beneficial. Overall, BART-based models perform better on content preservation while results on style strength are mixed.

Given the experimental setup of some previous work, we ran additional comparisons (blocks (B), (C), and (D) of Table 2). In all cases, our results are higher than the previous state-of-the-art. For example, in F&R (D) our model with 10% parallel data outperforms Shang et al. (2019)'s semi-supervised model, which uses about 9.5% parallel data and large amounts of non-parallel data (BLEU 0.571 vs 0.379). Fine-tuning BART on both domains (C)<sup>5</sup> leads to the best results to date on both datasets (E&M: 0.719; F&R: 0.728).

With respect to the two evaluation metrics used for content preservation (BLEU and BLEURT), we can observe in Table 2 that they follow a similar trend. In fact, they correlate very highly (Pearson's r = .951, p<.001, n = 14 for E&M, and r = .951,

<sup>&</sup>lt;sup>4</sup>In the table we report results for the models that use both rewards (BLEU and SC) since this setting mostly leads to best results. Complete results for all models (and sample outputs) are in the Appendix.

<sup>&</sup>lt;sup>5</sup>Following Kobus et al. (2017), we add a token to each training instance that specifies its domain.

System	Sentence	BLEURT	BLEU	ACC
	FROM INFORMAL TO FORMAL			
Source	i say omarion.he has the hair clothes and body,a triple deal on one person.		-	
Reference 1	My choice is Omarion as he has high quality, hair, clothes, and body to create a triple deal in one person.		-	
Reference 2	I would say Omarion because he has the hair, clothes, and body; A triple deal on a single person.		-	
Reference 3	I pick Omarion, he has the hair, the clothes, and the body. A triple deal on one person.		-	
Reference 4	Omarion has the hair, clothes, and the body.		-	
PBMT-Combined (Rao and Tetreault, 2018)	I say omarion. he has the hair, clothes and body, the deal on one person.	-0.153	0.509	0.94
Bi-directional FT (Niu et al., 2018)	I say Omarion, he has the hair clothes and body, and a triple deal on one person.	-0.149	0.510	0.95
GPT-CAT (Wang et al., 2019)	I say Omarion. He has the hair, clothes, and body, a triple deal on one person.	0.044	0.585	1.00
S2S-SLS (Wang et al., 2020)	I say Omarion. He has the hair clothes and body, a triple deal on one person.	-0.035	0.350	1.00
Transformer (Zhang et al., 2020)	I say omarionhe has the hair clothes and body, a triple deal on one person.	-0.255	0.462	0.89
Chawla's (Chawla and Yang, 2020)	I say Marion because he has the hair, clothes and body, a triple deal on one person.	-0.538	0.534	0.98
OpenNMT + SC & BLEU (Ours)	I say Omarion. He has the hair clothes and body.	-0.325	0.147	1.00
GPT-2 + SC & BLEU (Ours)	I say Omarion. He has the hair clothes and body, a triple deal on one person.	-0.035	0.350	1.00
BART base + SC & BLEU (Ours)	I would say Omar. He has the hair, clothes, and body. It is a triple deal on one person.	-0.012	0.589	1.00
BART large + SC & BLEU (Ours)	I would say Omarion. He has the hair, clothes, and body, a triple deal on one person.	0.096	0.657	1.00
	FROM FORMAL TO INFORMAL			
Source	I suggest avoiding hot dogs, and not watching this movie with your little sister.		-	
Reference 1	Don't eat hot dogs, or watch this movie with your little sister!		-	
Reference 2	Don't do hot dogs or this movie with your kid sister.		-	
Reference 3	don't eat hot dogs and don't watch it w/ ur lil sis!		-	
Reference 4	Don't eat hot dogs or watch this flick with your lil sis!		-	
PBMT-Combined (Rao and Tetreault, 2018)	I suggest avoiding hot dogs, and not watching this movie with your little sister.	-0.298	0.417	0.00
Bi-directional FT (Niu et al., 2018)	I suggest avoiding hot dogs and not watching this movie with your little sister.	-0.233	0.437	0.00
OpenNMT with SC & BLEU	Can't watch this movie with your little sister.	-0.521	0.542	0.78
GPT-2 + SC & BLEU	don't watch this movie with your little sister.	-0.415	0.599	1.00
BART + SC & BLEU	avoid hot dogs and not watch this movie with your little sister.	-0.016	0.610	0.92
BART large + SC & BLEU	Avoid hot dogs and don't watch this movie with your little sister.	-0.171	0.800	0.82

Table 3: Sample model outputs and their sentence-level scores on the E&M domain, where red denotes improperly generated words or content. Note that ACC indicates style confidence here.

p < .001, n = 13 for F&R).

**Finer-grained Analysis** Table 3 shows example outputs and their evaluation according to the metrics we use; the outputs are produced by existing systems we compare to, and our own models.<sup>6</sup>

In the "Informal to Formal" example, we can see that text generated by most systems is assessed with a high confidence in style conversion, except for PBMT-Combined (Rao and Tetreault, 2018) and Transformer (Zhang et al., 2020) (the name "omarionhe" should be "Omarion", and the word "he" at the beginning of the sentence should be "He"). However, the sentences generated by previous systems are not so fluent, and some of them fail in preserving content (Transformer (Zhang et al., 2020) ("omarionhe") and Chawla's (Chawla and Yang, 2020) ("Marion")). For our models, the Bi-LSTM based model fails in content preservation while the systems based on pre-trained models are much better at this task. Our model based on BART Large generates this specific sentence accurately in terms of content preservation, style strength, and fluency.

When looking at the "Formal to Informal" example in Table 3, we observe that the two previously existing systems replace very little (one comma by the Bi-directional FT (Niu et al., 2018)) or nothing at all (PBMT-Combined (Rao and Tetreault, 2018)). Conversely, our systems make substantial modifications, resulting in output sentences that are noticeably more informal than the input sen-

# 4 Conclusions

Fine-tuning pre-trained models proves a successful strategy for formality style transfer, especially towards content preservation, thereby reducing the need for parallel data. A sequence-to-sequence pre-trained model (BART) outperforms a language model (GPT-2) in content preservation, and overall, and with the addition of rewards achieves new stateof-the-art results. The fact that GPT-2 is instead often better at style strength could be (partly) due to how the style reward is implemented in the two models (Eq. 4 and 5), and will need further investigation. For a better understanding of the different behaviour of BART and GPT-2 for this task, the next natural step is to include human evaluation.

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tence. OpenNMT and the GPT-2-based models lose part of the content (the suggestion to avoid hot dogs) while the two BART-based systems manage to preserve the whole message.

<sup>&</sup>lt;sup>6</sup>More examples are in Appendix.

#### **Impact Statement**

All work that automatically generates and/or alters natural text could unfortunately be used maliciously. While we cannot fully prevent such uses once our models are made public, we do hope that writing about risks explicitly and also raising awareness of this possibility in the general public are ways to contain the effects of potential harmful uses. We are open to any discussion and suggestions to minimise such risks.

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# **A** Appendices

This Appendices include: 1) detailed results for all experiments (A.1); 2) more details on policy gradient (A.2); 3) some example outputs of various models and their sentence-level scores, to give an idea of what the generated sentences look like when style transfer is applied. We specifically focus on the 100% parallel data settings for our models (A.3).

# A.1 Detailed Results of Models

We report here the full set of results for all our models and previous work.

# (a) Detailed Results of Our Models

Model	BLEURT	BLEU	ACC	HM	BLEURT	BLEU	ACC	HM	BLEURT	BLEU	ACC	HM
Proportion of parallel training data		10%				50%				100%	, b	
OpenNMT (Bi-LSTM)	-0.919	0.231	0.886	0.366	-0.489	0.392	0.789	0.524	-0.420	0.403	0.804	0.537
OpenNMT + SC	-0.902	0.238	0.893	0.376	-0.500	0.386	0.821	0.526	-0.451	0.399	0.789	0.530
OpenNMT + BLEU	-0.926	0.232	0.888	0.368	-0.485	0.389	0.800	0.523	-0.485	0.412	0.767	0.536
OpenNMT + SC & BLEU	-0.903	0.234	0.890	0.371	-0.497	0.391	0.813	0.528	-0.442	0.403	0.810	0.538
GPT-2 base	-0.042	0.492	0.741	0.592	0.004	0.541	0.825	0.653	0.006	0.549	0.821	0.658
GPT-2 + SC	-0.048	0.492	0.810	0.612	-0.014	0.531	0.919	0.673	-0.001	0.543	0.917	0.682
GPT-2 + BLEU	-0.041	0.497	0.735	0.593	0.006	0.539	0.833	0.655	0.005	0.546	0.822	0.656
GPT-2 + SC & BLEU	-0.058	0.495	0.799	0.611	-0.014	0.530	0.903	0.668	-0.007	0.542	0.923	0.683
BART base	0.035	0.547	0.776	0.642	0.036	0.572	0.794	0.665	0.048	0.578	0.784	0.665
BART + SC	0.021	0.539	0.882	0.669	0.035	0.566	0.872	0.686	0.045	0.571	0.841	0.680
BART + BLEU	0.034	0.541	0.769	0.635	0.040	0.567	0.796	0.662	0.050	0.576	0.777	0.662
BART + SC & BLEU	0.030	0.547	0.855	0.667	0.042	0.562	0.817	0.666	0.044	0.577	0.859	0.690
BART large + SC & BLEU	0.035	0.560	0.847	0.674	0.070	0.585	0.900	0.709	0.072	0.584	0.886	0.704
	C	COMBINE	D TWO	DOMAIN	NS WITHOU	T DOMA	IN TAG					
BART base	0.038	0.559	0.731	0.634	0.050	0.581	0.795	0.671	0.054	0.585	0.809	0.679
BART + SC	0.031	0.546	0.830	0.659	0.043	0.575	0.865	0.691	0.039	0.585	0.884	0.704
BART + BLEU	0.033	0.555	0.743	0.635	0.042	0.575	0.810	0.673	0.054	0.583	0.814	0.679
BART + SC & BLEU	0.024	0.556	0.815	0.661	0.054	0.578	0.845	0.685	0.050	0.580	0.859	0.692
BART large + sc & BLEU	0.071	0.576	0.867	0.692	0.075	0.593	0.887	0.711	0.086	0.597	0.888	0.714
		Сомви	NED TW	O DOMA	INS WITH	DOMAIN	TAG					
BART base	0.042	0.552	0.754	0.637	0.054	0.579	0.748	0.653	0.060	0.582	0.787	0.669
BART + SC	0.035	0.555	0.831	0.666	0.039	0.571	0.833	0.678	0.046	0.579	0.895	0.703
BART + BLEU	0.039	0.554	0.745	0.635	0.056	0.578	0.745	0.651	0.049	0.588	0.825	0.685
BART + SC & BLEU	0.039	0.556	0.845	0.671	0.046	0.580	0.834	0.684	0.047	0.583	0.883	0.702
BART large + SC & BLEU	0.077	0.575	0.793	0.667	0.073	0.587	0.870	0.701	0.078	0.596	0.905	0.719

Table A.1.1: Evaluation results of x%-sized training sets (10%, 50% and 100%) on the E&M domain. The best score for each metric in each table section is boldfaced. BLEURT scores are calculated based on the BLEURT-base model with 128 tokens. Note that (i) Both BLEURT and BLEU are calculated against the four human references; (ii) ACC is the accuracy of the output labeled as the target style by the binary classifier; and (iii) HM is the harmonic mean of ACC and BLEU.

Model	BLEURT	BLEU	ACC	HM	BLEURT	BLEU	ACC	HM	BLEURT	BLEU	ACC	HM
Proportion of parallel training data		10%				50%				100%	6	
OpenNMT (Bi-LSTM)	-0.706	0.303	0.859	0.448	-0.304	0.449	0.792	0.573	-0.304	0.477	0.789	0.595
OpenNMT + SC	-0.695	0.322	0.860	0.469	-0.337	0.447	0.838	0.583	-0.289	0.466	0.824	0.595
OpenNMT + BLEU	-0.712	0.311	0.829	0.452	-0.292	0.455	0.808	0.582	-0.246	0.478	0.789	0.595
OpenNMT + SC & BLEU	-0.699	0.320	0.828	0.462	-0.332	0.444	0.847	0.583	-0.288	0.472	0.848	0.606
GPT-2 base	-0.020	0.531	0.775	0.630	0.027	0.567	0.841	0.677	0.046	0.576	0.850	0.687
GPT-2 + SC	-0.031	0.529	0.847	0.651	0.020	0.563	0.897	0.692	0.031	0.569	0.916	0.702
GPT-2 + BLEU	-0.016	0.529	0.786	0.632	0.026	0.566	0.838	0.676	0.041	0.577	0.860	0.691
GPT-2 + SC & BLEU	-0.027	0.528	0.849	0.651	0.015	0.562	0.917	0.697	0.038	0.572	0.915	0.704
BART base	0.045	0.565	0.719	0.633	0.071	0.589	0.786	0.673	0.080	0.600	0.801	0.686
BART + SC	0.041	0.569	0.833	0.676	0.061	0.592	0.869	0.704	0.067	0.601	0.874	0.712
BART + BLEU	0.041	0.566	0.719	0.633	0.072	0.590	0.789	0.675	0.078	0.602	0.798	0.686
BART + SC & BLEU	0.039	0.571	0.833	0.678	0.057	0.589	0.858	0.698	0.068	0.595	0.882	0.711
BART large + SC & BLEU	0.095	0.585	0.816	0.681	0.087	0.604	0.891	0.720	0.095	0.615	0.876	0.722
	C	COMBINE	d two	DOMAI	NS WITHOU	T DOMAI	IN TAG					
BART base	0.035	0.572	0.734	0.643	0.060	0.592	0.821	0.688	0.074	0.604	0.807	0.691
BART + SC	0.026	0.563	0.821	0.668	0.056	0.592	0.890	0.711	0.054	0.602	0.877	0.714
BART + BLEU	0.033	0.568	0.732	0.640	0.064	0.593	0.834	0.693	0.073	0.606	0.831	0.701
BART + SC & BLEU	0.028	<b>0.57</b> 2	0.812	0.671	0.054	0.596	0.843	0.698	0.063	0.601	0.872	0.712
BART large + SC & BLEU	0.087	0.598	0.869	0.708	0.094	0.607	0.871	0.715	0.100	0.610	0.889	0.724
		Сомви	NED TW	O DOM	INS WITH	DOMAIN	TAG					
BART base	0.042	0.570	0.779	0.658	0.072	0.592	0.768	0.669	0.078	0.604	0.801	0.689
BART + SC	0.035	0.574	0.849	0.685	0.058	0.586	0.861	0.697	0.059	0.599	0.892	0.718
BART + BLEU	0.047	0.572	0.761	0.653	0.071	0.591	0.772	0.669	0.077	0.605	0.817	0.695
BART + SC & BLEU	0.043	0.573	0.850	0.685	0.057	0.595	0.849	0.700	0.064	0.603	0.896	0.721
BART large + SC & BLEU	0.089	0.590	0.801	0.679	0.099	0.604	0.869	0.713	0.100	0.611	0.900	0.728

Table A.1.2: Evaluation results of x%-sized training sets (10%, 50% and 100%) on the F&R domain. The best score for each metric in each table section is boldfaced. BLEURT scores are calculated based on the BLEURT-base model with 128 tokens. Note that (i) Both BLEURT and BLEU are calculated against the four human references; (ii) ACC is the accuracy of the output labeled as the target style by the binary classifier; and (iii) HM is the harmonic mean of ACC and BLEU.

#### (b) Comparison of our models with the other models

Domain	Model	BLEURT	BLEU	ACC	HM	Model	BLEURT	DIEU	ACC	HM
Domain		-	BLEU	ACC	rivi		-	BLEU	ACC	HIM
	(A) INFORMAL ↔ 1					(B) INFORMAL $\longrightarrow$ FORM				
	Rule-based (Rao and Tetreault, 2018)	-0.221	0.420	0.704	0.526	GPT-CAT (train on E&M, Wang et al. (2019))	0.170	0.713	0.905	0.801
	NMT-baseline (Rao and Tetreault, 2018)	-0.267	0.437	0.851	0.577	GPT-CAT (train on E&M and F&R, Wang et al. (2019))	0.176	0.725	0.876	0.793
	NMT-copy (Rao and Tetreault, 2018)	-0.269	0.441	0.808	0.571	S2S-SLS(Wang et al. (2020))	0.173	0.711	0.919	0.802
	NMT-Combined (Rao and Tetreault, 2018)	-0.100	0.501	0.797	0.615	Transformer (Zhang et al. (2020))	0.191	0.734	0.887	0.803
	PBMT-Combined (Rao and Tetreault, 2018)	-0.088	0.502	0.753	0.602	Chawla's (Chawla and Yang, 2020)	0.260	0.762	0.910	0.829
	GPT-2 + SC & BLEU (10% data, Ours)	-0.058	0.495	0.799	0.611	GPT-2 + SC & BLEU (train on E&M, Ours)	0.159	0.701	0.927	0.798
E&M	GPT-2 + SC & BLEU (100% data, Ours)	-0.007	0.542	0.923	0.683	BART + SC & BLEU (train on E&M, Ours)	0.218	0.730	0.887	0.801
	BART + SC & BLEU (10% data, Ours)	0.030	0.547	0.855	0.667	BART + SC & BLEU (train on E&M and F&R, Ours)	0.236	0.745	0.937	0.830
	BART + SC & BLEU (100% data, Ours)	0.044	0.577	0.859	0.690	BART large + SC & BLEU (train on E&M and F&R, Ours)	0.274	0.765	0.929	0.839
	(C) INFORMAL $\leftrightarrow$ FORMAL & C	COMBINED	DOMAIN	s		(D) BLEU EVALUATED AGAINST THE F	RST REFER	ENCE		
	Bi-directional FT (Niu et al., 2018)	0.023	0.554	0.818	0.661	*TS→CP (Sancheti et al., 2020)	-	0.292	-	-
	BART large + SC & BLEU (10% data, Ours)	0.077	0.575	0.793	0.667	GPT-2 + SC & BLEU (100% data, Ours)	-	0.296	-	-
	BART large + SC & BLEU (100% data, Ours)	0.078	0.596	0.905	0.719	BART + SC & BLEU (100% data, Ours)	-	0.306	-	-
	(A) INFORMAL $\leftrightarrow$	FORMAL	(B) INFORMAL $\longrightarrow$ FORMAL							
	Rule-based (Rao and Tetreault, 2018)	-0.226	0.450	0.738	0.559	*GPT-CAT (train on F&R, Wang et al. (2019))	-	0.773	-	-
	NMT-baseline (Rao and Tetreault, 2018)	-0.183	0.500	0.818	0.621	*GPT-CAT (train on E&M and F&R, Wang et al. (2019))	-	0.769	-	-
	NMT-copy (Rao and Tetreault, 2018)	-0.186	0.492	0.807	0.611	S2S-SLS(GPT-2, Wang et al. (2020))	0.244	0.766	0.857	0.809
	NMT-Combined (Rao and Tetreault, 2018)	-0.089	0.527	0.798	0.635	Transformer (Zhang et al. (2020))	0.246	0.770	0.890	0.827
	PBMT-Combined (Rao and Tetreault, 2018)	-0.062	0.517	0.788	0.624	Chawla's (Chawla and Yang, 2020)	0.302	0.799	0.910	0.851
F&R	GPT-2 + SC & BLEU (10% data, Ours)	-0.027	0.528	0.849	0.651	GPT-2 + SC & BLEU (train on F&R, Ours)	0.226	0.747	0.921	0.825
	GPT-2 + SC & BLEU (100% data, Ours)	0.038	0.572	0.915	0.704	BART + SC & BLEU (train on F&R, Ours)	0.271	0.770	0.897	0.829
	BART + SC & BLEU (10% data, Ours)	0.039	0.571	0.833	0.678	BART + SC & BLEU (train on F&R and E&M, Ours)	0.270	0.777	0.912	0.839
	BART + SC & BLEU (100% data, Ours)	0.068	0.595	0.882	0.711	BART large + SC & BLEU (train on F&R and E&M, Ours)	0.324	0.793	0.920	0.852
	(C) INFORMAL $\leftrightarrow$ FORMAL & C	COMBINED	DOMAIN	s		(D) 10% PARALLEL TRAINING DATA	(FROM PAP	ER)		
	Bi-directional FT (Niu et al. (2018)	0.037	0.568	0.839	0.677	*CPLS (Shang et al., 2019)	-	0.379	-	-
	BART large + SC & BLEU (10% data, Ours)	0.089	0.590	0.801	0.679	GPT-2 + SC & BLEU (Ours)	-	0.528	-	-
	BART large + SC & BLEU (100% data, Ours)	0.100	0.611	0.900	0.728	BART + SC & BLEU (Ours)	-	0.571	-	-

Table A.1.3: Comparison of our models with the other models. The best score for each metric in each block is boldfaced. BLEURT scores are calculated based on the BLEURT-base model with 128 tokens. Notes: (i) if the output of a previous work is available, we re-calculate the scores using our evaluation metrics. Otherwise we take the scores from the paper and mark this with a (\*); (ii) in (B) we report our results on informal-to-formal alone to compare with several systems which only transfer in this direction; (iii) in (C) we train systems on the concatenated data from both domains, to compare against Niu et al. (2018); (iv) in (E&M (D)) we re-evaluate our system against the first reference only, as this is what Sancheti et al. (2020) do.

### A.2 Policy Gradient

Reinforcement learning (RL) is a sub-field of machine learning that is concerned with how intelligent agents ought to take actions in an environment in order to maximize the cumulative reward. Here, we employ the policy gradient algorithm (Williams, 1992) to maximize the expected reward (style strength and/or content preservation) of the generated sequence  $y^s$ , whose gradient with respect to the parameters  $\phi$  of the neural network model is estimated by sampling as:

$$\nabla_{\phi} J(\phi) = R \cdot \nabla_{\phi} \sum_{i} P(\boldsymbol{y}_{i}^{s} | \boldsymbol{x}_{i}; \phi)$$

$$= \sum_{i} P(\boldsymbol{y}_{i}^{s} | \boldsymbol{x}_{i}; \phi) R_{i} \nabla_{\theta} \log(P(\boldsymbol{y}_{i}^{s} | \boldsymbol{x}_{i}; \phi))$$

$$\simeq \frac{1}{N} \sum_{i=1}^{N} R_{i} \nabla_{\phi} \log(P(\boldsymbol{y}_{i}^{s} | \boldsymbol{x}_{i}; \phi))$$

$$= E[R \cdot \nabla_{\phi} log(P(\boldsymbol{y}^{s} | \boldsymbol{x}; \phi))]$$
(8)

where  $J(\cdot)$  is the objective function,  $\nabla_{\phi} J(\cdot)$  is the gradient of  $J(\cdot)$  with respect to  $\phi$ ,  $R_i$  is the reward of the  $i_{th}$  sequence  $y^s$  that is sampled from the distribution of model outputs at each decoding time step,  $\phi$  are the parameters of the model, N is the sample size, and  $E(\cdot)$  is the expectation.

Regarding the reward of style classification for GPT-2 based model, we design two rewards (Eq. 4 and Eq. 5) for source sentence and target sentence, respectively. The policy gradient is then

$$\nabla_{\phi} J(\phi) = E[R_{cls_{source}} \cdot \nabla_{\phi} log(P(\boldsymbol{y}_{source}^{s} | \boldsymbol{x}_{source}; \phi))] \\ + E[R_{cls_{target}} \cdot \nabla_{\phi} log(P(\boldsymbol{y}_{target}^{s} | \boldsymbol{x}_{source,target}; \phi))]$$
(9)

# A.3 Example Outputs of Various Models

System	From informal to formal	BLEURT	BLEU	ACC
Source	i say omarion.he has the hair clothes and body,a triple deal on one person.		-	
Reference 1	My choice is Omarion as he has high quality, hair, clothes, and body to create a triple deal in one person.		-	
Reference 2	I would say Omarion because he has the hair, clothes, and body; A triple deal on a single person.		-	
Reference 3	I pick Omarion, he has the hair, the clothes, and the body. A triple deal on one person.		-	
Reference 4	Omarion has the hair, clothes, and the body.		-	
PBMT-Combined (Rao and Tetreault, 2018)	I say omarion. he has the hair, clothes and body, the deal on one person.	-0.153	0.509	0.94
Bi-directional FT (Niu et al., 2018)	I say Omarion, he has the hair clothes and body, and a triple deal on one person.	-0.149	0.510	0.95
GPT-CAT (Wang et al., 2019)	I say Omarion. He has the hair, clothes, and body, a triple deal on one person.	0.044	0.585	1.00
S2S-SLS (Wang et al., 2020)	I say Omarion. He has the hair clothes and body, a triple deal on one person.	-0.035	0.350	1.00
Transformer (Zhang et al., 2020)	I say omarionhe has the hair clothes and body, a triple deal on one person.	-0.255	0.462	0.89
Chawla's (Chawla and Yang, 2020)	I say Marion because he has the hair, clothes and body, a triple deal on one person.	-0.538	0.534	0.98
OpenNMT	He has the hair clothes and body.	-0.540	0.139	0.99
OpenNMT with SC	I say Omarion, he has the hair clothes and body.	-0.389	0.558	0.90
OpenNMT with BLEU	I say Omarion. He has the hair clothes and body.	-0.325	0.147	1.0
OpenNMT with SC & BLEU	I say Omarion. He has the hair clothes and body.	-0.325	0.147	1.0
GPT-2 base	I say Omarion. He has the hair and body, a triple deal on one person.	-0.087	0.342	1.0
GPT-2 + SC	I say Omarion because he has the hair clothes and body.	-0.264	0.634	0.9
GPT-2 + BLEU	I say Omarion. He has the hair clothes and body, a triple deal on one person.	-0.035	0.350	1.0
GPT-2 + SC & BLEU	I say Omarion. He has the hair clothes and body, a triple deal on one person.	-0.035	0.350	1.0
BART base	I would say Omar. He has the hair, clothes, and body. It is a triple deal on one person.	-0.012	0.589	1.0
BART + SC	I would say Omar. He has the hair, clothes, and body. It is a triple deal on one person.	-0.012	0.589	1.0
BART + BLEU	I would say Omar. He has the hair, clothes, and body. It is a triple deal on one person.	-0.230	0.600	1.0
BART + SC & BLEU	I would say Omar. He has the hair, clothes, and body of a triple deal on one person.	-0.012	0.589	1.0
BART large + SC & BLEU	I would say Omain. He has the hair, clothes, and body. It is a triple deal on one person.	0.096	0.657	1.0
System	From formal to informal	BLEURT	BLEU	AC
Source	I suggest avoiding hot dogs, and not watching this movie with your little sister.	BELOIU	-	
Reference 1	Don't eat hot dogs, or watch this movie with your little sister!		-	
Reference 2	Don't do hot dogs, of water his novie with your kid sister.		_	
Reference 3	don't eat hot dogs and don't watch it w/ ur lil sis!		_	
Reference 4	Don't eat hot dogs or watch this flick with your lil sis!		-	
PBMT-Combined (Rao and Tetreault, 2018)	I suggest avoiding hot dogs, and not watching this movie with your little sister.	-0.298	0.417	0.0
Bi-directional FT (Niu et al., 2018)	I suggest avoiding hot dogs and not watching this movie with your little sister.	-0.233	0.437	0.0
OpenNMT	hott dogs and not watching this movie with ur little sister	-0.885	0.118	1.0
OpenNMT with SC	Im not watching this movie with your little sister I suggest him hot dogs.	-0.765	0.349	0.9
OpenNMT with BLEU	Well, and not watching this movie with your little sister.	-0.826	0.445	0.6
OpenNMT with SC & BLEU	Can't watch this movie with your little sister.	-0.521	0.542	0.0
GPT-2 base	Don't watch this movie with your little sister.	-0.415	0.573	0.8
GPT-2 + SC	don't watch this movie with your little sister.	-0.415	0.599	1.0
GPT-2 + BLEU	Don't watch this movie with your little sister!	-0.413	0.599	0.9
GPT-2 + BLEU GPT-2 + SC & BLEU	don't watch this movie with your little sister.	-0.360	0.634	1.0
BART base	avoid hot dogs and not watch this movie with your little sister.	-0.415	0.399	0.9
BART + SC	avoid hot dogs and not watch this movie with your little sister.	-0.016	0.610	0.9
BART + BLEU	avoid hot dogs and not watching this movie with your little sister.	-0.034	0.514	0.9
BART + SC & BLEU	avoid hot dogs and not watch this movie with your little sister.	-0.016	0.610	0.9
BART large + SC & BLEU	Avoid hot dogs and don't watch this movie with your little sister.	-0.171	0.800	0.8

Table A.3.1: Sample model outputs and their sentence-level scores on the E&M domain, where red denotes improperly generated words or content. Note that ACC indicates style confidence here.