How Universal are Universal Dependencies? Exploiting Syntax for Multilingual Clause-level Sentiment Detection

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Abstract

This paper investigates clause-level sentiment detection in a multilingual scenario. Aiming at a high-precision, fine-grained, configurable, and non-biased system for practical use cases, we have designed a pipeline method that makes the most of syntactic structures based on Universal Dependencies, avoiding machine-learning approaches that may cause obstacles to our purposes. We achieved high precision in sentiment detection for 17 languages and identified the advantages of common syntactic structures as well as issues stemming from structural differences on Universal Dependencies. In addition to reusable tips for handling multilingual syntax, we provide a parallel benchmarking data set for further research.

Keywords: Universal Dependencies, sentiment analysis, multilinguality, parsing

1. Introduction

Sentiment analysis and opinion mining (Pang and Lee, 2008) along with their multilingualization (Korayem et al., 2016) have been studied for many years. In a typical use case for enterprises that continually seek information to improve their products or services while estimating future demands, it is very important to detect the individual utterances that specify positive or negative properties, beyond estimating the overall preference (typically, a number of stars) written in a review.

In this work, we pursue clause-level sentiment detection, which shares a similar motivation to aspect-based sentiment analysis (ABSA) (Pontiki et al., 2016). Our goal is to explore a general purpose system that doesn't require data-specific features such as user and time information in Twitter data or Amazon reviews.

Most of the prior work has exploited machine learning for sentiment classification (Pang et al., 2002; Wang et al., 2012) or dictionary induction (Hamilton et al., 2016). However, it has been pointed out that statistical approaches to user-generated data (*e.g.* Twitter) may extract "iPod" as a positive keyword (Saif et al., 2012), and while this will improve the score in benchmarking datasets in a world where many people like iPods, we need to design a system free from such prior biases. Systems that can accurately detect positive and negative opinions are essential when it comes to solving other tasks, such as the recognition of sarcasm (Tungthamthiti et al., 2014) and social analysis to investigate race bias (Merullo et al., 2019).

To achieve a multilingual system that meets these requirements, we utilize a pipeline approach for clause-level sentiment detection. Our approach applies sentiment lexicon and syntactic rules to the output of dependency parser based on Universal Dependencies (UD) (Nivre et al., 2016). By capturing syntactic phenomena such as coordination and negation, we can accurately extract positive and negative polarities along with their corresponding predicate and target by means of lexicon that can be manually configured or statistically expanded.

In this paper, rather than discussing a specific application, we focus on the role of the syntax layer. Specifically, we show how the dependency structures represented by UD and the dependency parsers contribute to multilingual sentiment detection. Through a series of experiments on 17 languages, we clarify the characteristics of UD structures and parsers, and demonstrate the advantages of multilingual SA. The main contributions of this paper are as follows.

- 1. Establish a methodology of multilingual semantic analysis on top of dependency structures by applying tree scanning, induced lexicon and valence shifters, and demonstrate that it can achieve high precision. (Section 4)
- 2. Evaluate the universality of Universal Dependencies technologically rather than linguistically by investigating the effects of language-universal and languagespecific operations on the application. (Section 5)
- 3. Provide a multilingual resource for 19 languages generated from the parallel UD corpora to accelerate research on multilingual syntax. (Section 6)

2. Related Work

2.1. Universal Dependencies

Universal Dependencies (Nivre et al., 2016) (Nivre and others, 2019) is a worldwide project to provide a multilingual syntactic corpus. As of November 2019, 157 treebanks in 90 languages have been released. For all languages the syntax is represented by dependency trees with 17 PoS tags and 38 dependency labels commonly used for all languages, and each treebank can have language specific extensions. The resources and documentations are available online and incrementally updated.¹ As a result

¹https://universaldependencies.org/



Figure 1: Dependency tree for sentence (1). The dependencies in bold lines from the root node are traversed to detect two sentiment clauses (predicates and targets).

the major shared task on multilingual parsing (Zeman et al., 2018) UD treebanks are now a de facto standard of multilingual research and many tokenizers and parsers have been trained on them, including a multilingual single parser (Kondratyuk and Straka, 2019).

Although the multilingual corpora have accelerated the progress of linguistic research due to quantitative comparison among languages (Croft et al., 2017; Berdicevskis et al., 2018), few studies have reported on the comparison from the viewpoint of application. This paper discusses the effects of UD on sentiment analysis as a case study.

In content-head methodology, the dependency structures are designed in such a way as to reduce the differences of languages in functional words. This is a relatively new structure after emergence of the Stanford Dependency (De Marneffe and Manning, 2008), unlike the functionalhead style featured in the Penn Treebank (Marcus et al., 1993) (discussed in Osborne and Maxwell (2015)). UD design is plausible for multilingual syntactic operation as shown in Section 3.2.

2.2. Sentiment Analysis and Lexical Induction

For the development and evaluation of sentiment annotation on the level of phrase and clause rather than sentence or document, the Stanford Sentiment Treebank (Socher et al., 2013) is widely used as a dataset. Using this treebank, Verma et al. (2018) investigated popular sentiment annotators and noted their weakness in handling syntactic phenomena such as negation in subjects.

SentiWordNet (Esuli and Sebastiani, 2006) and its extension (Baccianella et al., 2010) are widely used as a synsetlevel resource providing polarity with numerical degrees between negative one and one. Other lexicons based on semantic orientation have also been created (Taboada et al., 2011). In this paper our objective is clause-level sentiment detection with targets, so we use a lexicon in a format with case frame information (Nasukawa and Yi, 2003) as our starting point.

Techniques of bilingual lexical induction (BLI) (Irvine and Callison-Burch, 2017; Huang et al., 2019) on word embedding space are effective for the multilingualization of sentiment lexicons. In addition to general BLI, other resources can be incorporated to build sentiment lexicons, such as bilingual dictionaries and automatic translation (Chen and Skiena, 2014; Mohammad et al., 2016) and parallel bible corpora (Zhao and Schütze, 2019). Our work also can use these techniques, as long as the lexicons do not suffer from biased data.



Figure 2: Flow of clause-level sentiment detection.

The rule-based sentiment analysis proposed by Vilares et al. (2017) shares a similar motivation to ours. They formalized a bottom-up operation to calculate the semantic orientation of each node on a syntactic tree of the Universal Treebank (McDonald et al., 2013) in a function-head style and tested their system on three languages. In this paper we use simpler rules without numerical values in a top-down manner of matching on content-head syntactic trees based on current Universal Dependencies in a content-head style, and cover more languages including diverse language families to clarify the effects of the multilingual syntax framework.

3. Clause-level SA

Our approach to clause-level sentiment analysis is aimed at fine-grained detection with high precision. This concept was originally discussed in a transfer-based sentiment extraction method analogous to translation (Kanayama et al., 2004). The main objective of clause-level SA is to detect polar clauses associated with a predicate and target. As an example, sentence (1) below conveys two polarities: (1a) a positive polarity regarding the hotel (which is loved) and (1b) a negative polarity about the waiters (who are *not* friendly).

- (1) I love the hotel but she said none of the waiters were friendly.
- (1a) + love (hotel)
- (1b) not friendly (waiter)

We have build a system, as shown in Figure 1, to meet the requirements described in Section 1. The proposed system relies on dependency structures based on Universal Dependencies.

This section describes the baseline implementation of the clause-level sentiment detector for English, as illustrated in Figure 2. First, the clauses that may convey sentiments

are detected in a top-down manner on dependency trees, and second, the polarities of the clauses are determined by using the sentiment lexicon and valence shifters.

3.1. Clause Detection

The main clause of a sentence is detected as the root node of the dependency tree, and its polarity is examined by matching with the lexicon described later. A single sentence may have multiple sentiment clauses, so when the node has child nodes labeled conj, parataxis or list, those nodes are recursively scanned as potential sentiment clauses. When a node is a verb such as "say" or "think" that takes a ccomp (clausal compliment) child, the child node is also examined. In example (1), two clauses, headed by "love" and "friendly" are detected (refer back to Figure 1). In addition to conj, a subordinate clause with an advcl label is examined if it has a marker such as "because", "though" or "despite" labeled by mark, to cover (2),² otherwise subordinate clauses are not examined. Similarly to ccomp, an xcomp (open clausal complement) child of verbs such as "make" in (3) is subject to sentiment clause searches.

Sentences (4) and (5) include the positive adjective "beautiful", but they do not form positive clauses.

- (4) I would go there if the bathroom is beautiful.
- (5) I want to stay in a beautiful room.

When we perform the clause detection in a top-down manner, "beautiful" in (4) and (5) can be excluded from the output due to the absence of a rule to examine a subordinate clause marked by "if" and an xcomp child of "want". Note that manual annotation of a sentence or the output of statistical approaches may conclude that (4) and (5) are negative because they consider the context as an opinion based on the writer's experience, but our system avoids detecting sentiments in these cases aiming for high precision by keeping generality rather than optimizing for specific domains or writing styles, with the sacrifice of recall.

3.2. Matching with Sentiment Lexicon

The sentiment lexicon consists of lexical entries associated with a lemma, a PoS tag, its polarity and the case frame. Table 1 shows some examples. Entry (a) is for the verb "love", which is positive and takes a subject and a direct object; the target (which is positive) is its direct object. For most adjectives the target is in the subject, as in (b) "friendly", but (c) "unhappy" specifies the target as "with", which matches an obl child preceded by "with", to detect "breakfast" as the target in (6). The lexicon has more expression power for disambiguation. Entry (d) for the adjective "high" is used only when the subject is "price". Entry (b) can also match a noun phrase in amod relation as in (7), and the modified noun is the target.

| (a) | love | VERB | + | nsubj, <u>obj</u> |
|-----|-------------|------|--------|------------------------|
| (b) | friendly | ADJ | + | nsubj |
| (c) | unhappy | ADJ | — | nsubj, <u>with</u> |
| (d) | high | ADJ | _ | nsubj:" price " |
| (e) | noise | NOUN | _ | |
| (f) | increase | VERB | = | [nsubj] |
| (g) | increase | VERB | = | nsubj,[obj] |
| (h) | reduce | VERB | \sim | nsubj,[obj] |
| (i) | effectively | ADV | + | |

Table 1: Examples of lexical entries consisting of a lemma, part-of-speech, polarity, and a case frame. In the polarity column, '+' is positive, '-' is negative, '=' is transitive, and ' \sim ' is inverse transitive.

(7)
$$[+]$$
 She was a **friendly** server.

In addition to verbs and adjectives, nouns can have a polarity such as a negative noun "noise", handled by entry (e). A noun can be a predicate associated with a copula as in (8), or can form just a noun phrase as in (9). Thanks to the content-head structures in UD, both of them are handled in the same manner: "noise" is the root in both cases.³

-amod-

(8) [-] What I heard often was a **noise**.

(9) [-] A **noise** from the street.

Some verbs and adjectives raise the polarity of nouns or noun phrases in their argument. (f) and (g) are entries for "increase" in an intransitive usage as in (10) and a transitive usage as in (11). Conversely the verb "reduce" reverses the polarity as in (12), which is covered by (h).

(11)
$$[-]$$
 The trouble increased the noises.

(12) [+] The wall reduces the noise.

(i) is an entry for an adverb. It is used for an adjective or a verb without a polarity and is modified with a polar adverb using the advmod label, as in (13).

3.3. Valence Shifters

In addition to the inversion (as in (h) above), there are plenty of types of negation expression that reverse the polarities as studied extensively (Wiegand et al., 2010). The basic types of negation are direct negation of the verb and the noun in (14) and (15).

²The word in **bold** indicates the polar predicate and the <u>underlined</u> word is its target.

³Conventional function-head dependency treats "be" as the head of its complement "noise" in (8).

| parser | correct | wrong | precision |
|-------------|---------|-------|-----------|
| UDPipe | 442 | 105 | 80.8% |
| +lex | 556 | 117 | 82.6% |
| StanfordNLP | 558 | 120 | 82.3% |
| +lex | 650 | 131 | 83.2% |

Table 2: Precision of polar clause detection in Stanford Sentiment Treebank (English movie data). Rows '+lex' show scores when the lexicon induced from the training data was added.

- (14) [-] The hotel was not good.
- (15) [+] It was no problem.

In some corpora in Universal Dependencies, a 'Polarity=Neg' feature is attached to the words for negation, but many of the corpora (including UD_English-EWT) do not support this feature. Therefore, we handle the negation phenomena as follows. We use the list of negation clues "not", "never", "no", etc. that modify the polar clauses with advmod or det labels. When they match on the syntactic tree, the polarities assigned with the lexicon are reversed. Adverbs such as "seldom" and "scarcely" reverse the polarity as well, but "not only" should not be treated as negation even if "not" modifies the polar words. In addition to that, the negation in the subject and object as we have seen in (1) should be captured, using the negation pronouns (*e.g.* "nothing", "nobody").

3.4. Initial Evaluation

To determine how the syntax-based approach works for English, we evaluated polar clause extraction on the Stanford Sentiment Treebank (Socher et al., 2013) which provides sentiment degrees for any subtrees in a sentence, in addition to word/sentence-level sentiment. Our extraction system prioritizes precision over recall, so here, we limit our evaluation to the polar clauses detected by the system and do not evaluate recall.

A total of 2,210 sentences from the test portion of the Stanford Sentiment Treebank were processed by the system. When the system detects a polarity, the sentiment degree of the corresponding subtree in the treebank is examined, for the clause segmented by conj, parataxis, and list nodes (*i.e.* the units shown in the bottom of Figure 1), which contains the predicate and the target node. The detection is judged as correct if the system output is positive and the polarity score in the treebank is higher than 0.5, or negative and lower than 0.5; otherwise (including cases of 0.5) the result is judged as wrong. We used UDPipe (Straka and Straková, 2017) and StanfordNLP (Qi et al., 2018) as English UD-compliant parsers. Both of them were trained with version 2.4 of the UD_English-EWT corpus.

Table 2 lists the results. Our method based on general syntactic and lexical knowledge showed high enough precision, even if we didn't use any domain-specific lexicon or prior distribution of positive and negative reviews. Most of the errors were due to complexities of the review in the movie domain, with mixtures of the writer's opinion, description of characters, and sarcastic expressions (*e.g.*, "a

| PoS | caseframe | generated sentence |
|------|-----------|----------------------|
| ADJ | nsubj | "He is [adj]." |
| VERB | nsubj | "We can [verb]." |
| VERB | nsubj,obj | "We can [verb] XYZ." |

 Table 3: Sample templates of English sentences for lexicon translation.

movie best enjoyed by college kids"). With StanfordNLP,⁴, which has better parsing performance, our system showed higher coverage and precision for the sentiment extraction. When we add positive or negative single words appearing in the training portion of the treebank, we can easily strengthen the performance for both parsers. This demonstrates that our approach is configurable to new domains.

4. Multilingualization of SA

Based on the English implementation of clause-level SA described in Section 3, we broaden the language coverage relying on the common syntactic structures on UD. In this paper we handle 17 languages listed in Table 4 considering the availability of resources. The UD parsers used in this study are based on the UD corpora listed in Table 5.

4.1. Lexicon Transfer

The sentiment lexicon described in Section 3.2 needs to be prepared for each language. Since techniques of lexicon building are not the main focus of this paper, we applied simple ways to transfer our in-house English lexicon into other languages.

First, we converted the lexical entries of adjectives and verbs into English sentences with the templates shown in Table 3 (*e.g.*, "We can love XYZ." for entry (a) in Table 1). Those sentences are translated using the Watson Language Translator.⁵ The translated sentences were then parsed with the model of the target language. After replacing adjectives and verbs with their PoS tags, lemma sequences were compiled for each language, and frequent patterns (such as [er, sein, ADJ] in German and [nous, pouvoir, VERB, XYZ] in French) were extracted. When a translation matched with one of these common patterns, the lemma of the verb or the adjective was picked up as a lexical entry for the target language, with the same polarity as in the original English lexicon.⁶

To increase the coverage of the lexicon, we also used multilingual word embeddings created by aligning anchor word pairs in bilingual dictionaries and identical words (Conneau et al., 2017). We obtained five closest words to an English polar word in terms of cosine similarity in the embedding space of the target language. For each obtained word, its

⁴StanfordNLP and UDPipe achieved LAS (Labeled Attachment Score) of 86 and 78 on UD_English-EWT, respectively, when sentence boundaries were given. According to an investigation on parsing and SA (Gómez-Rodríguez et al., 2019), LAS=80 is considered good enough for SA.

⁵https://language-translator-demo.ng.bluemix.net/

⁶For Czech and Turkish, the polarity assigned to the lemma is reversed from the original one when the translated word has a "Polarity=Neg" feature in the parsing result.

| | | ours | | |
|-----------------|-------|-------|-------------|-------|
| language | trans | emb | union | MSL |
| English (en) | | | 3,385 | 1,727 |
| Arabic (ar) | 499 | 409 | 820 | 1,173 |
| Czech (CS) | 1,491 | 1,051 | 2,052 | 2,138 |
| German (de) | 1,906 | 879 | 2,399 | 1,913 |
| Spanish (es) | 1,665 | 992 | 2,001 | 2,332 |
| Finnish (fi) | 1,101 | 593 | 1,393 | 1,390 |
| French (fr) | 1,375 | 961 | 1,926 | 2,652 |
| Hebrew (he) | 490 | 549 | 880 | 1,169 |
| Indonesian (id) | 641 | 520 | 921 | 1,121 |
| Italian (it) | 1,512 | 942 | 1,902 | 2,284 |
| Japanese (ja) | 385 | _ | $2,080^{7}$ | 225 |
| Korean (ko) | 584 | _ | 584 | 621 |
| Dutch (nl) | 1,030 | 842 | 1,521 | 1,887 |
| Portuguese (pt) | 1,787 | 849 | 2,082 | 1,791 |
| Russian (ru) | 1,374 | 1,003 | 1,947 | 2,340 |
| Turkish (tr) | 286 | 436 | 664 | 653 |
| Chinese (zh) | 737 | _ | 737 | 96 |

Table 4: Numbers of lexical entries per language. In 'ours' columns, 'trans' and 'emb' indicate the number of entries obtained by translation and embeddings, respectively ('-' indicates the resource is not available). 'union' is the size of the final lexicon, except for English which shows the size of the original lexicon. 'MSL' column shows the numbers of words obtained from Chen (2014)'s lexicons.

| ar | PADT | it | ISDT |
|----|--------|----|-----------|
| CS | PDT | ja | GSD |
| de | GSD | ko | GSD |
| en | EWT | nl | Alpino |
| es | Ancora | pt | Bosque |
| fi | TDT | tr | IMST |
| fr | GSD | ru | SynTagRus |
| he | HTB | zh | GSD |
| id | GSD | | + GSDSimp |

Table 5: The names of UD corpora used in this study.

lemma and PoS tag were assigned from the UD training corpus of the target language: specifically, when a word matched with the surface form of the word in the corpus, its most frequent lemma and PoS tag were identified. Among the five words the closest word that has the same PoS as the original English word was added to the lexicon by using its lemma and PoS.

We combined these two resources to generate the 'union' lexicon. To reduce errors, verbs and adjectives that were assigned contradicting polarities were removed. Also, words with grammatical functions (*e.g.*, adverbs for negation and verbs that take a ccomp child) were excluded. The middle columns of Table 4 show the numbers of lexical entries obtained through these methods. When both resources were available, the union lexicon was larger than when just one was used. This demonstrates that two methods are complementary in terms of increasing the coverage.

For comparison, we also used Chen and Skiena (2014)'s multilingual sentiment lexicon ("MSL"), which provides lists of positive and negative words on surface forms. To use it in our system, the lemma and PoS tags of the positive and negative words were filled by matching the lexical entries of MSL and the form columns of the UD training corpora. The numbers of obtained words are shown in the right column of Table 4.

4.2. Syntactic Operations

As stated earlier, this paper examines the universality of UD by applying syntactic operations to find appropriate clauses and give the polarity after matching with the lexicon. Here, we focus on clause detection rules and valence shifters, classifying them into language-universal, lexically parameterized, and language-specific operations.

Clause detection The baseline of the clause detection can be done in a language-universal manner: we just pick up the clause of root and recursively follow its child nodes labeled conj, parataxis, or list. Finding the clauses in the child of ccomp and xcomp is a lexically parameterized operation, with lists of head words for each language, *e.g.*, "think" and "make" for English and "creer" ('believe') and "parecer" ('seem') for Spanish. These verbs can be listed by searching for frequent words modified by ccomp and xcomp in the corpora. Instances of language-specific operations are described in Section 4.3.

Valence shifter The universal way to handle negation is to rely on the "Polarity=Neg" feature, which is available in ar, cs, de, es, fr, he, id, pt, tr, and zh. As "not" in English, 11 languages (de, en, es, fr, he, id, it, nl, pt, ru, and zh) have adverbs or particles for negation that modify the head word using an advmod label. These are handled as lexical parameters, the same as "no" in English with a det label. Other languages require different ways to detect negation. In Finnish and Japanese, auxiliary verbs for negation modify the head node with aux, and a negative copula (cop) is used in Arabic. In Czech and Turkish, a verb or adjective can be changed to its negative form while keeping its lemma, thus "Polarity=Neg" is the only clue of negation. In Korean, a negation form of a verb/adjective is represented as a multi-word expression connected with flat.

4.3. Language-specific Issues

While the common syntactic structures of Universal Dependencies are useful to design a multilingual system, we also added language-specific operations, as their absence may significantly reduce the performance, or even block all of sentiment detection. The workarounds here help developers of multilingual downstream components that are based on Universal Dependencies.

Arabic The lemma in the UD_Arabic-PADT corpus is vocalized with Arabic tashkil marks, while normal sentences are written without them. Both StanfordNLP and UDPipe which were trained the corpus try to recover tashkil marks with a lemmatizing accuracy of around 90%, but this causes a mismatch between the input and the lexicon, so we

⁷For Japanese, manually generated lexicon was merged with 'union' for better testing of parsers and UD.

remove the tashkil marks when lemmata of the input words and lexical entries are compared.

Czech When a verb or adjective is negated with a prefix "ne", "Polarity=Neg" is added to the feature in UD. However, sometimes the parser keeps "ne" in the lemma while the feature has "Polarity=Neg",⁸ and this causes a wrong polarity (*i.e.*, if "nespokojený" ('unsatisfied') is a lemma, the word shouldn't have the negation feature), especially in the lexicon creation process. Our conservative workaround is to exclude words that have the negation feature and a lemma that still starts with "ne" in the lexicon transfer process, and to perform a similar operation during runtime.

German Adverbs and adjectives have same surface forms, so we handle ADV and ADJ interchangeably when matching the input with the lexicon so that we can detect polar adverbs with lexical entries for adjectives.⁹

Japanese The conj label is never used in the UD_Japanese corpora to avoid left-headed coordination structures that confuse the syntactic representations (Kanayama et al., 2018). To handle multiple clauses in a sentence, child nodes with an advcl label are examined for clause detection, with some exceptions for markers such as " $l \sharp$ " ('if').

Korean In the Korean UD corpora, the word unit is based on an eojeol (a phrasal unit split by whitespaces) and a lemma is expressed by the combination of all morphemes in the word connected with '+' marks, which never matches the base form of the lexical entries. Thus the lemma form in a parsed result is converted into base form by picking the surface before the first '+' mark and attaching a suffix "da".

Chinese In this work we built a lexicon based on simplified Chinese characters, but the UD_Chinese-GSD and -PUD corpora use traditional characters, so we need to switch the training model accordingly. UD_Chinese-GSDSimp for simplified Chinese is available since UD version 2.5. A single-letter adjective preceded by "很" ('very') or "不" ('not') tends to be regarded as a single word with the prefix, *e.g.*, "很快" ('quick'). To increase recall, the prefixes are detached from the lemma, and the polarity is reversed when "不" is detached.

5. Evaluation

Unlike machine-learning methods with fixed training and test sets, it is not easy to fairly evaluate rule-based systems. To ensure transparency and a bias-free system while avoiding data overfitting, we roughly estimate the performance using existing datasets and focus on the relative comparison among languages, corpora, and types of syntactic operations, rather than comparing with other systems.

5.1. Datasets and Metrics

To the best of our knowledge, there is currently no multilingual complete phrase-level sentiment annotation like that

| language | genre | + | _ | length |
|----------|------------|-----|-----|--------|
| ar | hotel | 250 | 250 | 29.6 |
| CS | restaurant | 250 | 250 | 16.5 |
| de | cutlery | 297 | 62 | 13.7 |
| en | restaurant | 250 | 250 | 14.7 |
| es | restaurant | 250 | 250 | 15.4 |
| fr | restaurant | 250 | 250 | 16.0 |
| he | news | 250 | 250 | 14.2 |
| id | restaurant | 250 | 250 | 10.7 |
| it | hotel | 250 | 250 | 15.1 |
| ja | mobile | 238 | 295 | 21.5 |
| ko | movie | 250 | 247 | 9.4 |
| nl | restaurant | 250 | 250 | 14.9 |
| pt | book | 250 | 250 | 22.6 |
| ru | restaurant | 250 | 250 | 17.3 |
| tr | restaurant | 250 | 250 | 10.3 |
| zh | mobile | 253 | 247 | 35.1 |
| | | | | |

Table 6: Statistics of datasets for 16 languages used in this study. "+" and "-" are numbers of positive and negative sentences, respectively. "length" is the average number of words per sentence.

provided in the Stanford Sentiment Treebank, so we manage the evaluation of our system with sentence-level sentiment annotations. For Arabic, English, Spanish, French, Dutch, Russian, Turkish and Chinese, we use the dataset from the SemEval Workshop 2016 Task 5 for aspectoriented sentiment analysis (Pontiki et al., 2016). XML data with aspect-level¹⁰ or sentence-level annotation is converted into a simple format: pairs consisting of a sentence and its binary polarity (positive or negative) without numerical degrees.¹¹ In addition to polarities, our system outputs the sentiment targets, but we don't evaluate them in this study because our notion of target is different from the aspect in those datasets.

To cover more languages, we added Amazon reviews used in a German shared task (Ruppenhofer et al., 2014), restaurant review data for Indonesian (Gojali and Khodra, 2016) and Czech (Steinberger et al., 2014), hotel review data for Italian (Basile et al., 2018), newswire data for Hebrew (Amram et al., 2018), movie review tweets for Korean (based on the method by Maas et al. (2011)), book review data for Portuguese (Freitas et al., 2014) and opinions on mobile phones for Japanese (Hashimoto et al., 2011). All of these were converted into the common structures of the set of sentences with positive or negative flags. The statistics of the simplified data are shown in Table 6.

Given a sentence, which is labeled positive or negative in the datasets, our system detects an arbitrary number of sentiment clauses. We calculate *recall* as the ratio of sentences for which the system detects one or more sentiment clauses that have the same polarity as the gold data. *Precision* is the ratio of polarity coincidence between the system and gold in all polar clauses detected by the system. A sentence that is labeled either positive or negative may have multiple

⁸This is not just a parser's problem: the UD_Czech-PDT corpus has inconsistency in negation.

⁹Dutch has a similar syntax but such adverbs are tagged ADJ in UD corpora and thus no special care is needed.

¹⁰When aspect-level annotation is available, we picked up the sentences annotated with one or more consistent aspect-level polarities.

¹¹Neutral sentences are discarded.

| | | ours | | | MSL | |
|------|------|------|-------|------|------|-------|
| lang | prec | rec | F_2 | prec | rec | F_2 |
| ar | 95.5 | 36.8 | 72.4 | 83.5 | 34.0 | 64.7 |
| CS | 88.3 | 36.6 | 68.8 | 72.5 | 32.4 | 58.1 |
| de | 94.2 | 46.8 | 78.3 | 85.6 | 56.5 | 77.6 |
| en | 92.7 | 46.8 | 77.5 | 90.8 | 45.4 | 75.7 |
| es | 90.2 | 36.6 | 69.8 | 74.0 | 38.4 | 62.4 |
| fr | 90.0 | 43.6 | 74.2 | 76.1 | 53.6 | 70.2 |
| he | 82.0 | 16.4 | 45.6 | 76.0 | 28.4 | 56.9 |
| id | 92.7 | 33.8 | 68.7 | 83.5 | 33.0 | 63.9 |
| it | 88.3 | 29.8 | 63.4 | 80.0 | 42.8 | 68.2 |
| ja | 92.2 | 33.2 | 68.0 | 66.7 | 6.2 | 22.6 |
| ko | 80.6 | 10.9 | 35.4 | 71.4 | 7.0 | 25.1 |
| nl | 90.8 | 41.6 | 73.4 | 73.8 | 50.6 | 67.6 |
| pt | 83.2 | 32.4 | 63.3 | 78.1 | 43.8 | 67.5 |
| ru | 90.1 | 30.4 | 64.7 | 72.5 | 39.0 | 61.9 |
| tr | 91.2 | 17.0 | 48.7 | 64.9 | 13.0 | 36.1 |
| zh | 88.2 | 24.6 | 58.1 | 75.7 | 22.0 | 50.9 |

Table 7: Precision (prec), recall (rec), and F_2 score of sentiment detection tested on sentence-level datasets in 16 languages (%).

clauses of opposite polarities as (1), but for simplicity we just consider the sentence polarity in the gold data because we found that a simple evaluation is sufficient for relative comparison of parsers and syntactic operations.

As a unified metrics of precision and recall, we use F_2 score to prioritize precision over recall (Equation (16), setting $\beta = 2$), because a naive word-spotting approach can get a higher F_1 score than sophisticated systems on a dataset where every sentence is either positive or negative.

$$F_{\beta} = (1 + \beta^2) \frac{\text{prec} \cdot \text{rec}}{\text{prec} + \beta^2 \cdot \text{rec}}$$
(16)

Since our objective is to detect sentiment clauses, not to classify the sentiment of sentences or documents, we do not rely on non-syntactic sentiment clues (such as interjection (*e.g.* "Yeeeeah!"), smiley marks and hashtags), which may help a lot to get higher recall of sentence-level sentiment detection in the given datasets.

5.2. Main Results

Table 7 shows the precision and recall in 16 languages. For tokenization, tagging, and parsing, StanfordNLP with models trained on UD version 2.4 was used.¹²

With our lexicon, high precision (>90) was achieved in ten languages, which is useful for applications. Low precision in Hebrew, Korean, and Portuguese was due to complexities in the domains and writing styles. For example, the UD's word unit in Korean was an obstacle to detect sentiments, as it was quite difficult to match the lexicon and lemma without enumerating many rules to handle surface forms. Approximately 20% of the errors were due to mismatch of polarities between sentence and clause, which are not real errors in practice. Remaining errors were caused by mishandling of syntactic phenomena, a lack in the domain lexicon, sarcasm, etc.

For all of the languages except Italian, Hebrew, and Portuguese, our translated lexicon (Section 4.1) contributed to higher scores than the MSL, especially in terms of precision, though MSL showed higher recall in eight languages. In Spanish, MSL had the negative entry "ir" ('go'), which frequently caused wrong detection. These results demonstrate the importance of maintaining a lexicon suitable for the system.

5.3. Comparison of UD Versions

To determine how the recent updates of Universal Dependencies corpora are helping multilingual operations, we applied our sentiment annotator to the 16 languages after parsing by three UDPipe models trained by UD versions 2.0, 2.2, and 2.4. Table 8 compares the three versions.

In Japanese, UD2.4 performed best because it has been updated to correctly assign advcl and acl. In Dutch, the score was significantly improved in UD2.2. This is due to improvement of parsing accuracy, and also to the updated attachment and labeling of adverbs including "niet" ('not') (advmod) in the corpora and parsers trained on them. UD2.0 corpora for Indonesian and Korean do not provide lemmata, and nor are the parsers trained on them, so the system could not detect any sentiment clauses.

Despite the expectation for updates of UD corpora to improve this task incrementally, the scores were dropped in UD2.4 in some languages. For example, Russian parsed by the UD2.4 model showed significant changes in lemmatization that caused failures of matching with the lexicon. These findings should help facilitate further improvements of corpus annotation and parsing models.

5.4. Effects of Syntactic Operations

Here we examine how each syntactic operation described in Section 4.2 improved the sentiment detection, focusing on clause detection from subtrees (*i.e.* non-root nodes) and negation handling. Table 9 lists their ablation and stepwise improvements of scores.

'Subtrees' in Table 9 shows the difference in recall. 'None' column shows the recall when no operation for subtrees was performed, that is, the sentiment was detected only from the root node of the sentence. '+UNV' is the gain of recall from 'None', when the language-universal operations are allowed; in this case, covering conj, parataxis, and list nodes. The recall was recovered for all languages except Japanese which does not have coordination structures labeled as conj. '+PRM' is the case with the lexical parameterization: here, clausal complements with ccomp and xcomp are handled. It was effective for 12 languages, particularly English and Portuguese. '+SPC' shows the effects of language-specific operations. Indonesian and Japanese required these. 'All' column shows the recall after all of the operations, that is, the accumulation of the three types of improvement.

¹²The only exception is Chinese: we trained StanfordNLP with UD_Chinese-GSDSimp (newly available from UD version 2.5) to address low recall due to mismatch of simplified/traditional characters.

| | UD2.0 | | | UD2.2 | | | | UD2.4 | | | | |
|----------|-------|------|------|-------|------|------|------|-------|------|------|------|-------|
| language | LAS | prec | rec | F_2 | LAS | prec | rec | F_2 | LAS | prec | rec | F_2 |
| ar | 64.3 | 88.4 | 15.8 | 46.1 | 65.1 | 85.3 | 16.8 | 47.0 | 66.6 | 83.7 | 17.0 | 46.9 |
| CS | 82.3 | 85.4 | 30.4 | 62.7 | 82.8 | 86.6 | 29.8 | 62.7 | 82.9 | 86.4 | 31.8 | 64.3 |
| de | 68.6 | 93.6 | 47.1 | 78.2 | 70.8 | 92.6 | 42.6 | 75.0 | 72.7 | 92.6 | 46.0 | 77.0 |
| en | 76.5 | 92.6 | 43.8 | 75.7 | 77.1 | 92.5 | 44.0 | 75.8 | 76.4 | 90.9 | 43.4 | 74.6 |
| es | 84.5 | 88.6 | 33.8 | 66.9 | 84.4 | 89.5 | 33.4 | 67.0 | 85.1 | 89.7 | 32.0 | 65.9 |
| fr | 80.7 | 88.7 | 39.4 | 70.9 | 81.0 | 90.5 | 39.8 | 72.1 | 84.5 | 91.3 | 39.8 | 72.5 |
| he | 57.9 | 82.1 | 14.0 | 41.6 | 57.9 | 82.1 | 14.0 | 41.6 | 58.3 | 82.3 | 13.2 | 40.2 |
| id | 74.3 | _ | 0.0 | _ | 74.4 | 93.2 | 30.4 | 66.0 | 74.5 | 92.4 | 31.6 | 66.7 |
| it | 86.1 | 85.7 | 25.6 | 58.3 | 86.3 | 89.1 | 25.0 | 58.9 | 86.7 | 85.5 | 25.2 | 57.8 |
| ja | 75.5 | 92.5 | 29.5 | 64.8 | 72.6 | 90.1 | 28.9 | 63.3 | 76.2 | 92.1 | 32.6 | 67.5 |
| ko | 60.5 | _ | 0.0 | _ | 61.4 | 83.3 | 9.1 | 31.7 | 61.4 | 83.7 | 8.2 | 29.5 |
| nl | 69.6 | 90.6 | 25.8 | 60.3 | 77.6 | 90.6 | 39.4 | 71.9 | 77.6 | 89.4 | 39.8 | 71.6 |
| pt | 82.5 | 81.9 | 29.2 | 60.2 | 82.2 | 79.9 | 27.0 | 57.4 | 82.7 | 78.7 | 27.8 | 57.6 |
| ru | 87.3 | 88.9 | 26.4 | 60.3 | 84.6 | 88.9 | 30.0 | 63.8 | 85.0 | 87.3 | 27.6 | 60.9 |
| tr | 55.8 | 92.6 | 15.2 | 45.9 | 54.0 | 94.7 | 14.6 | 45.2 | 55.1 | 94.8 | 14.8 | 45.6 |
| zh | 57.7 | 76.4 | 8.6 | 29.6 | 57.7 | 81.2 | 7.8 | 28.2 | 58.7 | 84.4 | 7.6 | 27.9 |

Table 8: Performance of sentiment detection with different versions of UD corpora. UDPipe's parsing performance is shown as the labeled attachment Score (LAS).

| | Subtrees (recall) | | | | | | Nega | tion (precisi | ion) | |
|------------|-------------------|---------------|---------------|---------------|------|------|---------------|---------------|---------------|------|
| language | None | Δ +UNV | Δ +Prm | Δ +SPC | All | None | Δ +UNV | Δ +Prm | Δ +SPC | All |
| ar | 21.2 | 14.0 | 1.6 | 0.0 | 36.8 | 94.2 | 0.0 | 0.0 | 1.3 | 95.5 |
| CS | 25.0 | 11.2 | 0.4 | 0.0 | 36.6 | 77.6 | 7.2 | 3.0 | 0.5 | 88.3 |
| de | 35.7 | 9.4 | 1.4 | 0.3 | 46.8 | 92.4 | 1.2 | 0.6 | 0.0 | 94.2 |
| en | 32.8 | 11.4 | 2.0 | 0.6 | 46.8 | 84.2 | 0.0 | 8.0 | 0.5 | 92.7 |
| es | 30.8 | 5.4 | 0.2 | 0.2 | 36.6 | 80.8 | 6.8 | 2.1 | 0.5 | 90.2 |
| fr | 31.8 | 11.2 | 0.6 | 0.0 | 43.6 | 85.3 | -0.1 | 0.5 | 4.3 | 90.0 |
| he | 14.4 | 1.8 | 0.2 | 0.0 | 16.4 | 78.0 | 4.0 | 0.0 | 0.0 | 82.0 |
| id | 29.4 | 3.0 | 0.0 | 1.4 | 33.8 | 88.8 | 1.8 | 2.1 | 0.0 | 92.7 |
| it | 23.6 | 6.0 | 0.2 | 0.0 | 29.8 | 81.0 | 0.0 | 7.3 | 0.0 | 88.3 |
| ja | 32.1 | 0.0 | 0.0 | 1.1 | 33.2 | 86.9 | 0.0 | 0.0 | 5.3 | 92.2 |
| ko | 10.3 | 0.6 | 0.0 | 0.0 | 10.9 | 79.1 | 0.0 | 0.0 | 1.5 | 80.6 |
| nl | 30.4 | 10.2 | 1.0 | 0.0 | 41.6 | 84.0 | 0.0 | 5.9 | 0.9 | 90.8 |
| pt | 22.4 | 6.6 | 3.0 | 0.4 | 32.4 | 75.0 | 6.5 | 0.6 | 1.1 | 83.2 |
| ru | 19.6 | 10.6 | 0.2 | 0.0 | 30.4 | 81.1 | 0.0 | 7.6 | 1.4 | 90.1 |
| tr | 13.4 | 2.6 | 0.0 | 1.0 | 17.0 | 81.3 | 3.3 | 3.4 | 3.2 | 91.2 |
| zh | 13.6 | 9.6 | 1.4 | 0.0 | 24.6 | 79.4 | 5.0 | 0.0 | 3.8 | 88.2 |
| Added | | conj | ccomp | misc. | | | Pol=Neg | advmod | misc. | - |
| operations | pa | rataxis | xcomp | | | | | det | | |

Table 9: Ablation results of subtree search (for recall) and negation (for precision). ' Δ +UNV', ' Δ +PRM', and ' Δ +SPC' columns show the contribution to the metrics by language universal, lexically parameterized and language specific operations, respectively.

The right part of Table 9 shows the effects of negation handling, without which the precision is damaged. 'None' is the precision without handling any negation phenomena. The "Polarity=Neg" feature is used in the '+UNV' situation. In Czech, Spanish, Portuguese, and Chinese, the errors were well reduced with this universal feature, but it did not change anything in seven of the languages. A negative contribution in French was caused by the typical negation expression "ne...pas", in which both "ne" and "pas" have a negation feature but they do not actually mean a double negation. Even with adding the words of advmod and det to each language, some negation phenomena were still not covered. In Japanese, Korean, and Arabic, all of the negation was expressed in language-specific ways and our operations recovered the precision.

Overall, the majority of phenomena are well covered by language-universal and lexically parameterized operations, with some exceptions (such as Japanese). This demonstrates the potential of UD from the viewpoints of applications that exploit dependency structures.

- كان النظام بسيطاً ومباشراً ويعمل بكفاءة , حتى مع الطيارين غير المدربين عليه مسابقاً. :ar
- cs: <u>Systém</u> byl jednoduchý, přímý a fungoval *skvěle*, dokonce i s neškolenými piloty.
- de: Das <u>System</u> war einfach, direkt und funktionierte *gut*, sogar mit bis dahin nicht ausgebildeten Piloten.
- en: The <u>system</u> was <mark>simple</mark>, direct, and worked *well*, even with previously untrained pilots.
- es: El <u>sistema</u> era simple, directo, y funcionaba *bien*, incluso con pilotos sin entrenamiento previo.
- fi: Järjestelmä oli <mark>yksinkertainen</mark>, suora ja <mark>toimi</mark> *hyvin* myös aiemmin tottumattomien lentäjien kanssa.
- fr: Le <u>système</u> était <mark>simple</mark>, direct et <mark>fonctionnait</mark> bien, même avec, précédemment, des pilotes sans formation.
- id: <u>Sistem</u> ini <mark>sederhana</mark>, langsung, dan berfungsi dengan baik, bahkan dengan pilot yang sebelumnya tidak terlatih.
- it: Il <u>sistema</u> era <mark>semplice</mark>, diretto e funzionava bene, anche con piloti non addestrati.
- ja: このシステムはシンプルかつ直接的で、未熟なパイロットでさえ上手く着陸に導くことができた。
- ko: 시스템은 단순하고, 직관적이며 이전에 훈련을 받은 적이 없는 조종사에게도 잘 쓰였다.
- pt: O <u>sistema</u> era <mark>simples</mark>, direto e funcionava *bem*, mesmo com pilotos que não tinham sido previamente treinados.
- ru: <u>Система</u> была простой, прямой и хорошо работала даже с нетренированными пилотами.
- tr: Sistem daha önce eğitim verilmemiş pilotlarla bile olsa, basit, doğrudan ve iyi çalıştı.
- zh: 該系統簡單、直接而且效果好, 甚至還適用於未經訓練的飛行員。

Figure 3: Current system's output (not perfect) for parallel data. Positive predicates are highlighted and target words are underlined.

| | Star | Gold | | |
|----------|-------|------|-------|-------|
| language | prec | rec | F_2 | F_2 |
| ar | 96.0 | 22.6 | 58.2 | _ |
| CS | 100.0 | 57.5 | 87.1 | 88.4 |
| de | 95.5 | 60.4 | 85.6 | 84.2 |
| en | 100.0 | 68.9 | 91.7 | 92.4 |
| es | 98.5 | 64.2 | 89.0 | _ |
| fi | 95.8 | 65.1 | 87.5 | 88.6 |
| fr | 98.6 | 67.9 | 90.4 | - |
| id | 90.3 | 28.3 | 62.8 | _ |
| it | 97.1 | 65.1 | 88.4 | 89.9 |
| ja | 100.0 | 47.2 | 81.7 | 82.3 |
| ko | 100.0 | 7.5 | 28.8 | _ |
| pt | 100.0 | 76.4 | 94.2 | _ |
| ru | 97.6 | 39.6 | 75.5 | 74.8 |
| tr | 95.8 | 21.7 | 56.9 | 47.4 |
| zh | 100.0 | 9.4 | 34.2 | - |

Table 10: Sentiment detection performance on PUD data.

6. Exploiting Parallel UD

Adequate benchmarking data is still missing for some languages. To accelerate multilingual studies, we created a sentence-level sentiment corpus using parallel UD (PUD) corpora, each of which consists of 1,000 sentences. From the PUD corpora, parallel sentences with positive or negative polarities are extracted when our system detects a consistent polarity in four or more languages, assuming the sentiment polarity is shared in parallel sentences. We manually examined these sentences and filtered out wrong polarity assignments and politically biased decisions. A total of 106 polar sentences (48 positive and 58 negative) for 19 languages was obtained,¹³ including a language we didn't evaluate in Section 5 (Finnish), and languages not covered in this study (Hindi, Thai, Swedish and Polish). Table 10 shows the results of the sentiment detection on the PUD data. Note that the data were created on the basis of our partial system outputs and thus it shows unfairly high precision, but recall is far from perfect in the languages with a relatively smaller lexicon (Arabic, Indonesian, and Turkish). In Chinese, the lexicon did not match well because the Chinese PUD corpus uses traditional characters. The issue in Korean has already been stated in the previous section. We can use these results for further improvement of systems and cross-lingual discussion of differences in syntax, by means of parallel visualization as exemplified in Figure 3.

Another advantage of using PUD corpora is that we can test the sentiment detection with the 'gold' dependency structures without caring about any parsing errors. The rightmost column in Table 10 shows the F_2 score on the gold syntax free from dependency errors, but unfortunately lemma is not provided in es, fr, id, ko, pt, and zh, and alphabetical lemmata are produced in Arabic, thus our system does not work at all for these languages. For other languages, the score is not always better than the results by StanfordNLP, due to the difference of annotations between main corpora and PUD. We suggest the unification of annotation policies in each language for further studies.

7. Conclusion

This paper has described multilingual sentiment detection that fully exploits the syntactic structures on Universal Dependencies. Thanks to UD's common syntactic formalism, the system can cover many languages through the simple transfer of lexicon. Moreover, this work provided a methodology and reusable techniques for multilingual applications that do not require supervised data. Our analysis also revealed remaining issues with Universal Dependencies, such as word unit and lemmatization in Korean, and we provided parallel annotated data to accelerate future multilingual research.

¹³We made this data available online (Kanayama, 2020).

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