Coreferential Reasoning Learning for Language Representation

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Abstract

Language representation models such as BERT could effectively capture contextual semantic information from plain text, and have been proved to achieve promising results in lots of downstream NLP tasks with appropriate fine-tuning. However, most existing language representation models cannot explicitly handle coreference, which is essential to the coherent understanding of the whole discourse. To address this issue, we present CorefBERT, a novel language representation model that can capture the coreferential relations in context. The experimental results show that, compared with existing baseline models, CorefBERT can achieve significant improvements consistently on various downstream NLP tasks that require coreferential reasoning, while maintaining comparable performance to previous models on other common NLP tasks. The source code and experiment details of this paper can be obtained from https://github. com/thunlp/CorefBERT.

1 Introduction

Recently, language representation models such as BERT (Devlin et al., 2019) have attracted considerable attention. These models usually conduct self-supervised pre-training tasks over large-scale corpus to obtain informative language representation, which could capture the contextual semantic of the input text. Benefiting from this, language representation models have made significant strides in many natural language understanding tasks including natural language inference (Zhang et al., 2020), sentiment classification (Sun et al., 2019b), question answering (Talmor and Berant, 2019), relation extraction (Peters et al., 2019), fact extraction and verification (Zhou et al., 2019), and coreference resolution (Joshi et al., 2019).

However, existing pre-training tasks, such as masked language modeling, usually only require

models to collect local semantic and syntactic information to recover the masked tokens. Hence, language representation models may not well model the long-distance connections beyond sentence boundary in a text, such as coreference. Previous work has shown that the performance of these models is not as good as human performance on the tasks requiring coreferential reasoning (Paperno et al., 2016; Dasigi et al., 2019), and they can be further improved on long-text tasks with external coreference information (Cheng and Erk, 2020; Xu et al., 2020; Zhao et al., 2020). Coreference occurs when two or more expressions in a text refer to the same entity, which is an important element for a coherent understanding of the whole discourse. For example, for comprehending the whole context of "Antoine published The Little Prince in 1943. The book follows a young prince who visits various planets in space.", we must realize that The book refers to The Little Prince. Therefore, resolving coreference is an essential step for abundant higherlevel NLP tasks requiring full-text understanding.

To improve the capability of coreferential reasoning for language representation models, a straightforward solution is to fine-tune these models on supervised coreference resolution data. Nevertheless, on the one hand, we find fine-tuning on existing small coreference datasets cannot improve the model performance on downstream tasks in our preliminary experiments. On the other hand, it is impractical to obtain a large-scale supervised coreference dataset.

To address this issue, we present CorefBERT, a language representation model designed to better capture and represent the coreference information. To learn coreferential reasoning ability from largescale unlabeled corpus, CorefBERT introduces a novel pre-training task called *Mention Reference Prediction* (MRP). MRP leverages those repeated mentions (e.g., noun or noun phrase) that appear



Figure 1: An illustration of CorefBERT's training process. In this example, the second *Claire* and a common word *defense* are masked. The overall loss of *Claire* consists of the loss of both Mention Reference Prediction (MRP) and Masked Language Modeling (MLM). MRP requires model to select contextual candidates to recover the masked tokens, while MLM asks model to choose from vocabulary candidates. In addition, we also sample some other tokens, such as *defense* in the figure, which is only trained with MLM loss.

multiple times in the passage to acquire abundant co-referring relations. Among the repeated mentions in a passage, MRP applies mention reference masking strategy to mask one or several mentions and requires model to predict the masked mention's corresponding referents. Figure 1 shows an example of the MRP task, we substitute one of the repeated mentions, *Claire*, with [MASK] and ask the model to find the proper contextual candidate for filling it. To explicitly model the coreference information, we further introduce a copybased training objective to encourage the model to select words from context instead of the whole vocabulary. The internal logic of our method is essentially similar to that of coreference resolution, which aims to find out all the mentions that refer to the masked mentions in a text. Besides, rather than using a context-free word embedding matrix when predicting words from the vocabulary, copying from context encourages the model to generate more context-sensitive representations, which is more feasible to model coreferential reasoning.

We conduct experiments on a suite of downstream tasks which require coreferential reasoning in language understanding, including extractive question answering, relation extraction, fact extraction and verification, and coreference resolution. The results show that CorefBERT outperforms the vanilla BERT on almost all benchmarks and even strengthens the performance of the strong RoBERTa model. To verify the model's robustness, we also evaluate CorefBERT on other common NLP tasks where CorefBERT still achieves comparable results to BERT. It demonstrates that the introduction of the new pre-training task about coreferential reasoning would not impair BERT's ability in common language understanding.

2 Related Work

Pre-training language representation models aim to capture language information from the text, which facilitate various downstream NLP applications (Kim, 2014; Lin et al., 2016; Seo et al., 2017). Early works (Mikolov et al., 2013; Pennington et al., 2014) focus on learning static word embeddings from the unlabeled corpus, which have the limitation that they cannot handle the polysemy well. Recent years, contextual language representation models pre-trained on large-scale unlabeled corpora have attracted intensive attention and efforts from both academia and industry. SA-LSTM (Dai and Le, 2015) and ULMFiT (Howard and Ruder, 2018) pre-trains language models on unlabeled text and perform task-specific fine-tuning. ELMo (Peters et al., 2018) further employs a bidirectional LSTM-based language model to extract context-aware word embeddings. Moreover, OpenAI GPT (Radford et al., 2018) and BERT (Devlin et al., 2019) learn pre-trained language representation with Transformer architecture (Vaswani et al., 2017), achieving state-of-the-art results on various NLP tasks. Beyond them, various improvements on pre-training language representation have been proposed more recently, including (1) designing new pre-trainning tasks or objectives such as Span-BERT (Joshi et al., 2020) with span-based learning, XLNet (Yang et al., 2019) considering masked positions dependency with auto-regressive loss,

MASS (Song et al., 2019) and BART (Wang et al., 2019b) with sequence-to-sequence pre-training, ELECTRA (Clark et al., 2020) learning from replaced token detection with generative adversarial networks and InfoWord (Kong et al., 2020) with contrastive learning; (2) integrating external knowledge such as factual knowledge in knowledge graphs (Zhang et al., 2019; Peters et al., 2019; Liu et al., 2020a); and (3) exploring multilingual learning (Conneau and Lample, 2019; Tan and Bansal, 2019; Kondratyuk and Straka, 2019) or multimodal learning (Lu et al., 2019; Sun et al., 2019a; Su et al., 2020). Though existing language representation models have achieved a great success, their coreferential reasoning capability are still far less than that of human beings (Paperno et al., 2016; Dasigi et al., 2019). In this paper, we design a mention reference prediction task to enhance language representation models in terms of coreferential reasoning.

Our work, which acquires coreference resolution ability from an unlabeled corpus, can also be viewed as a special form of unsupervised coreference resolution. Formerly, researchers have made efforts to explore feature-based unsupervised coreference resolution methods (Bejan et al., 2009; Ma et al., 2016). After that, Word-LM (Trinh and Le, 2018) uncovers that it is natural to resolve pronouns in the sentence according to the probability of language models. Moreover, WikiCREM (Kocijan et al., 2019) builds sentence-level unsupervised coreference resolution dataset for learning coreference discriminator. However, these methods cannot be directly transferred to language representation models since their task-specific design could weaken the model's performance on other NLP tasks. To address this issue, we introduce a mention reference prediction objective, complementary to masked language modeling, which could make the obtained coreferential reasoning ability compatible with more downstream tasks.

3 Methodology

In this section, we present CorefBERT, a language representation model, which aims to better capture the coreference information of the text. As illustrated in Figure 1, CorefBERT adopts the deep bidirectional Transformer architecture (Vaswani et al., 2017) and utilizes two training tasks:

(1) **Mention Reference Prediction** (MRP) is a novel training task which is proposed to enhance coreferential reasoning ability. MRP utilizes the mention reference masking strategy to mask one of the repeated mentions and then employs a copybased training objective to predict the masked tokens by copying from other tokens in the sequence.

(2) **Masked Language Modeling** (MLM)¹ is proposed from vanilla BERT (Devlin et al., 2019), aiming to learn the general language understanding. MLM is regarded as a kind of cloze tasks and aims to predict the missing tokens according to its final contextual representation. Except for MLM, Next Sentence Prediction (NSP) is also commonly used in BERT, but we train our model without the NSP objective since some previous works (Liu et al., 2019; Joshi et al., 2020) have revealed that NSP is not as helpful as expected.

Formally, given a sequence of tokens² $X = (x_1, x_2, ..., x_n)$, we first represent each token by aggregating the corresponding token and position embeddings, and then feeds the input representations into deep bidirectional Transformer to obtain the contextual representations, which is used to compute the loss for pre-training tasks. The overall loss of CorefBERT is composed of two training losses: the mention reference prediction loss L_{MRP} and the masked language modeling loss L_{MLM} , which can be formulated as:

$$\mathcal{L} = \mathcal{L}_{MRP} + \mathcal{L}_{MLM}.$$
 (1)

3.1 Mention Reference Masking

To better capture the coreference information in the text, we propose a novel masking strategy: mention reference masking, which masks tokens of the repeated mentions in the sequence instead of masking random tokens. We follow a distant supervision assumption: the repeated mentions in a sequence would refer to each other. Therefore, if we mask one of them, the masked tokens would be inferred through its context and unmasked references. Based on the above strategy and assumption, the CorefBERT model is expected to capture the coreference information in the text for filling the masked token.

In practice, we regard nouns in the text as mentions. We first use a part-of-speech tagging tool to extract all nouns in the given sequence. Then, we cluster the nouns into several groups where each group contains all mentions of the same noun. After that, we select the masked nouns from different groups uniformly. For example, when *Jane* occurs

¹Details of MLM are in the appendix due to space limit. ²In this paper, tokens are at the subword level.

three times and *Claire* occurs two time in the text, all the mentions of *Jane* or *Claire* will be grouped. Then, we choose one of the groups, and then sample one mention of the selected group.

To maintain the universal language representation ability in CorefBERT, we utilize both the MLM (masking random word) and MRP (masking mention reference) in the training process. Empirically, the masked words for MLM and MRP are sampled on a ratio of 4:1. Similar to BERT, 15% of the tokens are sampled for both masking strategies mentioned above, where 80% of them are replaced with a special token [MASK], 10% of them are replaced with random tokens, and 10% of them are unchanged. We also adopt whole word masking (WWM) (Joshi et al., 2020), which masks all the subwords belong to the masked words or mentions.

3.2 Copy-based Training Objective

In order to capture the coreference information of the text, CorefBERT models the correlation among words in the sequence. Inspired by copy mechanism (Gu et al., 2016; Cao et al., 2017) in sequence-to-sequence tasks, we introduce a copybased training objective to require the model to predict missing tokens of the masked mention by copying the unmasked tokens in the context. Since the masked tokens would be copied from context, lowfrequency tokens, such as proper nouns, could be well processed to some extent. Moreover, through copying mechanism, the CorefBERT model could explicitly capture the relations between the masked mention and its referring mentions, therefore, to obtain the coreference information in the context.

Formally, we first encode the given input sequence $X = (x_1, \ldots, x_n)$ into hidden states $H = (h_1, \ldots, h_n)$ via multi-layer Transformer (Vaswani et al., 2017). The probability of recovering the masked token x_i by copying from x_i is defined as:

$$\Pr(x_j|x_i) = \frac{\exp((\boldsymbol{V} \odot \boldsymbol{h}_j)^T \boldsymbol{h}_i)}{\sum_{x_k \in X} \exp((\boldsymbol{V} \odot \boldsymbol{h}_k)^T \boldsymbol{h}_i)}, \quad (2)$$

where \odot denotes element-wise product function and V is a trainable parameter to measure the importance of each dimension for token's similarity.

Moreover, since we split a word into several word pieces as BERT does and we adopt whole word masking strategy for MRP, we need to extend our copy-based objective into word-level. To this end, we apply the token-level copy-based training objective on both start and end tokens of the masked word, because the representations of these two tokens could typically cover the major information of the whole word (Lee et al., 2017; He et al., 2018). For a masked noun w_i consisting of a sequence of tokens $(x_s^{(i)}, \ldots, x_t^{(i)})$, we recover w_i by copying its referring context word, and define the probability of choosing word w_i as:

$$\Pr(w_j|w_i) = \Pr(x_s^{(j)}|x_s^{(i)}) \times \Pr(x_t^{(j)}|x_t^{(i)}).$$
 (3)

A masked noun possibly has multiple referring words in the sequence, for which we collectively maximize the similarity of all referring words. It is an approach widely used in question answering (Kadlec et al., 2016; Swayamdipta et al., 2018; Clark and Gardner, 2018) designed to handle multiple answers. Finally, we define the loss of Mention Reference Prediction (MRP) as:

$$\mathcal{L}_{\text{MRP}} = -\sum_{w_i \in M} \log \sum_{w_j \in C_{w_i}} \Pr(w_j | w_i), \quad (4)$$

where M is the set of all masked mentions for mention reference masking, and C_{w_i} is the set of all corresponding words of word w_i .

4 Experiment

In this section, we first introduce the training details of CorefBERT. After that, we present the finetuning results on a comprehensive suite of tasks, including extractive question answering, documentlevel relation extraction, fact extraction and verification, coreference resolution, and eight tasks in the GLUE benchmark.

4.1 Training Details

Since training CorefBERT from scratch would be time-consuming, we initialize the parameters of CorefBERT with BERT released by Google³, which is also used as our baselines on downstream tasks. Similar to previous language representation models (Devlin et al., 2019; Joshi et al., 2020), we adopt English Wikipeida⁴ as our training corpus, which contains about 3,000M tokens. We employ spaCy⁵ for part-of-speech-tagging on the corpus. We train CorefBERT with contiguous sequences of up to 512 tokens, and randomly shorten the input sequences with 10% probability in training. To verify the effectiveness of our method for the language

³https://github.com/google-research/bert

⁴https://en.wikipedia.org

⁵https://spacy.io

representation model trained with tremendous corpus, we also train CorefBERT initialized with RoBERTa⁶, referred as CorefRoBERTa. Additionally, we follow the pre-training hyper-parameters used in BERT, and adopt Adam optimizer (Kingma and Ba, 2015) with batch size of 256. Learning rate of 5×10^{-5} is used for the base model and 1×10^{-5} is used for the large model. The optimization runs 33k steps, where the learning rate is warmed-up over the first 20% steps and then linearly decayed. The pre-training process consumes 1.5 days for base model and 11 days for large model with 8 RTX 2080 Ti GPUs in mixed precision. We search the ratio of MRP loss and MLM loss in 1:1, 1:2 and 2:1, and find the ratio of 1:1 achieves the best result. Beyond this, training details for downstream tasks are shown in the appendix.

4.2 Extractive Question Answering

Given a question and passage, the extractive question answering task aims to select spans in passage to answer the question. We first evaluate models on Questions Requiring Coreferential Reasoning dataset (QUOREF) (Dasigi et al., 2019). Compared to previous reading comprehension benchmarks, QUOREF is more challenging as 78% of the questions in QUOREF cannot be answered without coreference resolution. In this case, it can be an effective tool to examine the coreferential reasoning capability of question answering models.

We also adopt the MRQA, a dataset not specially designed for examining coreferential reasoning capability, which involves paragraphs from different sources and questions with man-Through MRQA, we hope to ifold styles. evaluate the performance of our model in various domains. We use six benchmarks of MRQA, including SQuAD (Rajpurkar et al., 2016), NewsQA (Trischler et al., 2017), SearchQA (Dunn et al., 2017), TriviaQA (Joshi et al., 2017), HotpotQA (Yang et al., 2018), and Natural Questions (NaturalQA) (Kwiatkowski et al., 2019). Since MRQA does not provide a public test set, we randomly split the development set into two halves to generate new validation and test sets.

Baselines For QUOREF, we compare our Coref-BERT with four baseline models: (1) **QANet** (Yu et al., 2018) combines self-attention mechanism with the convolutional neural network, which

Madal	D	ev	Test		
Model	EM	F1	EM	F1	
QANet*	34.41	38.26	34.17	38.90	
QANet+BERT _{BASE} *	43.09	47.38	42.41	47.20	
BERT _{BASE} *	58.44	64.95	59.28	66.39	
BERTBASE	61.29	67.25	61.37	68.56	
CorefBERT _{Base}	66.87	72.27	66.22	72.96	
BERTLARGE	67.91	73.82	67.24	74.00	
CorefBERT	70.89	76.56	70.67	76.89	
RoBERTa-MT ⁺	74.11	81.51	72.61	80.68	
RoBERTa LARGE	74.15	81.05	75.56	82.11	
$CorefRoBERTa_{{\scriptscriptstyle LARGE}}$	74.94	81.71	75.80	82.81	

Table 1: Results on QUOREF measured by exact match (EM) and F1. Results with *, + are from Dasigi et al. (2019) and official leaderboard respectively.

achieves the best performance to date without pretraining; (2) **QANet+BERT** adopts BERT representation as an additional input feature into QANet; (3) **BERT** (Devlin et al., 2019), simply fine-tunes BERT for extractive question answering. We further design two components accounting for coreferential reasoning and multiple answers, by which we obtain stronger BERT baselines; (4) **RoBERTa-MT** trains RoBERTa on CoLA, SST2, SQuAD datasets before on QUOREF. For MRQA, we compare CorefBERT to vanilla BERT with the same question answering framework.

Implementation Details Following BERT's setting (Devlin et al., 2019), given the question $Q = (q_1, q_2, \ldots, q_m)$ and the passage $P = (p_1, p_2, \dots, p_n)$, we represent them as a sequence $X = ([CLS], q_1, q_2, \dots, q_m, [SEP],$ p_1, p_2, \ldots, p_n , [SEP]), feed the sequence X into the pre-trained encoder and train two classifiers on the top of it to seek answer's start and end positions simultaneously. For MRQA, CorefBERT maintains the same framework as BERT. For QUOREF, we further employ two extra components to process multiple mentions of the answers: (1) Spurred by the idea from MTMSN (Hu et al., 2019) in handling the problem of multiple answer spans, we utilize the representation of [CLS] to predict the number of answers. After that, we first selects the answer span of the current highest scores, then continues to choose that of the second-highest score with no overlap to previous spans, until reaching the predicted answer number. (2) When answering a question from QUOREF, the relevant mention could possibly be a pronoun, so we attach a reasoning Transformer layer for pronoun resolution before the span boundary classifier.

⁶https://github.com/pytorch/fairseq

Model	SQuAD	NewsQA	TriviaQA	SearchQA	HotpotQA	NaturalQA	Average
$BERT_{BASE}$	88.4	66.9	68.8	78.5	74.2	75.6	75.4
Coref $BERT_{BASE}$	89.0	69.5	70.7	79.6	76.3	77.7	77.1
$\frac{BERT_{LARGE}}{CorefBERT_{LARGE}}$	91.0	69.7	73.1	81.2	77.7	79.1	78.6
	91.8	71.5	73.9	82.0	79.1	79.6	79.6

Table 2: Performance (F1) on six MRQA extractive question answering benchmarks.

Results Table 1 shows the performance on QUO-ERF. Our adapted BERT_{BASE} surpasses original BERT by about 2% in EM and F1 score, indicating the effectiveness of the added reasoning layer and multi-span prediction module. CorefBERT_{BASE} and CorefBERT_{LARGE} exceeds our adapted BERT_{BASE} and BERT_{LARGE} by 4.4% and 2.9% F1 respectively. Leaderboard results are shown in the appendix. Based on the TASE framework (Efrat et al., 2020), the model with CorefRoBERTa achieves a new state-of-the-art with about 1% EM improvement compared to the model with RoBERTa. We also show four case studies in the appendix, which indicate that through reasoning over mentions, Coref-BERT could aggregate information to answer the question requiring coreferential reasoning.

Table 2 further shows that the effectiveness of CorefBERT is consistent in six datasets of the MRQA shared task besides QUOREF. Though the MRQA shared task is not designed for coreferential reasoning, CorefBERT still achieves averagely over 1% F1 improvement on all of the six datasets, especially on NewsQA and HotpotQA. In NewsQA, 20.7% of the answers can only be inferred by synthesizing information distributed across multiple sentences. In HotpotQA, 63% of the answers need to be inferred through either bridge entities or checking multiple properties in different positions. It demonstrates that coreferential reasoning is an essential ability in question answering.

4.3 Relation Extraction

Relation extraction (RE) aims to extract the relationship between two entities in a given text. We evaluate our model on DocRED (Yao et al., 2019), a challenging document-level RE dataset which requires the model to extract relations between entities by synthesizing information from all the mentions of them after reading the whole document. DocRED requires a variety of reasoning types, where 17.6% of the relational facts need to be uncovered through coreferential reasoning.

Model	D	ev	Те	est
Widdel	IgnF1	F1	IgnF1	F1
CNN*	41.58	43.45	40.33	42.26
LSTM*	48.44	50.68	47.71	50.07
BiLSTM*	48.87	50.94	50.26	51.06
ContextAware*	48.94	51.09	48.40	50.70
BERT-TS _{BASE} +	-	54.42	-	53.92
HINBERT _{BASE} #	54.29	56.31	53.70	55.60
BERTBASE	54.63	56.77	53.93	56.27
CorefBERT _{BASE}	55.32	57.51	54.54	56.96
BERTLARGE	56.51	58.70	56.01	58.31
CorefBERTLARGE	56.82	59.01	56.40	58.83
RoBERTaLARGE	57.19	59.40	57.74	60.06
CorefRoBERTaLARGE	57.35	59.43	57.90	60.25

Table 3: Results on DocRED measured by micro ignore F1 (IgnF1) and micro F1. IgnF1 metrics ignores the relational facts shared by the training and dev/test sets. Results with *, $^+$, $^\#$ are from Yao et al. (2019), Wang et al. (2019a), and Tang et al. (2020) respectively.

Baselines We compare our model with the following baselines for document-level relation extraction: (1) CNN / LSTM / BiLSTM / BERT. CNN (Zeng et al., 2014), LSTM (Hochreiter and Schmidhuber, 1997), bidirectional LSTM (BiL-STM) (Cai et al., 2016), BERT (Devlin et al., 2019) are widely adopted as text encoders in relation extraction tasks. With these encoders, Yao et al. (2019) generates representations of entities for further predicting of the relationships between entities. (2) ContextAware (Sorokin and Gurevych, 2017) takes relations' interaction into account, which demonstrates that other relations in the context are beneficial for target relation prediction. (3) BERT-TS (Wang et al., 2019a) applies a two-step prediction to deal with the large number of irrelevant entities, which first predicts whether two entities have a relationship and then predicts the specific relation. (4) HinBERT (Tang et al., 2020) proposes a hierarchical inference network to aggregate the inference information with different granularity.

Results Table 3 shows the performance on DocRED. The BERT_{BASE} model we implemented with mean-pooling entity representation and hyperparameter tuning⁷ performed better than previous RE models with BERT_{BASE} size, which provides a stronger baseline. CorefBERT_{BASE} outperforms BERT_{BASE} model by 0.7% F1. CorefBERT_{LARGE} beats BERT_{LARGE} by 0.5% F1. We also show a case study in the appendix, which further proves that considering coreference information of text is help-ful for exacting relational facts from documents.

4.4 Fact Extraction and Verification

Fact extraction and verification aim to verify deliberately fabricated claims with trust-worthy corpora. We evaluate our model on a large-scale public fact verification dataset FEVER (Thorne et al., 2018). FEVER consists of 185, 455 annotated claims with all Wikipedia documents.

Baselines We compare our model with four BERT-based fact verification models: (1) **BERT Concat** (Zhou et al., 2019) concatenates all of the evidence pieces and the claim to predict the claim label; (2) **SR-MRS** (Nie et al., 2019) employs hierarchical BERT retrieval to improve the performance; (3) **GEAR** (Zhou et al., 2019) constructs an evidence graph and conducts a graph attention network for jointly reasoning over several evidence pieces; (4) **KGAT** (Liu et al., 2020b) conducts a fine-grained graph attention network with kernels.

Results Table 4 shows the performance on FEVER. KGAT with CorefBERT_{BASE} outperforms KGAT with BERT_{BASE} by 0.4% FEVER score. KGAT with CorefRoBERTa_{LARGE} gains 1.9% FEVER score improvement compared to the model with RoBERTa_{LARGE}, and arrives at a new state-of-the-art on FEVER benchmark. It again demonstrates the effectiveness of our model. Coref-BERT, which incorporates coreference information in distant-supervised pre-training, contributes to verify if the claim and evidence discuss about the same mentions, such as a person or an object.

4.5 Coreference Resolution

Coreference resolution aims to link referring expressions that evoke the same discourse entity. We examine models' coreference resolution ability under the setting that all mentions have been detected. We evaluate models on several widely-used datasets, including GAP (Webster et al., 2018), DPR (Rahman and Ng, 2012), WSC (Levesque, 2011), Winogender (Rudinger et al., 2018) and

Model	LA	FEVER
BERT Concat*	71.01	65.64
GEAR*	71.60	67.10
SR-MRS ⁺	72.56	67.26
KGAT (BERT _{BASE}) $\#$	72.81	69.40
KGAT (CorefBERT _{BASE})	72.88	69.82
KGAT (BERT _{LARGE}) $\#$	73.61	70.24
KGAT (CorefBERT _{LARGE})	74.37	70.86
$\begin{array}{c} \text{KGAT} \left(\text{RoBERTa}_{\text{LARGE}} \right) ^{\#} \\ \text{KGAT} \left(\text{CorefRoBERTa}_{\text{Large}} \right) \end{array}$	74.07 75.96	70.38 72.30

Table 4: Results on FEVER test set measured by label accuracy (LA) and FEVER. The FEVER score evaluates the model performance and considers whether the golden evidence is provided. Results with *, $^+$, $^\#$ are from Zhou et al. (2019), Nie et al. (2019) and Liu et al. (2020b) respectively.

Model	GAP	DPR	WSC	WG	PDP
BERT-LM _{BASE}	75.3	75.4	61.2	68.3	76.7
CorefBERT _{BASE}	75.7	76.4	64.1	70.8	80.0
BERT-LM _{LARGE} *	76.0	80.1	70.0	78.8	81.7
WikiCREM _{LARGE} *	78.0	84.8	70.0	76.7	86.7
CorefBERT _{LARGE}	76.8	85.1	71.4	80.8	90.0
RoBERTa-LM _{LARGE}	77.8	90.6	83.2	77.1	93.3
CorefRoBERTa _{LARGE}	77.8	92.2	83.2	77.9	95.0

Table 5: Results on coreference resolution test sets. Performance on GAP is measured by F1, while scores on the others are given in accuracy. WG: Winogender. Results with * are from Kocijan et al. (2019).

PDP (Davis et al., 2017). These datasets provide two sentences where the former has two or more mentions and the latter contains an ambiguous pronoun. It is required that the ambiguous pronoun should be connected to the right mention.

Baselines We compare our model with two coreference resolution models: (1) BERT-LM (Trinh and Le, 2018) substitutes the pronoun with [MASK] and uses language model to compute the probability of recovering the mention candidates; (2) WikiCREM (Kocijan et al., 2019) generates GAP-like sentences automatically and trains BERT by minimizing the perplexity of correct mentions on these sentences. Finally, the model is finetuned on supervised datasets. Benefiting from the augmented data, WikiCREM achieves state-of-theart in sentence-level coreference resolution. For BERT-LM and CorefBERT, we adopt the same data split and the same training method on supervised datasets as those of WikiCREM in order to make a fair comparison.

⁷Details are in the appendix due to space limit.

Model	MNLI-(m/mm)	QQP	QNLI	SST-2	CoLA	STS-B	MRPC	RTE	Average
$BERT_{BASE}$	84.6/83.4	71.2	90.5	93.5	52.1	85.8	88.9	66.4	79.6
Coref $BERT_{BASE}$	84.2/83.5	71.3	90.5	93.7	51.5	85.8	89.1	67.2	79.6
$\frac{BERT_{LARGE}}{CorefBERT_{LARGE}}$	86.7/85.9	72.1	92.7	94.9	60.5	86.5	89.3	70.1	81.9
	86.9/85.7	71.7	92.9	94.7	62.0	86.3	89.3	70.0	82.2

Table 6: Test set performance metrics on GLUE benchmarks. Matched/mistached accuracies are reported for MNLI; F1 scores are reported for QQP and MRPC, Spearmanr correlation is reported for STS-B; Accuracy scores are reported for the other tasks.

Model	QUOREF	SQuAD	NewsQA	TriviaQA	SearchQA	HotpotQA	NaturalQA	DocRED
BERT _{BASE}	67.3	88.4	66.9	68.8	78.5	74.2	75.6	56.8
-NSP	70.6	88.7	67.5	68.9	79.4	75.2	75.4	56.7
-NSP, +WWM	70.1	88.3	69.2	70.5	79.7	75.5	75.2	57.1
-NSP, +MRM	70.0	88.5	69.2	70.2	78.6	75.8	74.8	57.1
CorefBERT _{BASE}	72.3	89.0	69.5	70.7	79.6	76.3	77.7	57.5

Table 7: Ablation study. Results are F1 scores on development set for QUOREF and DocRED, and on test set for others. CorefBERT_{BASE} combines "-NSP, +MRM" scheme and copy-based training objective.

Results Table 5 shows the performance on the test set of the above coreference resolution dataset. Our CorefBERT model significantly outperforms BERT-LM, which demonstrates that the intrinsic coreference resolution ability of CorefBERT has been enhanced by involving the mention reference prediction training task. Moreover, it achieves comparable performance with state-of-the-art baseline WikiCREM. Note that, WikiCREM is specially designed for sentence-level coreference resolution and is not suitable for other NLP tasks. On the contrary, the coreferential reasoning capability of CorefBERT can be transferred to other NLP tasks.

4.6 GLUE

The Generalized Language Understanding Evaluation dataset (GLUE) (Wang et al., 2018) is designed to evaluate and analyze the performance of models across a diverse range of existing natural language understanding tasks. We evaluate CorefBERT on the main GLUE benchmark used in BERT.

Implementation Details Following BERT's setting, we add [CLS] token in front of the input sentences, and extract its representation on the top layer as the whole sentence or sentence pair's representation for classification or regression.

Results Table 6 shows the performance on GLUE. We notice that CorefBERT achieves comparable results to BERT. Though GLUE does not require much coreference resolution ability due to its attributes, the results prove that our masking strategy and auxiliary training objective would not

weaken the performance on generalized language understanding tasks.

5 Ablation Study

In this section, we explore the effects of the Whole Word Masking (WWM), Mention Reference Masking (MRM), Next Sentence Prediction (NSP) and copy-based training objective using several benchmark datasets. We continue to train Google's released BERT_{BASE} on the same Wikipedia corpus with different strategies. As shown in Table 7, we have the following observations: (1) Deleting NSP training task triggers a better performance on almost all tasks. The conclusion is consistent with that of RoBERTa (Liu et al., 2019); (2) MRM scheme usually achieves parity with WWM scheme except on SearchQA, and both of them outperform the original subword masking scheme especially on NewsQA (averagely +1.7% F1) and TriviaQA (averagely +1.5% F1); (3) On the basis of MRM scheme, our copy-based training objective explicitly requires model to look for mention's referents in the context, which could adequately consider the coreference information of the sequence. Coref-BERT takes advantage of the objective and further improves the performance, with a substantial gain (+2.3% F1) on QUOREF.

6 Conclusion and Future Work

In this paper, we present a language representation model named CorefBERT, which is trained on a novel task, Mention Reference Prediction (MRP), for strengthening the coreferential reasoning ability of BERT. Experimental results on several downstream NLP tasks show that our CorefBERT significantly outperforms BERT by considering the coreference information within the text and even improve the performance of the strong RoBERTa model. In the future, there are several prospective research directions: (1) We introduce a distant supervision (DS) assumption in our MRP training task. However, the automatic labeling mechanism inevitably accompanies with the wrong labeling problem and it is still an open problem to mitigate the noise. (2) The DS assumption does not consider pronouns in the text, while pronouns play an important role in coreferential reasoning. Hence, it is worth developing a novel strategy such as selfsupervised learning to further consider the pronoun.

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Appendices

A Masked Language Modeling (MLM)

MLM is regarded as a kind of cloze tasks and aims to predict the missing tokens according to its contextual representation. In our work, 15% of the tokens in input sequence are sampled as the missing tokens. Among them, 80% are replaced with a special token [MASK], 10% are replaced with random tokens and 10% are unchanged. The task aims to predict original tokens from corrupted input.

B Leaderboard Results on QUOREF

TASE (Efrat et al., 2020) converts the multi-span prediction problem as a sequence tagging problem, which substantially improves the model's ability in terms of handling multi-span answer. Though the study of TASE and our CorefBERT are conducted in the same period, we still run TASE with CorefRoBERTa encoder. As Table 8 shows, the performance of TASE with CorefRoBERTa encoder gains about 1% EM improvement compared to that with RoBERTa encoder, which demonstrates the effectiveness of CorefBERT for different question answering frameworks.

Model	EM	F1
XLNet (Dasigi et al., 2019)	61.88	71.51
RoBERTa-MT	72.61	80.68
CorefRoBERTa _{LARGE}	75.80	82.81
TASE (RoBERTa) (Efrat et al., 2020)	79.66	86.13
TASE (CorefRoBERTa)	80.61	86.70

C Case Study on QUOREF

Table 9 shows examples from QUOREF (Dasigi et al., 2019). For the first example, it is essential to obtain the fact that the asthmatic boy in question refers to Barry. After that, we should synthesize

(1) Q: Whose uncle trains the asthmatic boy? Paragraph: [1] **Barry Gabrewski** is an asthmatic boy ... [2] **Barry** wants to learn the martial arts, but is rejected by the arrogant dojo owner Kelly Stone for being too weak. [3] Instead, **he** is taken on as a student by an old Chinese man called *Mr. Lee*, **Noreen**'s sly uncle. [4] *Mr. Lee* finds creative ways to teach Barry to defend himself from his bullies.

(2) Q: Which composer produced String Quartet No. 2?

Paragraph: [1] **Tippett**'s Fantasia on a Theme of Handel for piano and orchestra was performed at the Wigmore Hall in March 1942, with *Sellick* again the soloist, and the same venue saw the premiere of **the composer**'s String Quartet No. 2 a year later. ... [2] In 1942, Schott Music began to publish **Tippett**'s works, establishing an association that continued until the end of the **the composer**'s life.

(3) Q: What is the first name of the person who lost her beloved husband only six months earlier?
Pargraph: [1] Robert and *Cathy* Wilson are a timid married couple in 1940 London. ... [2] Robert toughens up on sea duty and in time becomes a petty officer. [3] His hands are badly burned when his ship is sunk, but he stoically rows in the lifeboat for five days without complaint. [4] He recuperates in a hospital, tended by Elena, a beautiful nurse. [5] He is attracted to her, but she informs him that she lost her beloved husband only six months earlier, kisses him, and leaves.

(4) Q: Who would have been able to win the tournament with one more round?

Paragraph: [1] At a jousting tournament in 14thcentury Europe, young squires *William* Thatcher, Roland, and Wat discover that their master, Sir Ector, has died. [2] If he had completed one final pass he would have won the tournament. [3] Destitute, *William* wears Ector's armour to impersonate him, winning the tournament and taking the prize.

Table 9: Examples from QUOREEF (Dasigi et al., 2019) that were correctly predicted by CorefBERT_{BASE}, but wrongly predicted by BERT_{BASE}. *Answers from BERT*_{BASE}, Answers from CorefBERT_{BASE}, and Clue are colored respectively.

information from two Mr. Lee's mentions: (1) Mr. Lee trains Barray; (2) Mr. Lee is the uncle of

Eclipse (Meyer novel)

[1] *Eclipse* is the third novel in the **Twilight Saga** by **Stephenie Meyer**. It continues the story of Bella Swan and her vampire love, *Edward Cullen*. [2] The novel explores Bella's compromise between her love for *Edward* and her friendship with shape-shifter *Jacob Black*, ... [3] *Eclipse* is preceded by *New Moon* and followed by *Breaking Dawn*. [4] The book was released on **August 7, 2007**, with an initial print run of one million copies, and sold more than 150,000 copies in the first 24 hours alone.

Subject: New Moon / Breaking Dawn Object: Twilight Saga Relation: Part of the series

Subject: *Edward Cullen / Jacob Black* Object: Stephenie Meyer Relation: Creator

Subject: *Eclipse* Object: August 7, 2007 Relation: Publication date

Table 10: An example from DocRED (Yao et al., 2019). We show some relational facts detected by CorefBERT_{BASE} but missed by $BERT_{BASE}$.

Noreen. Reasoning over the above information, we could know that Noreen's uncle trains the asthmatic boy. For the second example, it needs to infer that Tippett is a composer from the second sentence for obtaining the final answer from the first sentence. After training on the mention reference prediction task, CorefBERT has become capable of reasoning over these mentions, summarizing messages from mentions in different positions, and finally figuring out the correct answer. For the third and fourth examples, it is necessary to know she refers to Elena, and he refers to Ector by respective coreference resolution. Benefiting from a large amount of distant-supervised coreference resolution training data, CorefBERT successfully finds out the reference relationship and provides accurate answers.

D Case Study on DocRED

Table 10 shows an example from DocRED (Yao et al., 2019). We show some relational facts detected by CorefBERT_{BASE} but missed by BERT_{BASE}. For the first relational fact, it is necessary to connect the first and the third sentences through the co-

Claim: *Bob Ross* created ABC drama The Joy of Painting.

[1] **[Bob Ross]** *Robert Norman Ross* was an American painter and television host.

[2] **[Bob Ross]** *He* was the creator and host of **The Joy of Painting**, an instructional television program that aired from 1983 to 1994 on **PBS** in the United States, and also aired in Canada, ...

[3] **[Bob Ross] The Joy of Painting** is an American half hour instructional television show hosted by painter *Bob Ross* which ran from January 11, 1983, until May 17, 1994.

[4] **[The Joy of Painting]** In each episode, *Ross* taught techniques for landscape oil painting, completing a painting in each session.

[5] **[The Joy of Painting]** The program followed the same format as its predecessor, The Magic of Oil Painting , hosted by *Ross*'s mentor.

Label: REFUTES

Table 11: An example from FEVER (Thorne et al., 2018). Five pieces of evidence from article **[Bob Ross]** and **[The Joy of Painting]** are retrieved by the retriever.

reference of Eclipse for acquiring the fact that New Moon and Breaking Dawn are also the novel in the Twilight Saga. For the second and the third relational fact, the referring expressions *it*, *the novel*, and *the book* should be linked to Eclipse correctly to increase model's confidence to find out all the characters and the publication date of the novel from the context. CorefBERT considers coreference information of text, which helps to discover relation facts beyond sentence boundary.

E Case Study on FEVER

Table 11 shows an example from FEVER (Thorne et al., 2018). The given claim is fabricated since the drama "The Joy of Painting" was aired on PBS instead of ABC. With the CorefBERT encoder, KGAT (Liu et al., 2020b) could propagate and aggregate the entity information from evidence for refuting the wrong claim more accurately.

F Task-Specific Model Details

All the models are implemented based on Huggingface transformers⁸. We train models on down-

⁸https://github.com/huggingface/transformers

stream tasks with Adam optimizer (Kingma and Ba, 2015).

F.1 Question Answering (QA)

For QA models, we uses a batch size of 32 instances with a maximum sequence length of 512.

We adopt the official data split for QUOREF (Dasigi et al., 2019), where train / development / test set contains 19399 / 2418 / 2537 instances respectively. And we submit our model to the test sever⁹ for online evaluation. We conduct a grid search on the learning rate (lr) in $[1 \times 10^{-5}, 2 \times 10^{-5}, 3 \times 10^{-5}]$ and epoch number in [2, 4, 6]. The best BERT_{BASE} configuration on development set used $lr = 2 \times 10^{-5}$, 6 epochs. We adopt this configuration for the BERT_{LARGE} and RoBERTaLARGE models. We regard MRQA (Fisch et al., 2019) as a testbed to examine whether models can answer questions well across various data distributions. For fair comparison, we keep $lr = 3 \times 10^{-5}$, 2 epochs for all of the MRQA experiments.

For TASE (Efrat et al., 2020) with CorefRoBERTa encoder, we keep the same configuration¹⁰ as that of the original paper, which used a batch size of 12, learning rate of 5×10^{-6} , 35 epochs.

F.2 Document-level Relation Extraction

We modify the official code¹¹ to implement BERTbased models for DocRED (Yao et al., 2019). In our implementation, the representation of a mention, which consists of several words, is the average of representations of those words. Furthermore, the representation of an entity is defined as the mean of all mentions referring to it. Finally, two entities' representations are fed to a bi-linear layer to predict relations between them.

We use the official data split for DocRED, where train / development / test set consists of 3053 / 1000 / 1000 documents respectively. We adopt batch size of 32 instances with maximum sequence length of 512 and conduct a grid search on the learning rate in $[2 \times 10^{-5}, 3 \times 10^{-5}, 4 \times 10^{-5}, 5 \times 10^{-5}]$ and number epochs in [100, 150, 200]. We find the configuration used learning rate of 4×10^{-5} , 200 epochs is best for both the base and the large model. We evaluate models on development set every 5 epochs and save the checkpoint with the highest F1 score. After that, the test results of the best model are submitted to the evaluation server¹².

F.3 Fact Extraction and Verification

We apply the released code¹³ of KGAT (Liu et al., 2020b) for evaluating CorefBERT. We use the official data split for FEVER (Thorne et al., 2018), where train / development / test set contains 145449 / 19998 / 19998 claims respectively. We adopt a batch size of 32, maximum length of 512 tokens and search the learning rate in $[2 \times 10^{-5}, 3 \times 10^{-5}, 5 \times 10^{-5}]$. We achieved the best performance with learning rate of 5×10^{-5} for the base model and 2×10^{-5} for the large model. All models are trained with a batch size of 32 instances for 3 epochs and evaluated on development set every 1000 steps. After that, we submit test results of our best model to evaluation server¹⁴.

F.4 Coreference Resolution

We use the released code¹⁵ of WikiCREM (Kocijan et al., 2019) for fine-tuning BERT-LM (Trinh and Le, 2018) and CorefBERT on supervised datasets. For a sentence S, which possesses a correct candidate a and an incorrect candidate b, the loss consists of two parts: (1) the negative log-likelihood of the correct candidate; (2) a max-margin between the log-likelihood of the correct candidate and the incorrect candidate:

$$\mathcal{L} = -\log \Pr(\mathbf{a}|S) + \alpha \max(0, \log \Pr(\mathbf{b}|S) - \log \Pr(\mathbf{a}|S) + \beta),$$
(5)

where α, β are hyperparameters. We follow the data split and fine-tuning setting of WikiCREM, which adopts a batch size of 64, a maximum sequence length of 128 and 10 epochs training. We search the learning rate $lr \in [3 \times 10^{-5}, 1 \times 10^{-5}, 5 \times 10^{-6}, 3 \times 10^{-6}]$, hyperparameters $\alpha \in [5, 10, 20], \beta \in [0.1, 0.2, 0.4]$. The best performance of models with base size and CorefBERT_{LARGE} on validation set were achieved with $lr = 3 \times 10^{-5}, \alpha = 10, \beta = 0.2$. We keep this configuration for the RoBERTa-based models.

⁹https://leaderboard.allenai.org/quoref/submissions/public ¹⁰https://github.com/eladsegal/tag-based-multi-span-

extraction

¹¹https://github.com/thunlp/DocRED

¹²https://competitions.codalab.org/competitions/20717

¹³ https://github.com/thunlp/KernelGAT

¹⁴https://competitions.codalab.org/competitions/18814

¹⁵https://github.com/vid-koci/bert-commonsense

Model	MNLI	QQP	QNLI	SST-2	CoLA	STS-B	MRPC	RTE
$CorefBERT_{BASE}$ $CorefBERT_{LARGE}$	$\begin{array}{c} 2\times10^{-5} \\ 2\times10^{-5} \end{array}$		0	0	$\begin{array}{c} 5\times10^{-5}\\ 3\times10^{-5} \end{array}$		$\begin{array}{c} 5\times10^{-5}\\ 5\times10^{-5}\end{array}$	$\begin{array}{c} 4\times10^{-5}\\ 3\times10^{-5} \end{array}$

Table 12: Learning rate for CorefBERT on GLUE benchmarks.

Model	Parameters	Layers	Hidden	Embedding	Vocabulary
$\begin{array}{l} CorefBERT_{\text{BASE}} \\ CorefBERT_{\text{LARGE}} \\ CorefRoBERTa_{\text{LARGE}} \end{array}$	110M	12	768	768	28,996
	340M	24	1,024	1,024	28,996
	355M	24	1,024	1,024	50,265

Table 13: Parameter number and the configuration of CorefBERT.

Model	QUOREF	MRQA	DocRED	FEVER	GLUE	Coref.
CorefBERT _{BASE}	13.23	13.15	117.37	18.88	2.95	4.27
CorefBERTLARGE	43.40	43.37	180.65	54.03	9.22	10.90

Table 14: Average inference runtime per example for CorefBERTs on different benchmarks. Inference is done on a RTX 2080ti GPU with a batch of 32 instances and inference time is measured in milliseconds. The input sequence length is 512 for QUOREF, MRQA, DocRED, FEVER, and 128 for others. Coref.: Coreference resolution.

F.5 Generalized Language Understanding (GLUE)

We evaluate CorefBERT on the main GLUE benchmark (Wang et al., 2018) used in BERT, including MNLI (Williams et al., 2018), QQP¹⁶, QNLI (Rajpurkar et al., 2016), SST-2 (Socher et al., 2013), CoLA (Warstadt et al., 2019), STS-B (Cer et al., 2017), MRPC (Dolan and Brockett, 2005) and RTE (Giampiccolo et al., 2007).

We use a batch size of 32, maximum sequence length of 128, fine-tune models for 3 epochs for all GLUE tasks and select the learning rate of Adam among $[2 \times 10^{-5}, 3 \times 10^{-5}, 4 \times 10^{-5}, 5 \times 10^{-5}]$ for the best performance on the development set. After that, we submit the result of our best model to the official evaluation server¹⁷. Table 12 shows the best learning rate for CorefBERT_{BASE} and CorefBERT_{LARGE}.

F.6 Number of Parameters and Average Runtime

CorefBERT's architecture is a multi-layer bidirectional Transformer (Vaswani et al., 2017). Tables 13 shows the parameter number of Coref-BERTs with different model size. Compared to BERT (Devlin et al., 2019), CorefBERT add a few parameters for computing the copy-based objective. Hence, CorefBERT keeps similar number of parameters as BERT with the same size.

Table 14 shows the task-specific average inference runtime per example for CorefBERT. The inferenece is done on a RTX 2080ti GPU with a batch of 32 instances. The inference time includes time on CPU and time on GPU. CorefRoBERTa_{LARGE} consumes a similar time as CorefBERT_{LARGE} since they both use a 24-layer Transformer architecture.

F.7 Resolving the Coreference in the Corpus

In our preliminary experiment, we resolve the coreference of training corpus via the StanfordNLP tool¹⁸ and apply our copy-based objective on this training corpus. We find the obtained model performs better than the BERT model without NSP but worse than the current CorefBERT. We think that considering coreference such as pronoun in pre-training could also enhance model's coreferential reasoning ability, while how to deal with the noise from coreference tools remains a problem to be explored.

¹⁶https://www.quora.com/q/quoradata/First-Quora-Dataset-Release-Question-Pairs

¹⁷https://gluebenchmark.com

¹⁸https://stanfordnlp.github.io/CoreNLP