Denoising Relation Extraction from Document-level Distant Supervision

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Abstract

Distant supervision (DS) has been widely used to generate auto-labeled data for sentencelevel relation extraction (RE), which improves RE performance. However, the existing success of DS cannot be directly transferred to the more challenging document-level relation extraction (DocRE), since the inherent noise in DS may be even multiplied in document level and significantly harm the performance of RE. To address this challenge, we propose a novel pre-trained model for DocRE, which denoises the document-level DS data via multiple pre-training tasks. Experimental results on the large-scale DocRE benchmark show that our model can capture useful information from noisy DS data and achieve promising results. The source code of this paper can be found in https://github.com/thunlp/DSDocRE.

1 Introduction

Relation extraction (RE) aims to identify relational facts between entities from texts. Recently, neural relation extraction (NRE) models have been verified in sentence-level RE (Zeng et al., 2014). Distant supervision (DS) (Mintz et al., 2009) provides large-scale distantly-supervised data that multiplies instances and enables sufficient model training.

Sentence-level RE focuses on extracting *intrasentence* relations between entities in a sentence. However, it is extremely restricted with generality and coverage in practice, since there are plenty of *inter-sentence* relational facts hidden across multiple sentences. Statistics on a large-scale RE dataset constructed from Wikipedia documents show that at least 40.7% relational facts can only be inferred from multiple sentences (Yao et al., 2019). Therefore, *document-level relation extraction (DocRE)* is proposed to jointly extract both inter- and intra- sen-

Figure 1: An example of DocRE. Given a document, DocRE models should capture the relational semantics across sentences to extract multiple relational facts.

tence relations (Christopoulou et al., 2019). Fig. 1 gives a brief illustration of DocRE.

Most DocRE models heavily rely on high-quality human-annotated training data, which is timeconsuming and labor-intensive. However, it is extremely challenging to extend the sentence-level DS to the document level. The challenges of conducting document-level DS mainly come from: (1) Each entity contains multiple mentions, and mentions without relational context bring noise to entity representations; (2) The inherent noise of DS will be even multiplied at the document level. Statistics in Yao et al. (2019) show that 61.8% inter-sentence relation instances generated by document-level DS are actually noise; (3) It is challenging to capture useful relational semantics from long documents, since most contents in the documents may be irrelevant to the given entities and relations. In sentencelevel RE, several efforts (Lin et al., 2016; Feng et al., 2018) have been devoted to denoise the DS corpus by jointly considering multiple instances. However, these denoising methods can not be directly adapted to DocRE, since they are specially designed for bag-level RE evaluations.

In this work, we attempt to introduce documentlevel DS to DocRE after denoising. To alleviate the noise, we propose a pre-trained model with three specially designed tasks to denoise the document-

^[1] Lebron James (born in Akron) is a professional basketball player. [2] Now James serves for the Lakers ... [8] James played basketball for St. Vincent–St. Mary High School in his hometown.

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level DS corpus and leverage useful information. The three pre-training tasks include: (1) Mention-Entity Matching, which aims to capture useful information from multiple mentions to produce informative representations for entities. It consists of intra-document and inter-document sub-tasks. The intra-document sub-task aims to match masked mentions and entities within a document to grasp the coreference information. The inter-document sub-task aims to match entities between two documents to grasp the entity association across documents. (2) Relation Detection, which focuses on denoising "Not-A-Relation (NA)" and incorrectly labeled instances by detecting the entity pairs with relations, i.e., positive instances. It is specially designed as the document-level denoising task. We also conduct a pre-denoising module trained with this task to filter out NA instances before pre-training. (3) Relational Fact Alignment, which requires the model to produce similar representations for the same entity pair from diverse expressions. This allows the model to focus more on diverse relational expressions and denoising irrelevant information from the document.

In experiments, we evaluate our model on an open DocRE benchmark and achieve significant improvement over competitive baselines. We also conduct detailed analysis and ablation test, which further highlight the significance of DS data and verify the effectiveness of our pre-trained model for DocRE. To the best of our knowledge, we are the first to denoise document-level DS with pre-trained models. We will release our codes in the future.

2 Related Work

Sentence-level RE. Conventional NRE models focus on sentence-level supervised RE (Zeng et al., 2014; Takanobu et al., 2019), which have achieved superior results on various benchmarks. Other approaches focus on using more data with distant supervision mechanism (Mintz et al., 2009; Min et al., 2013). To denoise distantly supervised corpus, they introduce attention (Lin et al., 2016; Zhou et al., 2018), generative adversarial training (Qin et al., 2018) and reinforcement learning (Feng et al., 2018) to select informative instances. It is hard to directly adopt these models to DocRE, since DocRE should extract multiple relational facts from each document. Soares et al. (2019) propose a pre-trained model for sentence-level RE.

Document-level RE. Document-level RE attempts



Figure 2: The framework of our proposed model.

to extend the scope of knowledge acquisition to the document level, which has attracted great attention recently (Yao et al., 2019). Some works use linguistic features (Xu et al., 2016; Gu et al., 2017) and graph-based models (Christopoulou et al., 2019; Sahu et al., 2019) to extract inter-sentence relations on human-annotated data. Quirk and Poon (2017) and Peng et al. (2017) attempt to extract inter-sentence relations with distantly supervised data. However, they only use entity pairs within three consecutive sentences. Different from these works, we bring in document-level DS to DocRE and conduct pre-training to denoise these DS data.

3 Methodology

In this section, we present our proposed model in detail. Fig. 2 gives an illustration of our framework. We first apply the pre-denoising module to screen out some NA instances from all documents. Then we pre-train the document encoder with three pre-training tasks on the document-level distantly supervised dataset. Finally, we fine-tune the model on the human-annotated dataset.

3.1 Document Encoder

We adopt BERT (Devlin et al., 2019) as the document encoder to encode documents into representations of entity mentions, entities and relational instances. Let $D = \{\omega_i\}_{i=1}^n$ denote the input document which consists of n tokens, and $V = \{e_i\}_{i=1}^{|V|}$ be the set of entities mentioned in the document, where entity $e_i = \{m_i^j\}_{j=1}^{l_i}$ contains l_i mentions in the document. Following Soares et al. (2019), we use entity markers [Ei] and [/Ei] for each entity e_i . The start marker [Ei] is inserted at the begin of all mentions of entity e_i , and the end marker [/Ei] is inserted at the end.

We use BERT to encode the token sequence with entity markers into a sequence of hidden state $\{\mathbf{h}_1, ..., \mathbf{h}_{\hat{n}}\}$, where \hat{n} indicates the length of the sequence with entity markers. We define representation \mathbf{m}_i^j of each entity mention as the hidden state of its start marker. Then a max-pooling operation is performed to obtain the aggregated representation of entity e_i from its mentions: $\mathbf{e}_i =$ MaxPooling $(\{\mathbf{m}_i^j\}_{j=1}^{l_i})$. Next, for each entity pair (e_i, e_k) , we use a bilinear layer to compute the relational representation: $\mathbf{r}_{i,k} =$ Bilinear $_E(\mathbf{e}_i, \mathbf{e}_k)$.

3.2 Pre-training Tasks

We design three pre-training tasks, which help the model to denoise document-level DS data and learn informative representations in both mention/entitylevel and relation-level from large-scale DS data.

Mention-Entity Matching. An entity is usually mentioned multiple times in a document, and it is important for expressive entity representations to capture relational information from these mentions. Hence, we propose the mention-entity matching task to help the model to produce expressive representations for mentions and entities, which includes intra-document and inter-document sub-tasks.

The intra-document sub-task requires the model to grasp the coreference information within a document. We randomly mask an entity mention and require the model to predict which entity in the document it belongs to. Formally, given the masked entity mention m^q and k_m entities $\{e_m^i\}_{i=1}^{k_m}$ from the same document, we compute the matching score for e_m^i and m^q with a bilinear layer as follows:

$$s_m(e_m^i, m^q) = \operatorname{Bilinear}_M(\mathbf{e}_m^i, \mathbf{m}^q).$$
 (1)

The inter-document sub-task requires the model to link the same entity in two different documents. It aims to develop the model to encode useful information from the contexts into the representations. Given the entities $\{e_A^i\}_{i=1}^{k_e}$ from document d_A where k_e is the size of the entity set, and the entity e_B^q from document d_B which is also mentioned in d_A , we define the matching score for entity e_B^q and e_A^i as:

$$s_m(e_A^i, e_B^q) = \operatorname{Bilinear}_M(\mathbf{e}_A^i, \mathbf{e}_B^q), \qquad (2)$$

where $Bilinear_M$ indicates the same bilinear layer in intra-document sub-task. Then both matching scores are fed into an output softmax function.

Relation Detection. The NA relation is dominating in DocRE. It is necessary for models to denoise NA instances and to identify the true positive instances from NA noise. Therefore, we design this task, which requires the model to distinguish positive entity pairs from NA instances. Formally, given k_n instances $\{r_n^i\}_{i=1}^{k_n}$ from given documents where only one is positive, we have their positive score as:

$$s_n(r_n^i) = \mathbf{w}_n \mathbf{r}_n^i + b_n, \tag{3}$$

where \mathbf{w}_n and b_n indicate weights and bias. Next, we apply a softmax function to compute the probability of *i*-th instance to be positive.

Similar to the previous mention/entity-level task, this task can also be divided into intra- and interdocument sub-tasks. For the intra-document subtask, the instances are all sampled from one single document. For the inter-document sub-task, the instances are sampled from different documents.

Relational Fact Alignment. To grasp useful information from the long documents and denoise irrelevant content, we design the relation-level task, which requires the representations of the same entity pairs in different documents to be similar. Formally, assume d_A and d_B are two documents from the training set, which share several relational facts. Let $\{r_A^i\}_{i=1}^{k_s}$ denote the relational instances in d_A , and r_B^q denote the representation of the relational instance in d_B whose relational fact is contained in d_A . Then the model is required to find the relational instance from $\{r_A^i\}_{i=1}^{k_s}$, which shares the same relational fact with r_B^q . First, we compute the similarity score of two relational instances:

$$s_s(r_A^i, r_B^q) = \mathbf{w}_s |\mathbf{r}_A^i - \mathbf{r}_B^q| + b_s.$$
(4)

 \mathbf{w}_s and b_s are weights and bias. Then similarity scores are fed into a softmax over instances in d_A .

Finally, the overall pre-training loss \mathcal{L} is the sum of all cross-entropy losses in three tasks.

$$\mathcal{L} = \mathcal{L}_M + \mathcal{L}_S + \mathcal{L}_N. \tag{5}$$

Note that the loss can be easily minimized by an entity linking system without any relational knowledge. To avoid this problem, we replace all the mentions of an entity in a document by a special blank symbol [BLANK] with probability α following Soares et al. (2019). In such a case, the model can only learn representations from the context. As a result, minimizing the loss \mathcal{L} requires the model to do more than just memorizing named entities.

3.3 Pre-denoising Module

As stated before, the document-level DS will generate more noise. To alleviate the issue, we propose to screen out entity pairs with low relational probability from all documents with a rank model. We train the rank model with the Relation Detection task on the human-annotated training set. Then, the rank model is able to give high scores to positive instances and low scores to NA instances. During the pre-denoising process, we compute positive scores for all entity pairs as stated in Eq. 3. Next, for each document, we rank all its entity pairs according to their positive scores, and keep top k_d entity pairs for pre-training, fine-tuning and evaluation. The framework of the pre-denoising module is the same as the model used for pre-training. Please refer to the previous section for details. With the pre-denoising module, the wrong labeling problem in DS corpus and the label imbalance problem (i.e., most entity pairs belong to NA instances) in the human-annotated corpus can be alleviated.

4 **Experiments**

4.1 Dataset and Evaluation Metrics

We evaluate our model on DocRED (Yao et al., 2019), which is the largest human-annotated DocRE dataset. DocRED contains 5, 053 humanannotated documents, with 56, 354 relational facts and 63, 427 relation instances. Besides, DocRED also provides large-scale distantly supervised data, which contains 101, 873 documents, with 881, 298 relational facts and 1, 508, 320 relation instances labeled automatically by distant supervision (Mintz et al., 2009). Please refer to Yao et al. (2019) for the details about dataset construction.

In experiments, we use the document-level DS data to pre-train our model and then fine-tune and evaluate the model on the human-annotated data. Following Yao et al. (2019), we use F_1 and $IgnF_1$ as evaluation metrics, where $IgnF_1$ denote the F_1 scores excluding relational facts in both training and dev/test sets. Please refer to the appendix for details about DocRED and experimental settings.

4.2 Baseline

We compare our model with the following baselines. (1) CNN/LSTM/BiLSTM (Yao et al., 2019): these models capture relational semantics via various encoder. (2) ContextAware (Sorokin and Gurevych, 2017): it considers the relations' interactions with attention to jointly learn all entity

	Dev		Test	
Model	F_1	$IgnF_1$	F_1	$IgnF_1$
CNN*	43.45	41.58	42.26	40.33
LSTM*	48.44	50.68	47.71	50.07
BiLSTM*	50.94	48.87	51.06	48.78
ContextAware*	51.09	48.94	50.70	48.40
BERT	55.67	53.32	56.17	53.66
BERT-TS 🐥	54.42	-	53.92	-
HIN-BERT 🌲	56.31	54.29	55.60	53.70
DS-BiLSTM*	51.72	41.44	49.80	39.15
DS-ContextAware*	51.39	40.47	50.12	39.16
BERT+D	57.42	55.88	57.20	55.53
BERT+D+P	58.65	57.00	58.43	56.68

Table 1: Main results on DocRED. Results with *, **4** and **4** are from Yao et al. (2019), Wang et al. (2019) and Tang et al. (2020) respectively.

pairs in the contexts. (3) BERT (Devlin et al., 2019): this baseline is implemented as described in Sec. 3.1. (4) BERT-TS (Wang et al., 2019): it predicts whether two entities have relations in the first step and then predicts the specific relation in the second step. (5) HIN-BERT (Tang et al., 2020): it applies a hierarchical inference network to aggregate information from multiple granularity. (6) DS-BiLSTM/ContextAware (Yao et al., 2019): corresponding models trained on DS data.

4.3 Implementation Details

We pre-train our model based on BERT_{BASE}. All the hyper-parameters are selected with manually tuning. The learning rate is set to 3×10^{-5} for pre-training and 10^{-5} for fine-tuning. The size of relational representations is 256, which is selected from $\{64, 128, 256, 512\}$. The batch size for pre-training is set to 16 and 4 for fine-tuning. We keep $2N_{ent}$ entity pairs after pre-denoising for each document during fine-tuning, where N_{ent} is the number of entities mentioned in the document. And we keep 20 entity pairs for each document during pre-training. We train our model with GeForce RTX 2080 Ti. All the special tokens including entity markers and the special blank symbol are implemented with unused tokens in the BERTBASE vocabulary.

4.4 Main Result

The main results are shown in Tab. 1. Specifically, **D** refers to the pre-denoising module and **P** indicates pre-training tasks. From the results, we can observe that: (1) Our model outperforms all baselines by a significant margin. It is due to the effectiveness of the pre-denoising mechanism

	Dev		Test	
Model	F_1	$IgnF_1$	F_1	$IgnF_1$
our model	58.65	57.00	58.43	56.68
w/o MM	58.39	56.76	57.60	55.81
w/o RD	57.19	55.61	56.71	54.94
w/o RA	58.48	56.73	58.13	56.30
w/o Inter	58.68	56.96	57.72	55.87
w/o Intra	57.78	56.18	57.62	55.89

Table 2: Results of ablation study on DocRED.

and three pre-training tasks. (2) Our model without pre-training (BERT+D) can also outperform all the baseline models, which indicates that our pre-denoising module can deal with the amounts of NA instances. (3) The noise in distantly supervised data harms the performance of RE systems. Our model can filter out noise and capture information from the large-scale distantly supervised data, thus achieving a performance improvement. (4) Pretraining without pre-denoising (BERT+P) cannot converge due to amounts of data labeled incorrect.

4.5 Ablation Study

To explore the contribution of different pre-training tasks, we show the results of the ablation study in Tab. 2. Specifically, we show the scores with different pre-training tasks turned off one at a time. MM, RD, RA indicate three pre-training tasks: Mentions/Entities Matching, Relation Detection, and Relational Facts Alignment. We observe that all three pre-training tasks contribute to the main model, as the performance deteriorates with any of the tasks missing. Note that the removal of the RD pre-training task leads to a large drop in both F_1 and $IgnF_1$ scores, even lower than those of our model without pre-training (BERT+D). This is because without RD, the model is unable to identify positive instances, which is quite important in document-level RE and then the label imbalance problem makes the scores drop.

Moreover, we conduct another ablation study to explore the effectiveness of intra- and interdocument subtasks. The results are shown in Tab. 2, where **w/o Intra** and **w/o Inter** refer to pre-training without intra- and inter-document sub-tasks. We find that both intra-document and inter-document sub-tasks contribute to the main model in general.

5 Conclusion

In this work, we propose to denoise distantly supervised data in DocRE by multiple pre-training tasks. Experiment results verify the effectiveness of our model. In the future, we will explore how to improve the efficiency of our pre-training.

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