

TreeSwap: Data Augmentation for Machine Translation via Dependency Subtree Swapping

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Abstract

Data augmentation methods for neural machine translation are particularly useful when limited amount of training data is available, which is often the case when dealing with low-resource languages. We introduce a novel augmentation method, which generates new sentences by swapping objects and subjects across bisentences. This is performed simultaneously based on the dependency parse trees of the source and target sentences. We name this method *TreeSwap*. Our results show that *TreeSwap* achieves consistent improvements over baseline models in 4 language pairs in both directions on resource-constrained datasets. We also explore domain-specific corpora, but find that our method does not make significant improvements on law, medical and IT data. We report the scores of similar augmentation methods and find that *TreeSwap* performs comparably. We also analyze the generated sentences qualitatively and find that the augmentation produces a correct translation in most cases. Our code is available on Github¹.

1 Introduction

Most Natural Language Processing (NLP) problems are formulated as supervised learning tasks, where large amounts of data is required to train models. Collecting annotated datasets is often time-consuming and laborious, so this motivated a lot of work in NLP to create methods for generating synthetic data that improves the dataset used for training in both size and variety, ultimately leading to more performant models (Feng et al., 2021). These Data Augmentation (DA) methods not only help in resource-constrained scenarios, but can also improve class imbalance (Chawla et al., 2002), mitigate bias (Zhao et al., 2018), make the model more robust to out of distribution inputs (Yao et al., 2022)

¹<https://github.com/attilanagy234/TreeSwap>, last accessed on 31/07/23

or simply improve model accuracy. An efficient data augmentation method for any NLP task has two main objectives, which need to be balanced: the augmented data should be diverse enough, that it provides new information during training, but it should also be label-preserving to avoid injecting unwanted noise into the model. In machine translation, this means that our aim is to generate diverse sentence pairs from existing data such that the parallelism holds.

In this paper, we propose *TreeSwap*, a data augmentation method for Neural Machine Translation (NMT) using dependency parsing. The core idea of *TreeSwap* is to find corresponding subtrees in the dependency parse trees of a translation pair and swap these to generate new data. As our augmentation procedure is based on dependency parsing with some additional rules to improve grammatical and morphological correctness, the generated sentence pairs are semantically nonsensical in many cases. Using such nonsensical or *nonce*, but syntactically correct sentences as training data has been studied before and shown to perform well even when models cannot rely on semantic or lexical cues (Gulordava et al., 2018). To demonstrate the effectiveness of *TreeSwap*, we perform resource-constrained experiments on 4 language pairs in both directions. We also train models on domain-specific corpora and evaluate on both in-domain and out-of-domain test sets. We compare our results to other common augmentation methods in NMT using standard machine translation metrics. To study the quality of the generated sentences and understand the possible errors in the augmentation, we also perform a qualitative analysis on the synthetic sentence pairs.

2 Related Work

In the context of machine translation, backtranslation (Sennrich et al., 2016) has been the most

dominant DA method. It uses monolingual data in the target language to generate new training samples. Backtranslation and its variants were shown to boost translation quality at multiple scales (Edunov et al., 2018) and demonstrate SOTA results on many language pairs (Hoang et al., 2018). Fadaee et al. (2017) select rare words in the corpus and replace these in new contexts simultaneously in the source and target sentences. Norouzi et al. (2016) introduce Reward Augmented Maximum Likelihood (RAML), which replaces words in the target sentence with other words from the target vocabulary. SwitchOut (Wang et al., 2018) is an extension of RAML, where the augmentation is performed on both the source and target sentences. Instead of selecting words from the vocabulary for replacement, SeqMix (Guo et al., 2020) randomly combines two sentences from the input. Gao et al. (2019) introduce Soft Contextual DA, where they replace the embedding of a random word with a weighted combination of other semantically similar, related words. Duan et al. (2020) use the depth of tokens in the dependency tree for weighting the selection probabilities of tokens for blanking, dropout and replacement. Nguyen et al. (2020) augment by merging the predictions of multiple forward and backward models with the original dataset. Moussallem et al. (2019) improve the translation of entities and terminological expressions using knowledge graphs for augmentation. Sánchez-Cartagena et al. (2021) apply simple transformations that are used as auxiliary tasks in a multi-task learning framework with the aim of providing new contexts during prediction. Wei et al. (2022) propose Continuous Semantic Augmentation (CSANMT), which augments each training instance with an adjacency semantic region to cover synonymous representations.

Syntax-based augmentation methods have been shown effective in a number of NLP tasks. Xu et al. (2016) use the directionality of relationships in a dependency tree to improve relation classification models. Şahin and Steedman (2018) generate augmented data for part-of-speech tagging by morphing the dependency tree through cropping edges and performing rotations around the root. Vania et al. (2019) extend this method for dependency parsing and also apply another augmentation called nonce sentence generation, inspired by Gulordava et al. (2018). Dehouck and Gómez-Rodríguez (2020) extends the subtree swapping

method to augment data for dependency parsing. They perform the swapping in a more generic setting, not only on subjects and objects, but apply a wide range of morphological and structural constraints to ensure grammatical correctness. Shi et al. (2020) see improvements in few-shot constituency parsing by dependency subtree substitution. Shi et al. (2021) present a generalization of the previous methods and perform experiments on multiple NLP tasks. For reference, preliminary results of TreeSwap have been published prior to this paper (Nagy et al., 2023).

3 Methodology

3.1 Subtree swapping

Let $S = s_1, s_2, \dots, s_n$ and $T = t_1, t_2, \dots, t_n$ be a parallel corpus of source and target sentences, respectively. Our proposed data augmentation is based on the extraction of syntactic structures from the source and target sentences using dependency parsing. We denote the dependency parse of a sentence s as $\text{Dep}(s)$, which is a directed graph $G = (V, E)$ representing the syntactic structure of s , where V is the set of vertices representing words in s , and E is the set of directed edges representing dependencies between words. We define a syntactic subtree of a sentence s rooted at a word v as the subgraph of $\text{Dep}(s)$ that includes v and all its descendants. We denote the syntactic subtree rooted at v as $\text{ST}(v, s)$.

Given two parallel sentence pairs (s_1, t_1) and (s_2, t_2) , augmentation via subtree swapping can be defined as:

$$\begin{aligned} s_{\text{aug}} &= \text{replace}(\text{ST}(v, s_1), \text{ST}(u, s_2), s_1) \\ t_{\text{aug}} &= \text{replace}(\text{ST}(x, t_1), \text{ST}(y, t_2), t_1) \end{aligned} \quad (1)$$

where $\text{replace}(\text{ST}_1, \text{ST}_2, s)$ denotes the sentence obtained by replacing the syntactic subtree rooted at ST_1 in s with the subtree rooted at ST_2 , and v, u, x and y are subtree roots corresponding to the original sentence pair. To ensure that the resulting sentence pair remains a parallel translation, we apply a number of constraints on the algorithm.

- We only extract two types of subtrees from sentences: objects and subjects. We consider these subtrees to correspond to the OBJ and NSUBJ dependency edges defined in the Universal Dependencies (Nivre et al., 2020). We experimented with extracting more complex substructures such as predicates for subtree

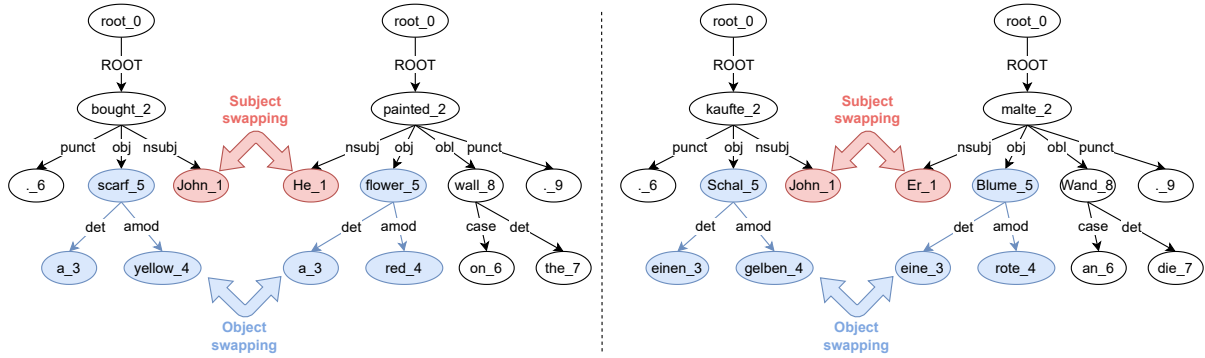


Figure 1: Two kinds of augmentation techniques: object and subject subtree swapping.

swapping, but found that it did not generalize well across language-pairs and likely injected too much noise into the training data via augmentation.

- The dependency trees of both the source and target sentences must contain exactly one OBJ and NSUBJ edge.
- The source and target subtree roots must belong to the same part of speech tag.
- Every selected subtree must contain at least a noun or a proper noun

The method is illustrated in Figure 1.

3.2 Sampling

As the pair-wise subtree swaps can produce a quadratically large number of augmented sentences with respect to original data, we experiment with two sampling methods alongside a random sampling baseline. The key to both methods is observing the syntactic structure of the extracted subtrees in the dependency parse tree. We apply two graph similarity metrics on the subtrees and use this as a bias for sampling later in our experiments.

Graph Edit Distance (GED) Similar to the Levenshtein distance (Levenshtein et al., 1966), GED (Sanfeliu and Fu, 1983) defines the minimal number of operations (insertion, deletion and substitution) required to transform a graph into another. The weight of deletions and insertions is 1, for substitution it is 2. To make sure GED is comparable regardless of graph size, we normalize it as such:

$$d_{\max} = 2|V_1| - 1 + 2|V_2| - 1$$

$$\text{sim}(G_1, G_2) = \frac{d_{\max} - \text{GED}(G_1, G_2)}{d_{\max}} \quad (2)$$

where d_{\max} is the maximum distance between two graphs.

Algorithm 1 Edge mapping.

Require: $G_1(V_1, E_1), G_2(V_2, E_2)$

mapping $\leftarrow \{\}$

for all $e_1 \in E_1$ **do**

 cands $\leftarrow \{e_2 \mid e_2 \in E_2, e_1 \neq e_2, e_2 \notin$

 mapping $\}$

if cands is empty **then**

continue

end if

 cands $\leftarrow \arg \max_{c \in \text{cands}} \text{score}(e_1, c)$

 cands $\leftarrow \arg \max_{c \in \text{cands}} \text{route_sim}(e_1, c)$

 mapping[e_1] $\leftarrow \text{random}(\text{cands})$

end for

return mapping

Edge Mapping (EM) EM is based on the labeled graph similarity measure of Champin and Solnon (2003). A $\text{score}(e_1, e_2)$ function denotes the number of common nodes between two edges. Given two edges e_1 and e_2 , we take the routes in the graph from the root to e_1 and e_2 respectively and define the route by the part of speech tags of the nodes that are visited from the root to the edges. The $\text{route_sim}(e_1, e_2)$ function computes the Levenshtein distance between two such routes. With the help of Algorithm 1, we can compute a mapping between the edges of the graph. Using this mapping, we can calculate a Jaccard index between the edges, which now can serve as a similarity measure between the dependency trees:

$$J(G_1, G_2) = \frac{|m|}{|E_1| + |E_2| - |m|} \quad (3)$$

where m is the mapping, E_1 and E_2 are the set of edges in G_1 and G_2 respectively.

4 Experiments

We conduct experiments on 4 language pairs, English to German, Hebrew, Vietnamese and Hungarian in both directions. We selected corpora that are considered low-resource and widely used in the community to evaluate data augmentation approaches for machine translation. We also perform domain-specific experiments in three domains, evaluating the effectiveness of the DA method on both in-domain and out-of-domain setups. We ran all experiments 3 times with different seeds for robust results.

Datasets For English-German and English-Hebrew we use the IWSLT 2014 text translation track (Cettolo et al., 2014) datasets for training data as done by Gao et al. (2019), Guo et al. (2020) and Sánchez-Cartagena et al. (2021). For development and testing we use the *tst2013* and *tst2014* datasets. For English-Vietnamese, we use the IWSLT 2015 text translation track (Cettolo et al., 2015) dataset with the *tst2012* and *tst2013* datasets used for development and testing as done by Wang et al. (2018) and Sánchez-Cartagena et al. (2021). For Hungarian-English, we produce a subsample comparable in size to the IWSLT datasets using the Hunglish2 corpus (Varga et al., 2007). As low-resource datasets are usually composed of a few sources and they generally are not linguistically diverse, we decided to only sample from the modern literature subcorpus of Hunglish2 and discard the others. This should still be considered as a high-resource experiment with withheld data, although we try to mimic a low-resource scenario as much as possible. Following Wang and Sennrich (2020) and Sánchez-Cartagena et al. (2021), we use the IT, law and medical domain-specific datasets published by (Müller et al., 2020). The statistics of the datasets are summarized in Table 1.

Dataset	train	dev	test
En-De	174,443	993	1,305
En-He	187,817	1,382	962
En-Vi	133,317	1,553	1,268
En-Hu	120,000	2,000	2,000
IT	265,179	2,000	2,000
Law	501,379	2,000	2,000
Medical	360,249	2,000	2,000

Table 1: Number of bisentences in the preprocessed train/dev/test sets for each language pair and domain.

Preprocessing In the English-German, English-Hebrew and English-Vietnamese IWSLT experiments we decided to use the same preprocessing steps as Sánchez-Cartagena et al. (2021) and we also use their train, development, and test splits for comparable results. For English-Hungarian we remove sentences if they are longer than 32 tokens or if the source-target token count difference is more than 7 and their ratio is more than 1.2. We also strip leading and trailing quotation marks and dashes and normalize punctuations with *sacremoses*². We also infer the source and target languages with *fastText* (Joulin et al., 2016) and remove sentence pairs in case of a mismatch. For the English-German domain specific corpora, we use a maximum word count of 100 and a maximum word count difference of 10 between the source and target sentences. We also removed duplicated sentence pairs from the data and created a new train/dev/test split. Overall, the deduplication considerably reduced the size of the datasets in all three domains.

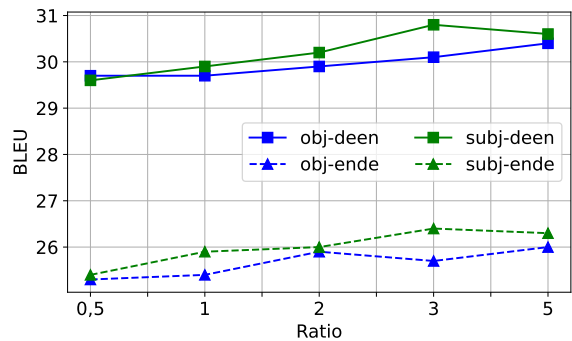


Figure 3: The BLEU scores of experimenting with different ratios.

Augmentation details In all of our experiments, we only mix augmented data into the training sets, while development and test sets are left untouched. Due to the vast number of combinations resulting from our augmentation method’s multiple hyperparameters, we decide to tune every parameter individually. The first one is the sampling threshold that we measure for every language pair separately. We find that 0.5 works for every pair the best. Moving forward, we only do experiments on the English-German pair, due to computational limits. The next parameter is the sampling method, we run experiments with ratios 1, 2 and 3 in both directions. According to the BLEU scores that are presented in Figure 2, we choose the GED method

²<https://github.com/alvations/sacremoses>, last accessed on 31/07/23

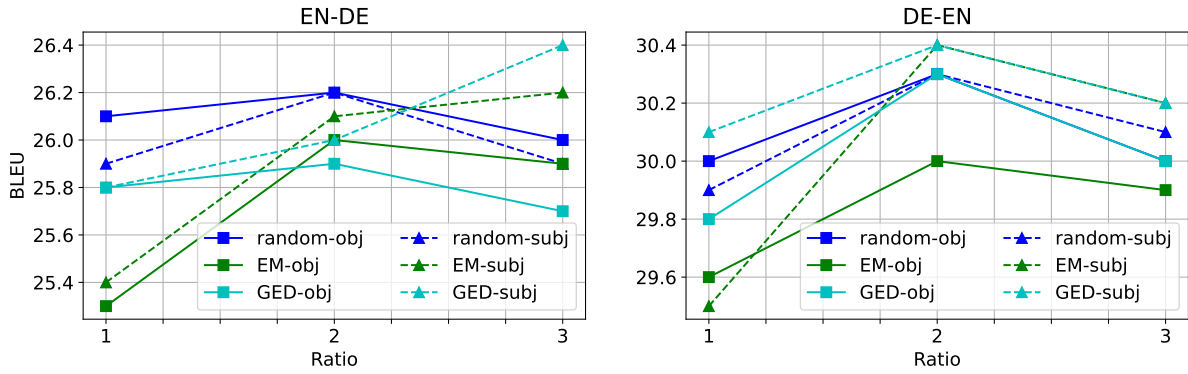


Figure 2: The results of tuning the sampling method parameter for the English-German language pair.

for further experiments. Next, we study the augmentation ratio only with the GED method with 0.5 similarity threshold. Figure 3 shows the BLEU scores of our experiments. We decide to do every further augmentation with the GED sampling method, using 0.5 threshold and 3 as the augmentation ratio. For dependency parsing we use *huspacy* (Orosz et al., 2022) for Hungarian and *Stanza* (Qi et al., 2020) for every other language.

Training details We train the same encoder-decoder model for every language pair based on the Transformer architecture (Vaswani et al., 2017). All hyperparameters of the model can be found in Table 2. The models were implemented in Python using the openNMT framework (Klein et al., 2017). Every model was trained with early stopping to avoid overfitting, using the validation perplexity as a stopping criterion. The training jobs were executed on a cluster of machines with A100 GPUs.

5 Results

In order to measure the effectiveness of TreeSwap, we used common evaluation metrics such as the BLEU and the METEOR scores. These scores were computed for both the augmented and baseline models to enable a comparative analysis of the proposed method against previous augmentation approaches. The results of these analyses are presented in Table 3 and Table 4.

5.1 Quantitative evaluation

Our results demonstrate that each of the examined DA methods consistently improves translation quality across all language pairs. Specifically, Table 3 showcases that the subject-based approach consistently outperforms other augmentation strategies, leading to a substantial increase in BLEU scores

by 0.5-1 points. Further, our findings indicate that the subject based DA technique yields the most favorable outcomes based on METEOR scores, with an improvement of 0.5-1 points.

We also compared the effectiveness of our DA techniques with previous augmentation methods. The results demonstrate that the TreeSwap augmentation method consistently outperforms SwitchOut+RAML and approaches the results of reverse+mono+replace, even outperforming the latter in the case of Vietnamese-English. These results confirm that the TreeSwap technique holds great promise as a reliable augmentation strategy to enhance the performance of NMT systems.

Table 5 represents the results of our in-domain and out-of-domain experiments. The TreeSwap augmentation did not yield any significant improvements in translation for domain-specific datasets. Our baseline reached the highest scores in both the in-domain and the out-of-domain experiments.

5.2 Qualitative evaluation

Improvements in automated metrics such as BLEU or METEOR give some idea about the effectiveness of an augmentation method, but they do not provide insights into the quality of the generated sentences. To better understand the behaviour of TreeSwap, we run a qualitative analysis on a small sample of English-German translations, including both augmented and original data. We hired 3 annotators, who possess at least a B2 level certification in both English and German. We asked them to assess the quality of 150 sentence pairs sampled from the EN-DE IWSLT train set. Out of the 150 sentence pairs, 50 were original data points without augmentation, 50 were generated via subject swapping and 50 via object swapping. Apart from the sentences, the annotators could view the the parts

Parameter	Value	Parameter	Value
batch type	tokens	batch size	3000-8000
accumulation count	4	average decay	0.0005
train steps	150000	valid steps	5000
early stopping	4	early stopping criteria	ppl
optimizer	adam	learning rate	2
warmup steps	8000	decay method	noam
adam beta2	0.998	max grad norm	2
label smoothing	0.1	param init	0
param init glorot	true	normalization	tokens
max generator batches	32	encoder layers	8
decoder layers	8	heads	16
RNN size	1024	word vector size	1024
Transformer FF	2096	dropout steps	0
dropout	0.1	attention dropout	0.1
share embeddings	true	position encoding	true

Table 2: Hyperparameters of the models.

	BLEU			METEOR		
	base	object	subject	base	object	subject
de-en	29.60±0.1	30.03±0.1	30.37±0.2	60.7±0.1	61.07±0.1	61.31±0.1
en-de	25.60±0.5	26.17±0.3	26.17±0.2	53.92±0.2	54.25±0.1	54.38±0.1
he-en	31.43±0.3	32.13±0.3	32.53±0.2	63.25±0.1	63.71±0.3	64.03±0.3
en-he	21.40±0.3	21.93±0.3	22.03±0.3	47.54±0.2	48.19±0.3	48.21±0.3
vi-en	29.77±0.2	29.97±0.2	29.73±0.3	59.54±0.2	59.55±0.3	59.55±0.1
en-vi	29.20±0.0	29.5±0.3	29.77±0.3	58.86±0.0	58.63±0.4	59.04±0.3
hu-en	10.63±0.2	11.93±0.1	11.83±0.2	34.9±0.2	36.6±0.3	36.46±0.2
en-hu	8.03±0.1	8.47±0.2	8.83±0.2	30.58±0.1	31.07±0.2	31.54±0.3

Table 3: BLEU and METEOR scores of the IWSLT and hu-en experiments.

	en-de	de-en	en-he	he-en	en-vi	vi-en
their baseline	24.7±0.2	30.0±0.1	21.5±0.3	32.4±0.1	28.9±0.1	27.5±0.4
our baseline	25.6±0.5	29.6±0.1	21.4±0.3	31.4±0.3	29.2±0.0	29.8±0.2
SwitchOut	25.3±0.2	30.1±0.2	21.6±0.6	32.1±0.4	28.5±0.2	27.3±0.6
RAML	25.4±0.2	30.3±0.1	21.9±0.1	32.1±0.1	28.6±0.5	27.3±0.5
SwitchOut+RAML	25.7±0.4	30.3±0.5	22.1±0.4	32.1±0.4	29.1±0.4	27.5±0.3
reverse+mono+replace	26.4±0.6	31.4±0.3	23.2±0.3	33.9±0.5	30.5±0.2	29.4±0.3
TreeSwap	26.2±0.2	30.4±0.2	22.0±0.3	32.5±0.2	29.8±0.3	30.0±0.2

Table 4: Comparison of TreeSwap to other augmentation methods for NMT. The reported scores are based on the implementations of [Sánchez-Cartagena et al. \(2021\)](#).

train	test	de-en			en-de		
		baseline	object	subject	baseline	object	subject
it	it	37.60±0.8	37.57±0.1	36.60±0.8	32.97±0.2	32.83±0.1	32.37±0.6
	law	5.57±0.3	4.77±0.5	5.23±0.2	4.93±0.4	4.07±0.1	4.13±0.3
	medical	5.83±0.4	4.83±0.4	4.93±0.2	5.17±0.2	3.83±0.2	4.20±0.4
law	it	4.87±0.7	4.80±0.2	4.57±0.5	3.67±0.1	4.10±0.6	4.37±0.5
	law	59.53±0.3	58.77±0.4	58.93±0.6	54.07±0.2	53.20±0.1	53.33±0.2
	medical	9.33±0.3	9.10±0.1	8.47±0.5	8.83±0.7	8.77±0.2	8.37±0.2
medical	it	2.80±0.3	2.37±0.4	2.27±0.4	2.23±0.3	2.07±0.3	2.23±0.2
	law	7.90±0.5	6.67±0.6	6.30±0.4	5.77±0.2	5.07±0.5	5.00±0.6
	medical	56.97±0.5	55.90±1.0	56.47±0.4	52.67±0.3	51.70±0.3	51.80±0.6

Table 5: The BLEU scores for the in-domain and the out-of-domain experiments.

of the sentences that are extracted for augmentation. The annotators had to answer the following questions:

- **Question A:** Is the sentence pair a correct translation?
- **Question B:** Is the English sentence grammatically correct?
- **Question C:** Is the German sentence grammatically correct?
- **Question D:** Do the extracted parts in the source and target sentences correspond to the same meaning?

With *Question A* our intention is to get an idea about the quality of translations in general and what portion of the generated data can be considered useful for training. As our method does not adapt the morphology or grammar of the swapped subtrees, we explore the extent of this with *Question B* and *Question C*. If the subtrees in the source and target sentences that are extracted for swapping do not mean the same thing, the augmentation is very likely to violate the parallelism of the translation pair. We measure this with *Question D*.

The results of the evaluation are summarized in Figure 4. The quality of the augmented sentences turned out to be equally good for the subject and object swapping with 76% of the sentence pairs considered as correct translations. The annotators were instructed that a translation can be considered correct with a minor grammatical mistake. The grammatical correctness of the base sentences and the object swapping augmented sentences is on par, while the subject subtree swapping resulted in a

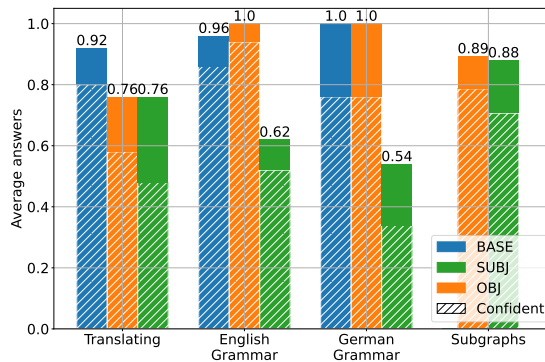


Figure 4: Results of the qualitative evaluation. The proportion of confident annotations (all three annotators agreed) are highlighted.

significantly higher number of errors. We observed during our experiments and also received feedback from the annotators that sentences were often problematic when personal pronouns are swapped as the subject subtree, since inflection in the sentence is dependent on the pronouns. Interestingly, despite the high number of grammatical errors, subject swapping seemed to produce the highest BLEU scores on most language pairs. We also saw high correlation between answers to question A and D, having different answers only in 15.3% of the cases. There were only 6 cases, where the extracted subgraphs were identified as having a different meaning, but the augmented sentence pair was marked as a correct translation. This indicates that the performance of the augmentation is largely dependent on the quality of the underlying dependency parser. We compute Cohen’s kappa (Cohen, 1960) to measure inter-annotator agreement. The average pair-wise Cohen’s kappa was 49.6% indicating

moderate agreement. The translation correctness had the lowest Cohen’s kappa with 41.1%. For the grammatical correctness questions, the annotators showed more agreement for English with 69.9%, compared to a kappa of 43.5% for German. The question about whether the extracted subgraphs match had a Cohen’s kappa of 46.3%.

6 Conclusion

In this paper we presented a new data augmentation method for NMT that we call TreeSwap. Our method generates new samples by swapping compatible subtrees of the dependency parse trees of translation-pairs. More precisely we swap objects and subjects simultaneously in the source and target sentences between two translation pairs to generate new parallel translations. Experiments on 4 language pairs in both directions have shown that models trained with data augmented using TreeSwap can consistently outperform baseline models. We also compared TreeSwap to other augmentation methods used in NMT and found that TreeSwap achieves compatible performance to other methods. However, with domain-specific corpora, TreeSwap brought little to no performance gains in terms of quantitative metrics, which suggests that the type of corpora used for augmentation heavily influences the success of our method. Our qualitative analysis has shown that the generated sentences are predominantly correct translations, but also revealed that TreeSwap can induce certain undesired grammatical errors. It is an interesting future direction to explore how these issues could be fixed either via heuristics or fixing the morphosyntactic errors with another model. The improvements by TreeSwap (like many other augmentation methods) seem to depend on finding a good balance between distorting the translation distribution and enriching the model with synthetic translation pairs. It would be interesting to study the change in translation distributions induced by TreeSwap.

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