

# Large Language Models Struggle to Describe the Haystack without Human Help: A Social Science-Inspired Evaluation of Topic Models

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## Abstract

A common use of NLP by social scientists is to understand large document collections. Recent data exploration and content analysis have shifted from probabilistic topic models to Large Language Models (LLMs). Yet their effectiveness in helping users understand content in real-world applications remains under explored. This study compares the knowledge users gain from unsupervised LLMs, supervised LLMs, and traditional topic models across two datasets. While unsupervised LLMs generate more human-readable topics, their topics are overly generic for domain-specific datasets and do not help users learn much about the documents. Adding human supervision to LLM generation improves data exploration by mitigating hallucination and over-genericity but requires greater human effort. Traditional topic models, such as Latent Dirichlet Allocation (LDA), remain effective for exploration but are less user-friendly. LLMs struggle to describe the haystack of large corpora without human help, particularly domain-specific data, and face scaling and hallucination limitations due to context length constraints.<sup>1</sup>

## 1 Tools for Corpus Understanding

When a researcher approaches a text corpus, they do so with particular research goals or questions in mind (Krippendorff, 2004): “Is immigration news coverage focused narrowly on the economic costs of immigration?” (Annesley and Gains, 2013); “How well are the priorities of the American public reflected in the policy activities of government?” (Jones et al., 2009). To answer these questions, analysts often use corpus analysis techniques like topic models (Boyd-Graber et al., 2017, § 2). Roughly, these tools structure a document collection by organizing it into interpretable, high-level categories or topics: newspaper articles may produce topics relating to the national economy, local

<sup>1</sup>Datasets are available at <https://huggingface.co/datasets/zli12321/Bills>

gossip, or sports. For example, consider a federal legislator preparing for a congressional hearing on whether new infrastructure projects harm ecosystems. To make informed decisions, they must answer questions like: “What are common policy actions taken by US governments to manage land use?” (§ 3.3).

While a long line of work has assessed the usability and interpretability of the topics produced by topic models (Newman et al., 2010; Doogan and Buntine, 2021), comparatively little attention has been given to their ability to foster human understanding—that is, their capacity to help answer research questions. To address this gap, we systematically evaluate both traditional and LLM-based topic models, asking: what do humans learn from these models? Through this evaluation, we compare the strengths and weaknesses of LLMs and traditional topic models for exploring large corpora, using a human-in-the-loop study to assess how effectively these models help users understand content (§ 3.3).

To personalize and validate topic models so they better adapt to users’ specific needs, we also introduce BASS (Bot-Assisted Semantic Search), an LLM-assisted interactive topic model. BASS suggests potential topics while allowing users to iteratively refine them during the topic generation process. By incorporating active learning, it efficiently infers topics for the remaining documents, guiding data exploration while minimizing the need for manual labeling.

We evaluate BASS against traditional models and LLMs through a user study involving 120 participants across two datasets (§ 4.1), follows a two-stage structure: pretest and posttest. Users answer the same set of question using only their prior knowledge in the pretest and with assistance from topics generated by their assigned model in the posttest. We include standard cluster metrics, transformer-based pairwise similarity metrics, and

manual annotations to evaluate our results.

Traditional models (LDA) lag behind LLM-based methods for data exploration, but LLM-based methods still have limitations: they are difficult to scale, and no single prompt works universally, making them hard to generalize across diverse datasets. § 6.1 summarizes the advantages, limitations, and best practices for choosing between traditional topic models and LLMs.

## 2 Leveraging LLMs for Data Exploration

Data exploration is not just about finding *an* answer in a corpus. While information retrieval and question answering can help users find a relevant passage or two in a dataset to answer a specific fact-based question (“What act established civilian government in Puerto Rico?”), data exploration is not about finding a needle in a haystack—it is about *describing* the shape and contours of that haystack (Karpukhin et al., 2020; Yang et al., 2018). Instead, these processes follow a systematic approach, like grounded theory (Chun Tie et al., 2019), which involves identifying themes, connecting information across multiple documents, and applying complex human reasoning to uncover meaningful insights and reliable findings. For example, to answer the question “What do policies about land use and wildlife have in common?” in the context of congressional policies, researchers must first identify key topics related to land wildlife management. They then examine relevant documents within those themes, reasoning through similarities and commonalities to develop a well-supported answer. Unlike question answering, data exploration is iterative, requiring deeper reasoning to discover connection and meaningful insights within the data.

Topic modeling is a widely used tool for assisting researchers with information retrieval and data exploration, helping to uncover latent topics in document collections (Abdelrazek et al., 2023). Since the first probabilistic topic model—probabilistic Latent Semantic Analysis (Hofmann, 1999, pLSA)—many variants have emerged to support researches in information seeking, data exploration, and forming research questions in education (Sun and Yan, 2023), mental health (Gao and Sazara, 2023), social media, public opinion (Laurate et al., 2023), inter alia. Unlike traditional question answering, topic modeling extracts topics from the documents—identifying words that co-occur in thematically coherent contexts and pro-

vide an initial topic landscape of the dataset.

While LLMs can handle diverse tasks, their data exploration capabilities still require an iterative, systematic pipeline rather than simple prompting. Recent topic modeling approaches use LLMs to generate more human readable and descriptive topics (OpenAI et al., 2024; Touvron et al., 2023). TopicGPT (Pham et al., 2024) and LLoM (Lam et al., 2024) represent topics with more intuitive short descriptions such as *Land Management: Involves policies and actions related to the use, regulation, and conservation of land and natural resources*.

Thus, we pose a new question: are LLMs ready to replace traditional topic models for describing the haystack of a corpus? To address the motivation, we design an end-to-end evaluation study to compare LDA, LLM-based methods, and BASS to study whether human supervision can make LLMs a more effective tool for large corpora understanding.

## 3 Setup and Evaluation

Suppose a researcher wants to understand US policy on land management. How can we measure their understandings of the question from the corpus? We need to ensure answers are faithful, comprehensive, and link multiple documents while maintaining consistency. With this in mind, we use a pretest–posttest method: users answer questions before and after interacting with the models. The better they answer the questions, the more the models helped them ingest the key themes of the dataset. The pretest is critical to control for users with prior expertise (or skill in making up convincing-sounding answers) who can answer question well without assistance.

### 3.1 Datasets

We use two datasets for our evaluation, Bills and Sci-Fi, chosen because they are less likely to be part of LLM’s pretraining data.

**Bills** is a standard benchmark for topic models (Adler and Wilkerson, 2008). The dataset contains 32,661 bill summaries from the 110<sup>th</sup>–114<sup>th</sup> U.S. Congresses, categorized into 21 top-level and 112 secondary-level topics, and we collect 11,327.

**Sci-Fi** Inspired by Lam et al. (2024), we use LLAMA-3 70B to generate a synthetic dataset of two thousand imaginary science fiction story summaries. Our goal is to create a controlled dataset with predefined questions, answers, and

themes that probe topics requiring cross-document reasoning—insights difficult to extract from individual documents and rarely available in real-world datasets. For generation, we select sixteen ground truth Sci-Fi themes that require minimal expertise, then prompt the LLM with these themes and a set of question-answer pairs aligned with our research objectives (see § F for more details on the generation process).

### 3.2 Question Generation

Since our goal is not information retrieval or question answering, our questions must require users to synthesize information from multiple documents. Ground truth answers are also necessary for evaluating knowledge gain. In the Sci-Fi dataset, this is ensured by a controlled generation process—documents are created from predefined question-answer pairs that require users to gather information and infer themes across multiple documents. An example question is *How does the presence of an unknowable alien intelligence affect the psychological state of the crew aboard space stations?*, which falls under the theme *communication and understanding: investigating the challenges between human and non-human intelligence interaction*. For Bills, authors review gold-standard topics and a substantial set of associated topics before drafting and collaboratively refining questions. These questions are designed to require reading and analyzing multiple documents within the same or similar topics. All questions and reference answers are finalized before user studies to ensure clarity and fairness (see full questions in § 4).

### 3.3 Metrics and Evaluation

We use two automatic metrics and one human evaluation to assess the quality of user responses generated with the aid of topic models.

**Answer consistency.** We assess answer consistency by computing the pairwise cosine similarity between transformer embeddings for each pair of user answers to the same question. Higher similarity indicates that users are able to use the tool effectively to obtain consistent results. (Tang et al., 2022). For a question answered by  $K$  users, the consistency score is

$$S = \frac{2}{K(K-1)} \sum_{i=1}^{K-1} \sum_{j=i+1}^K \cos(a_i, a_j) \quad (1)$$

where  $\cos(a_i, a_j)$  is the cosine similarity between the embedding representation of the answers provided by two users, and  $\frac{2}{K(K-1)}$  normalizes the sum to account for the number of answer pairs.

**Answer quality.** We evaluate the quality of user responses by comparing them to the reference (gold) answers. The similarity is calculated as the cosine similarity between the transformer embeddings of the user response and the gold answer, a common way to evaluate how closely users’ responses align with the ideal response (Li et al., 2024b; Zhang et al., 2020)

**Pairwise response preference.** While gold answers approximate the expected response, they are not the only acceptable ones. To complement the *Answer quality* metric, we hire annotators to assess response quality using a fixed rubric.<sup>2</sup> Assigning precise scores to responses is challenging, so evaluators are asked to compare pairs of responses and select the better one. We then apply the Bradley and Terry (1952) model to compute pairwise preference strength, then rank models based on evaluator-perceived answer quality (Examples in § E).

## 4 Methods

This section outlines the four methods compared in our study.

### 4.1 Study Conditions

We assign participants to four groups, each using a different approach to explore the data: one traditional topic model, two recent LLM-based models, and our framework. Specifically, we compare LDA (Blei et al., 2003) against TopicGPT (Pham et al., 2024) and LLoM (Lam et al., 2024) (unsupervised), as well as our approach, BASS.

While LDA, TopicGPT, and LLoM (unsupervised) generate topics automatically without human intervention, BASS starts with no predefined topics. Instead, users generate topics by reviewing representative documents selected via active learning and considering topics suggested by an LLM (Fig. 1). Users can adopt these suggestions or propose new ones. Based on user inputs, the active learning classifier clusters documents and infers labels for the remaining ones, reducing annotation costs.

<sup>2</sup>The scoring rubric was initially developed by two social science experts and refined iteratively. It evaluates how well answers synthesize information from multiple documents to address the question and penalizes hallucinated content or references outside the corpus (§ C).

The study consists of two phases: pretest and posttest (§ 3.3). In both, users answer the same set of questions related to their assigned dataset. During the pretest, users rely on their own knowledge, allowing the identification of participants with significant prior knowledge of the questions, and their test-taking ability. In the posttest, users are assisted by topics generated by the assigned topic model—except in BASS, where users create the topics. In all conditions, a search bar with string matching and TF-IDF<sup>3</sup> is available during the posttest. Next, we discuss the details of the topic models used.

**Traditional Topic Models** are unsupervised, once the vocabulary and number of topics are set, representing topics as keywords (e.g., ‘health’, ‘insurance’). Here, we categorize both probabilistic and neural topic models as traditional topic models.

The exemplar of probabilistic topic model, LDA, uses a Bag-of-Words representation to induce latent topics, assigning documents with frequently co-occurring words to the same topic. Neural topic models, in contrast, rely on neural architectures (Srivastava and Sutton, 2017; Burkhardt and Kramer, 2019) to offer richer topic representation, aiming for improved scalability and higher topic quality. They can also be easily integrated with pre-trained embeddings (Bianchi et al., 2020, 2021).

Both probabilistic and neural topic models use the same topic vector representations. Given a set of documents and a predefined number of topics,<sup>4</sup> each document can be represented as a vector:

$$\theta^d = \{\theta_1^d, \theta_2^d, \dots, \theta_K^d\}, \quad (2)$$

where  $K$  is the number of topics, and  $\theta_i^d$  is the probability of document  $d$  assigned to topic  $i$ . We denote the collection of all topic distributions across the corpus as  $\Theta = \{\theta^d\}_{d=1}^D$ .

We select LDA as the representative traditional model because prior research identifies it as the most stable, with recent neural topic models failing to outperform it and thus recommending it for content analysis (Hoyle et al., 2021b). Synthetic experiments comparing MALLETT LDA (McCallum, 2002), Contextualized Topic Models (Bianchi et al., 2021), BERTopic (Grootendorst, 2022), and the Dirichlet Variational Autoencoder Model (Burkhardt and Kramer, 2019) across three datasets further support these findings (§ A).

<sup>3</sup><https://www.npmjs.com/package/ts-tfidf>

<sup>4</sup>We use 65 as the topic number, which is the average number of topics generated by LLOOM and TopicGPT

**Unsupervised LLM-based Exploration.** Unlike probabilistic models, LLM-based models build a distributed representation of the document and then generate a label from that representation. We select TopicGPT (Pham et al., 2024) and LLOOM (Lam et al., 2024) as representative exemplars of this group.

TopicGPT prompts an LLM with fixed examples from the dataset, merges and refines similar topics (transformer cosine similarity ( $< 0.5$ )), and then assigns the refined topics to all documents. LLOOM first prompts an LLM to extract key sentences, clusters them using cosine similarity, and then prompts the LLM again to summarize and generate topics.

Prompt-based topic models provide more descriptive topic descriptions, like *Trade: focuses on the exchange of goods* for TopicGPT. However, they require numerous LLM calls (potentially expensive for larger datasets), and their practical effectiveness for user applications has not been fully evaluated.

**BASS: Bot-Assisted Semantic Search.** While algorithms often generate imperfect topics misaligned with user intents, Dietvorst et al. (2016) show that users are willing to use imperfect systems if they can control and modify. Mixed-initiative interaction (Horvitz, 1999) enables human and AI to collaborate, using their complementary strengths to enhance accuracy and productivity. Building on these insights, we use an LLM agent to generate topics while allowing users to maintain control and modify them. Users supervise the generated topics, approving or revising them through an interactive process.

However, having human to go through all the documents with an LLM can be time-consuming and labor-intensive. Thus, we use active learning (Settles, 2012), leveraging user-labeled documents to train a classifier that can infer topics and cluster unseen documents to reduce users’ workload. Specifically, we use the  $\Theta$  from a trained LDA model to represent document clusters and similarities, combined with TF-IDF encodings, as features for the active learning classifier (Poursabzi-Sangdeh et al., 2016; Li et al., 2024a). During the topic-generation process, users receive a summary and three candidate labels an LLM for each document, which they can approve, revise, or reject (Fig. 1). The labeled documents serve as training data for active learning to update and refine the topic model’s document distributions.<sup>5</sup> The classi-

<sup>5</sup>We use incremental learning to train the classifier. If a new label class is created, the classifier is reinitialized and

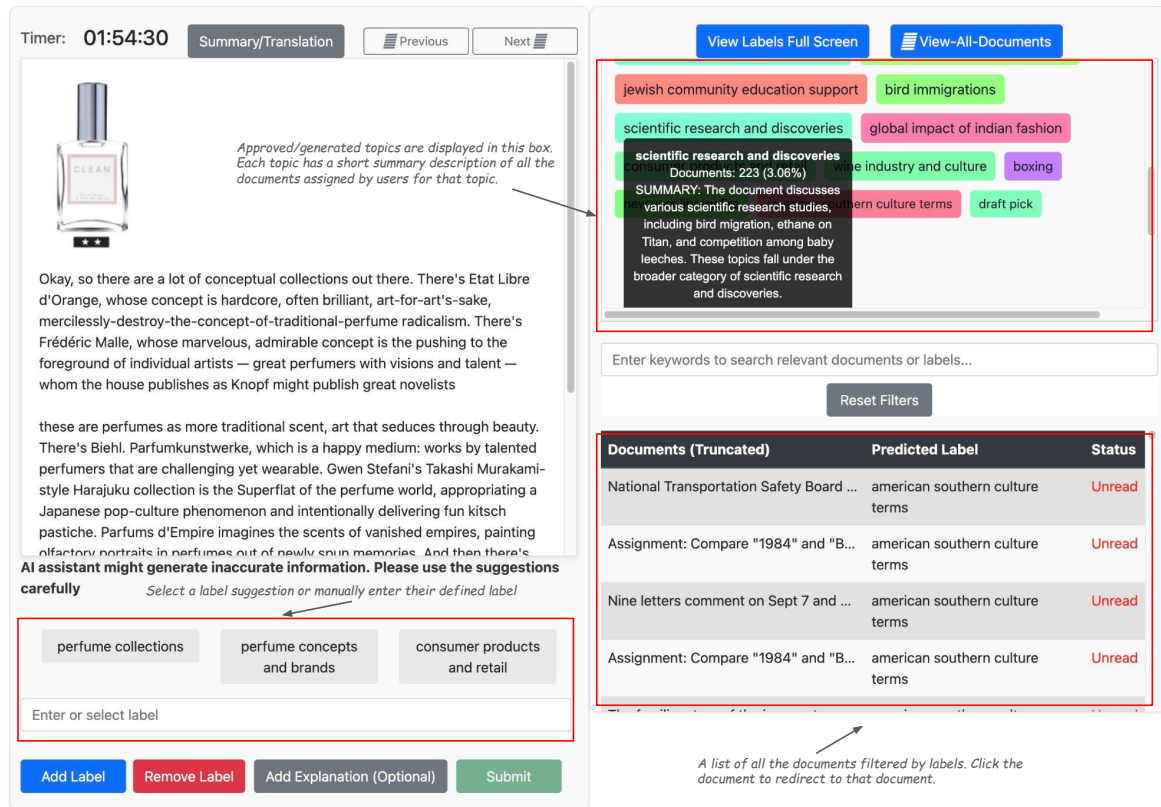


Figure 1: Users are shown selected documents and topics generated by topic models or topics they have added (hover to see the number of assigned documents). In BASS, the UI presents three LLM-suggested labels (from which users can select one or enter a new one), but they start with empty set of topics. The search bar allows users to find similar documents using keyword and TF-IDF search. Users in the baseline group do not receive LLM-suggested labels nor topics generated by a topic model, but can create and assign new labels manually.

fier then generalizes these “*fine-tuned*” LDA topic representation to unseen documents.<sup>6</sup>

## 5 Results and Analysis

We recruit 15 users from Prolific for each control group and dataset, totaling 120 users. The recommended study time was 45 to 60 minutes, and each user could participate only once.<sup>7</sup> Annotators have social science background for Bills and English literature background for Sci-Fi. The rest of this section analyzes the results from the user study.

### 5.1 Topic Clustering Metrics

Evaluating cluster similarity is challenging (Kleinberg, 2002). Rather than comparing text-based topic summaries—since LDA produces keywords while TopicGPT and LLoM generate more fluent but

retrained. The prompt template to generate suggested topics is in Appendix Fig. F.1.

<sup>6</sup>Classifier training details in § A.2

<sup>7</sup>All annotators have an approval rate of at least 99% and a minimum of 30 previous submissions.

not necessarily more useful phrases—we focus on cluster assignments. Specifically, we evaluate document assignments to each topic (cluster) by comparing them to a ground truth partition, measuring the similarity between the model’s topics and the ground truth. Purity (Zhao, 2005), adjusted rand index (Sundqvist et al., 2022, ARI), and normalized mutual information (Strehl and Ghosh, 2003, NMI,) have become common alternatives to traditional coherence measures in recent topic modeling evaluations (Pham et al., 2024; Li et al., 2024a; Angelov and Inkpen, 2024). Relying on a single metric may introduce bias, as each can be manipulated. For example, purity measures how well a clustering algorithm groups similar documents by comparing clusters to ground truth labels, but it can be exploited by assigning a unique label to every document. Using all three metrics together provides a more balanced evaluation of how well the topics align with the gold topics.

Table 1 presents the clustering evaluation met-

Metric	Bills						Sci-Fi					
	LDA	TopicGPT	TopicMistral	TopicLLaMA	LLoM	BASS	LDA	TopicGPT	TopicMistral	TopicLLaMA	LLoM	BASS
Purity	<b>0.70</b>	0.52	0.75	0.53	0.23	0.54	<b>0.63</b>	0.35	0.44	0.26	0.26	0.28
ARI	0.27	0.23	0.09	0.21	0.16	<b>0.45</b>	<b>0.18</b>	0.14	0.08	0.11	0.11	<b>0.18</b>
NMI	0.47	0.42	0.13	0.39	0.14	<b>0.54</b>	<b>0.56</b>	0.18	0.06	0.17	0.19	<b>0.69</b>
Num Topics	65	73	<b>317</b>	118	44	$\bar{\mu}=46$	65	4	33	21	<b>269</b>	$\bar{\mu}=53$

Table 1: Traditional, automatic, label-centric clustering metrics (Purity, ARI, NMI) and number of topics for each model on the Bills and Sci-Fi datasets. In LDA, the number of topics is predefined, while in fully prompt-based models (TopicGPT and LLoM) it is discovered automatically. For BASS, we report the average number of topics generated across a 15-user sample per dataset with standard error. BASS, our proposed method, achieves competitive results, outperforming other models on most metrics. LDA performs well overall, TopicGPT generates generic topics—especially on the Sci-Fi dataset—and LLoM shows lower scores. TopicMISTRAL and TopicLLAMA uses the same pipeline as TopicGPT, but with local Mistral-7B-Instruct and LLaMA-2-70B. Small local LLMs have the lowest cluster scores than large local LLMs, which is comparable but still worse than close-source GPT models.

rics for all methods. BASS has the highest overall scores, demonstrating that human-supervision during the topic generation process, combined with active learning to refine the original LDA topic distribution enhances clustering performance. Notably, users only need to label about 50 documents.

LLoM fundamentally differs from the other algorithms, resulting in the lowest overall clustering scores. It uses HDBSCAN (Campello et al., 2013) to cluster LLM-extracted summaries, and relies on LLMs for topic synthesis. As a result, its topics emerge from a series of high-level summarization and data transformations, thus introducing information loss that lowers clustering metrics, even though its topics remain reasonable and appealing.

TopicGPT’s performance with GPT-4 as the underlying LLM as underlying LLM matches LDA on Bills—a benchmark from its original study—but falters on Sci-Fi. It generates overly generic topics, limiting its usefulness for exploring domain-specific data (§ 6.1; § E.2). Performance declines further with Mistral-7B (Jiang et al., 2023) and LLaMA-2-70B (Touvron et al., 2023). Mistral struggles with topic generation and merging tasks, resulting in the lowest overall clustering metrics, while LLaMA-2 performs comparably to GPT-4 but still yields worse clustering metrics (Table 1). Local LLMs, particularly smaller LLMs struggle at reasoning and describing the haystack of a dataset, thus we omit using local LLMs to assist users exploring the documents.<sup>8</sup>

## 5.2 Automatic Evaluation Metrics

In data exploration, using a common tool for analyzing the same dataset improves reproducibility by ensuring consistent methodologies and conclu-

<sup>8</sup>Local LLMs are not included in the user study.

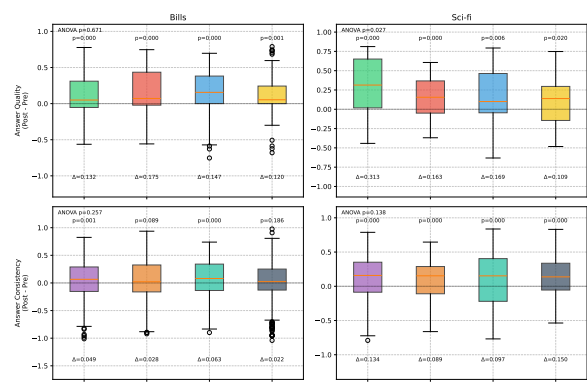


Figure 2: No significant differences exist among groups, except for Sci-Fi answer quality (one-way ANOVA,  $p < 0.05$ ). The  $p$ -values above each boxplot correspond to one-sample  $t$ -tests comparing each group’s pre- vs. post-task differences against 0. All groups, except LLoM on answer consistency, show increased answer consistency and quality after using the tool. Overall, LLM-based methods do not show significant advantages over LDA on automatic evaluations.

sions (National Academies of Sciences, Engineering, and Medicine et al., 2019). To evaluate how these tools could support this data exploration to facilitate learning from data, we compare user responses across groups using two metrics: *Answer consistency* and *Answer quality* (§ 3.3). *Consistency* quantifies the similarity of responses within a group, with higher similarity indicates better incorporation of corpus information. *Answer quality* measures the alignment between user responses and ideal answers. Both metrics are computed using ALL-MPNET-BASE-V2.<sup>9</sup>

All tools help improve answers, with a statistically significant increase from pretest to posttest

<sup>9</sup>[https://sbert.net/docs/sentence\\_transformer/pretrained\\_models.html](https://sbert.net/docs/sentence_transformer/pretrained_models.html)

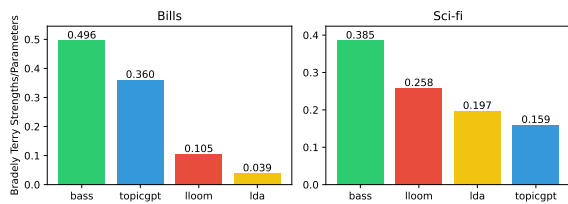


Figure 3: BASS has the highest preference strengths than other groups on both datasets, where users using BASS generate better responses than unsupervised topic models. However, the position of TopicGPT swaps from Bills to Sci-Fi, where TopicGPT only generates one super topic and three subtopics for Sci-Fi (§ 6.1).

across groups. Fig. 2 shows the results for *Answer consistency* and *Answer quality*. Users’ answers become both more consistent and better aligned with reference answers after using the tools. However, there are no significant difference between tools, indicating all topic models are similarly effective in helping users improve their answers in terms of *Consistency* and *Answer quality*.

### 5.3 Pairwise Response Preference

Assigning a Likert score to an answer can introduce annotator bias, whereas selecting the better answer between two responses is typically a simpler and more objective task (Chiang et al., 2024). Thus, we use the refined evaluation rubric from Table 5 to compare user responses generated under different conditions for the same questions. Prolific annotators are hired and provided with the scoring rubric, the question, the reference answer, two user responses from different groups, and the dataset to search for relevant documents by topic. Annotators are filtered based on an English or Social Science background and tasked to select their preferred response. We randomly shuffle responses for each question so annotators cannot identify the source groups. Each dataset is annotated by three annotators, and use the majority vote as the gold preference, with a Krippendorff’s alpha (Castro, 2017) score of 0.73 for Bills and 0.76 for Sci-Fi. We use a Bradley-Terry Model, which measures the probability of responses from a group winning in pairwise comparisons, to rank users favoring responses of one group over another (Fig. 3).

**Do LLMs help users generate more preferable responses than traditional topic models?** LLM-based methods do not always lead to preferable answers—their effectiveness depends on the

dataset content (Fig. 3). But do LLMs readily distinguish between different documents, or do they rely on strong parametric memory?<sup>10</sup>

In structured, well-organized corpora with clearly identifiable topics—such as Bills—LLMs can often replace human annotators in identifying distinct topics. In this setting, all user groups involving LLMs generate better responses than keyword-based topic models (LDA), achieving higher Bradley-Terry probabilities.

However, this advantage does not always hold for domain-specific datasets like Sci-Fi, which has abstract gold topics that LLMs do not easily surface. For example, the topic *Ethics and morality: Delving into the moral and ethical dilemmas that arise from encountering a non-human intelligence...* is difficult for LLMs to identify. Here, TopicGPT falters, producing only one generic topic: *Science and Technology: Involves the study and application of scientific advancements*. This topic is not closely related to the corpus, and its three subtopics remain nonspecific due to TopicGPT’s inflexible prompt formats and pipelines.

Moreover, unsupervised LLMs are sensitive to prompt templates, sometimes leading to hallucinations. For instance, TopicGPT generated an *agriculture* topic for a math dataset (§ E.2). LLM hallucination also shows that unsupervised LLM algorithms may be unreliable across different domain datasets. However, when human supervision guides the generation of LLM topics, LLM significantly improve users’ ability to answer questions for both datasets (Fig. 3).

The next section provides a detailed qualitative analysis of BASS, unsupervised LLM-based methods, and LDA, along with best practices for data exploration.

## 6 Qualitative Analysis and Best Practices

Although pairwise response preference and Bradley-Terry scores (Fig. 3) show that our human-supervised LLM-based topic model (BASS) has the highest winning probabilities, user comments highlight dissatisfaction with the substantial effort required, even for a simple dataset that can be fully unsupervised (Bills). We first select user responses and comments from each model, then

<sup>10</sup>We ask GPT-4 to generate a list of topics for Bills and alien science fiction without providing it any documents by simple prompting. Over 95% topics GPT-4 generates fall within the Bills gold topics, while none align with the Sci-Fi gold topics (AppendixD).

summarize key takeaways and considerations for researchers based on their dataset goals.

## 6.1 User Response Selection

For each group, we select user responses with positive and negative comments to understand what users like about each tool. Bills users initially demonstrated higher uncertainty, with 38% responding “I don’t know” during pre-test (31% for Sci-Fi). In post-test, some participants (TopicGPT: 13%, LLoM: 5%, BASS: 7%) recited topic descriptions verbatim, showing limited comprehension and originality. A notable trend is that excessive copying and pasting of LLM-generated labels leads to higher overall consistency than LDA (Fig. 2). Sci-Fi is synthetically designed to ensure that documents within the same topic are interconnected and relevant to the question, requiring less domain expertise to interpret. As a result, Sci-Fi user responses often link information from multiple documents rather than copying LLM topic descriptions.

**Traditional Topic Models** are more accessible to social scientists without advanced technical expertise, and pose fewer data privacy concerns than LLMs. However, their outputs are less user-friendly. LDA users’ written feedbacks still show that topic keywords offer a broad overview of dataset themes, helping guide document searches when answering questions. The ability to name topics based on keywords provides users with flexibility and creative freedom for further analysis. Additionally, LDA is computationally faster and more resource-efficient,

However, keyword-based topics require more effort to interpret. Two users reported an initial learning curve, finding keyword-based topics confusing and overwhelming. Another noted that LDA generates repetitive or uninterpretable topics, such as *bank* and *banks* appearing in the same topic, or generic terms like *thing* or *matter* that confuse users.

**Unsupervised LLM-based methods** perform well on datasets with clear topic boundaries, generating intuitive topics that users prefer over topic names or word lists. Among the models, TopicGPT produces more systematic topics on Bills, while LLoM is more stable, generalizable, and capable of extracting abstract topics across diverse datasets due to differences in prompting and topic generation algorithms (See examples in § E.2).

However, users find overly generic topics less useful for realistic tasks. For instance, on

the Sci-Fi dataset, TopicGPT generated a single broad topic, *Science and Technology*, with three subtopics: *Non-Human Intelligence*, *Interspecies Communication*, and *Ethical and Moral Implications*. In domain-specific datasets requiring abstract reasoning, TopicGPT tends to hallucinate, producing overly broad or unrelated topics—e.g., *agriculture* for a math dataset (§ E). LLoM can extract abstract topics but struggles with scalability due to its summarization-based approach. Processing large datasets (over 2 000 documents) requires chunking, complicating topic generation.

Users also noted inaccurate topic assignments, with documents misclassified under broad categories like *Environment* and *Policies*. For example, in the Bills user study, when asked *What policies and regulations does the U.S government implement to address water contamination and ensure environmental protection?*, users found the LLoM-generated topic *Environmental Efforts: Does this text relate to efforts in environmental protection or conservation?* most relevant. However, user feedback indicates that some documents related to Medicaid or health services are incorrectly classified under this topic.

Unsupervised LLM-based models are also computationally expensive, requiring long training times, and tend to produce overly generic topics in domain-specific datasets.

**Human supervised LLM topic generation (BASS)** gives users full control over topic definitions, allowing them to define and refine topics to fit their needs. Unlike traditional topic models, which can generate uninterpretable topics, or unsupervised LLM models, which may hallucinate topics, supervised models ensure user-defined topics. Users appreciate BASS for allowing them to view LLM-generated topic suggestions while also revising or defining their own topics. The active learning process reduces the necessary number of queries to the LLM, lowering prompting costs compared to unsupervised LLM-based approaches (§ B).

A drawback of the human supervision loop in BASS is that users struggle to determine when to stop the generation process, as stopping criteria are undefined. Reviewing documents with LLM-generated suggestions can be overwhelming, as users must read through more documents than unsupervised methods, even with LLM assistance. On simpler datasets like Bills, user supervision offers limited benefits, with users approving suggested



Approach	Advantages	Disadvantages	Suitable For
Traditional Topic Models	<ul style="list-style-type: none"> <li>• Fast computation</li> <li>• Low resource use</li> <li>• Less data privacy concerns</li> <li>• Broad theme overview</li> </ul>	<ul style="list-style-type: none"> <li>• Less user friendly</li> <li>• Potential repetitive keywords, topics, and useless topics</li> </ul>	<ul style="list-style-type: none"> <li>• Large document collections</li> <li>• Low resource Settings</li> <li>• Preliminary exploratory analysis</li> </ul>
Unsupervised LLM-based Models	<ul style="list-style-type: none"> <li>• Descriptive topic phrases and descriptions</li> <li>• Sometimes can induce abstract topics</li> <li>• Cluster based on semantic, not words distribution</li> </ul>	<ul style="list-style-type: none"> <li>• Overly generic topics</li> <li>• Document assignment hallucinations</li> <li>• Expensive computation</li> </ul>	<ul style="list-style-type: none"> <li>• Small document collections</li> <li>• Data with clear topic boundaries</li> </ul>
Supervised LLM-based Models	<ul style="list-style-type: none"> <li>• No need to traverse all documents manually</li> <li>• Flexible user topic definition and supervision</li> <li>• Avoids garbage topics</li> </ul>	<ul style="list-style-type: none"> <li>• Need mental efforts</li> <li>• Require user expertise</li> <li>• Inconsistent effectiveness</li> </ul>	<ul style="list-style-type: none"> <li>• Iterative and advanced data analysis</li> <li>• Answering abstract conceptual questions</li> <li>• Low resource settings</li> </ul>

Table 2: While LLMs can generate more interpretable topics than traditional topic models, they face challenges in scaling to large corpora. Combining human input with LLMs can help reduce hallucinations and improve scalability.

topics 93% of the time compared to 62% on the Sci-Fi dataset. In such cases, unsupervised LLM-based models can significantly reduce user effort.

### Are LLM-based approaches ready to replace traditional topic models for data exploration?

Corpora exploration and understanding is an iterative process and is rarely a matter of running an algorithm once and retrieving your desired answers. While emerging LLM-based approaches are exciting and new, there are important considerations to be made to use them suitably: Are the predominant metrics reliable, and what are reliable ways to evaluate emerging LLM-based methods? Traditional topic models use automatic topic coherence, but this metric does not generalize to neural topic models (Hoyle et al., 2021b). Additionally, coherence is not applicable to LLM-based methods, making it difficult to evaluate their performance and usefulness. Trade-offs between approaches are not always clear: LLM-based methods are more computationally expensive and generate overly generic topics.

While traditional LDA outperforms unsupervised LLM-based methods (Table 1), automatic metrics (Fig. 2) and human evaluations (Fig. 3) indicate that LLM-based methods are not always the best for every task, and LDA may still be more useful than fully unsupervised LLM approaches.

All tools have their trade-offs and user preferences. In our analysis, LLMs are still not a replacement for traditional topic models. Further improvements in LLM-based methods for large corpus understanding (especially multi modal corpora that contain charts, plots)—such as, reducing hallucinations, improving scalability, minimizing human intervention, aligning topics with user intents, and lowering costs— is necessary to drive their

adoption and accessibility in the social science domain (Huang et al., 2025; Li et al., 2025a).

## 7 Conclusion

While advocates push for LLM-based solutions for data exploration, their evaluation remains limited to cluster-based assessment or topic matching against gold labels. Our more realistic evaluation shows that while all topic models can help humans understand a dataset, LLM-based approaches still have their limitations: instability, hallucination, scalability, and inflexibility. Traditional topic models are still the cheapest option for users conducting preliminary and simple data exploration. That said, our results confirm that people like LLM outputs.

Our proposed model, BASS, helps address some of these concerns for trickier datasets—it is cheaper (§ B) and less vulnerable (§ 6) to hallucination. However, the cognitive effort required can be excessive for simple datasets, making BASS best suited for advanced data exploration or highly motivated users. Nonetheless, users often prefer the topics they build themselves (Norton et al., 2012). In sum, there is no definite answer which topic model is best for all circumstances, nor can LLM-based methods fully replace traditional or human-supervised topic models. Future work should aim to reduce the cost of LLM-based methods by developing stronger local models capable of systematically comprehending large document corpora, generating more interpretable topics, and reducing hallucinations without human supervision. Additionally, efforts should focus on grounding model outputs to the source documents and minimizing human effort by improving LLMs’ reasoning across multiple documents.

## 8 Limitations

Data exploration is a complex task that relies heavily on human reasoning and analysis to produce meaningful insights. While manually sorting data is cumbersome and inefficient, tools like topic models can significantly make the process more efficient and effective for social scientists. With the growing usage of LLMs in social science tasks, variations of topic modeling methods are shifting to LLM-based (Pham et al., 2024; Lam et al., 2024), which also raises important questions and challenges about their effectiveness, accuracy, and interpretability (Li et al., 2024b, 2025b; Hoyle et al., 2021a; Doogan and Buntine, 2021; Mondal et al., 2024). To address these concerns, we evaluate and compare traditional topic models with LLM-based approaches using a combination of metrics: clustering evaluation, automatic metrics for pretest and post tests. Additionally, we use human pairwise answer preference, analyzed through the Bradley-Terry model (Bradley and Terry, 1952), to incorporate a social science-inspired application perspective rather than relying solely on automated evaluations. Although this evaluation method provides valuable insights into the capabilities of LLM-based tools for data exploration applications, it is challenging to scale (Zhou et al., 2024). In addition, despite these advances of LLMs for data exploration, no current approach can fully balance topic interpretability, user-friendliness, hallucination, and minimal user input. While BASS appears to help users generate effective responses and topics the most, it requires significant human supervision, particularly on simple datasets that can be fully automated. Future work could focus on developing more user-friendly methods to reduce the need for extensive human supervision in topic generation. A promising direction is a hybrid approach: leveraging traditional clustering techniques to generate initial clusters, using LLMs to produce topics, and using a confidence detector to identify problematic topics for user correction. This approach can minimize user effort by eliminating the need to start the topic generation from scratch while also reducing the cost of excessive LLM prompting.

## 9 Ethics

We received approval from the Institutional Review Board before initiating the user study. All participants are based in the United States or United Kingdom. Users are required to review an instruction

and consent statement before participation commitment. They have the option to withdraw if they disagree with the terms. Throughout the study, no personal information that could reveal identities is collected. To the best of our knowledge, our study presents no known risks. Non-identifiable personal information is collected throughout the study. The compensation base rate is \$6.5, which could increase to \$17 per hour if their answers are deemed unlikely to be AI-generated and showing they have done the task. We use a fine-tuned ROBERTA (Nicolai Thorer Sivesind and Andreas Bentzen Winje, 2023; Zhou and Ai, 2024) to reject users likely submitting AI-generated responses. Users are notified that they can quit the study at any time for any personal reasons. Upon completion, users are prompted with two survey questions to rate their experience using the tool (Appendix 5).

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## 11 Appendix

### A Synthetic Experiments

Traditional topic models have many variants, including Bayesian probabilistic approaches (BTM) (e.g., LDA), neural methods (NTM), including contextualized topic models (Bianchi et al., 2020, 2021, CTM,) and the Dirichlet Variational Autoencoder Model (Burkhardt and Kramer, 2019, DVAE), as well as clustering-based models like BERTopic (Grootendorst, 2022).

Our purpose in this work was to evaluate whether the new paradigm of LLM-based topic models truly surpasses traditional models for learning about data. Given the cost of human-based evaluation, which this work heavily relies on, testing all state-of-the-art traditional models is impractical. Instead, we select **one traditional variant** and compare it against the **two main LLM-based approaches**, TopicGPT and LLM, as well as **our supervised LLM model, BASS**. This section details the experiments conducted to select LDA as representative of the traditional variant.

Neural topic models generally achieve higher coherence scores than LDA, but Hoyle et al. (2021a); Doogan and Buntine (2021); Li et al. (2024a) show that traditional coherence metrics do not generalize well to neural topic models, as they tend to favor NTM topics over BTM ones without fully correlating with human assessments. Hence, due to the lack of reliable automatic evaluation metrics for both Bayesian and neural topic models, we mimic Li et al. (2024a)’s synthetic experiment to find the most suitable traditional topic model to use in our user study.

We first provide a brief description of the evaluated topic models and their configurations, along with the datasets used for evaluation. We then detail the synthetic experiment process and results, demonstrating that MALLETT-LDA is the most suitable traditional topic model for our user study.

### A.1 Datasets

As datasets, we use Bills and Sci-Fi, as defined in § 3.1, along with an additional dataset, Wiki, sourced from Wikipedia articles (Merity et al., 2017). The Wiki dataset consists of 14,290 articles spanning 15 high-level and 45 mid-level topics, including widely recognized public topics such as music and anime. It serves as a traditional baseline for topic modeling evaluation.

We chose not to include this dataset in the main experiments because it is part of LLM’s pretraining data. Additionally, most Wikipedia topics are widely known and highly diverse, making it difficult to measure knowledge gain according to the definition used in this work.

For the candidate models representing the traditional variant of topic modeling, we consider MALLETT-LDA, as explained in the main paper (see § 4.1), along with the following models<sup>11</sup>:

**CTM.** Specifically, its COMBINEDTM (Bianchi et al., 2021) variant extends PRODLDA (Srivastava and Sutton, 2017) by incorporating Sentence-BERT embeddings (Reimers and Gurevych, 2019, SBERT) into the BOW representation used as input for its encoder-decoder architecture. The inference network transforms these combined representations into continuous latent document representations, while the decoder reconstructs the BOW. We use the authors’ original implementation,<sup>13</sup> keeping all settings at their default values.

**BERTopic.** This model follows an engineering-driven approach, generating topics by clustering SBERT embeddings without relying on word-topic or document-topic distributions. These distributions are approximated post hoc after clustering and dimensionality reduction. We train the model with `calculate_probabilities=True`, ensuring that topic probabilities are computed for each document during the HDBSCAN clustering step. All other parameters remain at their default values, and we use the original implementation of the author.<sup>14</sup>

**DVAE.** Burkhardt and Kramer (2019) proposed reparameterizing the Dirichlet prior using Rejection Sampling Variational Inference (RSVI), preserving the properties of LDA-based methods while

<sup>11</sup>All implementations are integrated into our topic modeling training class.<sup>12</sup>

<sup>13</sup><https://github.com/MilaNLPProc/contextualized-topic-models>

<sup>14</sup><https://github.com/MaartenGr/BERTopic/tree/master>

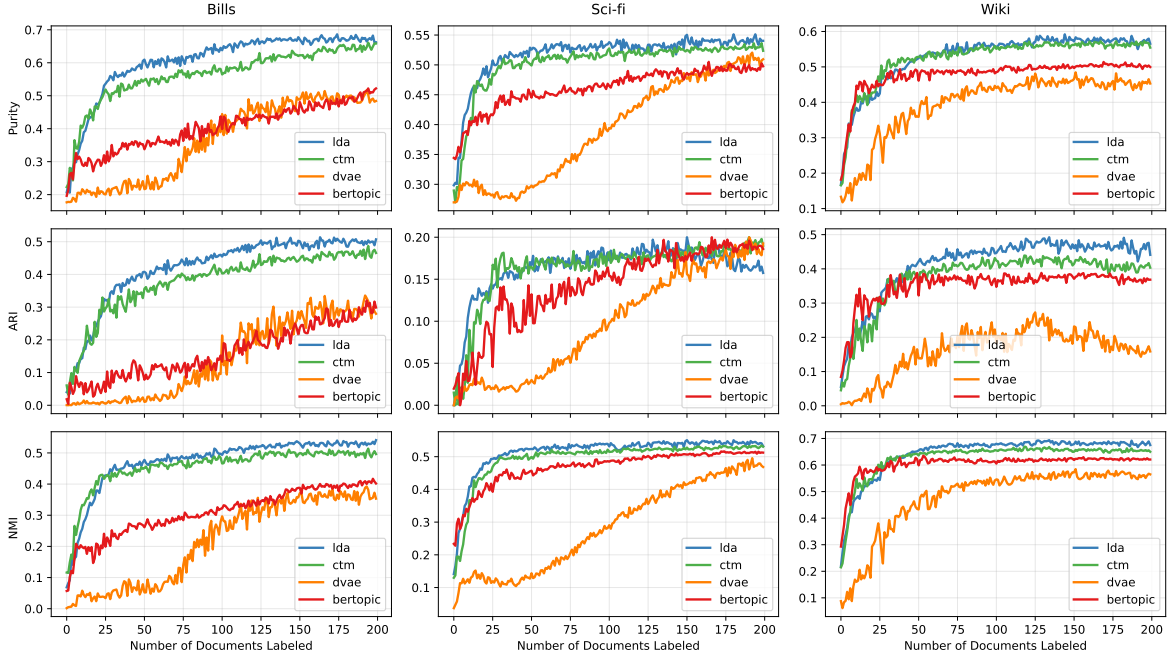


Figure 4: Synthetic user study experiment comparison of **BERTopic**, **LDA-MALLET**, **CTM** and **DVAE** across the datasets of Bills, Sci-Fi, and Wiki. Each row represents a different label-centric clustering metric: Purity (top), Adjusted Rank Index (ARI, middle), and Normalized Mutual Information (NMI, bottom). The x-axis shows the number of labeled documents, while the y-axis the respective metric scores. **LDA-MALLET** and **CTM** generally achieve better clustering metrics with the same number of documents labeled, while **BERTopic** lags behind in most cases. **DVAE** exhibits more variability and lower clustering scores across datasets. However, **LDA-MALLET** is still considered the best compared to other neural topic models.

balancing interpretability and likelihood optimization. We utilize the implementation from Hoyle et al. (2021a),<sup>15</sup> where RSVI is replaced by path-wise gradients (Jankowiak and Obermeyer, 2018). We set the number of training iterations to 250, while all other parameters remain at their default values.

Note that all of the latter are neural-based topic modeling algorithms. We do not consider other Bayesian-based topic models, as the superiority of LDA, particularly in its MALLET implementation, has been demonstrated multiple times in the literature (Hoyle et al., 2021b; Doogan and Buntine, 2021; An et al., 2023; Liu et al., 2024).

## A.2 Synthetic Experiment Setup

We train one topic model per model type—MALLET-LDA, BERTopic, CTM, and DVAE—and dataset—Bills, Sci-Fi, and Wiki, with 65 topics.

Let  $k^*$  be the index of the most predominant topic for document  $d$ , and let  $\theta_{k^*}^d$  be its corresponding probability, where:

$$k^* = \arg \max_{i=1}^K \theta_i^d \quad (3)$$

<sup>15</sup><https://github.com/ahoho/dvae>

Let  $L$  be the label set probability distribution for document  $d$ , we train a logistic regression active learning classifier with  $\theta_{k^*}^d$  as feature and compute the classifier entropy as:

$$\mathbb{H}_d(L) = \mathbb{H}_d(L) \cdot \theta_{k^*}^d, \quad (4)$$

where higher entropy indicates greater classifier uncertainty in document classification. In the original study by Li et al. (2024a), a user-in-the-loop approach is employed, wherein a user iteratively revises the documents suggested by the active learning classifier. Here, we approximate user annotations by utilizing the dataset’s gold labels as pseudo-labels. Since these labels are carefully curated, they provide a reliable approximation of human annotations and may even offer a slight advantage, as human annotators tend to assign more specific labels, intentionally introducing additional variability.

Our document selection process follows two steps: first we identify the topic  $k_H$  that shows the highest median document entropy among all  $K$  topics, indicating the topic where the classifier shows the most uncertainty. Then we choose the

document with the highest entropy within topic  $k_H$  as the next document as the next document to be labeled and update the classifier using its corresponding pseudo-label.

We use incremental learning (Rosenblatt, 1958) to train and update the logistic regression classifier and compute the purity, ARI, and NMI. We retrain the classifier if a new label class is introduced. For each topic model, we perform five iterations of simulated user labeling, labeling up to 200 documents per iteration—the maximum number of documents that could be labeled by users within an hour. We then compute the median value for each document within each group.

### A.3 Results

Fig. A shows the label-centric clustering metrics obtained as a result of the synthetic experiment for each dataset and topic model.

**Mallet LDA outperforms other neural topic models on three clustering metrics on all datasets with equal number of documents labeled (Fig. 4).** CTM is the only neural topic model that achieves comparable to LDA clustering performance. Thus, we choose LDA as the most suitable traditional topic model in our real user study.

### B Time and monetary cost

Table B shows the average amount of time and costs to train each model on a size 10,000 dataset (Bills). LDA is the cheapest and fastest than any other models. The other fully automated LLM approaches, however, are more expensive than adding human-in-the-loop approach (BASS). Adding human-in-the-loop for LLM-aided data exploration can be cheaper and more efficient than existing fully LLM-based approaches.

Method	Train Time	Cost
LDA	5 mins	Free
LLOOM	30 mins	\$40
TopicGPT	9 hrs	\$65
BASS	User dependent: 1 hr	\$30

Table 3: The train time and cost for each method is an approximation. Specifically, for all models besides, we use GPT-4o as the prompt model to generate topics. The estimated cost of BASS is one user hour cost \$20 plus the expected prompt cost \$10.

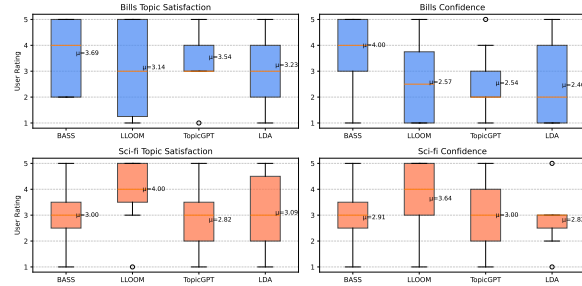


Figure 5: BASS has the highest user satisfaction and confidence on Bills, and LLOOM has the highest ratings on Sci-fi. Users need to spend more mental efforts to complete the task using LDA and results in lower satisfaction rate, but the final quality of data exploration answers do not vary much from that of LLM models 2.

### C Generated questions and Evaluation Rubric

Two expert social scientists design a rubric (Table 4) to evaluate the quality of user responses.

### D Parametric Memory and Generated Topics

A strong parametric memory of the topics in the datasets can affect the generated topic outputs. We examine GPT-4 parametric memory on the two test sets without providing any additional information about the documents, etc. Unsurprisingly, GPT-4 generates 20 topics for Bills and almost all of them are similar or overlap with the gold topics: *agriculture, health care, education, environment and conservation, defense and national security, taxation and revenue, veteran affairs, energy and utilities...* GPT-4 generates two topics that are similar to that in the Sci-fi data: *first contact protocols, Utopian or Dystopian Alien Societies*. The remaining topics are not relevant to the gold topics in the dataset.

LLM API costs and time investments are important considerations for most users. Table 3 summarizes the time and monetary costs for each method. For the automatic control groups, the time refers to the training time for topic generation, which depends on the number of documents in the dataset. In contrast, for BASS, time depends on the user’s knowledge of the dataset and the diversity of topics: the more varied the topics and the less familiar the user is with the dataset, the more documents they need to review, leading to higher costs. Results show that LDA is by far the most efficient and cost-effective method among the four evaluated.



Bills		Synthetic Science Fiction	
1	What policies and regulations does the U.S. government implement to address water contamination and ensure environmental protection?	1	How did human perceptions of identity change when confronted with the non-human intelligence?
2	What are common policy actions taken by governments in the United States to manage land use effectively?	2	How did the non-human intelligence perceive humanity during its interactions?
3	Which demographic or age groups are targeted by government initiatives to enhance educational opportunities and benefits?	3	What challenges did the humans face when trying to communicate with the non-human intelligence?
4	What basic rights should people have when receiving care at home?	4	What were the consequences of the successful communication with the non-human intelligence?
5	What do policies about land use and wildlife have in common?		
6	Why does the government sometimes pause taxes on importing certain chemicals and materials?		

Table 4: Pre-test and post-test questions for both datasets. The test questions are testing the users’ understanding of topics in the dataset, not testing users’ ability to find a specific document to find the answer.

## E Topic Modeling in Domain Specific Datasets

We show topic model outputs on three domain-specific datasets.

- National Center for Teacher Effectiveness (NCTE): a teacher and student conversation in a math classroom to access teaching practices associated with overall high-quality math teaching (Xu et al., 2024). NCTE has gold expert defined high-level concepts that require full understanding of education, teaching, and the dataset to derive, not just based on word frequencies— *Mathematical Language: captures how fluent teacher and students use mathematical language in a classroom.*
- Synthetic Sci-Fi: a synthetic news dataset generated by the authors about aliens science fiction. Example topics are *Cultural and societal implications: Examining how humanity’s institutions, values, and norms might be affected by contact with an alien intelligence.*
- Mathematics Aptitude Test of Heuristics (MATH): a math competition question dataset involves AMC 10, AMC 12 with full step solutions and explanations (Hendrycks et al., 2021).

See Table 6 for example output topics from those models.

### E.1 Post User Survey

We evaluate users’ experience by asking them them survey questions 1: *How satisfied are you on topics that you use to answer the post test questions?* 2: *How confident are you in the quality of answer you put after exploring the data with the tool?*

All the questions aim to understand the usefulness of topics for each method in helping users explore and understand essential contents in a dataset.

We plot the user reported ratings in Fig. 5. Overall, users are more satisfied and confident with their answers when an LLM is involved than LDA.

### E.2 Example Generated Topics on Domain Specific Data

Table 6 shows example generated topics on domain specific data using different LLMs. TopicGPT specifically struggles at generating suitable and specific enough topics for domain specific datasets.

## F Generation of the Synthetic dataset

Algorithm 1 contains the pseudo-code used to generate our synthetic datasets, while Prompt F.2 provides the system prompt, and Prompt F.3 gives an example of a user prompt.

Score	Judgment	Examples
1	Very low quality: The response is irrelevant to the subject, showing no understanding or effort.	<ul style="list-style-type: none"> <li>• Blank response</li> <li>• "Affordable care"</li> <li>• "Love"</li> <li>• "I don't know"</li> <li>• "no idea"</li> </ul>
2	Low quality: <ol style="list-style-type: none"> <li>1. Shows minimal relevance or understanding of the subject, with little or limited effort.</li> <li>2. Using just the topics to answer the questions without providing explanation.</li> </ol>	<ul style="list-style-type: none"> <li>• "trade, tariffs... "</li> <li>• "Alien communication"</li> <li>• "This document discusses..." (direct copy of the summary or label)</li> <li>• Ballast Water Management Act (BWMA)</li> </ul>
3	Fair quality: <ol style="list-style-type: none"> <li>1. Shows basic understanding of the subject and the dataset, showing some effort akin to a lay person's perspective.</li> <li>2. The response answers the question based on a single document, not the theme across multiple documents.</li> </ol>	<ul style="list-style-type: none"> <li>• "Clean Water Act (CWA): This law regulates discharges of pollutants into U.S. waters and sets water quality standards for surface waters."</li> <li>• young people, school leaver age 16-18 and young adult 18-26</li> </ul>
4	High quality: <ol style="list-style-type: none"> <li>1. Shows good understanding of the subject, suggesting above-average knowledge or effort.</li> <li>2. The answers are from the documents. However, just a list of related documents or titles for the question are provided. Little analysis or insights, synthesis of those documents are given.</li> </ol>	<ul style="list-style-type: none"> <li>• "The US government enforces Clean Water Act, regulating pollutants in waterways, Safe Drinking Water Act, ensuring safe public drinking water. EPA monitors."</li> <li>• Younger age groups, likely from age of 4 or 5 up to 18.</li> <li>• Also perhaps training programs are targeted at the unemployed.</li> </ul>
5	Very high quality: <ol style="list-style-type: none"> <li>1. Shows exceptional understanding of the subject, indicating expertise or extensive effort.</li> <li>2. The answers are across themes that cover multiple documents.</li> <li>3. The answers are a synthesis, reasoning, and analysis of contents from multiple documents.</li> </ol>	<ul style="list-style-type: none"> <li>• "Government programs frequently focus on providing assistance to low-income families, students in under-resourced schools, individuals who are the first in their family to attend college, and adults looking to enhance their job skills through training programs. Furthermore, certain programs may target minority communities and people with disabilities."</li> <li>• "Home care recipients deserve dignity, respect, privacy, informed choices, tailored care, safety, and autonomy for well-being."</li> </ul>

Table 5: Evaluation Scoring Rubric for Response Quality. We rate answers based on the refined rubric to reduce individual annotator subjectivity and biases.

Model	NCTE	Sci-fi	MATH
LDA	<ul style="list-style-type: none"> <li>• <i>Topic 1</i>: ‘apple’, ‘row’, ‘thirteen’, ‘story’, ‘division’</li> <li>• <i>Topic 2</i>: ‘remainder’, ‘row’, ‘division’, ‘sentence’, ‘pencil’</li> <li>• <i>Topic 3</i>: ‘factor’, ‘simple’, ‘color’, ‘fit’, ‘parenthesis’</li> </ul>	<ul style="list-style-type: none"> <li>• <i>Topic 1</i>: ‘silent’, ‘quinlan’, ‘prime’, ‘erebus’, ‘vaughn’</li> <li>• <i>Topic 2</i>: ‘humanity’, ‘ravage’, ‘world’, ‘great’, ‘planet’</li> <li>• <i>Topic 3</i>: ‘crew’, ‘ship’, ‘hope’, ‘spaceship’, ‘alien’</li> </ul>	<ul style="list-style-type: none"> <li>• <i>Topic 1</i>: ‘day’, ‘team’, ‘dotlinewidthbpb’, ‘girl’, ‘mile’</li> <li>• <i>Topic 2</i>: ‘log’, ‘cdot’, ‘lfloor’, ‘rfloor’, ‘frac’</li> <li>• <i>Topic 3</i>: ‘bead’, ‘textif’, ‘endcase’, ‘blue’, ‘begincases’</li> </ul>
TopicGPT	<ul style="list-style-type: none"> <li>• [1] <i>Education</i>: The document discusses teaching methods and classroom interactions.</li> <li>• [2] <i>Mathematics Instruction</i>: Discusses teaching methods and student interactions in a mathematics classroom.</li> <li>• [2] <i>Classroom Management</i>: Discusses teacher-student interactions and classroom dynamics.</li> </ul>	<ul style="list-style-type: none"> <li>• [1] <i>Science and Technology</i>: Involves the study and application of scientific and technological advancements.</li> <li>• [2] <i>Non-Human Intelligence</i>: Mentions encounters and interactions with non-human intelligences, their behaviors, and the implications for humanity.</li> <li>• [2] <i>Interspecies Communication</i>: Discusses the challenges and methodologies of establishing communication with non-human intelligences.</li> </ul>	<ul style="list-style-type: none"> <li>• [1] <i>Agriculture</i>: Mentions policies relating to agricultural practices and products.</li> </ul>
LLOOM	<ul style="list-style-type: none"> <li>• <i>Volume and Dimensions</i>: Is the focus of this text on calculating volume and understanding dimensions?</li> <li>• <i>Symmetry and Shapes</i>: Is this text about identifying symmetry in shapes or using shapes to teach symmetry?</li> <li>• <i>Real-world Math</i>: Does this example integrate math concepts into real-world scenarios or problems?</li> </ul>	<ul style="list-style-type: none"> <li>• <i>Reality Manipulation</i>: Is reality manipulation or alteration a key theme in this text?</li> <li>• <i>Interspecies Communication</i>: Does the example involve efforts or challenges in communicating with a different species or entity?</li> <li>• <i>Existential Reevaluation</i>: Does this text describe a scenario that leads to an existential crisis and a reevaluation of human values or society?</li> </ul>	<ul style="list-style-type: none"> <li>• <i>Complex Numbers</i>: Does this example deal with complex numbers or their properties?</li> <li>• <i>Probability and Statistics</i>: Does this example involve calculating probabilities, statistical analysis, or outcomes of random events?</li> <li>• <i>Divisibility and Primes</i>: Does this example deal with factors, multiples, divisibility rules, or properties of prime numbers?</li> </ul>
BASS	<ul style="list-style-type: none"> <li>• <i>Mathematics education</i>: The teacher employs a method of engaging students through continuous questioning and prompting them to explain their reasoning. This interactive approach helps students articulate their thought processes and understand the concepts being discussed.</li> <li>• <i>Interactive questioning</i>: The document showcases a teaching strategy where the teacher uses a game-based approach to teach addition and number sense.</li> <li>• <i>Multiplication and division</i>: The teacher prompts students to explain their strategies, whether they have memorized facts or used other methods, and guides them through the process of writing multiplication and division sentences</li> </ul>	<ul style="list-style-type: none"> <li>• <i>Relations between extra terrestrial and humanity</i>: The document revolves around the discovery of an alien signal, the subsequent decoding of messages from an ancient intelligence, and the ethical and moral implications of engaging with this non-human entity...</li> <li>• <i>universe challenges humanitys understanding of existence</i>: The document focus is on the interaction and communication between humans and an alien species, as well as the societal structures and knowledge exchange.</li> <li>• <i>interdimensional exploration and politics</i>: The document describes a scenario where humanity, under the Roman Empire, explores and interacts with a non-human intelligence across multiple realities...</li> </ul>	<ul style="list-style-type: none"> <li>• <i>Probability and prime numbers</i>: involves calculating the probability of a specific event involving prime numbers, which falls under the study of probability and prime numbers.</li> <li>• <i>Geometry</i>: involves geometric properties and relationships within a triangle.</li> <li>• <i>Number theory</i>: The topic involves the concepts of greatest common divisor (gcd) and least common multiple (lcm), which are fundamental topics in number theory.</li> <li>• <i>Polynomial equations analysis</i>: The topic involves solving an algebraic equation with specific conditions related to its roots.</li> </ul>

Table 6: Generated topics for different datasets across models. For TopicGPT, [1] is the first level topics, and [2] is second level topics. TopicGPT appears to hallucinate few first-level topics on domain-specific data.

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**Algorithm 1** Generate Synthetic Dataset (Sci-Fi)

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```
1: Input: Sets of styles  $S$ , themes  $T$ , settings  $G$ , moods  $M$ , and question-answer pairs  $Q$ 
2: Output: Response text and input parameters stored in an output file
3: Initialize  $U$  (user prompt combinations) as an empty list
4: Compute the set  $P = \{(k_1, k_2) \mid k_1, k_2 \in K, k_1 \neq k_2\}$ 
5: for each  $(s, (k_1, k_2), g) \in S \times P \times G$  do
6:   Select a random mood  $m \in M$ 
7:   Select a random  $(q, a) \in Q$ 
8:   Add  $(s, k_1, k_2, g, m, q, a)$  to  $U$ 
9: end for
10: Randomly shuffle  $U$ 
11: Initialize  $D$  (common words dictionary) as an empty dictionary
12: Load sample text  $T_s$ 
13: Tokenize  $T_s$  into words  $W$ 
14: for each  $w \in W$  do
15:   Strip punctuation and possessives from  $w$ 
16:   if  $|w| > 4$  AND  $w$  starts with a capital letter AND  $w \notin$  stop words then
17:     Add  $w$  to  $D$ 
18:   end if
19:   if  $w$  contains four consecutive digits then
20:     Add  $w$  to  $D$ 
21:   end if
22: end for
23: Add predefined opening words {"In the", "On the"} to  $D$ 
24: for each  $(s, k_1, k_2, g, m, q, a) \in U$  do
25:   Define  $W_{\text{avoid}}$  as the 250 most common words in  $D$ 
26:   Generate  $P_u$  (user prompt) using a predefined template with  $(s, m, k_1, k_2, g, q, a, W_{\text{avoid}})$ 
27:   Send system and user prompts to LLM
28:   Receive response text  $R$  from LLM
29:   Tokenize  $R$  into words  $W_r$ 
30:   for each  $w \in W_r$  do
31:     Strip punctuation and possessives from  $w$ 
32:     if  $|w| > 4$  AND  $w$  starts with a capital letter AND  $w \notin$  stop words then
33:       Add  $w$  to  $D$ 
34:     end if
35:     if  $w$  contains four consecutive digits then
36:       Add  $w$  to  $D$ 
37:     end if
38:   end for
39:   Write  $(R, s, m, k_1, k_2, g, q, a)$  to output file
40: end for
```

---

### F.1: Prompt for generating topic suggestions for BASS

You will receive a document about the congressional Bills and a set of top-level topics from a topic hierarchy. Your task is to identify a policy topic within the document that can act as top-level topics in the hierarchy. If any relevant topics are missing from the provided set, please add them. Otherwise, output the existing top-level topics as identified in the document.

Follow the following format:

DOCUMENT: [DOCUMENT]

HIGH LEVEL CONCEPTS: [HIGH\\_LEVEL\\_CONCEPTS]

YOU SHOULD STRICTLY FOLLOW THE FOLLOWING FORMAT AND OUTPUT THE FOLLOWING INFORMATION

RATIONALE: Rationale for choosing the high-level concept

PRED CONCEPT: High-level concept for the document

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Previous USER LABELED EXAMPLES (AT MOST THREE) IF AVAILABLE

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DOCUMENT: {} HIGH LEVEL CONCEPTS: {}

As a reminder, you should output the following information following the given output format. Your generated concept should not EXCEED FIVE words. Your generated concept should be the teacher's teaching strategy, not a general theme such as 'Education'

RATIONALE: Your rationale for making such a label

PRED CONCEPT: Your generated concept

### F.2: System Prompt for Sci-Fi Generation

You are a clever research assistant generating synthetic data for a human subject study.

Using the style, mood, themes, setting, question/answer pair and the list of words to avoid provided to you in the user prompt, create a Wikipedia-style full plot summary of a science fiction story about first contact with a non-human intelligence including spoilers and the final plot resolution.

Fastidiously adhere to following rules:

- \* Use the question/answer pair to provide the reader with descriptive information about the non-human-intelligence, but do not reveal the question in the generated text.
- \* Avoid cliché openings like: 'In the', 'Within the', 'On the', 'As the'
- \* Don't use the words in the user provided list of words to avoid.
- \* Be creative in your choices of proper names for people, places, and entities.
- \* Don't choose a word to avoid as a proper name.
- \* Be creative in your choices of dates.
- \* Don't choose a year from the words to avoid.
- \* Do not start the summary with a title.
- \* Do not directly reveal the themes to the reader in your generated text.
- \* Be sure to emphasize the theme, but do not ask questions in your plot summary.
- \* Do not preface your response with statements like: "Here is a Wikipedia-style science fiction plot summary:\n\n" or make other statements suggesting that the output is generated.

### F.3: User Prompt example for Sci-Fi Generation

Style: Hard Science Fiction: This style focuses on scientific accuracy and technical details, often featuring engineers, scientists, and inventors as main characters. Examples: Isaac Asimov, Arthur C. Clarke, and Kim Stanley Robinson.

Mood: hopeful

Theme 1: The Other: Exploring the nature of the alien intelligence, its motivations, and its place in the universe.

Theme 2: Humanity's place in the universe: Questioning humanity's significance, morality, and purpose in the face of a non-human intelligence.

Setting: Space stations or colonies: Isolated and vulnerable, these settings can heighten the sense of tension and uncertainty.

Question: What challenges did the humans face when trying to communicate with the non-human intelligence?

Answer: Understanding the non-human intelligence's motivations and intentions that are fundamentally different from human principles, making it difficult to comprehend its actions and goals.