

A Convolutional Architecture for Word Sequence Prediction

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Abstract

We propose a convolutional neural network, named *genCNN*, for word sequence prediction. Different from previous work on neural network-based language modeling and generation (e.g., RNN or LSTM), we choose not to greedily summarize the history of words as a fixed length vector. Instead, we use a convolutional neural network to predict the next word with the history of words of variable length. Also different from the existing feed-forward networks for language modeling, our model can effectively fuse the local correlation and global correlation in the word sequence, with a convolution-gating strategy specifically designed for the task. We argue that our model can give adequate representation of the history, and therefore can naturally exploit both the short and long range dependencies. Our model is fast, easy to train, and readily parallelized. Our extensive experiments on text generation and *n*-best re-ranking in machine translation show that *genCNN* outperforms the state-of-the-arts with big margins.

1 Introduction

Both language modeling (Wu and Khudanpur, 2003; Mikolov et al., 2010; Bengio et al., 2003) and text generation (Axelrod et al., 2011) boil down to modeling the conditional probability of a word given the proceeding words. Previously, it is mostly done through purely memory-based approaches, such as *n*-grams, which cannot deal with long sequences and has

to use some heuristics (called smoothing) for rare ones. Another family of methods are based on distributed representations of words, which is usually tied with a neural-network (NN) architecture for estimating the conditional probabilities of words.

Two categories of neural networks have been used for language modeling: 1) recurrent neural networks (RNN), and 2) feedforward network (FFN):

- The RNN-based models, including its variants like LSTM, enjoy more popularity, mainly due to their flexible structures for processing word sequences of arbitrary lengths, and their recent empirical success (Sutskever et al., 2014; Graves, 2013). We however argue that RNNs, with their power built on the recursive use of a relatively simple computation units, are forced to make greedy summarization of the history and consequently not efficient on modeling word sequences, which clearly have a bottom-up structures.
- The FFN-based models, on the other hand, avoid this difficulty by feeding directly on the history. However, the FFNs are built on fully-connected networks, rendering them inefficient on capturing local structures of languages. Moreover their “rigid” architectures make it futile to handle the great variety of patterns in long range correlations of words.

We propose a novel convolutional architecture, named *genCNN*, as a model that can efficiently combine local and long range structures of language for the purpose of modeling conditional probabilities. *genCNN* can be directly used in generating a word sequence (i.e.,

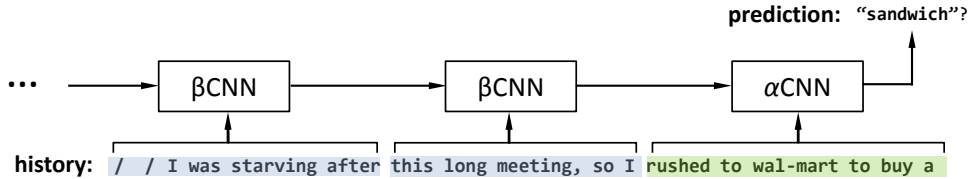


Figure 1: The overall diagram of a *genCNN*. Here “/” stands for a zero padding. In this example, each CNN component covers 6 words, while in practice the coverage is 30-40 words.

text generation) or evaluating the likelihood of word sequences (i.e., language modeling). We also show the empirical superiority of *genCNN* on both tasks over traditional n -grams and its RNN or FFN counterparts.

Notations: We will use \mathcal{V} to denote the vocabulary, $\mathbf{e}_t \in \{1, \dots, |\mathcal{V}|\}$ to denote the t^{th} word in a sequence $\mathbf{e}_{1:t} \stackrel{\text{def}}{=} [\mathbf{e}_1, \dots, \mathbf{e}_t]$, and $\mathbf{e}_t^{(n)}$ if the sequence is further indexed by n .

2 Overview

As shown in Figure 1, *genCNN* is overall recursive, consisting of CNN-based processing units of two types:

- α CNN as the “front-end”, dealing with the history that is closest to the prediction;
- β CNNs (which can repeat), in charge of more “ancient” history.

Together, *genCNN* takes history $\mathbf{e}_{1:t}$ of arbitrary length to predict the next word \mathbf{e}_{t+1} with probability

$$p(\mathbf{e}_{t+1} | \mathbf{e}_{1:t}; \bar{\Theta}), \quad (1)$$

based on a representation $\phi(\mathbf{e}_{1:t}; \bar{\Theta})$ produced by the CNN, and a $|\mathcal{V}|$ -class soft-max:

$$p(\mathbf{e}_{t+1} | \mathbf{e}_{1:t}; \bar{\Theta}) \propto e^{\mu_{\mathbf{e}_{t+1}}^\top \phi(\mathbf{e}_{1:t}) + b_{\mathbf{e}_{t+1}}}. \quad (2)$$

genCNN is devised (tailored) fully for modeling the sequential structure in natural language, notably different from conventional CNN (Lawrence et al., 1997; Hu et al., 2014) in 1) its specifically designed weights-sharing strategy (in α CNN), 2) its gating design, and 3) certainly its recursive architectures. Also distinct from RNN, *genCNN* gains most of

its processing power from the heavy-duty processing units (i.e., α CNN and β CNNs), which follow a bottom-up information flow and yet can adequately capture the temporal structure in word sequence with its convolutional-gating architecture.

3 *genCNN*: Architecture

We start with discussing the convolutional architecture of α CNN as a stand-alone sentence model, and then proceed to the recursive structure. After that we give a comparative analysis on the mechanism of *genCNN*.

α CNN, just like a normal CNN, has fixed architecture with predefined maximum words (denoted as L_α). History shorter than L_α will be filled with zero paddings, and history longer than that will be folded to feed to β CNN after it, as will be elaborated in Section 3.3. Similar to most other CNNs, α CNN alternates between convolution layers and pooling layers, and finally a fully connected layer to reach the representation before soft-max, as illustrated by Figure 2. Unlike the toyish example in Figure 2, in practice we use a larger and deeper α CNN with $L_\alpha = 30$ or 40, and two or three convolution layers (see Section 4.1). Different from conventional CNN, *genCNN* has 1) weight sharing strategy for convolution, and 2) “external” gating networks to replace the normal pooling mechanism, both of which are specifically designed for word sequence prediction.

3.1 α CNN: Convolution

Different from conventional CNN, the weights of convolution units in α CNN is only partially shared. More specifically, in the convolution units there are two types feature-maps: TIME-FLOW and the TIME-ARROW, illustrated re-

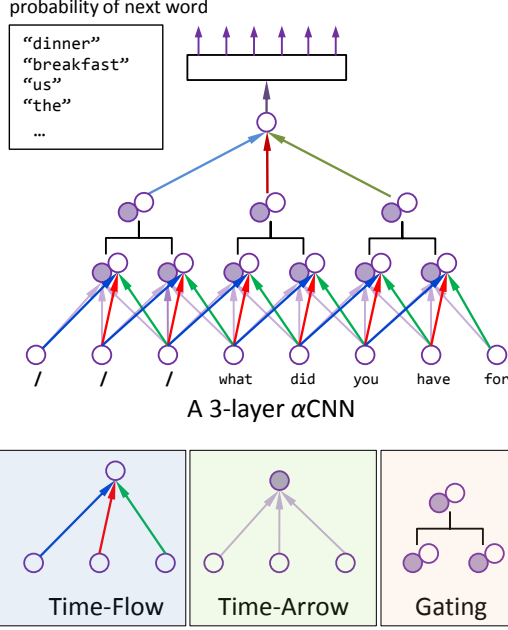


Figure 2: Illustration of a 3-layer α CNN. Here the shadowed nodes stand for the TIME-ARROW feature-maps and the unfilled nodes for the TIME-FLOW.

spectively with the unfilled nodes and filled nodes in Figure 2. The parameters for TIME-FLOW are shared among different convolution units, while for TIME-ARROW the parameters are location-dependent. Intuitively, TIME-FLOW acts more like a conventional CNN (e.g., that in (Hu et al., 2014)), aiming to understand the overall temporal structure in the word sequences; TIME-ARROW, on the other hand, works more like a traditional NN-based language model (Vaswani et al., 2013; Bengio et al., 2003): with its location-dependent parameters, it focuses on capturing the direction of time and prediction task.

For sentence input $\mathbf{x} = \{\mathbf{x}_1, \dots, \mathbf{x}_T\}$, the feature-map of type- f on Layer- ℓ is if $f \in \text{TIME-FLOW}$:

$$z_i^{(\ell,f)}(\mathbf{x}) = \sigma(\mathbf{w}_{\text{TF}}^{(\ell,f)} \hat{\mathbf{z}}_i^{(\ell-1)} + b_{\text{TF}}^{(\ell,f)}), \quad (3)$$

if $f \in \text{TIME-ARROW}$:

$$z_i^{(\ell,f)}(\mathbf{x}) = \sigma(\mathbf{w}_{\text{TA}}^{(\ell,f,i)} \hat{\mathbf{z}}_i^{(\ell-1)} + b_{\text{TA}}^{(\ell,f,i)}), \quad (4)$$

where

- $z_i^{(\ell,f)}(\mathbf{x})$ gives the output of feature-map of type- f for location i in Layer- ℓ ;

- $\sigma(\cdot)$ is the activation function, e.g., Sigmoid or Relu (Dahl et al., 2013)
- $\mathbf{w}_{\text{TF}}^{(\ell,f)}$ denotes the location-independent parameters for $f \in \text{TIME-FLOW}$ on Layer- ℓ , while $\mathbf{w}_{\text{TA}}^{(\ell,f,i)}$ stands for that for $f \in \text{TIME-ARROW}$ and location i on Layer- ℓ ;
- $\hat{\mathbf{z}}_i^{(\ell-1)}$ denotes the segment of Layer- $\ell-1$ for the convolution at location i , while

$$\hat{\mathbf{z}}_i^{(0)} \stackrel{\text{def}}{=} [\mathbf{x}_i^\top, \mathbf{x}_{i+1}^\top, \dots, \mathbf{x}_{i+k_1-1}^\top]^\top$$

concatenates the vectors for k_1 words from sentence input \mathbf{x} .

3.2 Gating Network

Previous CNNs, including those for NLP tasks (Hu et al., 2014; Kalchbrenner et al., 2014), take a straightforward convolution-pooling strategy, in which the “fusion” decisions (e.g., selecting the largest one in max-pooling) are based on the values of feature-maps. This is essentially a soft template matching, which works for tasks like classification, but undesired for maintaining the composition functionality of convolution. In this paper, we propose to use separate gating networks to release the scoring duty from the convolution, and let it focus on composition. Similar idea has been proposed by (Socher et al., 2011) for recursive neural networks on parsing, but never been combined with a convolutional structure.

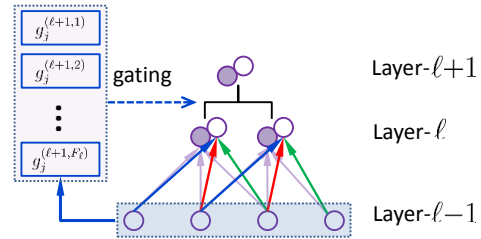


Figure 3: Illustration for gating network.

Suppose we have convolution feature-maps on Layer- ℓ and gating (with window size = 2) on Layer- $\ell+1$. For the j^{th} gating window $(2j-1, 2j)$, we merge $\hat{\mathbf{z}}_{2j-1}^{(\ell-1)}$ and $\hat{\mathbf{z}}_{2j}^{(\ell-1)}$ as the input (denoted as $\bar{\mathbf{z}}_j^{(\ell)}$) for gating network, as illustrated in Figure 3. We use a separate

gate for each feature-map, but follow a different parametrization strategy for TIME-FLOW and TIME-ARROW. With window size = 2, the gating is binary, we use a logistic regressor to determine the weights of two candidates. For $f \in \text{TIME-ARROW}$, with location-dependent $\mathbf{w}_{\text{gate}}^{(\ell, f, j)}$, the normalized weight for *left* side is

$$g_j^{(\ell+1, f)} = 1 / (1 + e^{-\mathbf{w}_{\text{gate}}^{(\ell, f, j)} \cdot \mathbf{z}_j^{(\ell)}}),$$

while for $f \in \text{TIME-FLOW}$, the parameters for the corresponding gating network, denoted as $\mathbf{w}_{\text{gate}}^{(\ell, f)}$, are shared. The gated feature map is then a weighted sum to feature-maps from the two windows:

$$z_j^{(\ell+1, f)} = g_j^{(\ell+1, f)} z_{2j-1}^{(\ell, f)} + (1 - g_j^{(\ell+1, f)}) z_{2j}^{(\ell, f)}. \quad (5)$$

We find that this gating strategy works significantly better than pooling directly over feature-maps, and slightly better than a hard gate version of Equation 5

3.3 Recursive Architecture

As suggested early on in Section 2 and Figure 1, we use extra CNNs with conventional weight-sharing, named βCNN , to summarize the history out of scope of αCNN . More specifically, the output of βCNN (with the same dimension of word-embedding) is put before the first word as the input to the αCNN , as illustrated in Figure 4. Different from αCNN , βCNN is designed just to summarize the history, with weight shared across its convolution units. In a sense, βCNN has only TIME-FLOW feature-maps. All βCNN are identical and recursively aligned, enabling *genCNN* to handle sentences with arbitrary length. We put a special switch after each βCNN to turn it off (replacing a padding vector shown as “/” in Figure 4) when there is no history assigned to it. As the result, when the history is shorter than L_α , the recursive structure reduces to αCNN .

In practice, 90+% sentences can be modeled by αCNN with $L_\alpha = 40$ and 99+% sentences can be contained with one extra βCNN . Our experiment shows that this recursive strategy yields better estimate of conditional density than neglecting the out-of-scope history (Section 6.1.2). In practice, we found that a larger (greater L_α) and deeper αCNN works

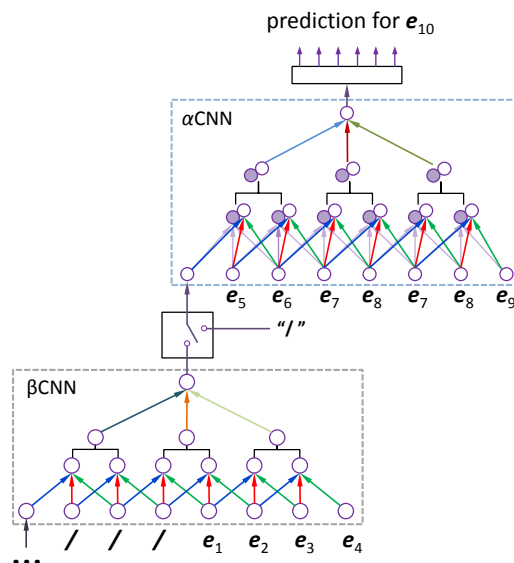


Figure 4: *genCNN* with recursive structure.

better than small αCNN and more recursion, which is consistent with our intuition that the convolutional architecture is better suited for modeling the sequence.

3.4 Analysis

3.4.1 TIME-FLOW vs. TIME-ARROW

Both conceptually and systemically, *genCNN* gives two interweaved treatments of word history. With the globally-shared parameters in the convolution units, TIME-FLOW summarizes *what has been said*. The hierarchical convolution+gating architecture in TIME-FLOW enables it to model the composition in language, yielding representation of segments at different intermediate layers. TIME-FLOW is aware of the sequential direction, inherited from the space-awareness of CNN, but it is not sensitive enough about the prediction task, due to the uniform weights in the convolution.

On the other hand, TIME-ARROW, living in location-dependent parameters of convolution units, acts like an arrow pin-pointing the prediction task. TIME-ARROW has predictive power all by itself, but it concentrates on capturing the direction of time and consequently short on modelling the long-range dependency.

TIME-FLOW and TIME-ARROW have to work together for optimal performance in predicting *what is going to be said*. This intuition

has been empirically verified, as our experiments have demonstrated that TIME-FLOW or TIME-ARROW alone perform inferiorly. One can imagine, through the layer-by-layer convolution and gating, the TIME-ARROW gradually picks the most relevant part from the representation of TIME-FLOW for the prediction task, even if that part is long distance ahead.

3.4.2 *gen*CNN vs. RNN-LM

Different from RNNs, which recursively applies a relatively simple processing units, *gen*CNN gains its ability on sequence modeling mostly from its flexible and powerful bottom-up and convolution architecture. *gen*CNN takes the “uncompressed” history, therefore avoids

- the difficulty in finding the representation for history, e.g., those end in the middle of a chunk (e.g., “the cat sat on the”),
- the damping effort in RNN when the history-summarizing hidden state is updated at each time stamp, which renders the long-range memory rather difficult,

both of which can only be partially ameliorated with complicated design of gates (Hochreiter and Schmidhuber, 1997) and or more heavy processing units (essentially a fully connected DNN) (Sutskever et al., 2014).

4 *gen*CNN: Training

The parameters of a *gen*CNN $\bar{\Theta}$ consists of the parameters for CNN Θ_{nn} , word-embedding Θ_{embed} , and the parameters for soft-max $\Theta_{softmax}$. All the parameters are jointly learned by maximizing the likelihood of observed sentences. Formally the log-likelihood of sentence \mathcal{S}_n ($\stackrel{\text{def}}{=} [\mathbf{e}_1^{(n)}, \mathbf{e}_2^{(n)}, \dots, \mathbf{e}_{T_n}^{(n)}]$) is

$$\log p(\mathcal{S}_n; \bar{\Theta}) = \sum_{t=1}^{T_n} \log p(\mathbf{e}_t^{(n)} | \mathbf{e}_{1:t-1}^{(n)}; \bar{\Theta}),$$

which can be trivially split into T_n training instances during the optimization, in contrast to the training of RNN that requires unfolding through time due to the temporal-dependency of the hidden states.

4.1 Implementation Details

Architectures: In all of our experiments (Section 5 and 6) we set the maximum words for α CNN to be 30 and that for β CNN to be 20. α CNN have two convolution layers (both containing TIME-FLOW and TIME-ARROW convolution) and two gating layers, followed by a fully connected layer (400 dimension) and then a soft-max layer. The numbers of feature-maps for TIME-FLOW are respectively 150 (1st convolution layer) and 100 (2nd convolution layer), while TIME-ARROW has the same feature-maps. β CNN is relatively simple, with two convolution layer containing only TIME-FLOW with 150 feature-maps, two gating layers and a fully connected layer. We use ReLU as the activation function for convolution layers and switch to Sigmoid for fully connected layers. We use word embedding with dimension 100.

Soft-max: Calculating a full soft-max is expensive since it has to enumerate all the words in vocabulary (in our case 40K words) in the denominator. Here we take a simple hierarchical approximation of it, following (Bahdanau et al., 2014). Basically we group the words into 200 clusters (indexed by c_m), and factorize (in an approximate sense) the conditional probability of a word $p(\mathbf{e}_t | \mathbf{e}_{1:t-1}; \bar{\Theta})$ into the probability of its cluster and the probability of \mathbf{e}_t given its cluster

$$p(c_m | \mathbf{e}_{1:t-1}; \bar{\Theta}) p(\mathbf{e}_t | c_m; \Theta_{softmax}).$$

We found that this simple heuristic can speed-up the optimization by 5 times with only slight loss of accuracy.

Optimization: We use stochastic gradient descent with mini-batch (size 500) for optimization, aided further by AdaGrad (Duchi et al., 2011). For initialization, we use Word2Vec (Mikolov et al., 2013) for the starting state of the word-embeddings (trained on the same dataset as the main task), and set all the other parameters by randomly sampling from uniform distribution in $[-0.1, 0.1]$. The optimization is done mainly on a Tesla K40 GPU, which takes about 2 days for the training on a dataset containing 1M sentences.

5 Experiments: Sentence Generation

In this experiment, we randomly generate sentences by recurrently sampling

$$\mathbf{e}_{t+1}^* \sim p(\mathbf{e}_{t+1} | \mathbf{e}_{1:t}; \bar{\Theta}),$$

and put the newly generated word into history, until `EOS` (end-of-sentence) is generated. We consider generating two types of sentences: 1) the plain sentences, and 2) sentences with dependency parsing, which will be covered respectively in Section 5.1 and 5.2.

5.1 Natural Sentences

We train *genCNN* on Wiki data with 112M words for one week, with some representative examples randomly generated given in Table 1 (upper and middle blocks). We try two settings, by letting *genCNN* generate a sentence 1) from the very beginning (middle block), or 2) starting with a few words given by human (upper block). It is fairly clear that most of the time *genCNN* can generate sentences that are syntactically grammatical and semantically meaningful. More specifically, most of the sentences can be aligned to a parse tree with reasonable structure. It is also worth noting that quotation marks (`` and '') are always generated in pairs and in the correct order, even across a relatively long distance, as exemplified by the first generated sentence in the upper block.

5.2 Sentences with Dependency Tags

For training, we first parse (Klein and Manning, 2002) the English sentences and feed sequences with dependency tags as follows

```
( I * like ( red * apple ) )
```

to *genCNN* in training, where 1) each paired parentheses contain a subtree, and 2) the symbol “*” indicates that the word next to it is the dependency head in the corresponding subtree. Some representative examples generated by *genCNN* are given in Table 1 (bottom block). As it suggests, *genCNN* is fairly accurate on respecting the rules of parentheses, and probably more remarkably, it can get the dependency tree head right most of the time.

6 Experiments: Language Modeling

We evaluate our model as a language model in terms of both perplexity (Brown et al., 1992) and its efficacy in re-ranking the n -best candidates from state-of-the-art models in statistical machine translation, with comparison to the following competitor language models.

Competitor Models we compare *genCNN* to the following competitor models

- 5-gram: We use SRI Language Modeling Toolkit (Stolcke and others, 2002) to train a 5-gram language model with modified Kneser-Ney smoothing;
- FFN-LM: The neural language model based on feedforward network (Vaswani et al., 2013). We vary the input window-size from 5 to 20, while the performance stops increasing after window size 20;
- RNN: we use the implementation¹ of RNN-based language model with hidden size 600;
- LSTM: we adopt the code in Groundhog², but vary the hyper-parameters, including the depth and word-embedding dimension, for best performance. LSTM (Hochreiter and Schmidhuber, 1997) is widely considered to be the state-of-the-art for sequence modeling.

6.1 Perplexity

We test the performance of *genCNN* on PENN TREEBANK and FBIS, two public datasets with different sizes.

6.1.1 On PENN TREEBANK

Although a relatively small dataset³, PENN TREEBANK is widely used as a language modelling benchmark (Graves, 2013; Mikolov et al., 2010). It has 930,000 words in training set, 74,000 words in validation set, and 82,000 words in test set. We use exactly the same settings as in (Mikolov et al., 2010), with a 10,000-words vocabulary (all out-of-vocabulary words are replaced with `unknown`)

¹<http://rnnlm.org/>

²<https://github.com/lisa-groundhog/GroundHog>

³<http://www.fit.vutbr.cz/~imikolov/rnnlm/simple-examples.tgz>

<u>''</u> we are in the building of china 's social development and the businessmen audience , '' he said .
<u>clinton</u> was born in DDDD , and was educated at the university of edinburgh.
<u>bush</u> 's first album , '' the man '' , was released on DD november DDDD .
<u>it is one</u> of the first section of the act in which one is covered in real place that recorded in norway .
this objective is brought to us the welfare of our country
russian president putin delivered a speech to the sponsored by the 15th asia pacific economic cooperation (apec) meeting in an historical arena on oct .
light and snow came in kuwait and became operational , but was rarely placed in houston .
johnson became a drama company in the DDDDs , a television broadcasting company owned by the broadcasting program .
((the two * sides) * should (* assume (a strong * target))) .)
(it * is time (* in (every * country) * signed (the * speech)) .)
((initial * investigations) * showed (* that (spot * could (* be (further * improved significantly)) .)
((a * book (to * northern (the 21 st * century))) .)

Table 1: Examples of sentences generated by *genCNN*. In the upper block (row 1-4) the underline words are given by the human; In the middle block (row 5-8), all the sentences are generated without any hint. The bottom block (row 9-12) shows the sentences with dependency tag generated by *genCNN* trained with parsed examples.

and end-of-sentence token (EOS) at the end of each sentence. In addition to the conventional testing strategy where the models are kept unchanged during testing, Mikolov et al. (2010) proposes to also update the parameters in an online fashion when seeing test sentences. This new way of testing, named “dynamic evaluation”, is also adopted by Graves (2013).

From Table 2 *genCNN* manages to give perplexity superior in both metrics, with about 25 point reduction over the widely used 5-gram, and over 10 point reduction from LSTM, the state-of-the-art and the second-best performer.

6.1.2 On FBIS

The FBIS corpus (LDC2003E14) is relatively large, with 22.5K sentences and 8.6M English words. The validation set is NIST MT06 and test set is NIST MT08. For training the neural network, we limit the vocabulary to the most frequent 40,000 words, covering $\sim 99.4\%$ of the corpus. Similar to the first experiment, all out-of-vocabulary words are replaced with unknown and the EOS token is counted in the sequence loss.

From Table 3 (upper block), *genCNN*

Model	Perplexity	Dynamic
5-gram, KN5	141.2	–
FFNN-LM	140.2	–
RNN	124.7	123.2
LSTM	126	117
<i>genCNN</i>	116.4	106.3

Table 2: PENN TREEBANK results, where the 3rd column are the perplexity in dynamic evaluation, while the numbers for RNN and LSTM are taken as reported in the paper cited above. The numbers in boldface indicate that the result is significantly better than *all competitors* in the same setting.

clearly wins again in the comparison to competitors, with over 25 point margin over LSTM (in its optimal setting), the second best performer. Interestingly *genCNN* outperforms its variants also quite significantly (bottom block): 1) with only TIME-ARROW (same number of feature-maps), the performance deteriorates considerably for losing the ability of capturing long range correlation reliably; 2) with only TIME-TIME the performance gets even worse,

Model	Perplexity
5-gram, KN5	278.6
FFN-LM(5-gram)	248.3
FFN-LM(20-gram)	228.2
RNN	223.4
LSTM	206.9
<i>gen</i> CNN	181.2
TIME-ARROW only	192
TIME-FLOW only	203
α CNN only	184.4

Table 3: FBIS results. The upper block (row 1-6) compares *gen*CNN and the competitor models, and the bottom block (row 7-9) compares different variants of *gen*CNN.

for partially losing the sensitivity to the prediction task. It is quite remarkable that, although α CNN (with $L_\alpha = 30$) can achieve good results, the recursive structure in full *gen*CNN can further decrease the perplexity by over 3 points, indicating that *gen*CNN can benefit from modeling the dependency over range as long as 30 words.

6.2 Re-ranking for Machine Translation

In this experiment, we re-rank the 1000-best English translation candidates for Chinese sentences generated by statistical machine translation (SMT) system, and compare it with other language models in the same setting.

SMT setup The baseline hierarchical phrase-based SMT system (Chinese \rightarrow English) was built using Moses, a widely accepted state-of-the-art, with default settings. The bilingual training data is from NIST MT2012 constrained track, with reduced size of 1.1M sentence pairs using selection strategy in (Axelrod et al., 2011). The baseline use conventional 5-gram language model (LM), estimated with modified Kneser-Ney smoothing (Chen and Goodman, 1996) on the English side of the 329M-word Xinhua portion of English Gigaword(LDC2011T07). We also try FFN-LM, as a much stronger language model in decoding. The weights of all the features are tuned via MERT (Och and Ney, 2002) on NIST MT05, and tested on NIST MT06 and MT08. Case-

Models	MT06	MT08	Ave.
Baseline	38.63	31.11	34.87
RNN rerank	39.03	31.50	35.26
LSTM rerank	39.20	31.90	35.55
FFN-LM rerank	38.93	31.41	35.14
<i>gen</i> CNN rerank	39.90	32.50	36.20
Base+FFN-LM	39.08	31.60	35.34
<i>gen</i> CNN rerank	40.4	32.85	36.63

Table 4: The results for re-ranking the 1000-best of Moses. Note that the two bottom rows are on a baseline with enhanced LM.

insensitive NIST BLEU⁴ is used in evaluation.

Re-ranking with *gen*CNN significantly improves the quality of the final translation. Indeed, it can increase the BLEU score by over 1.33 point over Moses baseline on average. This boosting force barely slacks up on translation with a enhanced language model in decoding: *gen*CNN re-ranker still achieves 1.29 point improvement on top of Moses with FFN-LM, which is 1.76 point over the Moses (default setting). To see the significance of this improvement, the state-of-the-art Neural Network Joint Model (Devlin et al., 2014) usually brings less than one point increase on this task.

7 Related Work

In addition to the long thread of work on neural network based language model (Auli et al., 2013; Mikolov et al., 2010; Graves, 2013; Bengio et al., 2003; Vaswani et al., 2013), our work is also related to the effort on modeling long range dependency in word sequence prediction(Wu and Khudanpur, 2003). Different from those work on hand-crafting features for incorporating long range dependency, our model can elegantly assimilate relevant information in an unified way, in both long and short range, with the bottom-up information flow and convolutional architecture.

CNN has been widely used in computer vision and speech (Lawrence et al., 1997; Krizhevsky et al., 2012; LeCun and Bengio, 1995; Abdel-Hamid et al., 2012), and lately in sentence representation(Kalchbrenner and

⁴<ftp://jaguar.ncsl.nist.gov/mt/resources/mteval-v11b.pl>

Blunsom, 2013), matching(Hu et al., 2014) and classification(Kalchbrenner et al., 2014). To our best knowledge, it is the first time this is used in word sequence prediction. Model-wise the previous work that is closest to *genCNN* is the convolution model for predicting moves in the Go game (Maddison et al., 2014), which, when applied recurrently, essentially generates a sequence. Different from the conventional CNN taken in (Maddison et al., 2014), *genCNN* has architectures designed for modeling the composition in natural language and the temporal structure of word sequence.

8 Conclusion

We propose a convolutional architecture for natural language generation and modeling. Our extensive experiments on sentence generation, perplexity, and *n*-best re-ranking for machine translation show that our model can significantly improve upon state-of-the-arts.

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