

TencentFmRD Neural Machine Translation for WMT18

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Abstract

This paper describes the Neural Machine Translation (NMT) system of TencentFmRD for Chinese↔English news translation tasks of WMT 2018. Our systems are neural machine translation systems trained with our original system TenTrans. TenTrans is an improved NMT system based on Transformer self-attention mechanism. In addition to the basic settings of Transformer training, TenTrans uses multi-model fusion techniques, multiple features reranking, different segmentation models and joint learning. Finally, we adopt some data selection strategies to fine-tune the trained system and achieve a stable performance improvement. Our Chinese→English system achieved the second best BLEU scores and fourth best cased BLEU scores among all WMT18 submitted systems.

1 Introduction

End-to-end neural machine translation (Cho et al., 2014; Sutskever et al., 2014; Bahdanau et al., 2015) based on self-attention mechanism (Vaswani et al., 2017), the Transformer, has become promising paradigm in field of machine translation academia and industry. Experiments show Transformer, which does not rely on any convolutional or recurrent networks, to be superior in translation performance while being more parallelizable and requiring significantly less time to train. The training part of this paper is an improvement on the tensor2tensor¹ open source project based on the Transformer architecture, and the inference part is completely original, and we called this system TenTrans. We participated in two directions of translation tasks: English→Chinese and Chinese→English.

We divide TenTrans system into three parts to introduce in this paper. First, we introduce how

to train better translation model, that is, the training phase. Second, we describe how good models can generate better translation candidates, that is, the inference phase. Finally, we describe N -best rescoring phase, which ensures that translation results which are closer to the expression typically produced by users are chosen. Our experimental setup is based on recent promising techniques in NMT, including using Byte Pair Encoding (BPE) (Sennrich et al., 2016b) and mixed word/character segmentation rather than words as modeling units to achieve open-vocabulary translation (Luong and Manning, 2016), using back-translation (Sennrich et al., 2016a) method and joint training (Zhang et al., 2018) applied to make use of monolingual data to enhance training data. And we also improve the performance using an ensemble based on six variants of the same network, which are trained with different parameter settings.

In addition, we design multi-dimensional features for strategic integration to select the best candidate from n -best translation lists. Then we perform minimum error rate training (MERT) (Och, 2003) on validation set to give different features corresponding reasonable weights. And we process named entities, such as person name, location name and organization name into generalization types in order to improve the performance of unknown named entity translation (Wang et al., 2017). Finally, we adopt some data selection strategies (Li et al., 2016) to fine-tune the trained system and achieve a stable performance improvement.

Our Chinese→English system achieved the second best BLEU (Papineni et al., 2002) scores and fourth best cased BLEU scores among all WMT18 submitted systems. The remainder of this paper is organized as follows: Section 2 describes the system architecture of TenTrans. Section 3 states

¹<https://github.com/tensorflow/tensor2tensor>

all experimental techniques used in WMT18 news translation tasks. Section 4 shows designed features for reranking n -best lists. Section 5 shows experimental settings and results. Finally, we conclude in section 6.

2 System Architecture of TenTrans

In this work, TenTrans has the same overall architecture as the Transformer: that is, it uses stacked self-attention and point-wise, fully connected layers for both the encoder and decoder. The encoder and decoder both are composed of a stack of $N = 6$ identical layers. Each layer has two sub-layers, multi-head self-attention mechanism and position-wise connected feed-forward network. We add a residual connection (He et al., 2016) around each of the two sub-layers, followed by layer normalization (Ba et al., 2016). The left part of training phase in Figure 1 describes the structure of the basic sub-layer in the encoder and decoder. In addition to the two sub-layers in each encoder layer, the decoder inserts a third sub-layer, which performs multi-head attention over the output of the encoder stack. In this work we employ *multi-head* = 16 heads, that is, parallel attention layers.

For all our models, we adopt Adam (Kingma and Ba, 2015) ($\beta_1 = 0.9$, $\beta_2 = 0.98$, $\epsilon = 10^{-9}$) as optimizer. We use model hidden state dimension 1024, the same as input embedding dimension and output embedding dimension. We linearly increase the learning rate whose initial value is 0.1 in the first *warmup* = 6000 training steps, and then anneal with the same way as Transformer. We use synchronous mini-batch SGD (Dean et al., 2012) training with *batch_size* = 6144 and data parallelism on 8 NVIDIA Tesla P40 GPUs. We clip the gradient norm to 1.0 (Pascanu et al., 2013). We apply residual dropout (Zaremba et al., 2014; Srivastava et al., 2014) with $P_{rd} = 0.3$ to avoid overfitting. In training, we don't just focus on the word with highest probability score, but let the likelihood calculation be smoother, so applying label smoothing (Szegedy et al., 2016) with $\epsilon_{ls} = 0.1$. All weight parameters are initialized according to uniform distribution in the interval $[-0.08, 0.08]$. We will early stop training (Sennrich et al., 2017) when there is no new maximum value of the validation BLEU for 10 consecutive save-points (saving every 10000 updates) and select the model with the highest BLEU score on the validation set.

We mainly optimize TenTrans system through three parts. First, through the first part of Figure 1, multiple models are trained, and then the data selection method is used to continue to fine tune the system. Then, through the second part of Figure 1, the combination of best multiple models is used to decode the monolingual corpus to generate pseudo-bilingual data, and then the pseudo-bilingual data is proportionally added to the training set to continue the training of the first stage, and these two phases are continuously iterated until convergence. Finally, the third stage, N -best rescoring phase, finds the best translation result among the translation candidates by designed multiple sets of features. In order to learn the corresponding weights of multiple sets of features, the optimization is carried out through minimum error rate training (MERT).

3 Experimental Techniques

This section mainly introduces the techniques used in training and inference phase.

3.1 Multi-model Fusion Technology

For multi-model fusion, we try three strategies:

Checkpoint ensembles (CE), refers to the last N checkpoints saved during a single model training, where N is set to 10. In addition, we add the best 10 models saved during the early stopping training.

Independent parameter ensembles (IPE), refers to firstly training N models with different initialization parameters, and then weighting the average probability distribution of multiple models when softmax layer is calculated. Here we set N to 6, and we make better models have relatively higher weights, and poorer models have relatively lower weights.

Independent model ensembles (IME). An independent model ensemble is a set of models, each of which has been assigned a weight. It is not necessary to perform calculating the probability distribution in the inference process. Our experimental results show that this method performs slightly lower than IPE method, but the advantage is that the decoding speed is the same as the single model decoding.

In this work, we use the checkpoint ensemble method to initially integrate each single model, and then use the independent parameter ensemble method to perform multi-model integration in the

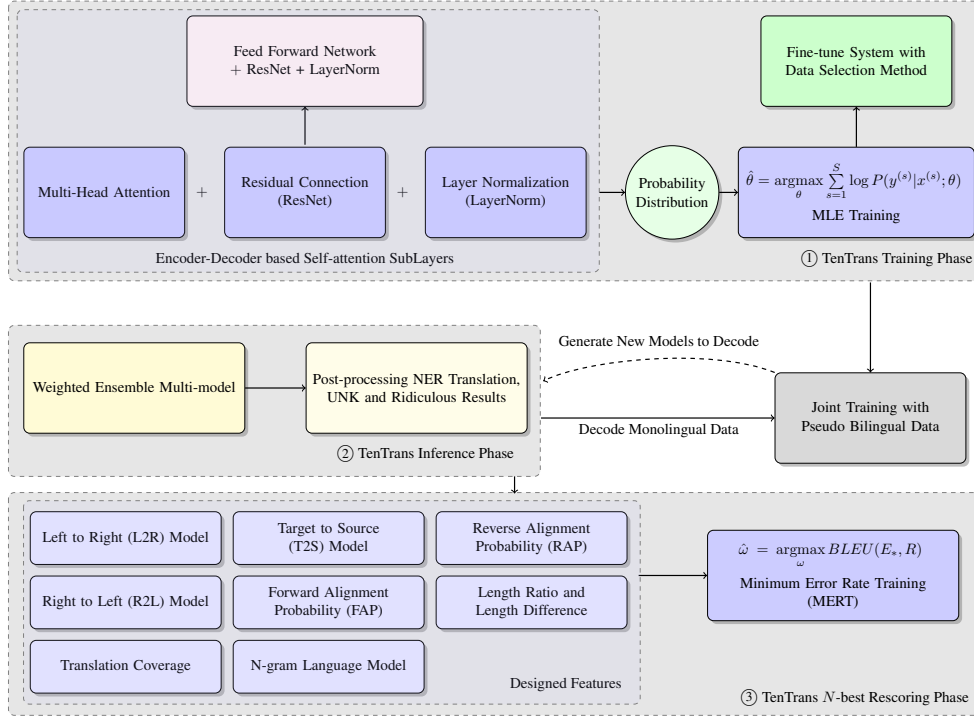


Figure 1: An illustration of system architecture of TenTrans. θ indicates model parameters being trained, and s indicates a training sample containing a source language x and a target language y . ω are the feature weight parameters being tuned by MERT. Ridiculous results mainly refer to translation results that are extremely long or short and clearly inconsistent with the source language.

stage of generating the final result of the system. The independent model ensemble method is used to decode monolingual corpus to generate pseudo-bilingual data during joint training.

3.2 Fine-tune System with Data Selection Method

In mainstream machine translation systems, network parameters are fixed after the training is finished. The same model will be applied to various test sentences. A very important problem with this approach is that it is difficult for a model to self-adapt to different sentences, especially when there is a big difference between the test set field and training set field. To alleviate this problem, (Li et al., 2016) proposed to search similar sentences in the training data using the test sentence as a query, and then fine tune the NMT model using the retrieved training sentences for translating the test sentence. We follow the strategy of (Li et al., 2016). This method firstly learns the general model from the entire training corpus. Then, for each test sentence, we extract a small subset from the training data, consisting of sentence pairs whose source sides are similar to the testing sen-

tence. The subset is used to fine tune the general model and get a specific model for every sentence. To calculate similarity between two sentences, we adopt Levenshtein distance, which calculates the minimum steps for converting a string to another string using insertion, deletion and substitution these operations. We firstly filter the training corpus by only considering those which have common words with the testing sentence, and then compute similarity with the filtered set. In order to speed up the calculation, we use the inverted index method.

3.3 Joint Training

This work uses the monolingual corpus to enhance the training set by joint training. Joint training refers to the use of the corresponding additional target side and source side monolingual data at the source-to-target (S2T) and the target-to-source (T2S) translation model, and jointly optimizing the two translation models through an iterative process. In each iteration, T2S model is used to generate pseudo bilingual data for S2T with target-side monolingual data, and S2T model is used to generate pseudo bilingual data for T2S

Algorithm 1 Joint Training Algorithm in TenTrans System

Input: original bilingual data B , source monolingual data X_m , target monolingual data Y_m

Output: trained S2T models $M_{s2t}^i (i = 1 \dots 6)$ and T2S models $M_{t2s}^i (i = 1 \dots 6)$

- 1: Train 6 $M_{s2t}^i (i = 1 \dots 6)$ and 6 $M_{t2s}^i (i = 1 \dots 6)$ with different parameters
 - 2: $n \leftarrow 1$
 - 3: **while** Not Converged **do**
 - 4: Integrate 6 $M_{s2t}^i (i = 1 \dots 6)$ to generate M_{s2t}^{ens} with IME method
 - 5: Integrate 6 $M_{t2s}^i (i = 1 \dots 6)$ to generate M_{t2s}^{ens} with IME method
 - 6: Use M_{t2s}^{ens} to generate pseudo-training data F_{t2s} by translating Y_m
 - 7: Use M_{s2t}^{ens} to generate pseudo-training data F_{s2t} by translating X_m
 - 8: New corpus to train $M_{s2t}^i (i = 1 \dots 6)$, $C_{s2t} \leftarrow n \times B + F_{t2s}$
 - 9: New corpus to train $M_{t2s}^i (i = 1 \dots 6)$, $C_{t2s} \leftarrow n \times B + F_{s2t}$
 - 10: $n \leftarrow n + 1$
 - 11: Train M_{s2t}^i with $L(\theta_{s2t}) = \sum_{s=1}^S \log P(y^{(s)}|x^{(s)}) + \sum_{t=1}^T \log P(y^{(t)}|x^{(t)})P'(x^{(t)}|y^{(t)})$ using C_{s2t} ²
 - 12: Train M_{t2s}^i with $L(\theta_{t2s}) = \sum_{s=1}^S \log P(x^{(s)}|y^{(s)}) + \sum_{t=1}^T \log P(x^{(t)}|y^{(t)})P'(y^{(t)}|x^{(t)})$ using C_{t2s} ²
 - 13: **end while**
-

with source-side monolingual data. This joint optimization approach enables the translation model in both directions to be improved, and generating better pseudo-training data to be added to the training set. Therefore, in the next iteration, it can train better T2S model and S2T model, so on and so forth. The right part of the decoding phase of Figure 1 outlines the iterative process of joint training. In addition, in order to solve the problem that back-translation often generates pseudo data with poor translation quality and thus affects model training, the generated training sentence pairs are weighted so that the negative impact of noisy translations can be minimized in joint training. Original bilingual sentence pairs are all weighted as 1, while the synthetic sentence pairs are weighted as the normalized corresponding model output probability. For the specific practice of joint training in this paper, see Algorithm 1.²

3.4 Different Modeling Units

We use BPE³ with 50K operations in both source side and target side of Chinese→English translation. In English→Chinese translation task, we

²Here $P'(x^{(t)}|y^{(t)})$ refers to translation probability of M_{t2s}^{ens} translating monolingual sentence $y^{(t)}$ to generate $x^{(t)}$, $P'(y^{(t)}|x^{(t)})$ refers to translation probability of M_{s2t}^{ens} translating monolingual sentence $x^{(t)}$ to generate $y^{(t)}$, $P(y^{(s)}|x^{(s)})$ denotes translation probability of $x^{(s)} \rightarrow y^{(s)}$ during training S2T model, and $P(x^{(s)}|y^{(s)})$ denotes translation probability of $y^{(s)} \rightarrow x^{(s)}$ during training T2S model.

³<https://github.com/rsennrich/subword-nmt>

use BPE with 50K operations in English source side, and use mixed word/character segmentation in Chinese target side. We keep the most frequent 60K Chinese words and split other words into characters. In post-processing step, we simply remove all the spaces.

3.5 NER Generalization Method

To alleviate poor translation performance of named entities, we first use the pre-defined tags to replace named entities in training set to train a tagged NMT system, for example, use \$number for numbers, \$time for time, \$date for date, \$psn for person name, \$loc for location name, \$org for organization name. Then the key to the problem is how to identify these entities and classify them into corresponding types accurately. In order to solve this problem, we classify these entities into two types, one type that can be identified by rules, and the other type that can be identified by classification models. To decide whether an entity is a time, a number, or a date, we use finite automata (FA) (Thatcher and Wright, 1968). Aiming at the names of people, location names, and organization names, we first use biLSTM-CRF⁴ (Lample et al., 2016; Huang et al., 2015) to train a Chinese sequence tagging model on "People's Daily 1998" data set and an English sequence tagging model on CoNLL2003 data set, and then identify named entities at the source and target language side of the training set.

⁴https://github.com/guillaumegethial/sequence_tagging

In the test phase, we first convert these entities in the test sentences into corresponding predefined tags, and then directly using the tagged NMT system to translate the sentences. When a tag is generated at target side, we select the corresponding translation of the word in the source language side that has the highest alignment probability based attention probability with the same as tag type in target side. If the source side does not have the same type of tag, delete the current tag directly. In order to obtain the corresponding translation of each entity vocabulary, we obtain it in the phrase extraction stage in statistical machine translation (SMT) (Koehn, 2009). We extract a phrase pair with one source word number from phrase table, and then use target side of the phrase pair with highest frequency of occurrence as the translation of the word to construct a bilingual translation dictionary. Although this method has not greatly improved the BLEU evaluation metric, it is of great benefit to the readability of the translation result for human. We use UNK to represent out-of-vocabulary (OOV) words, and translate it in the same way as above.

4 Experimental Features

This section focuses on the features designed to help choose translation results which are closer to the way normal user expressions - that is, it focuses on the N -best rescoring phase. Several features designed in this work can be seen in the left part of third phase in Figure 1.

4.1 Right to Left (R2L) Model

Since the current translation models all carry out modeling from left to right, there is a tendency for the prefix part of translation candidates to be of higher quality than the suffix part (Liu et al., 2016). In order to alleviate this problem of translation imbalance, we adopt a right-to-left translation modeling method. Two R2L modeling method are used in this work: the first is that only the target data is inversed, and the second is that both the target data and the source data are inversed. Then, two models, R2L model and R2L-both model were trained. Finally, we also reverse the n -best lists and calculate the likelihood probability of each translation candidate given the source sentence using these two models. Each model mentioned above is integrated by training 6 models with different parameters.

4.2 Target to Source Model

Neural machine translation models often have the phenomena of missing translation, repeated translation, and obvious translation deviation (Tu et al., 2017). In order to alleviate this problem, we use the target-to-source translation system to reconstruct the source-to-target translation results to the source sentence. This approach can make it very difficult to reproduce poor translation results to the source sentence, and the corresponding probability score will be low. Similarly, these models are all integrated by multiple models.

4.3 Alignment Probability

In order to express the degree of mutual translation between the translation candidate and source sentence at the lexical level, the lexical alignment probability feature is adopted. This paper uses two kinds of alignment probabilities, forward alignment probability and backward alignment probability. The forward alignment probability indicates the degree of alignment of source language vocabulary to the target language vocabulary, while the backward alignment probability indicates the degree of alignment of target language vocabulary to the source language vocabulary. We obtain the alignment score by *fast-align* toolkit⁵ (Dyer et al., 2013).

4.4 Length Ratio and Length Difference

In order to reflect the length ratio between source sentence and translation candidates, we designed the length ratio feature $R_{len} = Len(source)/Len(candidate)$ and the length difference feature $D_{len} = Len(source) - Len(candidate)$.

4.5 Translation Coverage

To reflect whether words in the source language sentences have been translated, we introduce translation coverage feature. This feature is calculated by adding one to the feature value if the source language words has been translated. We use the *fast-align* toolkit to count the top 50 target words with highest probability of aligning each source language word as the translation set of this source word.

⁵https://github.com/clab/fast_align

System	C2E	E2C
baseline	23.32	33.06
+CE (checkpoint ensemble)	24.06	33.84
+IPE	25.98	35.58
+back-translation	26.49	36.0
+joint training	26.96	36.51
+fine-tune	27.63	37.29
+NER generalization	27.74	37.43
+reranking (beam size 12)	29.72	39.03
+reranking (beam size 100)	30.13	39.49
submitted system	30.21	39.61

Table 1: Chinese \leftrightarrow English BLEU results on WMT18 validation set. The "C" and "E" denotes Chinese and English respectively.

4.6 N -gram Language Model

For English, the word-level 5-gram language model is trained on the mixing corpus of "News Crawl: articles from 2016" selected by news-dev2018 and English side of the training data. For Chinese, the character-level 5-gram language model is trained on the XMU. This work uses KenLM⁶ toolkit (Heafield, 2011) to train n -gram language model.

4.7 Minimum Error Rate Training (MERT)

Obviously, some of the above features may be very powerful, while some of the effects are not particularly obvious. Therefore, we need to give each feature a corresponding weight. Our optimization goal is to find a set of feature weights that make the model score of translation candidates higher and the corresponding BLEU score higher. Therefore, we use minimum error rate training method to learn the feature weights

$$\begin{aligned}
\omega^* &= \operatorname{argmin}_{\omega} \operatorname{Error}(E_*, R) \\
&= \operatorname{argmin}_{\omega} (1 - \operatorname{BLEU}(E_*, R)) \quad (1) \\
&= \operatorname{argmax}_{\omega} \operatorname{BLEU}(E_*, R)
\end{aligned}$$

where ω^* indicates tuned weights, E_* indicates the best translation candidate for the source language and R represents the corresponding reference translation.

⁶<https://github.com/kpu/kenlm>

5 Experimental Settings and Results

In all experiments, we report case-sensitive and detokenized BLEU using the NIST BLEU scorer. For Chinese output, we split to characters using the script supplied for WMT18 before running BLEU. In training and decoding phase, the Chinese sentences are segmented using Niu-Trans (Xiao et al., 2012) Segmenter. For English sentences, we use the Moses (Koehn et al., 2007) tokenizer⁷.

We used all the training data of WMT2018 Chinese \leftrightarrow English Translation tasks, firstly filtering out bilingual sentences with unrecognizable code, large length ratio difference, duplications and wrong language coding, then filtering out bilingual sentences with poor mutual translation rate by using *fast-align* toolkit. After data cleaning, 18.5 million sentence pairs remained. We used beam search with a beam size of 12, length penalty $\alpha = 0.8$ for Chinese \rightarrow English system and length penalty $\alpha = 1.0$ for English \rightarrow Chinese system. In order to recover the case information, we use Moses toolkit to train SMT-based recaser on English corpus. In addition, we also use some simple rules to restore the case information of the results. The size of the Chinese vocabulary and English vocabulary is 64k and 50k respectively after BPE operation. Table 1 shows the Chinese \leftrightarrow English translation results on development set of WMT2018. Wherein reranking refers to multi-feature based rescore method mentioned above. The submitted system in Table 1 has slightly better performance than is seen in the previous experiment because we have manually written some rules. As can be seen from the Table 1, when we increase the size of n -best from 12 to 100, the performance is improved by 0.41 BLEU after reranking based on multiple features.

6 Conclusion

In training phase of TenTrans, we report five experimental techniques. In the rescoring phase, we designed multiple features to ensure that candidates which are more likely to be produced by users are as close as possible to the top of n -best lists. Finally, our Chinese \rightarrow English system achieved the second best BLEU scores among all WMT18 submitted systems.

⁷<https://github.com/moses-smt/mosesdecoder/blob/master/scripts/tokenizer/tokenizer.perl>

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