Supplement to "Knowledgeable Reader: Enhancing Cloze-Style Reading Comprehension with External Commonsense Knowledge"

Todor Mihaylov and Anette Frank Research Training Group AIPHES Department of Computational Linguistics, Heidelberg University Heidelberg, Germany {mihaylov, frank}@cl.uni-heidelberg.de

A Model and Implementation Details

A detailed visualization of our model, described in Section 2.2 of the main paper is shown in Fig. 1.

1.1 Knowledge Encoding

We describe the fact encoding and provide comprehensive visualization of the Bi-directional GRU execution on Figure 2. For each instance in the dataset, we retrieve a number of relevant facts Each retrieved fact is represented as a triple $f = (w_{1..L_{subj}}^{subj}, w_0^{rel}, w_{1..L_{obj}}^{obj})$, where $w_{1..L_{subj}}^{subj}$ and $w_{1..L_{obj}}^{obj}$ are a multi-word expressions representing the *subject* and *object* with sequence lengths L_{subj} and L_{obj} , and w_0^{rel} is a word token corresponding to a relation.¹ As a result of fact encoding, we obtain a separate knowledge memory for each instance in the data.

To encode the knowledge we use a BiGRU to encode the triple argument tokens into the following context-encoded representations:

$$f_{last}^{subj} = BiGRU(Emb(w_{1..L_{subj}}^{subj}), 0) \quad (1)$$

$$f_{last}^{rel} = BiGRU(Emb(w_0^{rel}), f_{last}^{subj})$$
 (2)

$$f_{last}^{obj} = BiGRU(Emb(w_{1..L_{subj}}^{obj}), f_{last}^{rel})$$
(3)

, where f_{last}^{subj} , f_{last}^{rel} , f_{last}^{obj} are the final hidden states of the context encoder BiGRU, that are also used as initial representations for the encoding of the next triple attribute in left-to-right order. The motivation behind this encoding is: (i) We encode the knowledge fact attributes in the same vector space as the plain tokens; (ii) we preserve the triple directionality; (iii) we use the relation type as a way of filtering the *subject* information to initialize the *object*.

1.2 Model Implementation Parameters

We implement our model in *TensorFlow 0.12* (Abadi et al., 2015). Below we report preprocessing steps and hyper-parameters required for reproducing the model.

Dataset. We perform experiments on the *Common Nouns* and *Named Entities* parts of the Children's Book Test (CBT) (Hill et al., 2015).²

Pre-processing. For each instance of the dataset (21 sentences, 20 for the story and 1 for question), we remove the line number, which is originally presented in the text as a first token of the sentence and split the tokens using *str.split()* in Python 2.7. We then concatenate the tokens for the sentences in the story into a single list of story tokens $d_{1..m}$.

Knowledge Source. We use knowledge from the Open Mind Common Sense (OMCS, Singh et al. (2002)) part of ConceptNet (Speer et al., 2017), a crowd-sourced resource of commonsense knowledge with a total of \sim 630k facts. ³ The exact knowledge splits required for our experiments will be available in *json* format. ⁴

Vocabulary. To build the vocabulary we select the words that occur at least 5 times in the training set. We extend the vocabulary with all words retrieved from the knowledge source. All words are lowercased. Following Kadlec et al. (2016) we use multiple unknown tokens (UNK₁, UNK₂, ..., UNK₁₀₀). In each example, for each unknown word, we pick randomly an unknown token from

¹The 0 in w_0^{rel} indicates that we encode the relation as a single *relation type* word. Ex. */r/IsUsedFor*.

²The dataset can be downloaded from: http: //www.thespermwhale.com/jaseweston/babi/ CBTest.tgz

³ConceptNet 5 github page: https://github.com/ commonsense/conceptnet5.

⁴Knowledge splits: https: //github.com/tbmihailov/

enhancing-rc-with-commonsense.



Figure 1: The Knowledgeable Reader combines plain *context* & *enhanced* (*context* + *knowledge*) repres. of D and Q and retrieved knowledge from the explicit memory with the *Key-Value* approach.



$$\begin{split} f^{subj} &= [GRU^{fw}([strong, horse]), GRU^{bw}([horse, strong])] \\ f^{rel} &= [GRU^{fw}([strong, horse, UsedFor]), GRU^{bw}([horse, strong, UsedFor])] \\ f^{obj} &= [GRU^{fw}([strong, horse, UsedFor, ride, fast]), GRU^{bw}([horse, strong, UsedFor, fast, ride])] \end{split}$$

Figure 2: Encoding the knowledge triple using BiGRU.

the list and use it for all occurrences of the word in the document (story) and question.

Word Embeddings. We use Glove $100D^5$ word embeddings pre-trained on 6B tokens from Wikipedia and Gigaword5. We initialize the outof-vocabulary words by sampling from a uniform distribution in range [-0.1, 0.1]. We optimize all word embeddings in the first 8000 training steps.

Encoder Hidden Size. We use a hidden size of 256 for the GRU encoder states (512 output for our bi-directional encoding). This setting has

been shown to perform well for the Attention Sum Reader (Kadlec et al., 2016).

Batching, Learning rate, Sampling. We sort the data examples in the training set by document length and create batches with 64 examples. For each training step we pick batches randomly. After every 1000 training steps we evaluate the models on the validation *Dev* set. We train for 60 epochs and pick the model with the highest validation accuracy to make the predictions for *Test*.

Optimization. We use cross entropy loss on the predicted scores for each answer candidate. We use Adam (Kingma and Ba, 2015) optimizer with

⁵The embeddings can be downloaded from: http:// nlp.stanford.edu/data/glove.6B.zip

initial learning rate of 0.001 and clip the gradients in the range [-10, 10].

B Quantitative Analysis

1.3 Additional Ablation Experiments

Due to space limitation in the main paper, we present additional results here. In addition to ablation of model components for 50 facts, we perform experiments for 100 as well. The results are shown in Table 1. The results show a similar tendency, but in this setting, omitting the model without knowledge enrichment yields best results for the CN data.

1.4 Results for Ensemble Models

For each dataset we combine our best 11 runs and use majority voting to predict the answer for our *Ensemble* model.

In Table 2 we show the comparison with multihop models. We report *Accuracy* on the *Dev* and *Test* sets, rounded to the first decimal point as done in previous work. The *AoA Reader* (Cui et al., 2017) uses re-ranking as a post-processing step and the other neural models are not directly comparable.

C Manual Analysis and Visualization

Case 1 We provide an extended illustration of the example discussed in the main paper in Figure 3. We manually inspect examples from the evaluation sets where *KnReader* improves prediction or makes the prediction worse . Figure 3 shows the question with placeholder, followed by answer candidates and their associated attention weights as assigned by the model *w/o knowledge*. The matrix shows selected facts and their learned weights

	NE		CN	
D_{repr} to Q_{repr} interaction	Dev	Test	Dev	Test
D_{ctx}, Q_{ctx} (w/o know)	75.50	70.30	68.20	64.80
$\overline{D_{ctx+kn}, Q_{ctx+kn}}$	75.50	70.28	69.80	65.60
D_{ctx}, Q_{ctx+kn}	74.20	69.88	70.40	66.56
D_{ctx+kn}, Q_{ctx}	77.40	71.40	70.95	67.52
All	76.65	71.52	70.80	67.08
w/o D_{ctx}, Q_{ctx}	76.70	70.68	71.10	67.68
w/o D_{ctx+kn}, Q_{ctx+kn}	76.35	70.88	70.95	67.44
w/o D_{ctx} , Q_{ctx+kn}	76.90	71.32	70.70	67.12
w/o D_{ctx+kn}, Q_{ctx}	76.50	70.64	70.75	66.88

Table 1: Results for different combinations of interactions between document (D) and question (Q) *context* (*ctx*) and *context* + *knowledge* (*ctx*+*kn*) representations. (Subj/Obj, 100 facts)

	NE		CN	
Models	dev	test	dev	test
Human $(ctx + q)$	-	81.6	-	81.6
Ensembl	e			
AS Reader (Kadlec et al., 2016)	74.5	70.6	71.1	68.9
KnReader (ours)	78.0	73.3	72.2	70.6
EpiReader (Trischler et al., 2016)	76.6	71.8	73.6	70.6
IAA Reader (Sordoni et al., 2016)	76.9	72.0	74.1	71.0
AoA Reader (Cui et al., 2017)	78.9	74.5	74.7	70.8
Re-rankir	ıg			
AoA Reader (re-ranking)	79.6	74.0	75.7	73.1
AoA Reader (ens + re-rank)	80.3	75.6	77.0	74.1

Table 2: Comparison of *KnReader* to existing ensemble models and models that use re-ranking.

for question and the candidate tokens. Finally, we show the attention weights determined by the knowledge-enhanced D to Q interactions.

The attention to the correct answer (head) is low when the model considers the text alone (w/oknowledge). When adding retrieved knowledge to the Q only (row ctx, ctx + kn) and to both Q and D (row ctx + kn, ctx + kn) the score improves, while when adding knowledge to D alone (row ctx + kn, ctx) the score remains ambiguous. The combined score Ensemble then takes the final decision for the answer. In this example, the question can be answered without the story. The model tries to find knowledge that is related to eyes. The fact eyes /r/PartOf head is not contained in the retrieved knowledge but instead the model selects the fact ear /r/PartOf head which receives the highest attention from Q. The weighted *Obj* representation (head) is added to the question with the highest weight, together with animal and bird from the next highly weighted facts This results in a high score for the Q_{ctx} to D_{ctx+kn} interaction with candidate head.

Case 2 Figure 4 shows another interesting example. The document is part of the *The kings new clothes* by Hans Christian Andersen. While, given the story, many of the choices are plausible (*cloth, clothes, nothing, air, cloak*) the model without knowledge selects *cloth* as the most probable answer. Adding the knowledge facts reverts the answer. We can speculate that the reason is the fact *clothes /r/Antonym undressed* retrieved by the answer candidate token *clothes* which has multiple occurrences in the text, and since the updated representation combines well with the phrase *put on* which is antonym to undressed *clothes /r/Antonym undressed* and *clothes /r/Antonym naked*. A rea-

Story: ... ' what has a bird , in <u>spite</u> of all his singing , in the winter-time ? he must starve and freeze , and that must be very pleasant for him , i must say ! '



<UNKNWN>_59 did not say anything ; but when the other two had passed on she bent down to the bird , brushed aside the feathers from his xxxxx , and kissed his closed eyes gently .

Figure 3: **Case 1:** Interpreting the components of *KnReader (Full model)*. Adding retrieved knowledge to *Q* and *D* helps the model to increase the score for the correct answer. Results for top 5 candidates are shown. (Subj/Obj as key-value memory, 50 facts, CN5Sel) (Item #357)

son for this could also be the high frequency of clothes in the story. However, the example cannot be answered using the story context alone, as it talks about the imaginary, not existing (*air, noth-ing*) new clothes of the king.

The example also shows what kind of knowledge is missing in our currently used resources: ideally, the question can be answered using information from the question alone, by analyzing the meaning of the phrases *take off your clothes* and *then we will put on the new XXXX*. If they were available, the model could exploit the knowledge that *taking off (clothes)* and *putting on (clothes)* are actions often performed in temporal sequence.

Case 3 In Figure 5 we have an example where the model overcomes the frequency bias of the story (*magician* occurs 4 times) to select a more plausible example (*father*) using the fact *father* /*r*/*Antonym son*.

Case 4 Figure 6 shows an example where a correct initial prediction obtained without knowl-

Story: ... they pretended they were taking the cloth from the loom, cut with huge <u>scissors</u> in the air, sewed with needles without thread, and then said at last, 'now the **clothes** are finished !' the emperor came himself with his most distinguished knights, and each impostor held up his arm just as if he were holding something, and said, 'see ! here are the breeches ! here is the coat ! here the cloak !' and so on .

' spun **clothes** are so comfortable that one would imagine one had nothing on at all ; but that is the beauty of it ! ' ' yes , ' said all the knights , but they could see nothing , for there was nothing there



Figure 4: **Case 2:** Interpreting the components of *KnReader (Full model)*. Adding retrieved knowledge to *Q* and *D* helps the model to increase the score for the correct answer. Results for top 5 candidates are shown. (Subj/Obj as key-value memory, 50 facts, CN5Sel) (Item #52)

edge is reversed and a clearly wrong answer is selected instead. Although a relevant fact is selected (*people /r/UsedFor help you*), apparently, the model misses the information that *brothers are people* and can't combine the acquired concept *help you* with the question context *and with their help dragged* ..., and thus, the correct answer is not sufficiently promoted.

Case 5 The example in Figure 7 illustrates the lack of knowledge about locations. The context of

Story:..... a celebrated magician, who had given the seed to my **father**, promised him that they would grow into the three finest trees the world had ever seen.

' after this i had the beautiful fruit of these trees carefully guarded by my most faithful <u>servants</u>; but every year, on this very night, the fruit was plucked and stolen by an invisible <u>hand</u>, and next morning not a single apple remained on the trees.



for some time past i have given up even having the trees watched .

Figure 5: **Case 3**: Interpreting the components of *KnReader (Full model)*. Adding retrieved knowledge to *Q* and *D* helps the model to increase the score for the correct answer. Results for top 5 candidates are shown. (Subj/Obj as key-value memory, 50 facts, CN5Sel) (Item #240)

Q talks about *climbing up* and while the text-only module selects the right answer *cliff*, the available knowledge modifies the representation and reverses the answer to *sea* which is *usually* on lower level. Here the association is made with a *cliff* and *sea* by the fact *inlet /r/PartOf sea* and *beach /r/PartOf shore*). That is, the context-only neural representation guesses that the plausible answer is similar to *cliff* (*inlet and shores are usually associated with cliff*). Again, we are missing knowledge of actions, e.g., that *climbing* is done to move up steep locations such as hills, or cliffs. In future work we plan to experiment with sources that offer more information about events. **Story:**... in the same village there lived three **brothers**, who were all determined to kill the mischievous hawk his eyelids closed , and his head sank on his shoulders , but the thorns ran into him and were so painful that he awoke at once . the hawk fell heavily under a big stone , severely wounded in its right wing .

the youth ran to look at it, and saw that a huge abyss had opened below the stone.



Figure 6: Case 4: Interpreting the components of *KnReader* (*Full model*). Adding retrieved knowledge to Q and D confuses the model and decreases the score for the correct answer. Results for top 5 candidates are shown. (Subj/Obj as key-value memory, 50 facts, CN5Sel) (Item #172)

References

Martín Abadi, Ashish Agarwal, Paul Barham, Eugene Brevdo, Zhifeng Chen, Craig Citro, Greg S. Corrado, Andy Davis, Jeffrey Dean, Matthieu Devin, Sanjay Ghemawat, Ian Goodfellow, Andrew Harp, Geoffrey Irving, Michael Isard, Yangqing Jia, Rafal Jozefowicz, Lukasz Kaiser, Manjunath Kudlur, Josh Levenberg, Dandelion Mané, Rajat Monga, Sherry Moore, Derek Murray, Chris Olah, Mike Schuster, Jonathon Shlens, Benoit Steiner, Ilya Sutskever, Kunal Talwar, Paul Tucker, Vincent Vanhoucke, Vijay Vasudevan, Fernanda Viégas, Oriol Vinyals, Pete Warden, Martin Wattenberg, Martin Wicke, Yuan Yu, and Xiaoqiang Zheng. 2015. TensorFlow: Large-scale machine learning on heterogeneous systems. Software available from tensorflow.org.

Yiming Cui, Zhipeng Chen, Si Wei, Shijin Wang, Ting Liu, and Guoping Hu. 2017. Attention-over**Story:** ... i also lay this belt beside you , to put on when you awaken ; it will keep you from growing faint with hunger .

the woman now disappeared , and unk_98 woke , and saw that all her dream had been true . the rope hung down from the **cliff** , and the clew and belt lay beside her .



Q: the belt she put on , the rope enabled her to climb up the xxxxx , and the clew led her on till she came to the mouth of a cave , which was not very big .

Figure 7: Case 5: Interpreting the components of *KnReader* (*Full model*). Adding retrieved knowledge to Q and D confuses the model and decreases the score for the correct answer. Results for top 5 candidates are shown. (Subj/Obj as key-value memory, 50 facts, CN5Sel) (Item #187)

attention neural networks for reading comprehension. In Proceedings of the 55th Annual Meeting of the Association for Computational Linguistics (Volume 1: Long Papers), pages 593–602. Association for Computational Linguistics.

Felix Hill, Antoine Bordes, Sumit Chopra, and Jason Weston. 2015. The goldilocks principle: Reading children's books with explicit memory representations. volume abs/1511.02301.

Rudolf Kadlec, Martin Schmid, Ondřej Bajgar, and Jan

Kleindienst. 2016. Text understanding with the attention sum reader network. In *Proceedings of the* 54th Annual Meeting of the Association for Computational Linguistics (Volume 1: Long Papers), pages 908–918. Association for Computational Linguistics.

Diederik P. Kingma and Jimmy Lei Ba. 2015. Adam: a Method for Stochastic Optimization. *International Conference on Learning Representations* 2015, pages 1–15.

- Parmjit Singh, T Lin, E.T. Mueller, G Lim, T Perkins, and W.L. Zhu. 2002. Open mind common sense: Knowledge acquisition from the general public. In *Lecture Notes in Computer Science*, volume 2519, pages 1223–1237.
- Alessandro Sordoni, Phillip Bachman, and Yoshua Bengio. 2016. Iterative alternating neural attention for machine reading. abs/1606.02245.
- Robert Speer, Joshua Chin, and Catherine Havasi. 2017. ConceptNet 5.5: An Open Multilingual Graph of General Knowledge. In *AAAI*.
- Adam Trischler, Zheng Ye, Xingdi Yuan, Philip Bachman, Alessandro Sordoni, and Kaheer Suleman. 2016. Natural language comprehension with the epireader. In Proceedings of the 2016 Conference on Empirical Methods in Natural Language Processing, pages 128–137. Association for Computational Linguistics.