## Contextualized Word Representations for Multi-Sense Embedding

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## **Abstract**

Distributed word representations are used in many natural language processing tasks. When dealing with ambiguous words, it is desired to generate multi-sense embeddings, i.e., multiple representations per word. Therefore, several methods have been proposed to generate different word representations based on parts of speech or topic, but these methods tend to be too coarse to deal with ambiguity. In this paper, we propose methods to generate multiple word representations for each word based on dependency structure relations. In order to deal with the data sparseness problem due to the increase in the size of vocabulary, the initial value for each word representations is determined using pre-trained word representations. It is expected that the representations of low frequency words will remain in the vicinity of the initial value, which will in turn reduce the negative effects of data sparseness. Extensive evaluation results confirm the effectiveness of our methods that significantly outperformed state-of-the-art methods for multi-sense embeddings. Detailed analysis of our method shows that the data sparseness problem is resolved due to the pre-training.

#### 1 Introduction

Distributed word representations by neural networks (Mikolov et al., 2013a; Mikolov et al., 2013b; Pennington et al., 2014; Levy and Goldberg, 2014; Bojanowski et al., 2017), which are one of the implementations of the distributional hypothesis (Harris, 1954), have shown surprising effectiveness in representing semantic or syntactic information of words by dense vectors. They have become the standard language resource for many natural language processing tasks, such as machine translation (Sutskever et al., 2014), text classification (Mikolov and Com, 2014) and lexical substitution (Melamud et al., 2015). Widely used methods for word embedding including CBOW (Continuous Bag-of-Words) (Mikolov et al., 2013b) and SGNS (Skip-gram with Negative Sampling) (Mikolov et al., 2013a) generate a single word representation per word. Hence several meanings of the word are mixed in the representation, which significantly affect the downstream usability.

To solve this problem, several methods (Neelakantan et al., 2014; Paetzold and Specia, 2016; Fadaee et al., 2017; Athiwaratkun and Wilson, 2017) to generate multi-sense embeddings, i.e., representations for each word sense are proposed. Because word sense disambiguation itself is still an open problem, these studies use approximate approaches. Paetzold and Specia (2016) use parts of speech to distinguish functionally different word senses, while Fadaee et al. (2017) propose to use topics. However, either parts of speech or topics are too coarse to distinguish word senses. In the following examples, both sentences have the topic of food, and the parts of speech of the words soft are both adjective.1

ex.1) I ate a **soft** cheese.

ex.2) I drank soft drinks.

Although the previous studies regard the senses of

<sup>&</sup>lt;sup>1</sup>We use the typewriter font to indicate examples.

words soft as the same, they are indeed different; the word soft in ex.1) expresses the meaning of tender but in ex.2) it denotes the meaning of non-alcoholic. In order to capture word senses at a finergrained level, we propose a method to consider context words as a clue to distinguish word senses and generate representations for each pair of word and its context word.

Specifically, we regard words with dependency relations in a sentence as context words. For example, the soft in ex.1) has a representation of soft\_cheese while that in ex.2) has soft\_drink. In our approach, data sparseness is a challenge because a word has as many representations as the number of its context words. To deal with the data sparseness problem, we lemmatize each word and conduct pre-training of word representations using a conventional algorithm and use them as initial weights of the representations. It is expected that the representations of low frequency word pairs will remain in the vicinity of the initial value, which will in turn reduce the negative effects of data sparseness.

Evaluation on the Context-Aware Word Similarity Task (Huang et al., 2012) and Lexical Substitution Task (McCarthy and Navigli, 2007; Kremer et al., 2014) are conducted to investigate the effects of the generated word representations in downstream tasks. The results show that our method significantly outperforms the state-of-the-art methods for multi-sense embeddings. In addition, detailed analysis confirms that the data sparseness problem has been effectively resolved by our methods due to pretraining.

## 2 Related Work

Li and Jurafsky (2015) show that generating multiple word representations per word contributes to improving the performance of downstream tasks such as parts of speech tagging and semantic relation identification.

There are several previous studies (Neelakantan et al., 2014; Paetzold and Specia, 2016; Fadaee et al., 2017; Athiwaratkun and Wilson, 2017) that generate multiple word representations per word. Athiwaratkun and Wilson (2017) assume that all words have a predetermined number of word senses

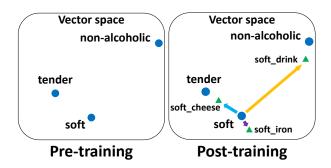


Figure 1: Outline of the proposed method.

and generate multiple word representations for each word. They assume two or three as the number of word senses, but it varies from word to word. Neelakantan et al. (2014) group word senses into clusters based on the similarity of the context and generate several word representations for each cluster. Word sense disambiguation is itself a difficult task, hence the quality of the clustering significantly affects the learning of word representations. Paetzold and Specia (2016) generate multiple word representations based on parts of speech. Because the error rate of POS tagging is low, this approach seems to be stable in quality, but there are some words with ambiguity among the same parts of speech. Fadaee et al. (2017) generate different word representations for each topic. Topics can distinguish meanings with finer granularity compared to the approach using parts of speech, but there are cases where topics are still coarse in distinguishing the meanings of polysemous words as shown in ex.1) and ex.2).

## 3 Proposed Method

In the present study, we aim to generate representations at a finer-grained level for each word that can capture differences in word senses. As a clue to distinguish word senses, we focus on words with dependency relations in a sentence, which are referred to as context-word hereafter. We assume that each word  $w_i$  has different meanings that are characterized by context-words C and generate |C| sets of word representations for word  $w_i$ . The number of context-words should be larger than that of the word senses, but we assume that each representation does not interfere each other and can be independently used for downstream tasks.

Algorithm 1 Word representation generation with context-words

```
Input: Set of training sentences S, Window size
W in CBOW(·)
for all s \in S do
    D \leftarrow \mathsf{DEPPARSE}(s)

    b dependency parsing

    for i \leftarrow 1, n do
                                       \triangleright n is length of s
         l_c \leftarrow s[i-W:i] \quad \triangleright slice left W words
         r_c \leftarrow s[i:i+W] \triangleright \text{slice right } W \text{ words}
         C \leftarrow \text{GETCONTEXTW}(s[i], D)
         for c \in C do
             w_c \leftarrow s[i] + "" + c
                                           with its context-word
             CBOW(w_c, l_c, r_c)
         end for
    end for
end for
function GetContextW(w, D)
    C \leftarrow \emptyset
    for all h, d \in D do
                                        \triangleright h is head, d is
dependent word
        if h = w then
             C \leftarrow C + d
         else if d = w then
             C \leftarrow C + h
         end if
    end for
    return C
end function
```

Algorithm 1 shows the pesudo-code of our method. For each sentence s in the training data S, it obtains dependency relations D by dependency parsing. Then each word  $s[i] \in s$  is processed to collect C in GETCONTEXTW(s[i], D). Finally, s[i] is concatenated with one of its  $context-words\ c$  as  $w_c$ . Then  $w_c$  is the input to CBOW( $w_c, l_c, r_c$ ) together with left and right W words,  $l_c$  and  $r_c$ , respectively, as the same manner with the plain training of CBOW algorithm, where W is the window size defined in CBOW.

In the example shown in Figure 1, since there are cheese, drink and iron as *context-words* of the target word soft, our approach generates word representations for each pair such as soft\_cheese, soft\_drink, and soft\_iron.

In the proposed method, we need to deal with the

data sparseness problem because there are a number of word combinations among target and *contextwords*. Specifically, we take the following two measures to address this problem.

- Changing each word into lemma using a lemmatizer
- Setting the initial value for each word representations using the pre-trained word representations.

In measure (1), we can reduce the size of vocabulary to that of lemmas. In measure (2), we first pre-train vanilla word representations (without considering the *context-word*) using CBOW and use them to initialize our representations based on *context-word* (post-training). For example, we obtain word representations of soft through normal CBOW algorithm. Using this as an initial value for soft\_cheese and soft\_drink, we train their representations in the post-training.

It is supposed that if a certain word representation is not learned well due to its low frequency in a training data, word representations in such cases are expected to remain in the vicinity of the initial value by measure (2) and it will in turn reduce the negative effect of data sparseness. Figure 1 illustrates how the word representations of soft\_cheese, soft\_drink, and soft\_iron change from the initial representation of the word soft as a starting point. As a result of the posttraining, soft\_cheese whose meaning is close to the main meaning of the word soft is located near the initial value of soft and soft\_drink which has different meaning is located near the position of non-alcoholic. Note that soft\_iron with a low frequent *context-word* remains in the vicinity of the initial value of soft.

## 4 Evaluation Setting

In order to evaluate the effects of the proposed method in downstream tasks, we conducted experiments in Context-Aware Word Similarity Task and Lexical Substitution Task. Both tasks require considering word senses, hence it is especially important to handle word representations for sense disambiguation.

## 4.1 Preprocessing

We use 988M sentences extracted from main contents of English Wikipedia<sup>2</sup> articles as training data. We lemmatize each word using Stanford Parser (Manning et al., 2014) and replace words with frequency of 200 or less to \( \text{unk} \) tag to reduce the size of the vocabulary, which results in 112,087 words. Dependency relations are also extracted using Stanford Parser. In order to avoid the data sparseness problem, parts of speech of *contextword* is limited to content word (i.e. noun, verb, adjective and adverb). For pre-training and post-training of word representations, we set the window size to 5 and dimensions of representation to 300 in CBOW algorithm.

## 4.2 Baseline Models

We compared our method to a baseline that generates a single word representation as well as methods that generate multiple word representations as below.

## SGNS (Mikolov et al., 2013a)

Generates one word representation per word, which is the baseline in this experiment.

## **CBOW** (Mikolov et al., 2013b)

Generates one word representation per word.

## **MSSG** (Neelakantan et al., 2014)

Generates multiple word representations for each word by clustering based on the context similarity.

## **POS** (Paetzold and Specia, 2016)

Generates multiple word representations for each word based on parts of speech.

#### **TOPIC** (Fadaee et al., 2017)

Generates multiple word representations for each word based on topics.

In addition, we evaluate variations of our method that use the lemma of a *context-word* and that use both the lemmas and parts of speech inspired by (Paetzold and Specia, 2016). For example, the representation of soft with the *context-word* of cheese is generated as soft\_adj\_cheese\_n.

snippet1	In 1955 the Soviet Union forwarded \$		
	100 million in <b>credit</b> to Afghanistan,		
	which financed public transportation,		
	airports, etc.		
snippet2	Only congress has the authority to		
	coin this money that should be us		
	by the States.		

Table 1: An example of Context-Aware Word Similarity Task. Words in **bold** are the targets.

## 5 Context-Aware Word Similarity Task

In order to evaluate the performance of word representations in a text analysis that needs to take ambiguity into consideration, we use the dataset of Stanford Contextual Word Similarity (SCWS) (Huang et al., 2012)<sup>3</sup>. In this dataset, a pair of target words and its surrounding snippets (50 preceding and following words) are given. The task is to estimate the similarity between targets in given snippets. Ten annotators were employed through Amazon Mechanical Turk and annotated similarity to 2,003 pairs of targets. As shown in Table 1, target pairs that are semantically close or distant in given snippets are both included in the dataset, so in this task, it is necessary to estimate similarities of targets considering their senses.

# 5.1 Evaluating Appropriateness of Word Similarity

First, we extract the *context-words*  $C_i$  and  $C_j$  of each target of  $w_i$  and  $w_j$ , respectively, from dependency relations in given snippets. Next, we obtain the representations of  $w_i$  and  $w_j$ , namely  $V_i$  and  $V_j$ , respectively, using the *context-words* of  $c_i \in C_i$  and  $c_j \in C_j$ . Finally, the similarity is calculated between the two representations of targets using two measures as shown below.

## Avg

In Avg, the average of the cosine similarity scores is used as the similarity measure between the target words. If there is more than one context-word, we can obtain several word

<sup>&</sup>lt;sup>2</sup>https://dumps.wikimedia.org/enwiki/20170601/

<sup>3</sup>http://www-nlp.stanford.edu/~ehhuang/ SCWS.zip

representations for each *context-word* that potentially represent different word senses. We take all the word senses into account by taking the average of their similarity scores:

$$S_{\mathrm{avg}} = \frac{1}{|V_i||V_j|} \sum_{v_i \in V_i, v_j \in V_j} \cos(v_i, v_j).$$

where  $|\cdot|$  computes the size of a set and  $\cos(\cdot, \cdot)$  calculates the cosine similarity between two vectors.

#### Max

In *Max*, the highest score among the cosine similarity scores which are calculated using each word representation is employed as the similarity measure between the target words. If a word has more than one context-word, the representations could be a mix of different senses. Hence, we use only the most similar representations indicated by the highest similarity score:

$$S_{\max} = \max_{v_i \in V_i, v_j \in V_j} \cos(v_i, v_j).$$

When any word representations given by *context-words* do not exist in our vocabulary, the similarity score cannot be obtained. In case no *context-word* is identified or when word representations given by *context-words* do not exist in our vocabulary, we return to using the cosine similarity between target words.

## 5.2 Results

Table 2 shows Spearman's rank correlation coefficient between each models and the manual scores in SCWS. Here, *Ours* uses only lemmas of *contextwords* and *Ours+POS* uses both lemmas and parts of speech. *CBOW* and *POS* are our reproduction of CBOW algorithm and Paetzold and Specia (2016), respectively, trained with the same lemmatized training data as our methods. It is observed that the scores of the model using *context-words* are slightly higher than the models without *context-words*.

Table 3 shows the coverage of target word representations (with *context-words*) in our vocabulary. It is clear from this table that more than 80% of necessary representations are covered in our model even when combining parts of speech.

Model	Spearman's $\rho$
SGNS (Fadaee et al., 2017)	0.59
MSSG (Fadaee et al., 2017)	0.61
TOPIC (Fadaee et al., 2017)	0.61
CBOW	0.63
POS	0.64
Ours $(S_{\mathbf{avg}})$	0.64
Ours $(S_{max})$	0.64
Ours+POS $(S_{avg})$	0.65
Ours+POS $(S_{max})$	0.64

Table 2: Spearman's rank correlation coefficient on SCWS dataset.

	In vocabulary / All tokens	
Ours	7297 / 8772	83.2%
Ours+POS	7058 / 8772	80.5%

Table 3: Coverage of target representations in our vocabulary on SCWS dataset.

### 6 Lexical Substitution Task

In order to evaluate the performance of word representations in a text generation that needs to take ambiguity into consideration, we use the following two datasets <sup>4</sup> for Lexical Substitution Task. In this task, in addition to the target word and its context, substitution candidates are given. The task is to rank candidates from the viewpoint of paraphrasability into the target word in a given context. Hence, this task also needs to capture word senses of word representation.

## LS-SE

This is a dataset (McCarthy and Navigli, 2007) used in the Lexical Substitution Task of SemEval-2007. For each target word (201 types of targets in total), five annotators produce up to three types of substitutions in 10 different contexts.

## LS-CIC

This is a large-scale dataset (Kremer et al., 2014) for Lexical Substitution Task. For

<sup>4</sup>https://github.com/stephenroller/
naacl2016

15, 629 target words, six annotators produce up to five types of substitutions under given context. Unlike LS-SE, three sentences are given as context; a sentence containing the target as well as its preceding and following sentences.

Following the previous studies (Melamud et al., 2015; Roller and Erk, 2016; Fadaee et al., 2017), substitution candidates are the union set of substitutions in each context with same target word. Therefore, these candidates are semantically and syntactically close to the target word in a certain context. Hence, it is important for this task to distinguish the meaning of target word using a given context.

We use Generalized Average Precision (GAP) (Kishida, 2005) as an evaluation metric. GAP is commonly used in evaluation of Lexical Substitution Task that calculates ranking accuracy by considering the weight of correct examples.

## **6.1 Evaluating Appropriateness of Lexical Substitution**

In this task, we need to evaluate the appropriateness of substituting a target  $w_t$  with a candidate  $w_c$  considering the sentence s in which the target appears. We assume that candidates with representations that are substantially similar to those of the target are more appropriate for substitution. First, we identify context-words  $C_t$  of  $w_t$  based on dependency relations. Next, we obtain the representations of  $w_t$  as  $V_t$  for each context-word  $c_t \in C_t$ . We then obtain the representations of  $w_c$  as  $V_c$  by retrieving representations of  $w_c$ - $c_t$  s.t.  $c_t \in C_t$ . Finally, we calculate the similarity between representations of  $v_t \in V_t$  and  $v_c \in V_c$  to rank the candidates using the following metrics:

Cos

This metric computes the cosine similarity of representations as  $\cos(v_t, v_c)$ .

## balAddCos (Melamud et al., 2015)

This metric considers not only the similarity between  $v_t$  and  $v_c$ , but also between  $v_c$  and representations of words in s:

$$|s|\cos(v_t, v_c) + \sum_{w_i \in s} \cos(v_c, w_i)$$

At this stage, we ignore cases where representations of the words are out of the vocabulary.

When there are several context-words, we can obtain representations of  $w_t$  and  $w_c$  for each *context-word*. The straight-forward ways to compute the final similarity given multiple *context-words* are Avg and Max described in Section 5.1, which consider all possible combinations of representations.

In the Context-Aware Word Similarity Task, context-words of an input pair of words are completely independent. Unlike this, the context-words in Lexical Substitution Task are common between the target and candidate words. Hence, we expect that more accurate similarity can be computed by restricting pairs of representations to these based on the same context-word. Specifically, Avg is reformalized as Avgc as:

$$S_{\text{avgc}} = \frac{1}{|C_t|} \sum_{c_t \in C_t} \text{sim}(\text{vec}(w_t, c_t), \text{vec}(w_c, c_t)),$$

where  $sim(\cdot, \cdot)$  is either *Cos* or *balAddCos*. The function  $vec(\cdot, \cdot)$  obtains a word representation given a *context-word*. Similarly, *Max* is reformalized as *Maxc* as:

$$S_{\text{maxc}} = \max_{c_t \in C_t} \text{sim}(\text{vec}(w_t, c_t), \text{vec}(w_c, c_t)).$$

Based on the final similarity scores, we rank the candidates in descending order of the similarities.

## 6.2 Results

Table 4 shows GAP scores on LS-SE and LS-CIC datasets. When using Cos as a similarity metric, all of the proposed methods significantly outperformed previous methods. These results indicate that our approach generating multiple word representations per word using context-words is effective to capture word senses. Table 5 shows example results in which the meanings of a polysemous word hard were successfully captured by considering context-words. We can also see that the toprated words in the output have the largest number of annotators who listed these words as substitutes. Figure 2 visualizes the actual word representations in the output of Table 5, where the vector dimensions are reduced to 2 by Principal Component Analysis. Circles represent the embeddings of pretraining and triangles represent the embeddings generated by post-training. It shows that embeddings

Model	LS-SE		LS-CIC	
Model	Cos	balAddCos	Cos	balAddCos
SGNS (Fadaee et al., 2017)	40.5	40.9	32.1	36.1
MSSG (Fadaee et al., 2017)	41.1	NA	37.8	NA
TOPIC (Fadaee et al., 2017)	NA	42.8	NA	40.9
CBOW	41.0	40.1	44.1	44.4
POS	41.8	42.1	46.5	46.7
Ours $(S_{\mathbf{avg}})$	47.0	47.2	45.7	45.9
Ours $(S_{max})$	48.4	<b>48.5</b>	46.4	<b>46.5</b>
Ours+POS $(S_{avg})$	46.7	47.0	45.7	45.9
Ours+POS $(S_{max})$	47.7	48.1	46.3	<b>46.5</b>
Ours $(S_{\mathbf{avgc}})$	47.4	47.7	46.1	46.2
Ours $(S_{\mathbf{maxc}})$	48.4	<b>48.5</b>	46.4	46.5
Ours+POS $(S_{\mathbf{avgc}})$	46.9	47.4	47.7	47.8
Ours+POS $(S_{\text{maxc}})$	47.7	48.0	48.1	<b>48.2</b>

Table 4: GAP scores on LS-SE and LS-CIC datasets, where highest scores are indicated in **bold**.

Input	you are carrying on two conversations at once and you are required to <i>listen</i> hard.	
Output	carefully (4), intensively (0), closely(1), intently(1), seriously (0),	
Input	One event in particular <i>hits</i> the platoon <b>hard</b> : the death of its platoon leader,	
Output	badly (3), heavily (0), strongly (0), severely (1), firmly (0),	

Table 5: Example outputs in a Lexical Substitution Task. The target words in the input are presented in **bold** and *context-words* are presented in *italic*. The outputs are the ranked list of candidates, where the numbers in parentheses show the number of annotators who generated the word as one of the candidates for substitution.

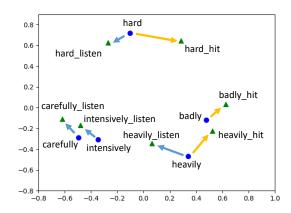


Figure 2: Visualization of word representations

of hard\_listen and carefully\_listen (appropriate substitution) get closer by deviating from the embeddings of hard and carefully, respectively. Similarly, embeddings of hard\_hit and

badly\_hit get closer after post-training. Obviously, hard\_listen and hard\_hit have distinct embeddings, which demonstrates that our model successfully generates representations capturing different word senses.

When using *balAddCos* as a similarity metric, our method outperformed TOPIC. This result confirms that *context-words* are more effective to generate word representations considering word senses than topics.

When multiple *context-words* are available, *Maxc* performed best for both Ours and Ours+POS across LS-SE and LS-CIC. These results indicate that the similarity can be more accurately estimated using representations under the same *context-word* rather than considering any representations under diverse *context-words*. Another reason is that the effect of each representation can be diminished by taking the average of similarities. Even though there is a

		Coverage
LS-SE	Ours Ours+POS	82.2% $79.7%$
LS-CIC	Ours Ours+POS	$73.4\% \\ 71.7\%$

Table 6: Coverage of representations by our vocabulary

*context-word* that can pin-point the sense of the target word, its effect is blurred due to averaging.

When comparing the results of LS-SE and LS-CIC, the improvements in LS-SE is larger than that of the GAP score when using context-words. The primary factor of this difference is the number of polysemous words in the datasets. In LS-SE, we need to conduct lexical substitution for the same target word in 10 different contexts, which capturing of subtler differences in word senses compared to LS-CIC. Hence, the superior performance of our model on LS-SE shows its sensitivity representing word senses. Also, the coverage of target and candidate representations (with context-words) in our vocabulary is another factor for our different performances in LS-SE and LS-CIC. As shown in Table 6, LS-SE has higher vocabulary coverage than LS-CIC. In LS-SE dataset with higher vocabulary coverage, using context words greatly improved substitution performance.

Next, we examined the effects of context-word frequencies in the training data on the quality of generated word representations. We used balAddCos combined with Maxc as the similarity measure that showed the highest GAP scores in both datasets. We generated another two word representations; one generates representations using contextwords whose frequency is less than 5, and the other generates representations using those of more than 100 in the training dataset. Table 7 shows GAP scores in LS-SE and LS-CIC using different representations, where CBOW generates plain word representations (without context-words) and POS generates representations combined with parts of speech. The GAP score improves even when using representations generated with context-words whose frequency is 5 or less. The improvement is larger when using representations generated with more fre-

		LS-SE	LS-CIC
CBOW		40.1	44.4
	5 or less	41.6	44.3
Ours	100 or more	43.3	45.6
	All	<b>48.5</b>	<b>46.5</b>
POS		42.1	46.7
	5 or less	42.8	46.7
Ours+POS	100 or more	43.6	47.6
	All	48.0	<b>48.2</b>

Table 7: The effect of *context-word* frequency, where highest scores are indicated in **bold**.

quent *context-words*. These results confirm the effectiveness of post-training in our method to alleviate the data sparseness problem. Moreover, the GAP score dramatically improved when using the representations generated considering all *context-words* regardless of their frequency. This indicates that our approach can effectively make use of *context-words* of low-frequency to generate representations to capture word senses.

## 7 Conclusion

In this paper, we proposed methods that generate multiple word representations per word in order to capture its senses. Specifically, we assume that the senses of a word can be captured by *context-words* with dependency relations in a sentence. The extensive evaluation in Context-Aware Word Similarity Task and Lexical Substitution Task confirms the effectiveness of our methods and the detailed analysis in revealing their characteristics.

One of our future tasks is to use the entire surrounding context of a word, rather than single words using bi-directional recurrent neural networks. Also, we will extend our method to generate representations for multi-word expressions and phrases. In addition, we will apply our methods to diverse languages.

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