# IIT-KGP at COIN - Shared Task: Using pre-trained Language Models for modeling Machine Comprehension

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## Abstract

In this paper, we describe our system for COIN 2019 Shared Task 1: Commonsense Inference in Everyday Narrations Ostermann et al. (2019). We show the power of leveraging state-of-the-art pre-trained language models such as BERT (Bidirectional Encoder Representations from Transformers) Devlin et al. (2018) and XLNet Yang et al. (2019) over other Commonsense Knowledge Base Resources such as ConceptNet Speer et al. (2018) and NELL Mitchell et al. (2015) for modeling machine comprehension. We used an ensemble of BERT<sub>Large</sub> and XLNet<sub>Large</sub>. Experimental results show that our model gives substantial improvements over the baseline and other systems incorporating knowledge bases and got the 2nd position on the final test set leaderboard with an accuracy of 90.5%.

# 1 Introduction

Machine Reading Comprehension (MRC) recently has been one of the most explored topics in the field of natural language processing. MRC consists of various sub-tasks Chen et al. (2018), such as cloze-style reading comprehension Hermann et al. (2015); Hill et al. (2015); Cui et al. (2018), span-extraction reading comprehension Rajpurkar et al. (2016) and open-domain reading comprehension Chen et al. (2017), etc. Earlier approaches to machine reading and comprehension have been based on either hand engineered grammars Riloff and Thelens (2000), or information extraction methods of detecting predicate argument triples that can later be queried as a relational database Poon et al. (2010). These methods show effectiveness, but they rely on feature extraction and language tools. Recently, with the advances and huge success of neural networks over traditional feature based models, there have been great interests in building neural architectures for various NLP task Li and Zhou (2018), including several pieces of work on machine comprehension Hermann et al. (2015); Hill et al. (2015); Yin et al. (2016); Kadlec et al. (2016); Cui et al. (2018), which have gained significant performance in machine comprehension domain.

Machine comprehension using commonsense reasoning is required to answer multiple-choice questions based on narrative texts about daily activities of human beings Yuan et al. (2018). The answer to many questions does not appear directly in the text, but requires simple reasoning to achieve. In terms of the nature of the problem, this task can be considered as a binary classification. That is, for each question, the candidate answers are divided into two categories: the correct answers and the wrong answers.

In this paper, we show that pretrained Language Models alone can model commonsense reasoning better than the other models incorporating commonsense knowledge base resources like Concept-Net, NELL, etc integrated with deep neural architectures. We propose to use an ensemble architecture consisting of *BERT* and *XLNet* for this task which achieves an accuracy of 91.0% on the dev set and 90.5% on the test set outperforming the *Attentive Reader* baseline by a large margin of 25.4%.

#### 2 Task Description & Dataset

Formally, this Shared Task: Commonsense Inference in Everyday Narrations Ostermann et al. (2019), organized within COIN 2019 is a multiplechoice machine comprehension task that can be expressed as a quadruple: < D, Q, A, a > Sheng et al. (2018). Where D represents a narrative text about everyday activities, Q represents a question for the content of the narrative text, A is the candidate answer choice set to the question(this task

<b>T</b> My backyard was looking a little emp	
	oty,
so I decided I would plant something	j. I
went out and bought tree seeds. I foun	d a
spot in my yard that looked like it wo	uld
get enough sunshine. There, I dug a h	ole
for the seeds. Once that was done, I to	ook
my watering can and watered the seed	s.
<b>Q1</b> Why was the tree planted in that spot?	)
to get enough sunshine 🗸	
there was no other space $\boldsymbol{X}$	
<b>Q2</b> What was used to dig the hole?	
a shovel 🗸	
their bare hands <b>X</b>	
<b>Q3</b> Who took the watering can?	
the grandmother X	
the gardener 🗸	
the gardener $\checkmark$	

Figure 1: Example text from SemEval '18 Task 11

contains two candidate answers choice a0 and a1) and a represents the correct answer. The system is expected to select an answer from A that best answers Q according to the evidences in document D or commonsense knowledge.

This task assesses how the inclusion of commonsense knowledge in the form of script knowledge would benefit machine comprehension systems. Script knowledge is defined as the knowledge about everyday activities, i.e. sequences of events describing stereotypical human activities (also called scenarios), for example baking a cake, taking a bus, etc. In addition to what is mentioned in the text, a substantial number of questions require inference using script knowledge about different scenarios, i.e. answering the questions requires knowledge beyond the facts mentioned in the text.

Answers are short and limited to a few words. The texts used in this task cover more than 100 everyday scenarios, hence include a wide variety of human activities. While for question A, it is easy to find the correct answer ("to get enough sunshine") from the text, questions B and C are more complicated to answer. For a person, it is clear that the most plausible answers are "a shovel" and "the gardener", although both are not explicitly mentioned in the texts.

Recently, a number of datasets have been proposed for machine comprehension. One example is MCTest Richardson et al. (2013), a small curated dataset of 660 stories, with 4 multiple choice questions per story. The stories are crowdsourced and not limited to a domain. Answering questions in MCTest requires drawing inferences from multiple sentences from the text passage. Another recently published multiple choice dataset is RACE Lai et al. (2017), which contains more than 28,000 passages and nearly 100,000 questions. The dataset is collected from English examinations in China, which are designed for middle school and high school students.

### **3** System Overview

We created an ensemble of two systems **BERT** Devlin et al. (2018) and **XLNet** Yang et al. (2019), each of which independently calculates the probabilities of all options for a correct answer.

## 3.1 Finetuned BERT

BERT is designed to train deep bidirectional representations by jointly conditioning on both left and right context in all layers. We chose BERT<sub>Large, uncased</sub> as our underlying BERT model. It consists of 24-layers, 1024-hidden, 16-heads, and 340M parameters. It was trained on the Book-Corpus (800M words) and the English Wikipedia (2,500M words). The context, questions and options were first tokenized with BertTokenizer to perform punctuation splitting, lower casing and invalid characters removal. The sequence which is fed into the model is generated in form of [CLS] + context + [SEP] + question + answer + [SEP] for every possible answer and sequence was assigned label 1 for correct answer and 0 otherwise. The maximum sequence length was set as 500 on COIN dataset, with shorter sequences padded and in longer sequences context were truncated to adjust context + question + answer to this length. We first fine-tuned BERT on the RACE dataset using a maximum sequence length of 350 for 2 epochs.

We used the PyTorch implementation of BERT from *transformers*<sup>1</sup> which had the BERT tokenizer, positional embeddings, and pre-trained BERT model. Following the recommendation for fine-tuning in the original BERT approach Devlin et al. (2018), we trained our model with a batch size of 8 for 8 epochs. The dropout probability was set to 0.1 for all layers, and Adam optimizer was used with a learning rate of 1e-5.

<sup>&</sup>lt;sup>1</sup>https://github.com/huggingface/ transformers

### 3.2 Semi-Finetuned XLNet

Both RACE and COIN dataset contains relatively long passages with average sequence length greater than 300. Since the use of the Transformer-XL architecture improves the capability of modeling long sequences besides the AR objective as mentioned in Yang et al. (2019). Hence we focused our attention on XLNet model which is a pre-trained language model built upon the Transformer-XL architecture. We used the XLNetLarge, Cased model which has 24-layer, 1024hidden and 16-heads. The input to XLNet model is similar to BERT : [A, SEP, B, SEP, CLS], with a small difference that [CLS] token is used at the end instead of the beginning. Here A and B are the two segments, A represents the context and B represents the question + answer. We call our model semi-finetuned because we used the Google Colab TPU for fine-tuning XLNet but we had to limit the maximum sequence length to 312 owing to the huge computational capacity required by XLNet<sub>Large, Cased</sub>. We used the Tensorflow implementation of XLNet from *zihangdai/xlnet*<sup>2</sup>. So we couldn't properly fine-tune XLNet on RACE. After fine-tuning on RACE dataset for a few epochs, we fine-tuned further on the COIN dataset keeping maximum sequence length close to 400. The maximum train steps was set to 12000, batch size as 8 and Adam optimizer was used with a learning rate of 1e-5.

## 3.3 Ensemble

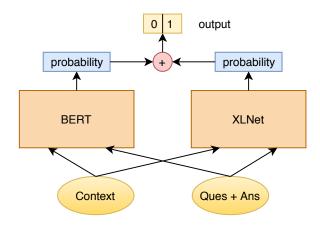


Figure 2: Ensemble Model

Ensemble learning is an effective approach to improve model generalization, and has been used to achieve new state-of-the-art results in a wide range of natural language understanding (NLU) tasks Devlin et al. (2018); Liu et al. (2019b, 2018). For the COIN 2019 shared task, we adopt a simple ensemble approach, that is, **averaging** the softmax outputs from both  $BERT_{Large, uncased}$  and XLNet<sub>Large, cased</sub>, and make predictions based on these averaged class probabilities. Our final submission follow this ensemble strategy.

#### 4 Additional Experiments

We applied several approaches to the problem that did not generalize as well to the development data and were not included in the final ensemble. Due to space constraints we don't describe the simpler models which include simple rule-based, featurebased classification models, etc.

TriAN: We started with the previous stateof-the-art model for SemEval '18 Task-11 : TriAN Wang et al. (2018) as our baseline. We used both SemEval'18 Task 11 and COIN 2019 datasets for training. The Input layer uses GloVe word embeddings concatenated with the part-ofspeech tag, named-entity and relation embed-It then consists of a Attention Layer dings. which models three way attention between context, question and answer. Question-aware passage representation  $\{\mathbf{w}_{P_i}^q\}_{i=1}^{|P|}$  can be calculated as:  $\mathbf{w}_{P_i}^q = Att_{seq}(\mathbf{E}_{P_i}^{glove}, \{\mathbf{E}_{Q_i}^{glove}\}_{i=1}^{|Q|})$ . Similarly, we can get passage-aware answer representation  $\{\mathbf{w}_{A_i}^p\}_{i=1}^{|A|}$  and question-aware answer representation  $\{\mathbf{w}_{A_i}^q\}_{i=1}^{|A|}$ . These Question-aware passage representation, Passage-aware answer representation and Question-aware answer representation obtained from above are concatenated and fed into 3 BiLSTMs to model the temporal dependency. Then three BiLSTMs are applied to the concatenation of those vectors to model the temporal dependency:

$$\begin{split} \mathbf{h}^{q} &= \mathrm{BiLSTM}(\{\mathbf{w}_{Q_{i}}\}_{i=1}^{|Q|}) \\ \mathbf{h}^{p} &= \mathrm{BiLSTM}(\{[\mathbf{w}_{P_{i}};\mathbf{w}_{P_{i}}^{q}]\}_{i=1}^{|P|}) \\ \mathbf{h}^{a} &= \mathrm{BiLSTM}(\{[\mathbf{w}_{A_{i}};\mathbf{w}_{A_{i}}^{p};\mathbf{w}_{A_{i}}^{q}]\}_{i=1}^{|A|}) \end{split}$$

 $\mathbf{h}^{p}, \mathbf{h}^{q}, \mathbf{h}^{a}$  are the new representation vectors that incorporates more context information. Then we have question representation  $\mathbf{q} = Att_{self}(\{\mathbf{h}_{i}^{q}\}_{i=1}^{|Q|})$ , answer representation  $\mathbf{a} = Att_{self}(\{\mathbf{h}_{i}^{a}\}_{i=1}^{|A|})$  and passage representation  $\mathbf{p} = Att_{self}(\{\mathbf{h}_{i}^{a}\}_{i=1}^{|A|})$ 

<sup>&</sup>lt;sup>2</sup>https://github.com/zihangdai/xlnet

 $Att_{seq}(\mathbf{q}, {\mathbf{h}_i^p}_{i=1}^{|P|})$ . The final output y is based on their bilinear interactions:

$$y = \sigma(\mathbf{p}^T \mathbf{W}_3 \mathbf{a} + \mathbf{q}^T \mathbf{W}_4 \mathbf{a}) \tag{1}$$

Question sequence and answer sequence representation are summarized into fixed-length vectors with self-attention

## 5 Results and Discussion

This section discusses regarding the results of various approaches we applied in this task. First, as a starting point we ran the best performing model on the SemEval '18 Task 11 - TriAN using the same hyper-parameters settings as stated in the paper (Wang et al., 2018). It achieved an accuracy close to 69.0%. We fine-tuned the single BERT<sub>Large, uncased</sub> model on the COIN + SemEval '18 Task 11 dataset and that achieved an accuracy of 83.4% on the dev set. We further finetuned it on the commonsense dataset RACE for a few epochs which increased the accuracy by 1%. We also fine-tuned the XLNetLarge, cased model on the COIN + SemEval '18 dataset which alone achieved an accuracy of 90.6% on the dev set. But we couldn't fully fine-tune it on the RACE dataset as mentioned earlier in Section 3.2. We finally submitted our ensemble system which achieves an accuracy of 91.0% on the dev set and 90.5% on the hidden test set.

We can see there isn't much difference in the accuracy of our final ensemble model on the hidden Test set compared to the Dev set which shows that our model generalizes well to new/unseen data.

Model	Dev Accuracy
TriAN	69.0
BERT <sub>large, uncased</sub>	84.4
XLNet <sub>large, cased</sub>	90.6
Ensemble	91.0

Table 1: Accuracy results for various models.

The main problem with commonsense knowledge bases is that they are hard-coded Wang et al. (2018) and they do not generalize well to hidden dataset. This is also evident from *Table 2* as the top 3 systems (*unofficial leaderboard*)<sup>3</sup> do not use any kind of commonsense knowledge bases.

Rank	Team	Acc.	Knowle- dge Base
1	PSH-SJTU (Li et al., 2019)	90.6	No
2	IIT-KGP (ours)	90.5	No
3	BLCU-NLP (Liu et al., 2019a)	84.2	No
4	JDA (Da, 2019)	80.7	Yes
5	KARNA (Jain and Singh, 2019)	73.3	Yes

Table 2: Performance comparison among participants of the COIN Shared Task 1, depicting use of commonsense knowledge bases.

## 6 Conclusion & Future Work

In this paper, we present our system for the *Commonsense Inference in Everyday Narrations Shared Task* at *COIN 2019*. We built upon the recent success of pre-trained language models and apply them for reading comprehension. Our System achieves close to state-of-art performance on this task.

As future work, we will try to explore the indepth layer by layer analysis of BERT and XLNet attention similar to Clark et al. (2019) and how the attention helps in commonsense reasoning.

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<sup>&</sup>lt;sup>3</sup>https://coinnlp.github.io/task1.html

<sup>&</sup>lt;sup>4</sup>https://colab.research.google.com/

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