Prompting the Past: Exploring Zero-Shot Learning for Named Entity Recognition in Historical Texts Using Prompt-Answering LLMs

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Abstract

This paper investigates the application of prompt-answering Large Language Models (LLMs) for the task of Named Entity Recognition (NER) in historical texts. Historical NER presents unique challenges due to language change through time, spelling variation, limited availability of digitized data (and, in particular, labeled data), and errors introduced by Optical Character Recognition (OCR) and Handwritten Text Recognition (HTR) processes. Leveraging the zero-shot capabilities of prompt-answering LLMs, we address these challenges by prompting the model to extract entities such as persons, locations, organizations, and dates from historical documents. We then conduct an extensive error analysis of the model output in order to identify and address potential weaknesses in the entity recognition process. The results show that, while such models display ability for extracting named entities, their overall performance is lackluster. Our analysis reveals that model performance is significantly affected by hallucinations in the model output, as well as by challenges imposed by the evaluation of NER output.

1 Introduction

Named Entity Recognition (NER), oftentimes also referred to as Named Entity Recognition and Classification (NERC), is in essence a token classification task that aims to extract various types of named entities from a given written source. The choice of how fine-grained we want our analysis to be dictates the number of different labels we want to extract; a coarse-grained analysis would only look at names of people, locations and organizations, for example, while a more fine-grained approach would include dates, events, artifacts, monetary values etc.

While NER is by no means a solved problem in NLP, there have been numerous efforts made to provide tools for modern languages. However, such tools have significant gaps in terms of NER resources (e.g. Jørgensen et al. (2020); Hvingelby et al. (2020)), and many are still ongoing (Ingólfs-dóttir et al., 2019), which only highlights the importance of further research in this domain.

At the same time, NER for historical texts faces several unique challenges in its own right. OCR errors are common due to the poor quality of old prints, leading to misrecognized characters and words (Ehrmann et al., 2023). The evolution of language over time, with outdated vocabulary, spelling variations, and different grammar rules, complicates entity recognition, especially since historical texts often lack labeled datasets, making supervised learning difficult. Models trained on modern data struggle with domain transfer to text from antiquated sources, as historical contexts and naming conventions differ significantly. A common example of this phenomenon is toponyms changing through time (e.g. Byzantium, Istanbul, Constantinople); so while we refer to the same geographical location, the name differs, and such changes are oftentimes not linked to each other in databases in order to indicate equivalence. Nonstandardized naming, ambiguity in references, and the need for contextual understanding further hinder accurate recognition. Additionally, historical texts are often multilingual, requiring models to handle archaic language variants from several languages at the same time. These factors, combined with cultural and diachronic variations in entity references, make NER for historical texts a complex and challenging task.

This study is motivated by the proven benefits of prompt-based learning (Le Scao and Rush, 2021). The goal of this paper is to further the development of NERC systems for historical texts. Specifically, we want to explore the potential of promptanswering LLMs for extracting NEs from historical text in a zero-shot scenario, using historical newspaper data in English, German and French. We investigate this research avenue in order to counteract the costly nature of creating manually annotated NER datasets from scratch, while also leveraging the potential of prompt-answering LLMs in low resource settings.

In our exploration, we aim to address the following research questions:

- How effective are prompt-answering LLMs in recognizing named entities in historical texts?
- What types of errors do generative promptanswering models make when extracting named entities in a zero-shot context?
- What effect do hallucinations have on model performance in the context of NER extraction and evaluation?

At the same time, we identify several potential benefits of this work for future research. By enabling the creation of historical social networks, for example, we can uncover and analyze relationships and interactions among individuals across time periods. Additionally, enhancing archival annotation improves the accessibility and usability of historical documents, allowing researchers to extract meaningful insights more efficiently. Such methods facilitate cultural and historical research by automating large-scale annotation, significantly reducing the time and cost associated with manual processes, thereby enabling access to diverse historical narratives.

2 Background

Earlier work on historical NER has primarily been conducted on monolingual language models and various choices of model architecture and data sources. Moreover, transformer-based models have been gaining significantly more traction. Here, the trend leans towards using off-the-shelf modern LMs, which are later fine-tuned with historical labeled data for the task of NER (Arnoult et al., 2021), but there are also studies experimenting with data sourced entirely from historical text, and fine-tuned on modern labeled data (Tudor and Pettersson, 2024). Moreover, the trend has been to branch out towards multilingual models in order to take advantage of their transfer learning capabilities (Schweter et al., 2022).

The biggest hurdle in the way of designing accurate and high-performing NER systems seems to be the lack of annotated quality data. Ideally, we would want to have large amounts of manually annotated datasets which are curated using expert knowledge. The process of obtaining such data is, however, expensive both in terms of time and resources needed for such endeavors. Furthermore, enormous amounts of data that could be used for annotation reside in libraries and archives, and have yet to be digitized - which is another time-consuming and costly process. While there are significant efforts being made to contribute to this gap in the field, the vast majority are focused around texts from modern sources. Such examples include the Icelandic NER corpus (Ingólfsdóttir et al., 2019), its Norwegian counterpart (Jørgensen et al., 2020), the Swedish SUC (Källgren and Eriksson, 1993; Språkbanken Text, 2024), or the Danish DaNE (Hvingelby et al., 2020).

Naturally, new research directions have come forth, aiming to circumvent the data scarcity issue. The expensive nature of supervised learning prompts for exploration into the capabilities of few-shot learning for LM architectures (Perez et al., 2021). With the recent emergence of promptanswering models and their impressive few-shot learning abilities (Schick and Schütze, 2021), several studies have attempted to explore their performance on NER (Huang et al., 2020). Moreover, while Schick and Schütze (2021) explore true fewshot learning where there is no development set available for hyperparameter tuning and additional prompt engineering, and highlights its potential for future applications, new research on prompt engineering for few-shot NER is quick to emerge (Liu et al., 2022).

A similar exploration to the one we show in the present paper has been conducted by Arnoult et al. (2021) for Dutch historical text. Their dataset was created based on letters from the Dutch East India Company dating from the 17th and 18th century. In their paper, they compare the performance of monolingual (BERTje, RobBERT) and multilingual (mBERT, XLM-R) language models. The study finds that multilingual models outperform monolingual ones in handling the language variations and cross-lingual transfer needed for historical texts. Overall, both model types benefit from combining historical texts and editorial notes, with multilingual models showing more robustness across various text types.

More recently, González-Gallardo et al. (2023) investigate how language models like GPT-3.5 handle entity recognition in historical documents, highlighting also code-switching between French and Ancient Greek. The study points out that while GPT-3.5 is trained in over 100 languages, it struggles with unrepresented languages such as Ancient Greek. The paper discusses challenges such as the model's difficulty understanding mixed-language texts and the limitations of historical archives that remain inaccessible to models, impacting their performance in recognizing historical entities.

The expensive nature of labeled data for training and evaluation makes the prospect of zero-shot and few-shot learning significantly more appealing for NER research. The basis of our exploration lies in a study conducted by Toni et al. (2022). The paper uses labeled data from the CLEF-HIPE 2020 dataset (Ehrmann et al., 2020), which is an openaccess OCR-ed newspaper corpus annotated for NER. The dataset contains Swiss and Luxembourgish newspapers from 1790 to 2010 in English, German, and French. The authors focus on zeroshot NER using T0++ (Sanh et al., 2021), and only use data up to 1950 at the latest in order to keep the focus on the historical aspect of their exploration. Their study shows that, while the model shows some capacity of extracting NEs from the given dataset, dealing with historical text poses additional challenges through spelling variation and OCR errors. They also prompt for further investigation of the capabilities of generative LLMs in this given context.

3 Method

Our exploration can be seen as a three-step process. The first phase is to run all of our chosen models on the same dataset as the original study described in Toni et al. (2022), which we describe in Section 3.2. The second step is to evaluate and assess the kind of errors that the models are prone to by doing a manual examination of the output of each model. Third and last, we aim to address some of the more common causes of errors in the model output and re-evaluate in order to see how that affects model performance.

3.1 Model selection

While Toni et al. (2022) focus on models from the T0 family, specifically T0++, we expand into a more comparative analysis using some of the state-of-the-art prompt-answering LLMs, such as T5, mT5, BLOOMZ and Aya. We limit ourselves to publicly available models of at most 13B parameters, as this approaches the practical limit of most researchers who want to annotate significant amounts of historical text data. We provide more specific information about the models in Table 1. The choice of models is motivated by their capacity for prompt-based learning, as well as their reported performance in zero-shot learning scenarios on other NLP tasks, such as Natural Language Inference, Coreference Resolution or Word Sense Disambiguation. Furthermore, we choose two versions of each model which vary in terms of size - a smaller model of around 3 billion parameters, and a larger version of 10+ billion parameters, wherever applicable. It is important to note here that not all model families have versions that match this requirement exactly, in which case we choose the closest possible variant. The goal here is to see to what extent model size impacts a model's inference capabilities. We summarize all models and their sizes in Table 1.

Model	Parameters	Language		
T0 3B	3B	English		
T0 ++	11 B	English		
T5 3B	2.85B	English		
T5 11B	11 B	English		
mT5 XL	3.7B	multilingual		
mT5 XXL	13B	multilingual		
Aya 23 8B	8B	multilingual		
Aya 101	12.9B	multilingual		
Bloomz 3B	3B	multilingual		
Bloomz 7B1	7.07B	multilingual		

Table 1: List of prompt-answering LLMs used, their sizes, along with their main source of training data.

T0 (Sanh et al., 2021) is a prompt-based generative model fine-tuned on multiple NLP tasks and designed to follow instructions directly without needing task-specific fine-tuning. The pre-training for this model is done using a prompt-based setup, meaning that the training examples are converted into prompts using crowd-sourced prompt templates. This particular training setup allows the model to be able to generalize across previously unseen tasks, and it claims to outperform GPT-3 while also being 16 times smaller.

T5 (Text-to-Text Transfer Transformer) (Raffel et al., 2019) is a pretrained generative transformer model that reformulates all NLP tasks as text-totext tasks, making it highly flexible for various applications like summarization, translation, and classification. The main goal of the T5 architecture is to provide a unified text-to-text format that can easily be transferred across a variety of NLP tasks. The authors evaluate the model on a total of 17 tasks, where T5 either achieves state-of-the-art or competitive results when compared to previous high-performing models.

mT5 (Xue et al., 2020) is a multilingual extension of T5, which was pretrained on data from 101 language. This allows it to handle a wide array of multilingual NLP tasks. The model uses a similar architecture as its monolingual counterpart, and is able to achieve state-of-the-art results on a variety of cross-lingual NLP tasks, such as zero-shot classification or question answering.

BLOOMZ (Muennighoff et al., 2022) is a successor to the original BLOOM (Scao et al., 2023) text generation model. The authors apply Multitask prompted fine-tuning (MFT) to the pretrained multilingual BLOOM to produce fine-tuned variants called BLOOMZ. They find that fine-tuning large multilingual language models on English tasks with English prompts allows for task generalization to other languages that appear only in the pretraining corpus, but that fine-tuning on multiple languages leads to even better performance.

Aya (Üstün et al., 2024) is a transformer-based generative model that follows the same architecture as mT5. Aya is also a massively multilingual LM that has been trained on over 100 languages. When evaluated on unseen tasks, Aya manages to outperform BLOOMZ by almost 10%.

3.2 Dataset

In our exploration, we look at the same dataset as Toni et al. (2022), namely HIPE2020¹, using the same cutoff point (i.e. 1950). The dataset consists of newspaper texts from the 18th to the 20th century in English, French and German, which were manually annotated by human experts.

We focus on the coarse-grained tag set in this corpus, namely persons (PERS), organizations (ORG), products (PROD), time (TIME) and location (LOC). While time, person and location are fairly straightforward entities, the labels for PROD and ORG are harder to define in clear terms, and potentially harder to identify in the annotation process. According to the guidelines used for annotation, ORG can refer to organizations that market products or provides services, press agencies or

Label	Count	Percentage			
PERS	7618	31.92%			
TIME	851	3.57%			
LOC	10711	44.88%			
PROD	662	2.77%			
ORG	4022	16.85%			
TOTAL	23864				

Table 2: Count of named entities for each label in the dataset, as well as their corresponding percentage from the total.

organizations that mainly have an administrative role. In the case of the PROD label, this consists of either media (newspapers, magazines, broadcasts etc.) or doctrines (such as political, religious or philosophical beliefs).

The data is split by language and time period, with English containing between 2,202 and 4,697 tokens per time interval, German between 6,735 and 12,829 tokens, and French between 8,550 and 16,874 tokens. We provide the count of all named entities in the gold corpus in Table 2.

3.3 Experimental setup

The first step that we take in our exploration is to run all the chosen models on the HIPE2020 datasets using the same setup as the one used by Toni et al. (2022). More specifically, we take the script² they use in their experiments and we adjust it in order to fit the requirements of our chosen models. We keep the exact same prompt structure in the initial run of the experiments, as well as the same data and label set. We also use the same evaluation schema, with only minor modifications made to the code³. The prompting is done in English across all languages in the dataset. We exemplify with templates in Table 3 (see "Original prompt").

Once we prompt all our models to extract NEs from the given text, we proceed to do a manual analysis of the output of each model. At this stage, we make observations of various peculiarities and types of errors that the models return.

Lastly, we attempt to address some of these common errors and run a comparative evaluation of model performance before and after filtering out misleading phenomena – such as hallucinations – in the output for example.

²https://github.com/bigscience-

workshop/historical_texts/blob/master/NER/parallel-GPUs/NER_parallel-GPUs-fuzzy.py

¹https://impresso.github.io/CLEF-HIPE-2020/datasets.html

³https://github.com/crina-t/LaTeCH2025

Original promptInput: [SENTENCE] In input, what are the names of [ENTITY TYPE]?
Separate answers with commas.Modified promptInput: [SENTENCE] In input, what are the names of [ENTITY TYPE]?
Separate answers with commas without changing the original input text.

Table 3: Prompt templates according to the original study (top) as well as after being modified to attempt avoiding changes in the original input text (bottom).

4 Results

We apply each model to our NER task in a zeroshot setup to assess their baseline performance without extensive customization. We used prompts designed to extract named entities across multiple languages, testing the models' ability to handle common entity types. A manual analysis of the output of each model reveals several systematic types of errors that take a toll on overall model performance.

A common case is models retaining parts of the prompt and regurgitating them as output, instead of outputting parts of the actual input text. For example, out of 50,495 potential entities annotated by T5 3B, over 80% of them contain the words "input" or "in input". The same phenomenon is observed in T5 11B, but to a lesser degree – only 56% of the extracted entities keep the word "input". When looking at its multilingual counterpart, we notice that mT5 displays the same anomaly. Out of all output NEs from mT5 3B, 51% contain at least one occurrence of the word "input", which drops to 49% in the case of mT5 13B.

This carries over in the case of both versions of the BLOOMZ model as well, but to a different extent. Instead of just keeping parts of the prompt text, the model takes the entire content of the prompt, including the input sentence, and splits it into segments using commas as delimiters. We believe that this could be the case due to the model not properly capturing sentence boundaries, which has been known to cause problems for this particular model family (Muennighoff et al., 2022).

In light of these observations, we are unable to calculate reliable performance scores for these models (F1 < 1%), and we therefore no longer include these 6 models in the rest of our analysis. We focus instead on T0 and Aya, and more specifically T0++ and Aya 101, as larger model versions seem to lead to slight improvements in performance.

4.1 Hallucinations

A significant source of errors that we encounter in model output are hallucinations. In the context of LLMs, hallucinations can have different forms and interpretations. However, for our purposes, we define hallucinations as instances where the generated output seems incoherent, irrelevant, or deviates from the given source content, following the categorization provided by Huang et al. (2025).

Consequently, we conduct experiments to see what amount of the extracted entities are not actually part of the sentence given as input, as is the case in examples a) and b) in Table 4. We do this by iterating through all entities in the model output and matching them against the target sentence, removing spaces in order to avoid potential noise. Table 5 shows that about half of the entities extracted by T0++ are not strictly part of the input sentence, while Aya 101 scores a little more than 11% in terms of total hallucinated entities.

In order to see if we can circumvent this issue, we attempt to tweak the original prompt in order to encourage the model to stick to words from the input sentence exclusively (see "Modified prompt" in Table 3). While this does lower the total number of extracted entities, the overall percentage for T0++ increases slightly after this modification. In the case of Aya 101, the change in prompt wording does seem to lower the overall occurrence of hallucinations by about 2.25%.

It is important to mention here that there are nuances in what we count as being a hallucinated entity in our evaluation. A negative result (i.e. entity not in input sentence) can also mean that the model automatically converted the historical spelling to its modern counterpart. Similarly, the model can simply make small edits to the extracted span from the input, which also impedes the evaluation process (e.g. "les conversations particulières" in the original text, but the model extracts "conversation particulières"). In some cases, it can even happen that the model translates the original language into English (e.g. from "Un vin d' honneur fut offert

a)	SENTENCE:	A S my enquiries arc extended into the nature of anti - federalifm , and of
		the motives which acftuate fuch people, I become more convinced, that
		my defign of a general apology for them is very meritorious, and ought to
		have been made long ago; and I cannot conceive the reafon why it hath
		never been publicly attempted, unlefs it be the excreme difficulty of an
		inveftigation .
	PROMPTED FOR:	PERS
	OUTPUT:	John Quincy Adams
	GOLD:	N/A
b)	SENTENCE:	After enduring weeks of suffering in the hospital at Moscow,, with no
		hopes of relief ex Ŏ0ac cept in death.
	PROMPTED FOR:	TIME
	OUTPUT:	13 and 14 June
	GOLD:	N/A
c)	SENTENCE:	OBITUARY James Hargis James Hargis, one of the most prominent and
		highly respected citi 00ac zens of this section of the state, died Monday
		at his home at Granville.
	PROMPTED FOR:	LOC
	OUTPUT:	Granville
	GOLD:	Granville
d)	SENTENCE:	A . C . MATTEE.SON DEAD Well Known Farmer Passes Away AfUr
		Much Suffering2014Fu 00ac neral on Wedding An 00ac niversary .
	PROMPTED FOR:	PERS
	OUTPUT:	A. C. Matteson
	GOLD:	A . C . MATTEE.SON

Table 4: Examples of model output as extracted by using T0++, alongside the original input sentence, the type of entity requested through prompting, and the corresponding gold standart (where applicable).

		T0++			Aya 101				
		Original prompt		Modified prompt		Original prompt		Modified prompt	
Total	extracted	76999		74815		93937		85888	
Total	hallucinations	38240	49.66%	37374	49.96%	10379	11.05%	7556	8.80%
Of which	PERS	6975	9.06%	6464	8.64%	1283	1.37%	983	1.14%
	TIME	12247	15.91%	11651	15.57%	3775	4.02%	2900	3.38%
	LOC	4717	6.13%	5008	6.69%	1091	1.16%	885	1.03%
	PROD	8164	10.60%	8236	11.01%	2940	3.13%	1770	2.06%
	ORG	6137	7.97%	6015	8.04%	1290	1.37%	1018	1.19%

Table 5: Counts of hallucinated entities for the T0++ and Aya 101 models. We present hallucinations for each label as percentage of the total.

dans la salle des Chevaliers [...]", the model extracts "wine" instead of the original "vin" as an entity).

A hallucinated result could also consist of different parts of the prompt that get marked as entities - such as the entity label itself being extracted as an entity, or other parts of the prompt being kept together with the output, as previously discussed in the beginning of Section 4. model performance in terms of precision, recall and F1 score. We present the results for T0++ before and after filtering hallucinations, as well as before and after modifying the original prompt, in Figure 1, and for Aya 101 in Figure 2.

were deemed to be hallucinations, and calculate

5 Discussion

Lastly, we try to filter out these entities which

Our results reveal that, while prompt-answering models are able to extract named entities in a zero-



Figure 1: Results for T0++, using the original prompt and our modified version, both before and after filtering hallucinated entities.



Figure 2: Results for Aya 101, using the original prompt and our modified version, both before and after filtering hallucinated entities.

shot setting, their overall performance is significantly below what is considered state-of-the-art. This is in part due to errors in the source text, hallucinations produced by the model, or the general difficulty in evaluating NER systems (Fort et al., 2009), especially in a historical and multilingual context (Ehrmann et al., 2020).

Frequent OCR errors introduce unpredictable variations in the spelling of "gold" words, including inconsistencies in spacing, letter placement, and diacritics. T0 automatically corrects these during its predictions, which hinders our ability to match its answers accurately with the corresponding tokens in the sentence. This is exemplified in sentence d) in Table 4, where the model automatically corrects the formatting issues introduced during the OCR process.

Another hurdle in the way of effective NE extraction and evaluation is the frequent occurrence of hallucinations in the model output. Filtering out hallucinated entities does lead to an increase of around 5% in overall F1 score for T0++ (see Figure 1), and to a lesser extent in Aya 101 as well (see Figure 2). However, the overall results are still around the same ranges as before, which only highlights the difficulty of evaluating NER spans accurately, as well as the model's tendency to overgenerate rather than not provide an output at all. This is made evident by examples a) and b) in Table 4, where the model outputs entities that match the requested label, but which are not part of the input sentence.

Moreover, the relatively uniform distribution of hallucinations among labels supports the assump-

tion that T0 models tend to produce non-empty outputs, and therefore over-generate rather than provide a blank answer or no answer at all (Toni et al., 2022). The same phenomenon has been observed across all investigated model families, including T5, mT5 and BLOOMZ.

It is also important to note that Aya 101 achieves higher recall scores than T0++ for French and German, likely due to the fact that it was trained on multilingual data as opposed to English exclusively. Therefore, while the model might not be able to label the entities correctly, it is more likely to extract entities in languages other than English.

The overall effect of prompt engineering and filtering of hallucinations is not to be overlooked either. Both of these approaches lead to small improvements in model performance, which prompts for further exploration in this direction.

6 Conclusions and Future Work

In this paper, we explore the zero-shot capabilities of prompt-answering LLMs for NER on historical text.

Our study shows that, while prompt-answering LLMs display some capacity to automatically extract NEs, they do not reach satisfactory enough results for further use (e.g. reliable automatic annotation of archival text). Moreover, we also highlight the models' tendency to produce output even in scenarios where it generates false positive results, and we draw attention to the extensive amount of hallucinations produced by the models. Lastly, we attempt to explore the effect that hallucinations have on model performance by conducting a comparative evaluation after filtering them from model output.

The main contribution resulting from this approach is enhancing the understanding of LLMs' limitations and capabilities in historical NER tasks, providing valuable insights for improving model reliability. Our findings advance historical NER research by broadening the model comparison, extensive error analysis, testing prompt modifications, and addressing hallucination issues.

In future work, we would be keen to investigate the effects of prompt engineering on few-shot NER for historical text, with the hope of benefiting from the proven advantages of prompt-based learning (Le Scao and Rush, 2021). Adjusting the way we feed our prompts into the model can also affect the overall model performance, as previously shown in Liu et al. (2022). Since the model has the tendency to over-generate, and at times it provides an answer extracted form the prompt rather than the input text itself, it could potentially be more beneficial to treat prompting as a two-step process, where we first provide the model with the prompt, and then input the text we want to work with as a secondary step.

Another possible avenue for research is to look into what would be the minimum amount of data or examples required for few-shot or zero-shot learning in historical NER tasks using LLMs without having to compromise on performance. Lastly, since it is common practice for current state-of-theart models to be released in "families" consisting of various sizes of the same ground architecture, it could also be relevant to experiment with how more variation in parameter size affects the capabilities of such prompt-answering LLMs - including, but not limited to, the model families already mentioned in this paper. A final way forward would be to ensure that the LLM used has seen sufficient amounts of historical text and, if possible, NER examples in historical texts during training.

This study highlights the potential of generative models in improving access to and the analysis of historical texts, aiding in digital humanities efforts, as well as in archival and historical research, while also drawing attention to some of their potential pitfalls.

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