Global Entity Disambiguation with BERT

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Abstract

We propose a global entity disambiguation (ED) model based on BERT (Devlin et al., 2019). To capture global contextual information for ED, our model treats not only words but also entities as input tokens, and solves the task by sequentially resolving mentions to their referent entities and using resolved entities as inputs at each step. We train the model using a large entity-annotated corpus obtained from Wikipedia. We achieve new state-of-the-art results on five standard ED datasets: AIDA-CoNLL, MSNBC, AQUAINT, ACE2004, and WNED-WIKI. The source code and model checkpoint are available at https://github.com/studio-ousia/luke.

1 Introduction

Entity disambiguation (ED) refers to the task of assigning mentions in a document to corresponding entities in a knowledge base (KB). This task is challenging because of the ambiguity between mentions (e.g., "World Cup") and the entities they refer to (e.g., FIFA World Cup or Rugby World Cup). ED models typically rely on *local* contextual information based on words that co-occur with the mention and *global* contextual information based on the entity-based coherence of the disambiguation decisions. A key to improve the performance of ED is to effectively combine both local and global contextual information (Ganea and Hofmann, 2017; Le and Titov, 2018).

In this study, we propose a global ED model based on BERT (Devlin et al., 2019). Our model treats words and entities in the document as input tokens, and is trained by predicting randomly masked entities in a large entity-annotated corpus obtained from Wikipedia. This training enables the model to learn how to disambiguate masked entities based on words and non-masked entities. At the inference time, our model disambiguates

	input.	<u>1010331</u> pie	www.		vonu o	<u>up</u>	
Words		[+++++++++++++++++++++++++++++++++++++				·····	Entities
[CLS] mess	##i played	in the	world	cup	[SEP]	[MAS	K] [MÁSK]
<u></u>	+						*
(Tra	ansform	er			
Lionel Messi							
[CLS] mess	##i played	in the	world	сир	[SEP]	Lionel M	essi [MASK]
S	¥				1	+	*
(Tra	ansform	er			
			Res	sult:	Lione	Messi Fl	FA World Cup

Innut: Massi played in the World Cur

NAIST

Figure 1: The inference procedure of our model with the input text "Messi played in the World Cup." Given mentions ("Messi" and "World Cup"), our model sequentially resolves them to their referent entities, and uses the resolved entities as contexts at each step.

mentions sequentially using words and already resolved entities (see Figure 1). This sequential inference effectively accumulates the global contextual information and enhances the coherence of disambiguation decisions (Yang et al., 2019).

We conducted extensive experiments using six standard ED datasets, i.e., AIDA-CoNLL, MSNBC, AQUAINT, ACE2004, WNED-WIKI, and WNED-CWEB. As a result, the global contextual information consistently improved the performance. Furthermore, we achieved new state of the art on all datasets except for WNED-CWEB. The source code and model checkpoint are available at https://github.com/ studio-ousia/luke.

2 Related Work

Transformer-based ED. Several recent studies have proposed ED models based on Transformer (Vaswani et al., 2017) trained with a large entity-annotated corpus obtained from Wikipedia (Broscheit, 2019; Ling et al., 2020; Févry et al., 2020; Cao et al., 2021; Barba et al., 2022). Broscheit (2019) trained an ED model based on BERT by classifying each word in the document to the corresponding entity. Similarly, Févry et al. (2020) addressed ED using BERT by classifying mention spans to the corresponding entities. Ling

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		Transformer									
Token emb.	A _[CLS]	A _{mess}	A _{##i}	A _{played}	A _{in}	A _{the}	Aworld	A _{cup}	A _[SEP]	BLionel_Messi	B[MASK]
	+	+	+	+	+	+	+	+	+	+	+
Token type	Cword	C _{word}	C _{word}	Cword	C _{word}	C _{word}	C _{word}	C _{word}	Cword	C _{entity}	C _{entity}
chib.	+	+	+	+	+	+	+	+	+	+	+
Position emb.	D ₀	D ₁	D ₂	D ₃	D ₄	D ₅	D ₆	D ₇	D ₈	$(E_1 + E_2) / 2$	$(E_6 + E_7) / 2$
										/	
Input	[CLS]	mess	##i	played	in	the	world	cup	[SEP]	Lionel Messi	[MASK]
	Words										Entities

Figure 2: The input representation of our model with the text "Messi played in the World Cup" with mentions "Messi" and "World Cup". The entity corresponding to the mention "World Cup" is replaced by the [MASK] token.

et al. (2020) trained BERT by predicting entities using the document-level representation. Cao et al. (2021) addressed ED by training BART (Lewis et al., 2020) to generate referent entity titles of target mentions in an autoregressive manner. Barba et al. (2022) formulated ED as a text extraction problem; they fed the document and candidate entity titles to BART and Longformer (Beltagy et al., 2020) and disambiguated a mention in the document by extracting the referent entity title of the mention. However, unlike our model, these models addressed the task based only on local contextual information.

Treating entities as inputs of Transformer. Recent studies (Zhang et al., 2019; Yamada et al., 2020; Sun et al., 2020) have proposed Transformerbased models that treat entities as input tokens to enrich their expressiveness using additional information contained in the entity embeddings. However, these models were designed to solve general NLP tasks and not tested on ED. We treat entities as input tokens to capture the global context that is shown to be highly effective for ED.

ED as sequential decision task. Past studies (Yang et al., 2019; Fang et al., 2019) have solved ED by casting it as a sequential decision task to capture global contextual information. We adopt a similar method with an enhanced Transformer architecture, a training task, and an inference method to implement the global ED model based on BERT.

3 Model

Given a document with N mentions, each of which has K entity candidates, our model solves ED by selecting a correct referent entity from the entity candidates for each mention.

3.1 Model Architecture

Our model is based on BERT and takes words and entities (Wikipedia entities or the [MASK] entity). The input representation of a word or an entity is constructed by summing the token, token type, and position embeddings (see Figure 2):

Token embedding is the embedding of the corresponding token. The matrices of the word and entity token embeddings are represented as $\mathbf{A} \in \mathbb{R}^{V_w \times H}$ and $\mathbf{B} \in \mathbb{R}^{V_e \times H}$, respectively, where *H* is the size of the hidden states of BERT, and V_w and V_e are the number of items in the word vocabulary and that of the entity vocabulary, respectively.

Token type embedding represents the type of token, namely word (C_{word}) or entity (C_{entity}).

Position embedding represents the position of the token in a word sequence. A word and an entity appearing at the *i*-th position in the sequence are represented as D_i and E_i , respectively. If an entity mention contains multiple words, its position embedding is computed by averaging the embeddings of the corresponding positions (see Figure 2).

Following Devlin et al. (2019), we tokenize the document text using the BERT's wordpiece tokenizer, and insert [CLS] and [SEP] tokens as the first and last words, respectively.

3.2 Training Task

Similar to the masked language model (MLM) objective adopted in BERT, our model is trained by predicting randomly masked entities. Specifically, we randomly replace some percentage of the entities with special [MASK] entity tokens and then trains the model to predict masked entities.

We adopt a model equivalent to the one used to predict words in MLM. Formally, we predict the original entity corresponding to a masked entity by

Algorithm 1: Algorithm of our global ED model.
Input: Words and mentions $m_1, \ldots m_N$.
Initialize: $e_i \leftarrow [MASK], i = 1 \dots N$
repeat N times
For all [MASK] s, obtain predictions using Eq.(3)
with words and entities $e_1,, e_N$ as inputs
Select a mention m_j and its prediction \hat{e}_j with
the highest probability
$e_j \leftarrow \hat{e_j}$
end
return $\{e_1, e_N\}$

applying softmax over all entities:

$$\hat{\mathbf{y}} = \operatorname{softmax}(\mathbf{B}\mathbf{m}_e + \mathbf{b}_o) \tag{1}$$

$$\mathbf{m}_e = \operatorname{layernorm}(\operatorname{gelu}(\mathbf{W}_f \mathbf{h}_e + \mathbf{b}_f)) \quad (2)$$

where $\mathbf{h}_e \in \mathbb{R}^H$ is the output embedding corresponding to the masked entity, $\mathbf{W}_f \in \mathbb{R}^{H \times H}$ is a matrix, $\mathbf{b}_o \in \mathbb{R}^{V_e}$ and $\mathbf{b}_f \in \mathbb{R}^H$ are bias vectors, gelu(\cdot) is the gelu activation function (Hendrycks and Gimpel, 2016), and layernorm(\cdot) is the layer normalization function (Lei Ba et al., 2016).

3.3 ED Model

Local ED Model. Our local ED model takes words and N [MASK] tokens corresponding to the mentions in the document. The model then computes the embedding $\mathbf{m}'_e \in \mathbb{R}^H$ for each [MASK] token using Eq.(2) and predicts the entity using softmax over the K entity candidates:

$$\mathbf{\hat{y}}_{ED} = \operatorname{softmax}(\mathbf{B}^*\mathbf{m}'_e + \mathbf{b}^*_o),$$
 (3)

where $\mathbf{B}^* \in \mathbb{R}^{K \times H}$ and $\mathbf{b}_o^* \in \mathbb{R}^K$ consist of the entity token embeddings and the bias corresponding to the entity candidates, respectively. Note that \mathbf{B}^* and \mathbf{b}_o^* are the subsets of \mathbf{B} and \mathbf{b}_o , respectively.

Global ED Model. Our global ED model resolves mentions sequentially for *N* steps (see Algorithm 1). First, the model initializes the entity of each mention using the [MASK] token. Then, for each step, it predicts an entity for each [MASK] token, selects the prediction with the highest probability produced by the softmax function in Eq.(3), and resolves the corresponding mention by assigning the predicted entity to it. This model is denoted as **confidence-order**. We also test a model that selects mentions according to their order of appearance in the document and denote it by **natural-order**.

3.4 Modeling Details

Our model is based on $\text{BERT}_{\text{LARGE}}$ (Devlin et al., 2019). The parameters shared with BERT are initialized using BERT, and the other parameters are

Namo	Accuracy	Accuracy	
Name	(KB+YAGO)	(PPRforNED)	
Baselines:			
Yamada et al. (2016)	91.5	93.1	
Ganea and Hofmann (2017)	92.2	-	
Yang et al. (2018)	93.0	95.9	
Le and Titov (2018)	93.1	-	
Fang et al. (2019)	94.3	-	
Yang et al. (2019)	94.6		
Broscheit (2019)	87.9	-	
Ling et al. (2020)	-	94.9	
Févry et al. (2020)	92.5	96.7	
Cao et al. (2021)	93.3	-	
Barba et al. (2022)	92.6	-	
Our model w/o fine-tuning:			
confidence-order	92.4	94.6	
natural-order	91.7	94.0	
local	90.8	94.0	
Our model w/ fine-tuning:			
confidence-order	95.0	97.1	
natural-order	94.8	97.0	
local	94.5	96.8	

Table 1: In-KB accuracy on the CoNLL dataset.

initialized randomly. We treat the hyperlinks in Wikipedia as entity annotations and randomly mask 30% of all entities. We train the model by maximizing the log likelihood of entity predictions. Further details are described in Appendix A.

4 Experiments

Our experimental setup follows Le and Titov (2018).In particular, we test the proposed ED models using six standard datasets: AIDA-CoNLL (CoNLL) (Hoffart et al., 2011), MSNBC, AQUAINT, ACE2004, WNED-CWEB (CWEB), and WNED-WIKI (WIKI) (Guo and Barbosa, 2018). We consider only the mentions that refer to valid entities in Wikipedia. For all datasets, we use the KB+YAGO entity candidates and their associated $\hat{p}(e|m)$ (Ganea and Hofmann, 2017), and use the top 30 candidates based on $\hat{p}(e|m)$. For the CoNLL dataset, we also test the performance using PPRforNED entity candidates (Pershina et al., 2015). We report the in-KB accuracy for the CoNLL dataset and the micro F1 score (averaged per mention) for the other datasets. Further details of the datasets are provided in Appendix C.

Furthermore, we optionally fine-tune the model by maximizing the log likelihood of the ED predictions ($\hat{\mathbf{y}}_{ED}$) using the training set of the CoNLL dataset with the KB+YAGO candidates. We mask 90% of the mentions and fix the entity token embeddings (**B** and **B**^{*}) and the bias (\mathbf{b}_o and \mathbf{b}_o^*).

Name	MSNBC	AQUAINT	ACE2004	CWEB	WIKI	Average
Baselines:						
Ganea and Hofmann (2017)	93.7	88.5	88.5	77.9	77.5	85.2
Yang et al. (2018)	92.6	89.9	88.5	81.8	79.2	86.4
Le and Titov (2018)	93.9	88.3	89.9	77.5	78.0	85.5
Fang et al. (2019)	92.8	87.5	91.2	78.5	82.8	86.6
Yang et al. (2019)	93.8	88.3	90.1	75.6	78.8	85.3
Cao et al. (2021)	94.3	89.9	90.1	77.3	87.4	87.8
Barba et al. (2022)	94.7	91.6	91.8	77.7	88.8	88.9
Our model w/o fine-tuning:						
confidence-order	96.3	93.5	91.9	78.9	89.1	89.9
natural-order	96.1	92.9	91.9	78.4	89.2	89.7
local	96.1	91.9	91.9	78.4	88.8	89.4
Our model w/ fine-tuning:						
confidence-order	94.1	91.5	90.7	78.3	87.6	88.4
natural-order	94.1	90.9	90.7	78.3	87.4	88.3
local	94.1	90.8	90.7	78.2	87.2	88.2

Table 2: Micro F1 score on the MSNBC, AQUAINT, ACE2004, CWEB, and WIKI datasets.

#annotations	confidence-order	natural-order	local	G&H2017
0	1.0	1.0	1.0	0.8
1-10	95.55	95.55	95.55	91.93
11-50	96.98	96.70	96.43	92.44
≥51	96.64	96.38	95.80	94.21

Table 3: Accuracy on the CoNLL dataset split by the frequency of entity annotations. Our models were finetuned using the CoNLL dataset. **G&H2017**: The results of Ganea and Hofmann (2017).

The model is trained for two epochs using AdamW. Additional details are provided in Appendix B.

4.1 Results

Table 1 and Table 2 present our experimental results. We achieve new state of the art on all datasets except the CWEB dataset by outperforming strong Transformer-based ED models, i.e, Broscheit (2019), Ling et al. (2020), Févry et al. (2020), Cao et al. (2021), and Barba et al. (2022).¹ Furthermore, on the CoNLL dataset, our confidence-order model trained only on our Wikipedia-based corpus outperforms Yamada et al. (2016) and Ganea and Hofmann (2017) trained on its in-domain training set.

Our global models consistently perform better than the local model, demonstrating the effectiveness of using global contextual information even if local contextual information is captured using expressive BERT model. Moreover, the confidenceorder model performs better than the natural-order model on most datasets. An analysis investigating why the confidence-order model outperforms the natural-order model is provided in the next section.

The fine-tuning on the CoNLL dataset significantly improves the performance on this dataset (Table 1). However, it generally degrades the performance on the other datasets (Table 2). This suggests that Wikipedia entity annotations are more suitable than the CoNLL dataset to train generalpurpose ED models.

Additionally, our models perform worse than Yang et al. (2018) on the CWEB dataset. This is because this dataset is significantly longer on average than other datasets, i.e., approximately 1,700 words per document on average, which is more than three times longer than the 512-word limit that can be handled by BERT-based models including ours. Yang et al. (2018) achieved excellent performance on this dataset because their model uses various hand-engineered features capturing document-level contextual information.

4.2 Analysis

To investigate how global contextual information helps our model to improve performance, we manually analyze the difference between the predictions of the local, natural-order, and confidence-order models. We use the fine-tuned model using the CoNLL dataset with the YAGO+KB candidates. Although all models perform well on most mentions, the local model often fails to resolve mentions of common names referring to specific entities (e.g., "New York" referring to New York Knicks). Global models are generally better to resolve such difficult cases because of the presence of strong global contextual information (e.g., mentions refer-

¹All models listed in Table 2 use Wikipedia as training data which partly overlap with the WIKI dataset.

ring to basketball teams).

Furthermore, we find that the confidence-order model works especially well for mentions that require a highly detailed context to resolve. For example, a mention of "Matthew Burke" can refer to two different former Australian rugby players. Although the local and natural-order models incorrectly resolve this mention to the player who has the larger number of occurrences in our Wikipediabased corpus, the confidence-order model successfully resolves this by disambiguating its contextual mentions, including his teammates, in advance. We provide detailed inference sequence of the corresponding document in Appendix D.

4.3 **Performance for Rare Entities**

We examine whether our model learns effective embeddings for rare entities using the CoNLL dataset. Following Ganea and Hofmann (2017), we use the mentions of which entity candidates contain their gold entities and measure the performance by dividing the mentions based on the frequency of their entities in the Wikipedia annotations used to train the embeddings.

As presented in Table 3, our models achieve enhanced performance for rare entities. Furthermore, the global models consistently outperform the local model both for rare and frequent entities.

5 Conclusion and Future Work

We propose a new global ED model based on BERT. Our extensive experiments on a wide range of ED datasets demonstrate its effectiveness.

One limitation of our model is that, similar to existing ED models, our model cannot handle entities that are not included in the vocabulary. In our future work, we will investigate the method to compute the embeddings of such entities using a post-hoc training with an extended vocabulary (Tai et al., 2020).

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Appendix for "Global Entity Disambiguation with BERT"

A Details of Proposed Model

As the input corpus for training our model, we use the December 2018 version of Wikipedia, comprising approximately 3.5 billion words and 11 million entity annotations. We generate input sequences by splitting the content of each page into sequences comprising ≤ 512 words and their entity annotations (i.e., hyperlinks). The input text is tokenized using BERT's tokenizer with its vocabulary consisting of $V_w = 30,000$ words. Similar to Ganea and Hofmann (2017), we create an entity vocabulary consisting of $V_e = 128,040$ entities, which are contained in the entity candidates in the datasets used in our experiments.

Our model consists of approximately 440 million parameters. To reduce the training time, the parameters that are shared with BERT are initialized using BERT. The other parameters are initialized randomly. The model is trained via iterations over Wikipedia pages in a random order for seven epochs. To stabilize the training, we update only those parameters that are randomly initialized (i.e., fixed the parameters initialized using BERT) at the first epoch, and update all parameters in the remaining six epochs. We implement the model using PyTorch (Paszke et al., 2019) and Hugging Face Transformers (Wolf et al., 2020), and the training takes approximately ten days using eight Tesla V100 GPUs. We optimize the model using AdamW. The hyper-parameters used in the training are detailed in Table 4.

B Details of Fine-tuning on CoNLL Dataset

The hyper-parameters used in the fine-tuning on the CoNLL dataset are detailed in Table 5. We select these hyper-parameters from the search space described in Devlin et al. (2019) based on the accuracy on the development set of the CoNLL dataset. A document is split if it is longer than 512 words, which is the maximum word length of the BERT model.

C Details of ED Datasets

The statistics of the ED datasets used in our experiments are provided in Table 6.

Name	Value
number of hidden layers	24
hidden size	1024
attention heads	16
attention head size	64
activation function	gelu
maximum word length	512
batch size	2048
learning rate (1st epoch)	5e-4
learning rate decay (1st epoch)	none
warmup steps (1st epoch)	1000
learning rate	5e-5
learning rate decay	linear
warmup steps	1000
dropout	0.1
weight decay	0.01
gradient clipping	1.0
adam β_1	0.9
adam β_2	0.999
adam ϵ	1e-6

Table 4: Hyper-parameters used for training onWikipedia entity annotations.

Name	Value
maximum word length	512
number of epochs	2
batch size	16
learning rate	2e-5
learning rate decay	linear
warmup proportion	0.1
dropout	0.1
weight decay	0.01
gradient clipping	1.0
adam β_1	0.9
adam β_2	0.999
adam ϵ	1e-6

Table 5: Hyper-parameters during fine-tuning on the CoNLL dataset.

D Example of Inference by Confidence-order Model

Figure 3 shows an example of the inference performed by our confidence-order model fine-tuned on the CoNLL dataset. The document is obtained from the test set of the CoNLL dataset. As shown in the figure, the model starts with unambiguous player names to recognize the topic of the document, and subsequently resolves the mentions that are challenging to resolve.

Notably, the model correctly resolves the mention "Nigel Walker" to the corresponding former rugby player instead of a football player, and the mention "Matthew Burke" to the correct former Australian rugby player born in 1973 instead of

Document:

"Campo has a massive following in this country and has had the public with him ever since he first played here in 1984," said Andrew, also likely to be making his final **20**: <u>Twickenham</u> appearance. On tour, **17**: <u>Australia</u> have won all four tests against **46**: <u>Italy</u>, **47**: <u>Scotland</u>, **48**: <u>Ireland</u> and **45**: <u>Wales</u>, and scored 414 points at an average of almost 35 points a game. League duties restricted the **28**: <u>Barbarians'</u> selectorial options but they still boast 13 internationals including **44**: <u>England</u> full-back **16**: <u>Tim Stimpson</u> and recalled wing **22**: <u>Tony Underwood</u>, plus **12**: <u>All Black</u> forwards **25**: <u>Ian Jones</u> and **14**: <u>Norm Hewitt</u>. Teams: **27**: <u>Barbarians</u> - 15 - **7**: <u>Tim Stimpson</u> (**31**: <u>England</u>); 14 - **50**: <u>Nigel Walker</u> (**36**: <u>Wales</u>), 13 - **1**: <u>Allan</u> <u>Bateman</u> (**32**: <u>Wales</u>), 12 - **10**: <u>Gregor Townsend</u> (**39**: <u>Scotland</u>), 11 - **4**: <u>Tony Underwood</u> (**34**: <u>England</u>); 10 -**17**: <u>Rob Andrew</u> (**33**: <u>England</u>), 9 - **2**: <u>Rob Howley</u> (**35**: <u>Wales</u>); 8 - **15**: <u>Scott Quinnell</u> (**37**: <u>Wales</u>), 7 - **8**: <u>Neil</u> <u>Back</u> (**38**: <u>England</u>), 6 - **19**: <u>Dale McIntosh</u> (**41**: <u>Pontypridd</u>), 5 - **24**: <u>Ian Jones</u> (**51**: <u>New Zealand</u>), 4 - **11**: <u>Craig</u> <u>Quinnell</u> (**40**: <u>Wales</u>), 3 - **5**: <u>Darren Garforth</u> (**42**: Leicester), 2 - **18**: <u>Norm Hewitt</u> (**52**: <u>New Zealand</u>), 1 - **3**: <u>Nick</u> <u>Popplewell</u> (**49**: <u>Ireland</u>). **43**: <u>Australia</u> - 15 - **53**: <u>Matthew Burke</u>; 14 - **9**: <u>Joe Roff</u>, 13 - **26**: <u>Daniel Herbert</u>, 12 -**20**: <u>Tim Horan</u> (captain), 11 - **23**: <u>David Campese</u>; 10 - **29**: <u>Pat Howard</u>, 9 - Sam Payne; 8 - Michael Brial, 7 -**30**: <u>David Wilson</u>, 6 - **13**: <u>Owen Finegan</u>, 5 - **21**: <u>David Giffin</u>, 4 - Tim Gavin, 3 - Andrew Blades, 2 - Marco Caputo, 1 - **6**: <u>Dan Crowley</u>.

Order of Inference by Confidence-order Model:

Allan Bateman > Rob Howley > Nick Popplewell > Tony Underwood > Darren Garforth > Dan Crowley > Tim Stimpson > Neil Back > Joe Roff > Gregor Townsend > Craig Quinnell > All Black > Owen Finegan > Norm Hewitt > Scott Quinnell > Tim Stimpson > Australia > Norm Hewitt > Dale McIntosh > Tim Horan > David Giffin > Tony Underwood > David Campese > Ian Jones > Ian Jones > Daniel Herbert > Barbarians > Barbarians > Pat Howard > David Wilson > England > Wales > England > England > Wales > Wales > Wales > England > Scotland > Wales > Pontypridd > Leicester > Australia > England > Wales > Italy > Scotland > Ireland > Ireland > Nigel Walker > New Zealand > New Zealand > Matthew Burke

Figure 3: An illustrative example showing the inference performed by our fine-tuned confidence-order model on a document in the CoNLL dataset. Mentions are shown as underlined. Numbers in **boldface** represent the selection order of the confidence-order model.

Name	#mentions	#documents
CoNLL (training)	18,448	946
CoNLL (development)	4,791	216
CoNLL (test)	4,485	231
MSNBC	656	20
AQUAINT	727	50
ACE2004	257	36
CWEB	11,154	320
WIKI	6,821	320

Table 6: Statistics of ED datasets.

the former Australian rugby player born in 1964. This is accomplished by resolving other contextual mentions, including their colleague players, in advance. These two mentions are denoted in red in the figure. Note that our local model fails to resolve both mentions, and our natural-order model fails to resolve "Matthew Burke."