# Modeling Task-Aware MIMO Cardinality for Efficient Multilingual Neural Machine Translation

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## Abstract

Neural machine translation has achieved great success in bilingual settings, as well as in multilingual settings. With the increase of the number of languages, multilingual systems tend to underperform their bilingual counterparts. Model capacity has been found crucial for massively multilingual NMT to support language pairs with varying typological characteristics. Previous work increases the modeling capacity by deepening or widening the Transformer. However, modeling cardinality based on aggregating a set of transformations with the same topology has been proven more effective than going deeper or wider when increasing capacity. In this paper, we propose to efficiently increase the capacity for multilingual NMT by increasing the cardinality. Unlike previous work which feeds the same input to several transformations and merges their outputs into one, we present a Multi-Input-Multi-Output (MIMO) architecture that allows each transformation of the block to have its own input. We also present a task-aware attention mechanism to learn to selectively utilize individual transformations from a set of transformations for different translation directions. Our model surpasses previous work and establishes a new state-of-the-art on the large scale OPUS-100 corpus while being 1.31 times as fast.

# 1 Introduction

Multilingual translation between multiple language pairs with a single model (Firat et al., 2016a; Johnson et al., 2017; Aharoni et al., 2019) has some advantages compared to bilingual systems (Bahdanau et al., 2015; Gehring et al., 2017; Vaswani et al., 2017; Barrault et al., 2020), e.g., easy deployment, enabling transfer learning across languages and zero-shot translation. Despite their advantages, multilingual systems tend to underperform their bilingual counterparts as the number of languages increases (Johnson et al., 2017; Aharoni et al., 2019). This is due to the fact that multilingual NMT must distribute its modeling capacity over different translation directions. Zhang et al. (2020) show that the model capacity is crucial for massively multilingual NMT to support language pairs with varying typological characteristics, and propose to increase the modeling capacity by deepening the Transformer.

However, compared to going deeper or wider, modeling cardinality based on aggregating a set of transformations with the same topology has been proven more effective when we increase the model capacity (Xie et al., 2017). In this paper, we efficiently increase the capacity of the multilingual NMT model by increasing the cardinality, i.e. stacking sub-layers that aggregate a set of transformations with the same topology.

Our main contributions are as follows:

- We propose to efficiently increase the capacity of the multilingual NMT model by increasing cardinality, and present a novel MIMO design that allows transformations in the subsequent layer to take different outputs from the current layer as their inputs, unlike previous studies (Xie et al., 2017; Yan et al., 2020) which feed the same input to several transformations and merge their outputs into one;
- We propose to learn a task-aware attention mechanism for the MIMO transformation, allowing the model to weigh different transformations of the set differently for specific translation directions;
- In our experiments on the OPUS-100 corpus, our approach outperforms previous work and

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Figure 1: Block transformations. (a) takes the same input into a set of transformations, and adds up their outputs as the output of the block. (b) takes the same input and processes it with these transformations without merging their outputs. (c) is the MIMO architecture that combines weighted outputs of these transformations as inputs to the subsequent transformation set. (d) combines weighted outputs of these transformations into one. Dashed arrows indicate learned attention probabilities. Each "Trans" is a sub-layer that runs in the order of: transforming  $\rightarrow$  dropout  $\rightarrow$  residual connection  $\rightarrow$  layer normalization, where the transforming unit can be either multi-head attention or FFN, as depicted in Figure 2. We aggregate the final output of layer normalization of each "Trans" in the block into the input fed to the next block in different ways (i.e., (a)-(d)).

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# 2 Preliminaries

Zhang et al. (2020) overcome the capacity bottleneck of multilingual NMT via deepening NMT architectures.

Xie et al. (2017) present a highly modularized network architecture for image classification. The network is constructed by repeating a building block that aggregates a set of transformations with the same topology. For a given input i, the block adopts n networks of the same topology trans to process i and merges their outputs into the final output o of the layer:

$$o = \sum_{k=1}^{n} trans_k(i) \tag{1}$$

This design strategy exposes a new dimension, namely "cardinality" (the size of the set of transformations), as an essential factor in addition to the dimensions of depth and width. Xie et al. (2017) empirically show that increasing cardinality is more effective than going deeper or wider when we increase the capacity to improve classification accuracy.

Yan et al. (2020) present a multi-unit Transformer to efficiently improve the translation perfor-



Figure 2: The "Trans" unit.

mance by increasing cardinality instead of depth. However, their work implements stacks of *input*  $\rightarrow$  *performing multiple transformations*  $\rightarrow$  *merging* blocks (as illustrated in Figure 1 (a)), is developed for bilingual sentence-level transformation, and requires the additional design of a biasing module and sequential dependency that guide and encourage complementariness among different units. By contrast, our work aims at efficiently increasing the capacity for multilingual translation, proposes the MIMO transformation (Figure 1 (c)) between stacked blocks, and naturally uses the translation task in attention form to guide individual transformations of the set to learn different representations for different translation directions.

## **3** Our Approach

# 3.1 Multi-Input-Multi-Output (MIMO) Transformation

In contrast to previous approaches (Xie et al., 2017; Yan et al., 2020) that follow a stack of transformation-merging procedures (Figure 1 (a)) to increase cardinality, in our approach we allow our set of transformations to take different inputs. Compared to using the same input, this may encourage transformations to learn complementary representations. Furthermore, merging the outputs of different transformations into one is likely to incur information loss. This is avoided in our approach.

We employ a MIMO transformation between stacked layers (Figure 1 (c)) to enable each transformation of the block to selectively learn to operate on its own unique input.

Specifically, we keep n outputs of the set of transformations to produce multiple inputs for the next layer instead of merging them into one. The input  $i_k^j$  to the kth transformation of the *j*th layer  $trans_k^j$  is a weighted accumulation of the outputs  $o^{j-1}$  of the layer j-1.

$$i_k^j = \sum_{m=1}^n p_m^j * o_m^{j-1}$$
(2)

where  $p_m^j$  are softmax-normalized learnable parameters to model translation task-aware attention for multilingual NMT described in Section 3.2.

 $o_k^j$  is produced by  $trans_k^j$  with  $i_k^j$  as its input:

$$o_k^j = trans_k^j(i_k^j) \tag{3}$$

In the case of a Transformer for multilingual NMT,  $trans_k^j$  can be either the multi-head attention or the feed-forward neural network. We adopt a one-to-many transformation (Figure 1 (b)) for the self-attention layer in the first encoder/decoder layer to project one input from the embedding layer to multiple inputs to subsequent layers, and perform a many-to-one transformation (Figure 1 (d)) with the outputs of the feed-forward layer of the last decoder layer to build a single input for the classifier.

#### 3.2 Task-Aware Attention

Rather than separating the multilingual NMT model into 2 parts: 1) the shared part for all language pairs trained on the full dataset; 2) the language isolated part which will only be activated

in the corresponding translation task and trained on the part of the whole dataset specifically for the language, we compute all transformations of each block regardless of the translation task, thus all model parameters can utilize and benefit from the whole training set. At the same time, we introduce a task-aware attention mechanism to utilize different transformations of the block differently for specific translation directions.

Specifically, we learn an embedding v for each translation direction (i.e., to X (e.g., en, zh, de)) for each transformation to weightedly aggregate multiple outputs of the block below. v is first normalized into a probability p:

$$p = \operatorname{softmax}(v) \tag{4}$$

Next, p is used in Equation 2 for weighted aggregation. p is expected to assign a higher weight to corresponding transformations of the block which are more important for the translation direction.

#### 3.3 Discussion

Increasing model capacity via increasing cardinality is more efficient than deepening a model or widening it (Xie et al., 2017; Yan et al., 2020). Compared to widening a model, increasing cardinality removes connections between hidden units and reduces both parameters and computation. Compared to deepening a model, increasing cardinality allows to parallelize the computation of all transformations of a set, accelerating both training and decoding.

### 4 Experiments

#### 4.1 Settings

We conducted our experiments on the challenging massively many-to-many translation task on the OPUS-100 corpus (Tiedemann, 2012; Aharoni et al., 2019; Zhang et al., 2020). We followed Zhang et al. (2020) for experiment settings. We implemented our approaches based on the Neutron implementation (Xu and Liu, 2019) of the Transformer translation model. Parameters were initialized under the Lipschitz constraint (Xu et al., 2020). We adopted BLEU (Papineni et al., 2002) for translation evaluation with the SacreBLEU toolkit (Post, 2018).<sup>1</sup> We report average BLEU over 94 language pairs BLEU<sub>94</sub>, win ratio WR (%) compared

<sup>&</sup>lt;sup>1</sup>BLEU+case.mixed+numrefs.1+smooth.exp+tok.13a +version.1.4.1

Models	Direction	BLEU <sub>94</sub>	WR	$BLEU_4$	$BLEU_{zero}$	Speed-Up
Zhang et al. (2020)	En→xx xx→En	23.36 30.98	-	19.45 26.78	14.08	1.00
Ours	$En \rightarrow xx$ $xx \rightarrow En$	24.17 32.19	78.72 87.23	20.08 27.92	14.71	1.31

Table 1: Main results

Models	$BLEU_{94}$			
Models	$En \rightarrow xx$	$xx{\rightarrow}En$		
Full	24.17	32.19		
-MIMO	23.78	31.61		
-MIMO-Task Attention	23.54	31.27		

Table 2: Ablation on the MIMO and task-aware attention.

#Layers	#Trans.	BLEU <sub>94</sub>			
		$En \rightarrow xx$	$xx{\rightarrow}En$		
4	6	23.92	31.76		
6	4	24.17	32.19		
8	3	24.08	31.94		

Table 3: Results of different configurations.

to Zhang et al. (2020), average BLEU over 4 selected typologically different target languages (de, zh, br, te) BLEU<sub>4</sub>, and average BLEU for zero-shot translation BLEU<sub>zero</sub>.

### 4.2 Main Results

For fair comparison, we use a 6-layer model where each attention/FFN block contains 4 transformations, which leads to a similar number of parameters compared to the 24-layer model of Zhang et al. (2020). Results are shown in Table 1.

Table 1 shows that our approach achieves better performance in all evaluations while being 1.31 times as fast.

#### 4.3 Ablation Study

We study removing MIMO transformations and task-aware attention. Results are shown in Table 2.

Table 2 verifies that both mechanisms contribute to the performance.

We also examine different combinations of depth and cardinality. Results are shown in Table 3.

Table 3 shows that using 6 layers with 4 transformations in each block leads to the best perfor-

Main	en	de	fr	ar	zh	ru
1	rw	sv	pt	he	ja	sh
2	yi	da	it	mt	ko	lt
3	gd	nn	ca	fa	th	sr
4	de	nb	es	ga	vi	mk
5	xh	no	mt	yo	bn	lv

Table 4: Languages with similar task-aware attention weights.

mance.

#### 4.4 Task-Aware Attention Weight Analysis

To verify whether task-aware attention learns to aggregate similar languages together, we extract the learned task-aware attention probabilities, flatten them into vectors, and select the languages with the top-5 cosine similarity. Results for several languages are shown in Table 4.

Table 4 shows that close languages are aggregated together.

#### 5 Related Work

Multilingual NMT includes one-to-many (Dong et al., 2015), many-to-many (Firat et al., 2016a) and zero-shot (Firat et al., 2016b) scenarios. A simple solution is to insert a target language token at the beginning of the input sentence (Johnson et al., 2017).

Multilingual NMT has to handle different languages in one joint representation space, neglecting their linguistic diversity, especially for massively multilingual NMT (Aharoni et al., 2019; Zhang et al., 2020; Freitag and Firat, 2020). Most studies focus on how to mitigate this representation bottleneck (Zoph and Knight, 2016; Blackwood et al., 2018; Wang et al., 2018; Platanios et al., 2018; Wang et al., 2019a; Tan et al., 2019b; Wang et al., 2019b; Tan et al., 2019a; Bapna and Firat, 2019; Zhu et al., 2020; Lyu et al., 2020).

There are also studies on the trade-off between

shared and language-specific parameters (Sachan and Neubig, 2018; Zhang et al., 2021), on the training of multilingual NMT (Al-Shedivat and Parikh, 2019; Siddhant et al., 2020; Wang et al., 2020b,a), and on analyzing translations from multilingual NMT (Lakew et al., 2018) or the trained model (Kudugunta et al., 2019; Oncevay et al., 2020). Transferring a pre-trained multilingual NMT model can help improve the performance of downstream language pairs (Kim et al., 2019; Lin et al., 2020), especially for low-resource scenarios (Dabre et al., 2019). Multilingual data also has been proven useful for unsupervised NMT (Sen et al., 2019; Sun et al., 2020).

# 6 Conclusion

We propose to efficiently increase the capacity for multilingual NMT by increasing the cardinality. We present a MIMO architecture that allows each transformation of the block to have its own input. We also present a task-aware attention mechanism to learn to selectively utilize individual transformations from a set of transformations for different translation directions.

Our model surpasses previous work and establishes a new state-of-the-art on the large scale OPUS-100 corpus while being 1.31 times as fast.

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