

### Introduction

Sentiment should be important for humor understanding.

- Considering superiority theory (Gruner, 1997), sentiment should be common in humorous texts to express comparisons between *good* and *bad*.
- Considering relief theory (Rutter, 1997), sentiment can be used to indicate emotion changes.
- Existing work mainly considers statistical sentiment information such as counting the number of emotional words.
- **We propose to model sentiment association at discourse unit level for humor recognition.** Sentiment association in some extent can be used to describe the expectedness or unexpectedness, which is the idea of incongruity theory (Suls, 1972).

### Sentiment Association in Discourse

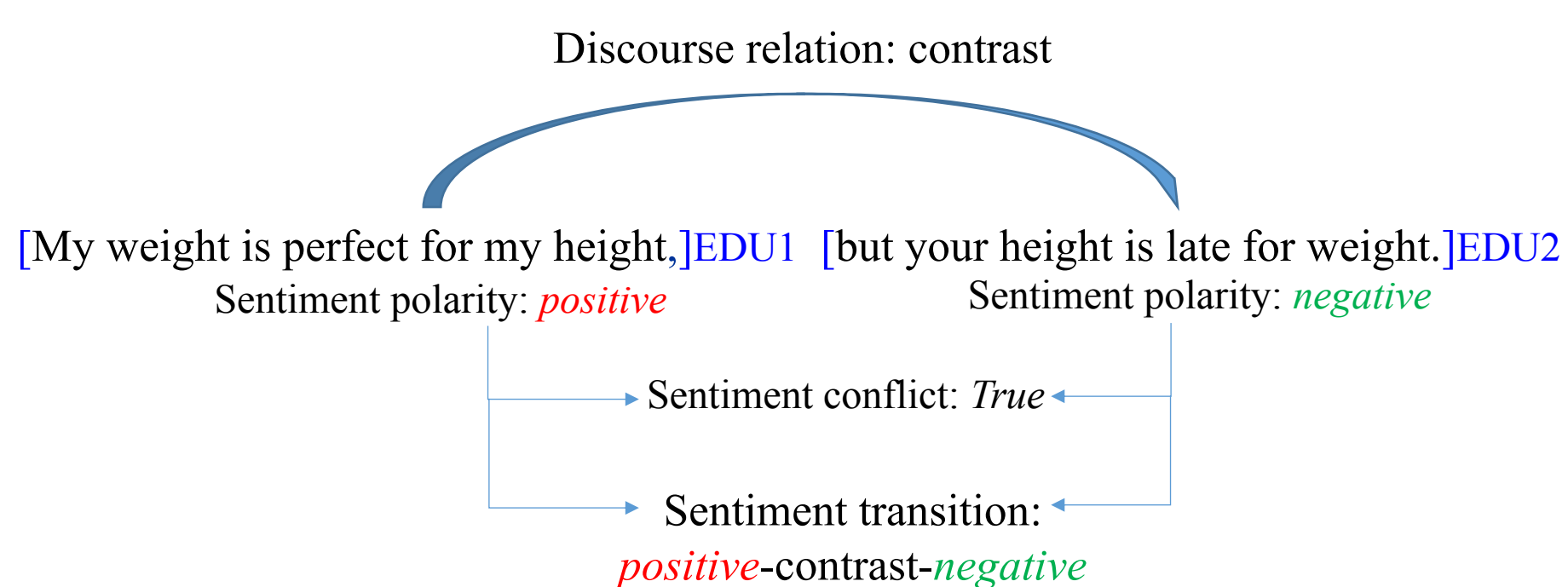


Figure 1: An example of RST style discourse parsing, sentiment polarity analysis and the features we consider in this paper

We first exploit a RST style discourse parser (Feng and Hirst 2012) to get discourse units (EDUs) and their relations; then, we use the TextBlob toolkit to get the sentiment polarity of EDUs.

□ Three types of features are derived

- **Discourse Relation (DR).** We design Boolean features to indicate the occurrence of discourse relations
- **Sentiment Conflict (SC).** If there are at least two EDUs and their polarity are opposite (positive vs. negative), the feature is set as True
- **Sentiment Transition (ST).** For two EDUs with a discourse relation R, we get their sentiment polarity respectively, namely E1 and E2. We design a feature  $E1 \circ R \circ E2$ , where  $\circ$  indicates a concatenation operation and E1 and E2 are ordered according to the order in which they appear in the instance

### Previous Features

We mainly follow the recent work of Yang et al. (2015) to build baseline features.

□ Humor theory motivated features

- **Incongruity Structure.** We describe inconsistency through the largest and smallest semantic distance between word pairs in a sentence
- **Ambiguity.** We use WordNet to obtain all senses of each word  $w$  in an instance and measure the possibility of ambiguity by computing  $\log \prod_{w \in S} \text{num\_of\_sense}(w)$ . We also compute the sense farthest and sense closest features
- **Interpersonal Effect.** The number of subjective words and the number of words with positive and negative polarity
- **Phonetic Style.** The number of alliteration chains and rhyme chains. The length of the longest alliteration chain and rhyme chain

□ Content related features (may overfit the data rather than capture the nature of humor)

- **KNN.** The KNN feature set contains the labels of the top 5 instances in the training data, which are closest to the target instance
- **Word2Vec Features.** Averaged word embeddings are used as sentence representations

### Results

The experimental results on the dataset provided in (Mihalcea and Strapparava, 2005), 5-fold cross validation.

□ **System Comparisons.**

- Base1: HCF. Include the incongruity structure, ambiguity, interpersonal effect, phonetic style features and KNN features.
- Base2: HCF w/o KNN. Remove KNN features from HCF, purely humor theory motivated features.
- Base3: Word2Vec. Only the Word2Vec features.
- Base4: Base1+Base3. Combine all features.
- SA: Sentiment association features.

	Acc.	P	R	$F_1$
Base1: HCF	0.787	0.779	0.815	0.797
KNN	0.756	0.733	0.821	0.775
Base2: HCF w/o KNN	0.710	0.706	0.745	0.725
Base3: Word2Vec	0.770	0.775	0.774	0.775
Base4: Base1+Base3	<b>0.808</b>	0.810	0.816	<b>0.813</b>
Base1+SA	0.799	0.789	0.828	0.808
Base2+SA	0.750	0.747	0.774	0.760
Base3+SA	0.783	0.788	0.787	0.788
Base4+SA	<b>0.814</b>	0.812	0.828	<b>0.820</b>

□ **Comparing with Emotional Word Count(EWC).** Base2 and Base4 are used as the baseline systems. Base2 doesn't consider content information; Base4 combine all features.

	Acc.	P	R	$F_1$
Base2	0.710	0.706	0.745	0.725
Base2-EWC	0.709	0.705	0.742	0.723
Base2-EWC+SA	<b>0.748</b>	0.744	0.773	<b>0.758</b>
Base4	0.808	0.810	0.816	0.813
Base4-EWC	0.808	0.808	0.818	0.813
Base3-EWC+SA	<b>0.812</b>	0.812	0.823	<b>0.817</b>

□ **Improvements of Individual Sentiment Association Features** on the basis of Base2 and Base4.

	Acc.	P	R	$F_1$
Base2	0.710	0.706	0.745	0.725
Base2+DR	0.741	0.737	0.768	0.752
Base2+SC	0.738	0.734	0.764	0.749
Base2+ST	<b>0.748</b>	0.743	0.775	<b>0.759</b>
Base4	0.808	0.810	0.816	0.813
Base4+DR	<b>0.813</b>	0.813	0.824	<b>0.818</b>
Base4+SC	0.811	0.812	0.820	0.816
Base4+ST	<b>0.813</b>	0.814	0.823	<b>0.818</b>

### Conclusions

We have studied humor recognition from a novel perspective: modeling sentiment association in discourse. We integrate discourse parsing and sentiment analysis to get sentiment association patterns as features. We found that

- Sentiment association features can improve humor recognition, especially on the basis of humor theory motivated features
- Sentiment association features are more effective than statistical sentiment features such as emotional word count

### References

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