

## 1 Research interests

Speech production is nuanced and unique to every individual, but today’s Spoken Dialogue Systems (SDSs) are trained to use general speech patterns to successfully improve performance on various evaluation metrics. However, these patterns do not apply to certain user groups - often the very people that can benefit the most from SDSs. For example, people with dementia produce more disfluent speech than the general population (Boschi et al., 2017). The healthcare domain is now a popular setting for spoken dialogue and human-robot interaction research. This trend is similar when observing company behaviour. Charities promote industry voice assistants, the creators are getting HIPAA compliance, and their features sometimes target vulnerable user groups (Addlesee, 2023).

### 1.1 Data collection

Research on interactions between SDSs and people with dementia is stifled due to the severe lack of data (Addlesee et al., 2019). Collecting natural spoken dialogue data with vulnerable older adults is ethically challenging. Consent must be witnessed by the participant’s carer, the collection location must be designed to be accessible, and collaboration with charities is often required to recruit participants (Addlesee and Albert, 2020). Bespoke tools are also required to collect data *securely* from vulnerable participants (Addlesee, 2022).

In order to tackle this challenge, we have collected two corpora of people with dementia interacting with SDSs. The first corpus, called DEICTIC, contains interactions captured between Amazon Alexa devices and family members in 10 family homes. One member in each family was diagnosed with dementia. This corpus is currently being filtered for personally identifiable information, so its exact size is unknown, but we expect to include over 300 interactions (including both multi-turn and multi-party interactions). Once complete, a sub-repository of TalkBank called DementiaBank<sup>1</sup> will be used to share data with other researchers studying communication in the dementia domain.

The second corpus, yet to be named, is currently being

<sup>1</sup><https://dementia.talkbank.org/>

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User:	EVA, Is Alex Rodriguez dating...
EVA:	Sorry, I didn’t catch that. Dating who?
User:	Jennifer Lopez
EVA:	Yes, they are currently dating.

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Table 1: Collaborative completion from understanding.

collected as part of the H2020 SPRING Project<sup>2</sup>. We noticed in DEICTIC that multi-party interactions take place at home, even though the system is only designed to have dyadic interactions. Hospital staff that work in a memory clinic also explained that patients typically attend their appointments with a companion. We designed a data collection framework to elicit a diverse range of multi-party conversations between patients, their companions, and a social robot called ARI (Addlesee et al., 2023). We have collected over 50 multi-party conversations with various versions of ARI (with a wizard-of-Oz setup, with a single user system, and with a multi-user system).

### 1.2 Mid-utterance interruption recovery

Voice assistants interrupt people when they pause mid-utterance, a frustrating interaction that requires the full repetition of the entire sentence again. This impacts all users, but particularly people with cognitive impairments (Boschi et al., 2017). We know, however, that natural spoken language unfolds over time. Our interlocutors process each token as it is uttered, maintaining a partial representation of what has been said (Marslen-Wilson, 1973; Madureira and Schlangen, 2020; Kahardipraja et al., 2021). That is, we understand the words that *were already said* if someone pauses mid-sentence. To avoid waiting indefinitely while a conversation partner is pausing, humans either prompt the turn-holder to collaboratively complete the question (Ginzburg and Sag, 2000; Fernández et al., 2007; Poesio and Rieser, 2010), as shown in Table 1, or suggest sentence completions themselves (referred to as cross-person compound contributions or gap-fillers (Purver et al., 2003; Howes et al., 2011, 2012)), shown in Table 2.

We implemented both approaches to answer people’s incomplete questions and semantically parse their disrupted sentences. We constructed two novel cor-

<sup>2</sup><https://spring-h2020.eu/>

User:	EVA, when is the next solar...
EVA:	The next solar eclipse is on the 20th April 2023

Table 2: Prediction of question completion.

pora to measure a recovery pipeline’s ability to complete these tasks. One corpus interrupts questions originally collected for Knowledge Base Question Answering (KBQA), where a semantic parser is used to convert questions into an executable meaning representation over some given knowledge. For example, a system may be asked to answer “What is the population of Portugal?” when given Wikipedia as a knowledge base. Both the questions and their semantic representations (in SPARQL, a knowledge graph query language) were interrupted, resulting in a corpus of 21,000 interrupted questions (see Tables 1 and 2) (Addlesee and Damonte, 2023a). The second corpus was generated by disrupting almost 80,000 sentences more generally, along with their abstract meaning representations (AMR) (Addlesee and Damonte, 2023b).

We used the current state-of-the-art systems on the corresponding original tasks, given the full original utterances, as performance upper bounds. Our best-performing systems performed remarkably well, identifying where the missing information is located in the utterance’s semantic representation. In the KBQA domain, our best pipeline answered only 0.77% fewer questions than the SotA upper bound (Addlesee and Damonte, 2023a). When inspecting sentences more generally, our recovery pipeline lost only 1.6% graph similarity f-score (Smatch) compared to the AMR upper bound (Addlesee and Damonte, 2023b). We have therefore shown that interruption recovery pipelines could potentially be used to improve voice assistant accessibility, and general robustness to noisy environments like family homes, or public spaces (like hospital waiting rooms).

To confirm that our pipelines do improve accessibility in practice, a user study must take place. We have shown that our approach is feasible, but response generation would also be needed for an actual user study. We plan to use our interrupted corpora to elicit clarifications from humans. We can then evaluate whether today’s LLMs can safely generate clarification requests to elicit the repair turn from the user.

### 1.3 Real-time semantic parsing

Our incremental semantic parsers in Section 1.2 work when given sentences interrupted at a single point before named entities (where mid-sentence pauses typically occur (Croisile et al., 1996; Seifart et al., 2018; Slegers et al., 2018)), but the next generation of SDSs need to process tokens in real-time (Addlesee and Eshghi, 2021).

We have developed a fully incremental graph-based semantic parser by combining Dynamic Syntax (Kempson et al., 2001; Cann et al., 2005) with RDF (Lassila et al., 1998) – called DS-RDF (Addlesee and Eshghi, 2021). A prototype was built<sup>3</sup>, but we have since extended the lexicon to be wider coverage. We are also working on an LLM-based approach. We plan to evaluate both of these approaches on our collected corpora. We expect to find that the LLM-based approach has a wider-coverage, but that DS-RDF does not hallucinate as frequently. This is particularly crucial when interacting with vulnerable users in a hospital setting (Addlesee, 2023).

## 2 Spoken dialogue system (SDS) research

The next generation of SDSs need to: (1) process language *incrementally*, token-by-token to be more responsive and enable handling of conversational phenomena; (2) *reason incrementally* allowing meaning to be established beyond what is said; and (3) be *transparent* and *controllable*, allowing designers as well as the system itself to easily establish reasons for particular behaviour and tailor to particular user groups, or domains. The boom of chatGPT (and co) is extremely exciting, but point 3 is a huge concern. Both startups and big tech companies are applying these new approaches to every domain they can, including healthcare. A disastrous news story seems inevitable when one of these systems provides a vulnerable user with a harmful response (e.g. a child, or person with a cognitive impairment). I think the controllability of these systems will be a huge focus for SDS researchers over the next few years.

## 3 Suggested topics for discussion

- Real-time time speech processing
- Multi-party dialogue
- Ethical Data Collection
- LLM controllability and grounding

## Biographical sketch



Angus is currently studying his PhD in Artificial Intelligence at Heriot-Watt University. He has previously worked on machine learning and data science projects within The NHS, Scottish Government, and private clients in many sectors including finance. Angus is very passionate about ‘AI for Good’, hence his decision to move back into research from industry. He also enjoys bouldering and running.

<sup>3</sup><https://youtu.be/nj-eaMDeEtc?t=903>

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