# Breaking Down Rhetorical Relations for the purpose of Analysing Discourse Structures 

Jun'ichi FUKUMOTO* Jun'ichi TSUJII $\uparrow$<br>Centre for Computational Linguistics, UMIST<br>1'.O.Box 88, Manchester M60 1QI), United Kingdom<br>E-mail jun@ccl.umist.ac.uk isujii@ccl.umist.ac.uk $\dagger$


#### Abstract

In Rhetorical Structure 'r'heory (RST') the definitions of some relations are rather vague because they are given on a pragnatic basis. 'This paper presents another way of seeing the relations which leads to a more precise specification of the relations. The relar tions are associated with constraints on the semantic relationships between the propositional contents of two clauses, their Modality and Fense/Aspect.


## 1 Introduction

'The Rhetorical Structure 'Theory (RS'I') by Mann and Thompson [Mann and 'Thompson, 1987] is a theory of inter-sentential (or inter-clausal) relationships in a text. Although RS'I is intended to serve both as a framework lor text analysis and text generation, it has so far been used exclusively in text generation [Hovy et al., 1992] [Linden et al., 1992] [RÖsner and Stede, 1992]. Several researchers recognise that RST has defects as an analytical framework. Moore and Pollack [Moore and Pollack, 1992], for cxample, claim that the assumption of a single relation between disconese elements is ome of the reasons why RS' analyses are inherently ambiguous. They also clam that the underspecificity of the rhetorical relation defintions causes problems.

Our claim is that the main cause of the difficulties of applying RSI to text processing systems is that some of the relations are defined on the basis of the effects which they have on a reader. This is particularly the case for the relations classified as presentational relalions, the relations whose intended effects are to increase some inclination in a reader.

Background relation, for example, is defined as a relation whose Satellite increases the ability of a reader to comprehend an element in Nucleas ame the reader will not fully comprehend Nucleus before reading the text of Satellite, 'This definition is problematic because there are many ways of increasing the ability of a reader to comprehend Nuclens. More seriously, the definition

[^0]itself does not predict, anything about textual forms of Nucleus and Satellite.

In order to use RS' in actual text processing systems, we have to break down such definitions to relate them with textmal forms. In this paper, we show how the definitions can be broken down and be assoclated with semantic constraints between constituents (clanses), in order to relate them with constraints on surface linguistic forms. Among the 24 rhetorical relations defined in [Mann and 'I'hompson, 1987], we focus on presentational relations ( 7 relations are classified as such) which are the most problematic. 'The results of applying our method to leading articles in a Japancse newspaper are also discussed.

## 2 Basic Framework

In RS'I, 24 relations are divided into two groups: presentational relations and subject matler relations. According to Mam and 'Thompson [Mann and 'Thompson, 1987], subject matiter relations are those whose intended effect is that the reader recognises the relation in question and presentational relations are those whose intended efloct is to increase some inclination in the reader. Moore and Pollack [Moore and Pollack, 1992] comment that subject matier relations are informational and presentational relations are intentional.

Table 1 shows what kind of inclination each presentational relation is intended to increase. One can sec that the definitions are highly abstract and have nothing to do with the surface realisations of the relations.

On the other hand, it has been observed that there are various surface cues in texts which are useful for identifying inter-sentential (or inter-clansal) units. Halliday and Masan [Halliday and Hasan, 1985] identified a set of linguistic devices for linking one part of a text to another, such as reference, substitution and cllipsis, conjunction, and lexical cohesion.

From the view point of text processing, these linguistic devices can be used as cues for segmenting a text intostructural units (Satellite and Nuclous). Howover, these cues hardly give any clue about which clause of a unit is Satellite, which clause is Nucleus, and which

Table 1: RS relations and their inclination type

| relation | kind of inclination |
| :--- | :--- |
| Background | ability of R to comprehend an elenent |
| Enablement | potential ability to perform action in |
| Motivation | desire to perform action in N <br> belief of N |
| Evidence |  |$\quad$| readiness to accept, writer's right in N |
| :--- |
| Justify |
| Antithesis |
| positive regard for situation presented |
| Concession |
| in N <br> positive regard for situation presented <br> in N |

RS relation combines the two clauses into a single unit. For determining these, we have to look for other kinds of surface cues.

Because RS relations are defined pragmatically, their ultimate recognition requires understanding of texts which in turn requires detailed knowledge about the world. Furthermore, the condition that the presentational relations are inherently intentional, implies that their recognition requires knowledge about the writer's intention, plans, etc. Because this kind of information is implicit in texts, its recognition often causes problens.

However, though the writer's intention is implicit, certain linguistic clevices give us clues to infer it. Modality information in a clause, for example, expresses the writer's attitude toward an event/state doscribed, and therefore, olten gives us clues to recognise a RS relation.

Let us consider the following two examples:
[Example 1]
(1) I prepared documents for a meeting.
(2) I sent them to the head office.

## [Example 2]

(1)' I am preparing documents for a meeting.
(2)' I have to send them to the head office.

Though these two examples describe pairs of similar cyents, the relation between (1) and (2) in Example 1 is (temporal) Sequence (a subject matter relation) because they simply describe two events which happened in sequence. On the other hand, in Example 2, (1)' describes an event occurring simultaneously with the utterance, and (2)' concerns what the writer plans to do. While the two events, preparing documents and sending them, may happen in this sequence, the relation is not regarded as Sequence but as Background. (2)' gives the reason why the writer is performing the action described by (1)'.

This change of RS relation occurs due to the difference of modality of (2) and (2)'. Our basic claim is that, though they cannot determine RS relations uniquely, iuformation of motality and tense of clauses imposes significant constraints on possible RS relations, and, being used together with other surface cues
like clausal conjunctions, it can reasonably restrict a set of possible discourse structures of texts without resorting to detailed knowledge about the world and the writer's plan.

However, the contribution of modality and tense to the constraints of RS relations is not straightforward. Both these grammatical features are intertwiucd with the propositional content of clauses. Therefore, in order to formulate the constraints on them properly, we have first to reveal how the intended effects of RSS relations can be attained. This leads to our breaking down single RS relations into sets of subschemas, each of which is formulated in terms of the senantic relationships between propositional contents of clauses, their modality and temporal relationships.

## 3 Properties of Clauses

Like Manu and Thompson, we use clanses as the basic constituents which are related by RS relations, except that clausal strbjects and complements and restrictive relative clauses are considered parts of their host clanse. The constraints which we formulate for each RS relation are expressed in terms of properties of clauses. In order to express these conslmants formally, we first introduce the basic terms.

### 3.1 Contents and Modality

A clause comprises its Contents and Modality. Modality is the part which expresses the writer's attitude toward the Contents.

While individual languages have their own linguistic devices or grammatical forms of modality, what sorts of modality are expressed by such linguistic devices does not vary from one language to another. For example, although the major linguistic device for modality are modal auxiliary verbs both in English and in Japanese, some kinds of modality expressed in Japanese by modal auxiliary verbs are expressed by lexical verbs in Fuglish, and vice versa. ${ }^{1}$

Eurthermore, we find many phrasal or quasi-phrasal expressions which consist of several words, and which collectively express the writer's altitude toward the event/state described. In order to treat them, we adopt a semantics-based view for the delinition of Modality. That is, we treat expressions which concern the writer's attitude as modal expressions, whichever linguistic forms they may take. We first establish a classification sclema of Modality based on semantic considerations (Sce Section 3.3) and then treat all expressions whose functions can be classified under this schema as modal expressions.

[^1]Contents of a clause is defined as the part which remains after removal of the modal expression. Contents contain expressions concerning tense and aspect, which also contribute to the specification of constraints on RS relations. The sane discussion as the above can be applied to 'Tense and Aspect, so that all expressions whose function is to express temporal aspects of clauses are, regardlessly of their actual forms, treated in the same classilication schemas. Tense/Aspect are represented as properties of (\%ontents (See Section 3.2).

### 3.2 Properties of Contents

Contents is the main part of Clanse of which a truth value can be established. Contents is characterised by three attributes: Type, Time and Guality.

## (a) Туро

The truth value of Contents changes according to the time axis. We can think of two tine points, $t_{t}$ and $t_{b}$, where the Contents $C$ is true during the time interval between $t_{a}$ and $t_{b}$. Depending on the temporal nature, we classify Contents into the following four classes.

- Slatic
$t_{a}=$ undel', $t_{b}=$ undef, $C(t)=$ tirue
$\left(t_{a}<t<t_{b}\right)$
- Durative
$t_{a}=\operatorname{def}, t_{b}=\operatorname{def}, C(t)=$ true
$\left(t_{a}<t<t_{b}\right)$
- Repetitive
$t_{a}=$ def, $t_{b}=$ def, $C\left(t_{i}\right)=$ true
$\left(t_{a}<t_{1}<t_{2}<\cdots t_{i}<\cdots<t_{n}<t_{b}\right)$
- Nou-repetilive $t_{a}=$ del, $t_{b}=\operatorname{def}, C\left(t_{i}\right)=$ true $\left(i=1 ; t_{a} \leq t_{i} \leq t_{b} ; t_{a} \doteq t_{b}\right)$
In the above, $l_{a / b}=$ undef in Static means that the truth value of Contents does not change.


## (b) Time:

The temporal nature of Contents is also chassified in terms of the speech time, $T S$, as follows.

```
- Before : T's}<\mp@subsup{l}{|}{
- Simullancous : ta< 倞}<<\mp@subsup{t}{b}{
- After }\quad:\mp@subsup{t}{b}{}<\mp@subsup{T}{}{\prime
```

We use the following notation to specity a temporal relationship between two Contents ( $\mathrm{C}_{1}$ and $C_{2}^{\prime}$ )

$$
\begin{array}{lll}
C_{1} \ll C_{2}^{\prime} & \cdots & C_{1} \text { occurs before } C_{2} \\
C_{1} \gg C_{2} & \cdots & C_{1} \text { occurs after } C_{2}
\end{array}
$$

## (c) Quality

Coutents is also classified according to whether the writer believes it is good or bad. 'I'his classifica-
tion is represented by the attribute Quality (aty) whose valte is either good or bad. ${ }^{2}$

### 3.3 Properties of Modality

Concerning modality, a number of criteria have been proposed. Patmer [Palmer, 1986] took the same semantics-based view of Modality as we discussed in Section 3.1, though he hardly extended his analysis to cover phrasal or quasi-phrasal expressions. We adopt his classification schema and modify it. He classilied modality into Epistcmic modality and Deontic modality. Fpistenic Modality is concerned with language as information, with the expression of the degree or na ture of the writer's commitment to the truth of what $\mathrm{s} /$ he says. Deontic modality is concenned with lan guage as action, mostly with the expression by the writer of his/her attitude towards possible actions by him/herself and others.

### 3.3.1 Epistemic modality

Epistennc modality is classilied according to the degree of the writer's commitment to the truth of Contents, as follows.

- Fuidential (M-epemi)

The trutly condition of Contents is based on ovidence like sensory evidence or linguistic evidence.

- Confidential (M-cpeon)

The truth condition of Gontents is based on the degree of confidence expressed by the writer.

- Infercntial ( M - $-p_{i n f}$ )

The truth condition of Contents is based on a reat soming rule of the writer and inferred from the other facts.

- Assumplive (M-epasm)

The truth condition of Contents is based on some assumption.
'The degree of the writer's commitment to the truth becomes weaker in the order of bividential, Coufidential, Inferential, Assumptive. In the following sections, we use "乌" and " $\preceq$ " to indicate this ordering.

$$
C_{x} \succeq C_{y} \quad \text { or } \quad C_{y}^{\prime} \preceq C_{x}^{\prime}
$$

means that the degree of the writer's commitment to the truth of Coutents $C_{x}$ is higher than or equal to the degree of the writer's commitment to the truth of Contents $C_{y}$.

[^2]
### 3.3.2 Deontic modality

Deontic modality is classified according to the kind of a writer's attitude which s/he expresses.

- Evaluative (M-de eva+ ${ }^{\text {M-de }}{ }_{\text {eva- }}$ )

Evaluative expresses the writer's attitude towards what s/he already accepts as true in his/her mind. There are two kinds of attitude; positive (' + ') and negative (' - ').

- Volitive (M-de $e_{n o r+}, \mathrm{M}-d e_{v o l-}$ )

Volitive is concerned with a possible action or situation which a writer is hoping or wishing to occur. There are two kinds of attitude; possible (' + ') and impossible ('-').

- Directive (M-dedir)

Directive is concerned with an action which a writer tries to get others to perform. Though Directive is further classified into Permission and Obligation, their distinction is not relevant for our purpose.

- Commissive (M-de com

Commissive is concerned with an action which a writer commits him/herself to perform or to ensure that an event takes place.

- Request (M-de $c_{r e q}$ )

Request is concerned with an action which a writer can ask others to do.

### 3.3.3 Combination of Epistemic and Deontic modality

In Deontic modality Evaluative and Volitive are concerned with a writer's attitude toward Contents which has a truth value. Therefore, clanses with these modal ities can also have Epistemic modality. If a clause has any of the other values of Deontic modality like Directive, etic., the Clause has no Epistemic modality as such. However, for the simplicity of formulation in Section 4, we assume their Epistemic modality value to be Confidential. ${ }^{3}$

## 4 Breaking Down of Rhetorical Relations

In this section, we will show how Backgronnd, Enablement, Motivation and Evidence of the presentational relations are broken down into subschemas, and give formal representations of their constraints. The constraints comprise
(a) Semantic Relationships between Contents of the two clauses
(b) Constraints on Time
${ }^{3}$ This is not inappropriate becanse it is considered that a writer commits the action in the Clause with full confidence in his/her action.
(c) Constraints on Modality.
(b) and (c) are expressed by using a characterisation of clauses of Section 3. We first show the framework for (a) and then give the actual breakdown of presentational relations.

### 4.1 Semantic Relations

By semantic relationships between Contents we mean the relationships between states/actions/evonts described by Contents in the extra-linguistic world. ${ }^{4}$ As we see in Example 1 and 2, even when two actions seem to stand in the same semantic relationship, they can be used to attain different effects on a reader by adding different expression of a writer's attitude as Modality or putting them in different temporal relationships.

We classify semantic relationships into five categories, four of which also are subject matter relations in RST'. That is, if two Contents are presented without, any Modality, they stand in the corresponding subject matter relations. We use the following symbols in their definitions.
$C l_{i}$ : Clause $i$ composed of Contents and Modality
$C_{i}$ : Contents of Clause $i$
$S_{i}$ : Contents of Clause $i$ whose Type is Static
$A_{i}$ : Contents of Clause $i$ whose Type is not Static $M_{i}$ : Modality in Clause $i$

## [Semantic Relations]

- $S_{i}-\left(A_{k}\right) \rightarrow S_{j}$
$A_{k}$ causes a situation change from $S_{i}$ to $S_{j}$. If a Contents states that $A_{k}$ causes a situation $S_{j}, S_{i}$ will be omitted.
- $S_{i} \mid=C l_{j}$
$C l_{j}$ is hold true or acceptable in the cnvironment stated in $S_{i}$. If $C l_{j}$ expresses a situation, this relation is the same as Circumstance.
- $S_{i} \vdash C l_{j}$
$C l_{j}$ is held true or acceptable, if $S_{i}$ is truc. If $C l_{j}$ expresses an action caused by $S_{i}$, this relation is the same as Cause and Result.
- $S_{i} \leadsto A_{j}$
$S_{i}$ has the possibility to resolve the problem stated in $A_{j}$. This relation is the same as Solutionhood.
- $C_{i} \Rightarrow C_{j}$
$C_{i}$ presents additional details about $C_{j}$ or is inferentially accessible in $C_{j}$ in one or more ways. This relation is the same as Elaboration.

[^3]
### 4.2 Subschemas of Presentational Relations in RST.

We show brcakdowns of four typical presentational relations into their subschemas and state their constraints more formally. The subscripts of " $n u$ " and "sa" means Nucleus and Satellite, respectively.

### 4.2.1 Background

1. Time and space situations are stated by an action in Satellite, and under these situations an action in Nucleas becones possible.
(a) $S_{0}-\left(A_{s a}\right) \rightarrow S_{1}, S_{1} \mid=A_{n u}$
(b) $A_{s a} \ll A_{n u}$
( $A_{\text {nu }}$ becomes true white $S_{1}$ is true. Then, the time of $A_{s u}$ is before $A_{n u}$.)
(c) $A_{s a} \succeq A_{n u}, M_{s a} \in\left\{\mathrm{M}-e p_{e v i}|c o n| i n / \mid a s m\right\}$ (If $A_{\text {un }}$ becomes possible in the environment given by $A_{s a}$, then the modality of $A_{s a}$ should be more certain than that of $A_{n u}$.)
2. Time and space siluation are stated in Satellite, and under the situation an action in Nuclens becomes possible.
(a) $S_{s u}=A_{n u}$
(b) $S_{s a} \ll A_{n u}$
(c) $S_{s u} \succeq A_{n u} M_{s u} \in\left\{M-\rho_{t e v i|c o n| i n f \mid u s m}\right\}$
3. Satellite presents additional information to understand Contents in Nucleus.
(a) $C_{s u} \Rightarrow C_{n u}$
(b) no
(c) $M_{s a} \in\left\{\mathrm{M}-\mathrm{e}_{\mathrm{evi}|\mathrm{con}| \mathrm{in} f \mid u s m\}}\right\}$,
$M_{n, u} \in\left\{\mathrm{M}-c \nu_{e v i|c o n| i n f \mid a s m}\right\}$
(Both Clauses will be muderstood as true, so they have to have truth value.)
4. An action in Nucleus has the possibility to resolve: an undesirable situation which is caused by an acetion in satellite.
(a) $S_{1}-\left(A_{s a}\right) \rightarrow S_{1}[q l y: b a d], A_{n u} \leadsto S_{1}$
(b) $A_{s a} \ll A_{n u}$
(c) $M_{s a} \in\left\{\mathrm{M}-c p_{u v i \mid c o n}\right\}$
( $A_{s a}$ is an event which has occurred or is occurring, or a writer is confident about the event. $\Lambda$ writer intends to do $A_{n,}$ to resolve a problem caused $A_{s a}$.)
5. Nucleus states an undesirable situation caused by another modesirable situation stated in Satellite.
(a) $S_{s a}[q t y: b a d] \vdash S_{2 z u}[q t y: b a d]$
(b) $S_{s u} \ll S_{n u}$
(c) $S_{s a} \succeq S_{n u}, M_{s a} \in\left\{\mathrm{M}-c p_{e v i|c o n| i n f \mid a s m}\right\}$, $M_{n u} \in\left\{\mathrm{M}-\mathrm{Cp}_{\text {evi }} \mid\right.$ con $\mid$ in $\left.f \mid a s m\right\}$
6. An action in Nuclens can resolve an undesimable situation stated in Satellite.
(a) $S_{s u}[q t y: b a d]-\left(A_{n u}\right) \rightarrow S_{1}[q d y: g o o d]$
(b) $S_{s u} \ll S_{u u}$
(c) $M_{s a} \in\left\{\mathrm{M}-c \boldsymbol{p}_{e v i|c o n| i n f \mid a s m}\right\}$
7. An action in Nucleus is cansed by a situation in Satellite.
(a) $S_{s a} \vdash A_{n u}$
(b) $S_{s u} \ll A_{n u}$
 $M_{n u} \in\left\{\mathrm{M}-\mathcal{e}_{e \cdot v i|c o n| i n f \mid u s i n}\right\}$
8. Based on a situation which is caused by an action in Satellite, a writer's attitude stated in Nuclens is accopiable.
(a) $S_{s a}^{\prime}=C l_{n u} \quad$ or $\quad S_{s a} \mid=C l_{n u}$
(b) 1 o
(c) $S_{s a} \succeq S_{u t u}, M_{s a} \in\left\{M-c p_{c v i|c o n| i n j \mid a s m}\right\}$, $M_{n u} \in\left\{\mathrm{M}-\left.d c_{e v a \mid}|, o|\right|_{d i i}\left|c_{m, n}\right| r_{c q}\right\}$
9. Based on a judgement stated in Satellite, a writer's atititude stated in Nuclens is acceptable.
(a) $C l_{s a}=C l_{n u}$ or $C l_{s a}+C l_{n u}$
(b) no
(c) $M_{s a} \in\left\{\mathrm{M}-d e_{\text {eua }}\right\}$, $M_{n u} \in\left\{\mathrm{M}-d e_{e v a|v o|} \mid\right.$ dir|conu|req $\}$

### 4.2.2 Enablement

1. Nuclens states an action which will be performed by a reader, and the action becomes possible by presenting the situation in Satellite.
(a) $S_{s a}+A_{n u}$
(b) $S_{s a} \ll A_{n u}$
(When $S_{s, n}$ is presented, $A_{m u}$ becones possible. So, the time of $S_{s, a}$ is before $A_{n u}$.)
(c) $M_{s a} \in\left\{\mathrm{M}-\subset p_{e v i \mid c o n}\right\}, M_{u u} \in\left\{\mathrm{M}-d c_{d i v \mid r c q}\right\}$ ( $S_{s a}$ already exists or will exist, so $S_{s a}$ has the possibility to have trath value. If $S_{s a}$ is true, $A_{n u}$ becomes possible. So, $S_{s a}$ should be more certain than $A_{n, \ldots}$.)
2. Nucleus states an action which will be performed by a reader, and the action becomes possible by presenting the situation which is caused by an action in Satellite.
(a) $S_{0}-\left(A_{s a}\right) \rightarrow S_{1}, S_{1}+A_{n u}$
(b) $A_{s u} \ll A_{n u}$
(c) $M_{s a} \in\left\{\mathrm{M}-e p_{\varepsilon u i \mid c o n}\right\}, M_{n u} \in\left\{\mathrm{M}-d c_{d i v \mid r \in q}\right\}$

## 4．2．3 Motivation

1．An action stated in Nucleus causes a good situa－ tion stated in Satellite．It is considered that the situation motivates the reader to perform the ac－ tion．
（a）$S_{0}-\left(A_{n u}\right) \rightarrow S_{s a}, S_{s a}[q t y: g o o d]$ ， $\operatorname{actor}\left(A_{n u}\right.$ ，Reader）
（b）$A_{n u} \ll S_{s a}$
（c）$M_{s a} \in\left\{\mathrm{M}-c p_{c o n \mid \text { inf｜asm }}\right\}$ ，

2．An action stated in Nucleus causes a bad situa－ tion stated in Satellite．It is considered that the situation motivates the reader not to perform the action．
（a）$S_{0}-\left(A_{n u}\right) \nrightarrow S_{s a}, S_{s a}[q t y: b a d]$ ， actor（ $A_{n u}$, Reader $)$
（b）$A_{n u} \ll S_{s}$
（c）$M_{s a} \in\left\{\mathrm{M}-e p_{c m i n|i n f| u s m}\right\}$ ， $M_{n u} \in\left\{\mathrm{M}-d e_{e v a|\cup o l| d i r|c o m| r e q}\right\}$

3．Satellite states some attributive information re－ lated to an action in Nucleus，and the information may be desirable for Reader．
（a）$S_{s a}[q t y: g \circ o d] \Rightarrow A_{n u}$
（b）no
（c）$M_{s a} \in\left\{\mathrm{M}-e \mu_{e v i|c o n| i n f \mid a s m}\right\}$

## 4．2．4 Evidence

（a）$C_{s u}+C_{n u}$
（b）$C_{s a} \ll C_{n u}$
（c）$M_{s a} \in\left\{M-e p_{e n i}\right\}, M_{n u} \in\left\{M-e p_{c o n \mid i n f}\right\}$

## 5 Examples

We will show an example of a text structure analysis． Figure 1 shows a sample text from a leading article in a Japanese newspaper ${ }^{56}$ and Table 2 shows the at－ tributes of each sentence．The discourse structure of the sample text is shown in Figure 2.

In this example，the following relations are analysed as presentational relations．The number attached to a relation name shows the subschena number of the relation．
－Background（8）between＇1－2＇and＇3＇
Sentence 3 has Evaluative modality about the sit－ uation＇ 3 ＇（economic crisis）and it is based on the situation of＇ 1 －2＇（drop of dollar）．These satisfy the constraints of the 8th subschema of Background．

[^4]1 世界的な株式市場の混乱は外国為替市場にも波及し， （A world－wide confusion in stock market affected the foreign exchange rate，
2 ドル相場が急落した。（and exchange rates for the dollar dropped sharply．）
3 経洏危機の様相が，一段と強まったわけだ。（Itis regarded that the aspect of economic crisis has been worscned．）
4 国際的な政策協調の是这みの乱れが，市場を動採さ せている。（Disagreement of international policy is making the market unsetitle．）
5 ドルを防衛するために（to protect the dollar）
6 米国は，きぜんとした態度を示ずべきときだ。（It is high time that US should show a resolute atti－ tude．）
7 ドルは竍然として基軸通䝨の地位にあり，（The dol－ lar is the key currency，）
8 もし米国が行き詰まれば，（if US comes to a dead－ lock，）
9 恶影響は世界に及ぶ。（a bad economical influence affects all the world．）
10 他の国ぐにも，この点を頭に入れて協調体制を国 め直さなければならない。（Other comitries should re－solidify their cooperation taking this point into consideration．）
（C1987 Asahi Shimbun）
Figure 1：Sample text
－Background（6）between＇ 4 ＇and＇5－6＇
The semantic relation is that a bad situation in sentence＇ 4 ＇（unsettle market）will be resolved by performing an action in＇ 5 － 6 ＇（show a resolute at－ titude）．Sentence＇ 5 － 6 ＇has Directive modality． These satisfy the constraints of the 6th subschema of Background．
－Background（7）between＇ 7 ＇and＇8－9＇
The situation＇ 7 ＇（dollar is a key）is held true， so Contents＇ $8-9$＇（effect of bad influence）is true． These satisfy the constraints of the 7th subschema of Background．

Table 2：Attributes of sample sentences

| No． | Type | Time | Modality |
| :---: | :---: | :---: | :---: |
| 1 | Durative | Before | M－ep $\mathrm{p}_{\text {evi }}$ |
| 2 | Non－repetitive | Before | M－epeni |
| 3 | Static | Simult． | M－de evat, $\mathrm{M}-p_{\text {con }}$ |
| 4 | Static | Simult． | M－epeon |
| 5 | Durative | Alter | M－ep ${ }_{\text {con }}$ |
| 6 | Non－repetilive | After | $\mathrm{M}-d e_{\text {dir }}$ |
| 7 | Static | Simulti． | M－ep $p_{\text {con }}$ |
| 8 | Non－J＇epetitive | After | M－ep ${ }_{\text {asm }}$ |
| 9 | Durative | After | M－epoon |
| 10 | Durative | Alter | M－dereq |



Figure 2: Discourse structure of the sample text

- Motivation(2) between ' $7-9$ ' and ' 10 '

Sentence ' $7-9$ ' states a bad situation (eflect ol bad influence), and the action in '10' (re-solidily their cooperation) has the possibility to change the situation. The writer is requesting the other cometrios to take this action. 'These satisly the constraints of the 2nd subschema of Motivation.

- Background(9) between '1-3' and '1-10'

The request in ' 4 - 10 ' (re-solidify their cooperation) is based on the judgement of " $1-3$ ' (a writere's cvaluation of the economic crisis). These satisfy the constraints of the Gh subschema of Background.

## 6 Conclusion

In this paper, we propose further a breakdown of the presentational relations in RST into their subschemas. The subschentas represent strategies by which two states/actions/events which stand in certain semantie relationships can be used to athain intended elfects on readers. By associating the defintions of the relations with formally stated constraints, these subseliemas help) fuman analysts to recognise them in texts, and thus improve RST as an analytical tool. Moreover, becanse characterisation of clauses in Section 3, especially Modality and Lense/ Aspect, are accompanied by their actual limgistic realisations, some parts of the constraints stated in Section 4 can readily be associated with textual forms and be used for text processing systoms. Alehough constraints on semantic relations between Contents can only be evaluated by reference to a kuowledge base, we expect that, even without constraintis on semantic relations, the other constraints can be used to restrict a set of possible inter-clansal strinetures of texts.

We have defined fonr presentational relations in RST more formally and analysed a sample text using these definitions. But the definitions do not cover all the relations in RS'T and have not been widely tested. After
defining all the relations, wo will apply them to analyse a full range of text.

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[^0]:    *Supported by Oki Electric Industry Co., Md.

[^1]:    ${ }^{1}$ The concepts expressed by English lexical verbs like wish, hope, beg, urge, etc., for example, are often expressed by modal auxiliaries in Japanese, when the subject is the writer or speaker.

[^2]:    ${ }^{2}$ When the writer does not think that his/her judgement is obvious for readers, s/he usually expresses the julgement by Modality. 'Therefore, this attribute has a value only when the judgement can be made hased on common sense knowledge.

[^3]:    ${ }^{4}$ One may argue that such relationships have to be called pragmatic. However, we adopt a rather narrow deftuition of the term pragmatic and a broad definition of the term semantic. We use pragmatic only when it concerus effects on readers or the intention of the writer. The rest, like relationships held in the extra-hinguistic world, are called semantic issues.

[^4]:    ${ }^{5}$ This article appeared in the October 30th，1987，morning edition of the Asahis Shimbun．
    ${ }^{6}$＇Iiteral translations are made by the authors．

