Principled Paraphrase Generation with Parallel Corpora

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Abstract

Round-trip Machine Translation (MT) is a popular choice for paraphrase generation, which leverages readily available parallel corpora for supervision. In this paper, we formalize the implicit similarity function induced by this approach, and show that it is susceptible to nonparaphrase pairs sharing a single ambiguous translation. Based on these insights, we design an alternative similarity metric that mitigates this issue by requiring the entire translation distribution to match, and implement a relaxation of it through the Information Bottleneck method. Our approach incorporates an adversarial term into MT training in order to learn representations that encode as much information about the reference translation as possible, while keeping as little information about the input as possible. Paraphrases can be generated by decoding back to the source from this representation, without having to generate pivot translations. In addition to being more principled and efficient than round-trip MT, our approach offers an adjustable parameter to control the fidelity-diversity trade-off, and obtains better results in our experiments.

1 Introduction

Paraphrase generation aims to generate alternative surface forms expressing the same semantic content as the original text (Madnani and Dorr, 2010), with applications in language understanding and data augmentation (Zhou and Bhat, 2021). One popular approach is to use an MT system to translate the input text into a pivot language and back (Wieting and Gimpel, 2018; Mallinson et al., 2017; Roy and Grangier, 2019). While it intuitively makes sense that translating to another language and back should keep the meaning of a sentence intact while changing its surface form, it is not clear what exactly would be considered a paraphrase by such a system.

In this work, we show that the probability of a paraphrase x_p given a source sentence x_s under a

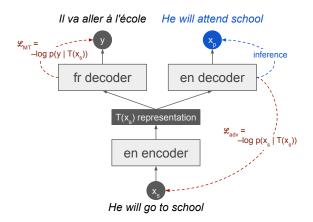


Figure 1: **Proposed system.** Given the input x_s , we aim to learn a representation $T(x_s)$ that encodes as much information as possible about it's reference translation y (ensuring that the meaning is preserved), and as little information as possible about x_s itself (ensuring that surface information is removed). We achieve this through adversarial learning, where the encoder minimizes $\lambda \mathcal{L}_{MT} - (1 - \lambda)\mathcal{L}_{adv}$ and the two decoders minimize \mathcal{L}_{MT} and \mathcal{L}_{adv} . At inference time, we couple the English encoder and decoder to generate a paraphrase x_p which, being conditioned on T(x), will preserve the meaning of x_s but use a different surface form.

round-trip MT system can be naturally decomposed as $P(x_p|x_s) = P(x_p)S(x_p, x_s)$, where S is a symmetric similarity metric over the paraphrase space and $P(x_p)$ the probability of x_p . We argue that this similarity function is not appropriate in the general case, as it can assign a high score to sentence pairs that share an ambiguous translation despite not being paraphrases of each other. This phenomenon is illustrated in Figure 2, where x_s and x_p share a confounding translation without gender marker.

So as to address this issue, we design an alternative similarity function that requires the entire translation distribution to match, and develop a relaxation of it through the Information Bottleneck (IB) method. We implement this approach using an adversarial learning system depicted in Figure 1.

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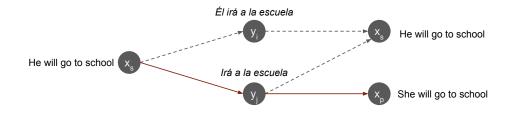


Figure 2: **Confounding translation problem in round-trip MT.** "*Irá a la escuela*" does not mark the gender of the subject due to ellipsis, and it is thus a valid translation of both "*He will go to school*" and "*She will go to school*". As a consequence, round-trip MT could generate "*She will go to school*" as a paraphrase of "*He will go to school*". Our approach mitigates this issue by requiring the full translation distribution to match.

Our model combines an encoder that, for a given sentence, removes the information that is not relevant to predict its translation, and a decoder that reconstructs a paraphrase from this encoding. In addition to being more principled, our approach is more efficient than round-trip MT at inference, can be tuned to favor fidelity or diversity, and achieves a better trade-off between the two. Our code is freely available ¹.

2 Related Work

We next review the paraphrase generation literature ($\S2.1$), and describe the information bottleneck method ($\S2.2$), which is the basis of our proposal.

2.1 Paraphrase generation

Early work on paraphrasing focused on retrieval methods, either extracting plausible sentences from large corpora for generation (Barzilay and McKeown, 2001; Bannard and Callison-Burch, 2005), or identifying paraphrase pairs from weakly aligned corpora to create paraphrase datasets (Coster and Kauchak, 2011; Dolan et al., 2004). More recently, neural approaches for paraphrase generation have dominated the field. We classify these methods according to the type of supervision they use.

Monolingual corpora. These systems are trained in an unsupervised fashion using unlabeled monolingual corpora. They usually employ an information bottleneck, with the goal of encoding semantic information in the latent space. Approaches include Variational Autoencoders (VAE) (Bowman et al., 2016), VAEs with Vector Quantization (Roy and Grangier, 2019), and latent bag-of-words models (Fu et al., 2019). Huang and Chang (2021) disentangle semantic and syntactic content in the latent space through a bag of words

¹https://github.com/aitorormazabal/ paraphrasing-from-parallel representation, which allows for syntactically controllable generation.

Parallel corpora. These systems are trained on pairs of parallel sentences in two languages. Most of these methods are based on round-trip MT, where a sentence is translated to a pivot language and back in order to obtain a paraphrase. Hu et al. (2019) add lexical constraints to the MT decoding procedure to obtain better paraphrases. Mallinson et al. (2017) generate not one but multiple pivot sentences and use a fusion-in-decoder strategy.

Paraphrase corpora. These systems are trained in a supervised manner over pairs or clusters of paraphrases. When such data is available, training a regular sequence-to-sequence model is a strong baseline (Egonmwan and Chali, 2019). Kumar et al. (2019) add submodular optimization to improve paraphrase diversity. Some VAE-based methods also leverage paraphrase clusters to learn a latent representation that disentangles meaning and form (Iyyer et al., 2018; Kumar et al., 2020; Hosking and Lapata, 2021; Chen et al., 2019). Most of these methods require a syntactic exemplar for generation, and assume that all surface forms are valid for all sentences. Hosking and Lapata (2021) do away with this assumption in the context of question paraphrasing, predicting a valid syntactic embedding from a discrete set at test time.

While it is paraphrase corpora that offers the strongest supervision, such data is hard to obtain and usually restricted to narrow domains like Quora Question Pairs, WikiAnswers and Twitter (Hosking and Lapata, 2021; Kumar et al., 2019; Egonmwan and Chali, 2019). In contrast, parallel corpora is widely available, while offering a stronger training signal than monolingual corpora. For that reason, round-trip MT is a common choice when paraphrases are needed for downstream tasks (Xie et al.,

2020; Artetxe et al., 2020), as well as a common baseline in the paraphrasing literature (Hosking and Lapata, 2021; Roy and Grangier, 2019).² Our work focuses on this class of systems, identifying the limitations of round-trip MT and proposing a more principled alternative.

2.2 The Information Bottleneck Method

Given two random variables X, Y, the Information Bottleneck (IB) method (Tishby et al., 1999) seeks to learn a representation T(X) that minimizes the Mutual Information (MI) between T and X, while preserving a minimum MI between T and Y. That is, the objective I(X,T) s.t. $I(T,Y) \ge \gamma$ is minimized. Since the MI is usually impossible to calculate exactly for neural representations, a common approach is to use variational methods, that turn the estimation problem into an optimization one. This can be done by adding a neural decoder on top of the representation, and training the entire system end-to-end (Poole et al., 2019). This is the approach we follow in this work.

3 Characterizing Round-trip MT

Let X be a random variable representing a sequence in the source language, and Y be a random variable representing its translation into a pivot language.³ Given an input sequence $x_s \in X$, we can use round-trip MT to generate a paraphrase $x_p \in X$ by translating x_s into the pivot language and back, according to the forward and backward translation models $P(y|x_s)$ and $P(x_p|y)$. As such, we can formulate the probability of round-trip MT generating a particular paraphrase x_p by marginalizing over the set of possible pivot translations:

$$P(x_p|x_s) = \sum_{y \in Y} P(y|x_s) P(x_p|y) \qquad (1)$$

In what follows, we will characterize the paraphrases produced by this approach, i.e. the properties that x_p needs to meet in relation to x_s for $P(x_p|x_s)$ to be high.⁴ By applying Bayes' rule, we can rewrite Eq. 1 as follows:

$$P(x_p|x_s) = P(x_p) \underbrace{\sum_{y \in Y} \frac{P(y|x_s)P(y|x_p)}{P(y)}}_{S_{MT}(x_p, x_s)} \quad (2)$$

The sum on the right hand side can be interpreted as a symmetric similarity function, $S_{MT}(x_p, x_s) =$ $S_{MT}(x_s, x_p) = \sum_y \frac{P(y|x_s)P(y|x_p)}{P(y)}$, which measures the likelihood of two sentences to be actual paraphrases. The probability of x_p given x_s then becomes $P(x_p|x_s) = P(x_p)S_{MT}(x_p, x_s)$, which is the similarity between x_s and x_p , weighted by the marginal probability of x_p .

But when are x_s and x_p considered similar according to the above definition of $S_{MT}(x_s, x_p)$? Intuitively, S_{MT} is a measure of the *overlap* between the conditional distributions that x_s and x_p induce over Y. This will be highest when $P(y|x_s)P(y|x_p)$ is as large as possible for as many y as possible. At the same time, $P(y|x_s)P(y|x_p)$ will be high when both $P(y|x_s)$ and $P(y|x_p)$ are high, that is, when y is a probable translation of both x_s and x_p . This captures the intuition that two sentences are similar when they can be translated into the same text in the pivot language.

But what if x_s and x_p have one particular highprobability translation y_j in common, but differ in the rest? As illustrated in Figure 2, this can happen when y_j is ambiguous in the target language and can mean both x_s and x_p , even if x_s and x_p are not equivalent (e.g., when x_s uses the masculine form, x_p the feminine form, and y_j does not mark the gender). In this case, the sum $\sum_{y} \frac{P(y|x_s)P(y|x_p)}{P(y)}$ will be dominated by $\frac{P(y_j|x_s)P(y_j|x_p)}{P(y_j)}$, which will be high when both $P(y_j|x_s)$ and $P(y_j|x_p)$ are high.

We can thus conclude that the implicit similarity function underlying round-trip MT is flawed, as it assigns a high score to a pair of sequences (x_s, x_p) that have an ambiguous translation in common. As a consequence, round-trip MT will generate x_p as a paraphrase of x_s with a high probability, even if the two sequences have a different meaning.

4 Principled Paraphrasing

As shown in the previous section, the implicit similarity function induced by round-trip MT is not adequate in the general case, as it assigns a high score to pairs of sequences that share a single translation, despite differing in the rest. So as to address

²Round-trip MT has also been used to generate synthetic paraphrase corpora (Wieting and Gimpel, 2018).

³For convenience, we will also use X and Y to refer to the set of source and target language sequences, and abbreviate probabilities of the form P(X = x) as P(x).

⁴Some round-trip MT systems do not consider all possible translations into the pivot language, but only a subset of them (Mallinson et al., 2017). In that case, the sum in Eq. 1 goes over $y \in \{y_1, ..., y_K\}$, and we need to introduce a partition $Z = \sum_{y \in \{y_1, ..., y_K\}} P(y|x_s)$ to normalize the probabilities. However, the fundamental analysis in this section still applies. Refer to Appendix A for more details.

this, we can define an alternative similarity function that requires the entire translation distribution to match:

$$S(x_p, x_s) = \begin{cases} 1 & P(y|x_p) = P(y|x_s) \forall y \in Y \\ 0 & \text{otherwise} \end{cases}$$
(3)

and use it to replace S_{MT} in Eq. 2 so that $P(x_p|x_s) \propto P(x_p)S(x_p, x_s)$. However, this definition is too strict, as it is virtually impossible that $P(y|x_p)$ and $P(y|x_s)$ are exactly the same for all $y \in Y$.⁵ In 4.1, we define a relaxation of it through the IB method, which introduces an adjustable parameter β to control how much we deviate from it. In 4.2, we characterize the paraphrases generated by this approach, showing that they are less susceptible to the problem of confounding translations described in the previous section.

4.1 IB-based relaxation

So as to implement the similarity function in Eq. 3, we will use the IB method to learn an encoding T for X such that the following holds:

$$S(x_p, x_s) = \frac{P(x_p | T(x_s))}{P(x_p) Z(x_s)}$$

$$\tag{4}$$

where $Z(x_s)$ is a normalizer that does not depend on the paraphrase candidate x_p .

As seen in §2.2, given a source variable X and a target variable Y, the IB method seeks to find an encoding T(X) that minimizes the MI with X(maximizing compression), while preserving a certain amount of information about Y:

$$\min_{T} I(X,T) \ s.t \ I(T,Y) \ge \gamma. \tag{5}$$

This constrained minimization is achieved by introducing a Lagrange multiplier β and minimizing

$$\min_{T} I(X,T) - \beta I(T,Y).$$
(6)

As $\beta \to \infty$, all the information about Y is preserved and the IB method learns a minimal sufficient statistic T, that is, an encoding that satisfies I(T,Y) = I(X,Y) while achieving the lowest I(T,X) possible. The following theorem states that such a minimal sufficient statistic T induces the similarity function in Eq. 3 (proof in Appendix C): **Theorem 1.** Suppose the random variable X represents a sentence in the source language, Y represents its translation, and T is a minimal sufficient statistic of X with respect to Y. Let x_p and x_s be a pair of sentences in the source language. Then, $P(x_p|T(x_s)) = P(x_p)\frac{S(x_p,x_s)}{Z(x_s)}$, where S is given by Equation 3, and Z is a normalizing factor that does not depend on x_p .

Thus, as $\beta \to \infty$ the IB method approximates the similarity metric S. In practice, when β is set to a fixed finite number, losing some information about the target variable is allowed, and a relaxation of the metric S is learned instead.

4.2 Characterizing IB-based paraphrasing

We will next analyze the relaxation of S induced by the IB method. We will characterize what kind of sentences are considered paraphrases by it, showing that it is less susceptible to the problem of confounding translations found in round-trip MT (§3). Derivations for the results in this section, as well as alternative bounds and broader discussion can be found in Appendix B.

As seen in §4.1, we define paraphrase probabilities given an encoding T as $P(x_p|T(x_s)) = P(X = x_p|T(X) = T(x_s))$, which can only be non-zero if $T(x_p) = T(x_s)$. This means that the encoding T will partition the source space into a collection of paraphrase clusters according to its value. Mathematically, given the equivalence relation $x_1 \sim x_2 \iff T(x_1) = T(x_2)$, only sentence pairs within the same equivalence class will have non-zero paraphrase probabilities. We then have the following theorem:

Theorem 2. Suppose T is a solution of the IB optimization problem $\min_T I(X,T)$ s.t $I(T,Y) \ge \gamma$, and $\epsilon = I(X,Y) - \gamma$. If A is the partition on X induced by T, we have:

$$\sum_{A \in \mathcal{A}} \max_{x_1, x_2 \in A} \frac{P(x_1)P(x_2)}{2(P(x_1) + P(x_2))}$$
(7)
$$\cdot D_1(P_{Y|x_1}, P_{Y|x_2})^2 \le \epsilon,$$

where D_1 is the L_1 norm distance.

It is easy to see that, when $\epsilon = 0$, corresponding to $\gamma = I(X, Y)$ and $\beta \to \infty$, this forces all distances to be zero. In that case, only sentences with identical translation distributions are considered paraphrases, in accordance with Theorem 1.

In the general case, Theorem 2 states that the L_1 distance between the translation distributions of

⁵One reason is that we use empirical estimates of $P(y|x_p)$ and $P(y|x_s)$, which will deviate from the ground truth.

sentences that are considered paraphrases cannot be high, as it will be bounded by a function of ϵ . While the S_{MT} metric in §3 can be dominated by a highprobability term and effectively ignore differences in probability for the less likely translations, the L_1 norm gives equal importance to differences in probability for every translation candidate. Thanks to this, the resulting system will be less susceptible to the problem of confounding translations.

5 Proposed System

In this section, we describe a practical implementation of the IB-based paraphrasing approach defined theoretically in §4.

As illustrated in Figure 1, our system can be seen as an extension of a regular encoder-decoder MT architecture with an additional adversarial decoder, which is trained with an auto-encoding objective to reconstruct the original sentence x_s from the encoder representation $T(x_s)$. The encoder is trained to minimize the cross-entropy loss of the MT decoder, while maximizing the loss of the adversarial decoder. This way, the encoder is encouraged to remove as much information about x_s as possible, while retaining the information that is necessary to predict its reference translation y. Thanks to this, $T(x_s)$ should capture the semantic content of x_s (which is relevant to predict y), without storing additional surface information (which is not relevant to predict y). Once the model is trained, the adversarial decoder can be used to generate paraphrases of x_s from this representation $T(x_s)$.

This adversarial architecture can be interpreted as an implementation of the IB method as follows. Following Poole et al. (2019), we start by adding a decoder q(y|t) on top of the encoder T(x), and rewrite the I(T, Y) term as:

$$I(T,Y) = \mathbb{E}_{P(y,t)} \left[\log \frac{q(y|t)}{P(y)} \right] \\ + \mathbb{E}_{P(t)} [KL(P(y|t)||q(y|t))] \qquad (8) \\ \ge \mathbb{E}_{P(y,t)} \left[\log q(y|t) \right] + h(Y),$$

where equality will hold if q is the true conditional distribution q(y|t) = P(y|t), and h is the differential entropy. If we parametrize T and q by a neural network encoder-decoder architecture the $\mathbb{E}_{P(y,t)}\left[\log q(y|t)\right]$ term in Eq. 8, can be rewritten as $\mathbb{E}_{P(y,x)}\left[\log q(y|T(x))\right]$, which is precisely

the log likelihood of the data distribution of X, Y given by P. In other words, by training the encoderdecoder to maximize Eq. 8, we are implicitly maximizing the mutual information I(T, Y).

Similarly, one can approximate

$$I(X,T) \ge \mathbb{E}_{P(x,t)} \left[\log q(x|t) \right] + h(X)$$

= $\mathbb{E}_{P(x)} \left[\log q(x|T(x)) \right] + h(X),$ (9)

where equality will hold when q is the true conditional distribution and q(x|T(x)) = P(x|T(x)). Thus, given an ideal decoder q that perfectly approximates the conditional distributions q(x|T(x))and q(y|T(x)), the IB minimization problem is equivalent to minimizing

$$\mathbb{E}_{p(x)} \left[\log q(x|T(x)) \right] - \beta \mathbb{E}_{P(y,t)} \left[\log q(y|t) \right]$$

= $\mathbb{E}_{P(x,y)} \left[\log q(x|T(x)) - \beta \log q(y|T(x)) \right].$
(10)

In practice, we parametrize both the encoder Tand the decoder q with transformer neural networks, and learn them from a parallel corpus. Since $\log q(y|T(x))$ is a lower bound of I(T, Y) - h(Y), maximizing this term is theoretically sound. Minimizing $\mathbb{E}_{P(x)}\left[\log q(x|T(x))\right]$, on the other hand, amounts to minimizing a lower bound, which, while not as theoretically solid, is common practice in the variational optimization literature (Chen et al., 2018; Kim and Mnih, 2018).

Finally, we reparametrize Eq. 10 by setting $\lambda = \frac{\beta}{1+\beta}$ to obtain the equivalent minimization objective

$$\mathcal{L}(T,q) = \mathbb{E}_{P(x,y)}[-\lambda \log q(y|T(x)) + (1-\lambda)\log q(x|T(x))] = (11)$$
$$\lambda \mathcal{L}_{MT}(T,q) - (1-\lambda)\mathcal{L}_{Adv}(T),$$

where \mathcal{L}_{MT} is the regular MT loss of cross-entropy with the translation target, and \mathcal{L}_{Adv} is the crossentropy with the source sentence (see Figure 1).⁶ We thus observe that the proposed adversarial training architecture approximates the IB method. The

⁶We make the adversarial term a function of T only in the minimization objective, as the gradient from the adversarial term is only propagated to the encoder. The adversarial decoder is independently trained to predict the source from the encoded representation.

setting $\beta \to \infty$ corresponds to $\lambda \to 1$, where the optimal solution is a minimal sufficient statistic.

During training, the expectation in Eq. 11 is approximated by sampling batches from the training data. Care must be taken when optimizing the loss, as we do not want to propagate gradients of the adversarial loss to the adversarial decoder. If we did, a trivial way to minimize $(1 - \lambda) \log q(x|T(x))$ would be to make the decoder bad at recovering x, which would not encourage T(x) to encode as little information as possible. To prevent this, we use a percentage K of the batches to learn the adversarial decoder $\log q(x|T(x))$, where the encoder is kept frozen. The rest of the batches are used to optimize the full term $-\lambda \log q(y|T(x)) + (1 - \lambda) \log q(x|T(x)),$ but the gradients for the second term are only propagated to the encoder.

6 Experimental Design

We experiment with the following systems:

- **Proposed.** Our system described in §5. We share the weights between the MT decoder and the adversarial decoder, indicating the language that should be decoded through a special language ID token. Unless otherwise indicated, we use $\lambda = 0.73$ and K = 0.7, which performed best in the development set.⁷
- **Round-trip MT.** A baseline that uses two separate MT models to translate into a pivot language and back (see §3).
- Copy. A baseline that copies the input text.

We use mBART (Liu et al., 2020) to initialize both our proposed system and round-trip MT, and train them using the same hyper-parameters as in the original work.⁸ In both cases, we use the English-French WMT14 dataset (Bojar et al., 2014) as our parallel corpus for training.⁹ We report results for two decoding strategies: beam search with a beam size of 5, and top-10 sampling with a temperature of 0.9 (optimized in the development set).¹⁰

	Self-BLEU↓	BLEU ↑	iBLEU ↑
Model	(diversity)	(fidelity)	(combined)
Сору	100.0	23.0	-13.9
MT (beam)	51.1	18.8	-2.17
MT (sampling)	41.4	15.8	-1.36
Ours (beam)	33.0	15.5	0.95
Ours (sampling)	27.3	13.2	1.05
Human	18.1	19.8	8.43

Table 1: Results on the MTC dataset for three baselines (top rows), our two systems, and human performance. \downarrow smaller is better, \uparrow larger is better.

We consider two axes when evaluating paraphrases: fidelity (the extent to which the meaning of the input text is preserved) and *diversity* (the extent to which the surface form is changed). Following common practice, we use a corpus of gold paraphrases to automatically measure these. More concretely, given the source sentence s, the reference paraphrase r and the candidate paraphrase c, we use BLEU(c, r) as a measure of fidelity, and BLEU(c, s)—known as self-BLEU—as a measure of diversity. An ideal paraphrase system would give us a high BLEU, with as low a self-BLEU as possible. Given that there is generally a tradeoff between the two, we also report iBLEU = α BLEU $-(1-\alpha)$ self-BLEU, which combines both metrics into a single score (Mallinson et al., 2017). Following Hosking and Lapata (2021), we set $\alpha = 0.7$.

For development, we extracted 156 paraphrase pairs from the STS Benchmark dataset (Cer et al., 2017), taking sentence pairs with a similarity score above 4.5. For our final evaluation, we used the Multiple Translations Chinese (MTC) corpus (Huang et al., 2002), which comprises three sources of Chinese journalistic text translated into English by multiple translation agencies. We extract the translations of the first two agencies to obtain an test set of 993 paraphrase pairs, where one is the source and the other the reference paraphrase. The third sentence if kept as an additional paraphrase for estimating human performance.

7 Results

We next report our main results (§7.1), followed by a qualitative analysis (§7.2).

7.1 Main results

We report our main results in Table 1. As it can be seen, our proposed system outperforms all baselines in terms of iBLEU, indicating that it achieves

⁷We performed a grid search, where $\lambda \in \{0.7, 0.73, 0.8\}$ and $K \in \{0.7, 0.8\}$, and chose the checkpoint with best iBLEU with $\alpha = 0.7$.

 $^{^{8}0.3}$ dropout, 0.2 label smoothing, 2500 warm-up steps, 3e-5 maximum learning rate, and 100K total steps.

⁹We filter the dataset by removing sentence pairs with a source/target length ratio that exceeds 1.5 or are longer than 250 words.

¹⁰In the case of round-trip MT, we always use beam search to generate the pivot translation, and compare the two approaches to generate paraphrases from it.

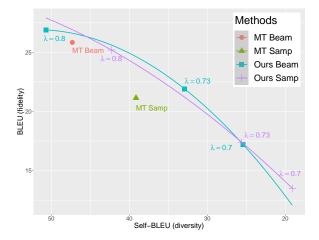


Figure 3: Effect of varying the λ parameter on the development set. BLEU in the vertical axis. The horizontal self-BLEU axis is mirrored, so systems toward the top right have the best trade-off between diversity and fidelity.

a better trade-off between diversity and fidelity. This advantage comes from a large improvement in diversity as measured by self-BLEU, at a cost of a small drop in fidelity as measured by BLEU. Both for round-trip MT and our proposed system, beam search does better than sampling in terms of fidelity, at the cost of sacrificing in diversity. Finally, the human reference scores show ample room for improvement in both axes.

While our proposed system achieves the best combined score, our results also show that different approaches behave differently in terms of diversity and fidelity. In practice, it would be desirable to have a knob to control the trade-off between the two, as one may want to favor diversity or fidelity depending on the application. One additional advantage of our approach over round-trip MT is that it offers an adjustable parameter λ to control the trade-off between these two axes. So as to understand the effect of this parameter, we tried different values of it in the development set, and report the resulting curve in Figure 3 together with the MT baselines. BLEU and Self-BLEU scores of the best checkpoints for each λ (0.7,0.73,0.8) and plot the results together with the MT baselines for our systems in Figure 3.

As expected, higher values of λ yield systems that tend to copy more, being more faithful but less diverse. Consistent with our test results, we find that, for a given value of λ , beam search does better than sampling in terms of fidelity, but worse in terms of diversity, yet both decoding strategies can

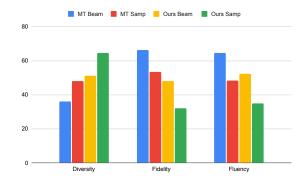


Figure 4: **Human evaluation results (larger is better).** Refer to §7.2 for more details.

be adjusted to achieve a similar trade-off. More importantly, we observe that both curves are above round-trip MT, the gap being largest for the sampling variant. We can thus conclude that our proposed approach does better than round-trip MT for a comparable trade-off between diversity and fidelity, while offering a knob to adjust this trade-off as desired.

7.2 Qualitative analysis

So as to better understand the behavior of our approach in comparison with round-trip MT, we carried out a human evaluation through Amazon Mechanical Turk. Following the setup of Hosking and Lapata (2021), we sample 200 sentences from the MTC corpus and generate a pair of paraphrases for each of them, randomly choosing two systems to generate them. We then ask human evaluators to compare the two sentences according to three criteria: diversity, fidelity and fluency. More details about the judging criteria can be found in Appendix D.

Figure 4 reports the percentage of head-to-head comparisons that each system has won. The results that we obtain are consistent with the trends observed in §7.1. More concretely, we observe that the beam search variant of round-trip MT achieves the best results in terms of fluency and fidelity, but does worst in diversity, indicating a tendency to copy. Our method with beam search does slightly better than the sampling MT variant in terms of diversity and slightly worse in terms of fidelity—indicating a tendency to favor diversity over fidelity—while also being more fluent. Finally, the sampling variant of our method achieves the best diversity, but has the worst fidelity and fluency.

So as to further contrast these results, we manu-

Original	MT (beam)	MT (sampling)	Ours (beam)	Ours (sampling)
The index would fall	The index would fall	The Index would fall	The index would de-	The index would de-
3.9% if the sales of	by 3.9 per cent if vehi-	3.9% if the vehicle	cline by 3.9% if the	cline by 3.9% if ve-
vehicles were not in-	cle sales were not in-	sales were not in-	vehicle sales were not	hicles were not in-
cluded.	cluded.	cluded, or 3.9% if the	included.	cluded in sales.
		vehicle sales were ex-		
		cluded.		
Some people worry	There are concerns	There is concern that	Some may be con-	Some people worry
that this will affect	that this may af-	this may affect what	cerned that this will	that this will impact
the business of large-	fect the operations	large Canadian firms	affect the major Cana-	on the great enter-
sized Canadian enter-	of large Canadian	have in their business.	dian enterprises.	prises in Canada.
prises.	companies.			
These people can set	These individuals can	These may lead to ex-	They can provide ex-	They can provide ex-
examples and they	provide examples and	amples and direct in-	amples and can di-	amples and have di-
can have direct in-	have a direct influ-	fluence on the better-	rectly influence the	rect influence on im-
fluence over the im-	ence on the improve-	ment of local human	improvement of local	proving local human
provement of local hu-	ment of local human	rights conditions and	human rights condi-	rights and the pro-
man rights conditions	rights and employee	on the protection of	tions and the protec-	tection of employees'
and the protection of	protection conditions.	wage earners.	tion of employees.	conditions.
employees.				
The National Youth	The National Youth	The National Youth	The National League	The National Youth
League said these ac-	League stated that	League (NDY) stated	of Youth indicated	League has stated that
tivities are aimed at	these activities are	that these activities	that these activities	such activities are
showing support and	aimed at showing sup-	are aimed at demon-	are intended to pro-	aimed at showing sup-
adoration for the state	port and admiration to	strating support and	vide support and en-	port and admiration
leaders.	State leaders.	admiration for State	courage state leaders.	for State leaders.
		leaders.		

Table 2: Sample paraphrases generated by the different methods.

ally analyzed some paraphrases,¹¹ and report some examples in Table 2. Just in line with our previous results, we observe that the beam search variant of round-trip MT tends to deviate the least from the original sentence, while the sampling variant of our method generates the most diverse paraphrases (e.g., changing "sales of vehicles were not included" to "vehicles were not included in sales"). At the same time, we observe that this tendency to improve diversity can cause artifacts like paraphrasing named entities (e.g., changing "National Youth League" to "National League of Youth"), which can partly explain the drop in fidelity.

8 Conclusions

In this work, we have shown that the implicit similarity function present in round-trip MT is not appropriate in the general case, as it considers sentence pairs that share a single ambiguous translation to be paraphrases. We address this issue by designing an alternative similarity function that requires the entire translation distribution to match, and develop a relaxation of it through the IB method, which we prove to be less susceptible to the problem of confounding translations. We implement this approach through adversarial learning, training an encoder to preserve as much information as possible about the reference translation, while encoding as little as possible about the source. Not only is our approach more principled than round-trip MT, but it is also more efficient at inference, as it does not need to generate an intermediate translation. In addition, it offers a knob to adjust the fidelity-diversity trade-off through the λ parameter, and obtains strong results in our experiments, outperforming round-trip MT.

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¹¹We randomly sampled 20 sentences from MTC and chose four illustrative examples for Table 2. The 20 random examples are shown in Appendix E.

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A Round-trip MT with restricted sampling

Our formulation in Section 3 considers all possible translations into the pivot language. In practice, some round-trip MT systems use restricted sampling, considering only a subset of Y. For example,

ParaNET (Mallinson et al., 2017) takes the K highest probability translations given by beam search. As we show next, the fundamental analysis in Section 3 still holds in that case, and the problem of confounding translations can even be exacerbated by it.

More concretely, using this pivot selection strategy yields the following adjusted paraphrase probability:

$$P(x_p|x_s) = P(x_p) \sum_{y \in \{y_1, \dots, y_K\}} \frac{P(y|x_s)P(y|x_p)}{ZP(y)},$$
(12)

where $\{y_1, ..., y_K\}$ are the top translation candidates and $Z = \sum_{y \in \{y_1, ..., y_K\}} P(y|x_s)$. In general, if a subset $S(x_s) \in Y$ of the translation space is considered as pivots, the paraphrase probability will be

$$P(x_{p}|x_{s}) = P(x_{p}) \sum_{y \in S(x_{s})} \frac{P(y|x_{s})P(y|x_{p})}{Z(x_{s})P(y)} = \frac{P(x_{p})}{Z(x_{s})} S'_{MT}(x_{p}, x_{s}),$$
(13)

where $Z(x_s) = \sum_{y \in S(x_s)} P(y|x_s)$ is a normalizing factor that doesn't depend on the paraphrase x_p , and $S'_{MT}(x_p, x_s)$, is the same similarity function as S_{MT} , with the sum over y restricted to $S(x_s)$.

Using restricted pivot selection strategies such as beam search, top-K sampling, or nucleus sampling (Holtzman et al., 2020) will yield different pivot subsets $S(x_p)$, which will lead to paraphrase probabilities being assigned based on a limited subset of the entire translation distribution. This can exacerbate the issues outlined in Section 3, where the similarity metric can be dominated by a single shared high-probability translation. For example, in the case of translating from a gendered to a genderless language, while the highest probability translation will be genderless, a lower probability candidate might identify the gender, so skipping this translation sampling would increase the similarity of sentences that differ only in gender.

B Characterizing the encoding learned through the IB method

Since we will not learn a perfect minimal sufficient statistic in practice, it is desirable to characterize what the relaxation of S implemented by the IB method can learn.

To that end, we will characterize the kind of encoding T that is allowed by a given γ . Since T is a function of X, we know that $I(X,Y) \ge I(T,Y)$, and thus the condition $I(T,Y) \ge \gamma$ can be rewritten as $I(X,Y) - I(T,Y) \le \epsilon$, where $\epsilon \ge 0$, which is the form we use throughout this section.

Now, for matters of conditional translation probabilities P(y|T(x)), the encoding T can be fully characterized by the equivalence relation it defines on X, where $x_1 \sim x_2$ iff $T(x_1) = T(x_2)$. Two sentences will induce the same conditional translation distribution P(y|T(x)) when they are clustered into the same equivalence class by T.

Theorem 3. Let S denote the partition of X induced by the encoding T. We denote the elements of a cluster $S \in S$ by $S = \{x_1^S, ..., x_{m_S}^S\}$. Then, the information loss I(X, Y) - I(T, Y) is given by:

$$I(X,Y) - I(T,Y) = \sum_{S \in S} P(x_1^S) KL(P_{Y|x_1^S} || P_{Y|S}) + \dots + P(x_{m_S}^S) KL(P_{Y|x_{m_S}^S} || P_{Y|S}),$$
(14)

and the translation probabilities conditioned on a cluster are given by the mixture distribution $P(y|T(x)) = P(y|x \in S) = \alpha_1 P(y|x_1^S) + ... + \alpha_m S P(y|x_m^S)$, where $\alpha_i = \frac{P(x_i^S)}{P(x_1^S) + ... + P(x_m^S)}$.

The proof can be found in Section C.2. This theorem expresses the information loss of an encoding T, I(X, Y) - I(T, Y), in terms of the KL divergences between the translation distributions of source sentences P(y|x) and the translation distributions given their encodings P(y|T(x)) = $P(y|x \in S)$. Intuitively, if T clusters together two sentences x_1 and x_2 (i.e. $T(x_1) = T(x_2)$ holds), such that $P_{Y|x_1}$ and $P_{Y|x_2}$ are very different, then the mixture distribution $P_{Y|S}$ will be different from both, and thus the information loss will be large.

We will now obtain more intuitive bounds for the information loss. As seen before, the translation distribution given a cluster $P(y|x \in S)$ can be expressed as a mixture of the individual translation distributions for sentences in the cluster:

$$P(y|x \in S) = \frac{P(x_1^S)}{P(x_1^S) + \dots + P(x_{m^S}^S)} P(y|x_1^S) + \dots + \frac{P(x_{m^S}^S)}{P(x_1^S) + \dots + P(x_{m^S}^S)} P(y|x_{m_S}^S).$$
(15)

We can also define mixtures of all the distributions $P(y|x_i^S)$ except one, with the same weights as in $P(y|x \in S)$, except for a re-normalization constant. Explicitly, we define:

$$P_{j}^{S}(y) = \sum_{i=1, i \neq j}^{m_{S}} \frac{P(x_{i}^{S})P(y|x_{i}^{S})}{P(x_{1}^{S}) + \ldots + \widehat{P(x_{j}^{S})} + \ldots + P(x_{m^{S}}^{S})},$$
(16)

where the hat indicates that that element is skipped. Then, we have the following theorem:

Theorem 4. Let S be the partition imposed by the encoding function T on X. We denote the elements of a cluster $S \in S$ by $S = \{x_1^S, ..., x_{m_S}^S\}$. We define the partial mixtures $P_j^S(y)$ as above. Then, if the information loss satisfies $I(X, Y) - I(T, Y) \leq \epsilon$, we have

$$\sum_{S \in \mathcal{S}} \sum_{i=1}^{m^S} \frac{P(x_i^S)(\beta_i^S)^2}{2} D_1(P_{Y|x_i^S}, P_i^S)^2 \le \epsilon,$$
(17)

where $\beta_j^S = \frac{P(x_1^S) + \ldots + \widehat{P(x_j^S)} + \ldots + P(x_{mS}^S)}{P(x_1^S) + \ldots + P(x_{mS}^S)}$ and D_1 is the L^1 norm distance.

The proof can be found in Section C.3. Intuitively, this states that, if the encoding T clusters a set of sentences $x_1, ..., x_n \in S$ together, then the translation distribution for an element $x_i \in S$, $P_{Y|x_i}$, cannot be too far from the mixture of the rest of the distributions $P_{Y|x_j}$, with $j \neq i$.

In the scenario where there are only two sentences in a cluster, $m^S = 2$, we have $P_1 = P_{Y|x_2^S}$ and $P_2 = P_{Y|x_1^S}$, and the inner sum reduces as follows (the derivation is shown in Section C.4):

$$\sum_{i=1}^{m^{S}} \frac{P(x_{i}^{S})(\beta_{i}^{S})^{2}}{2} D_{1}(P_{Y|x_{i}^{S}}, P_{i}^{S})^{2}$$

$$= \frac{P(x_{1}^{S})P(x_{2}^{S})}{2(P(x_{1}^{S}) + P(x_{2}^{S}))} D_{1}(P_{Y|x_{1}^{S}}, P_{Y|x_{2}^{S}})^{2}$$
(18)

Since clustering all the elements of a set S leads to a bigger information loss than only clustering any two elements $x_1, x_2 \in S$, combining Equation 18 and Theorem 4 we obtain Theorem 2 from §4.2 as a corollary:

Theorem 2. Suppose T is a solution of the IB optimization problem $\min_T I(X,T)$ s.t $I(T,Y) \ge \gamma$, and $\epsilon = I(X,Y) - \gamma$. If S is the partition on Xinduced by T, we have:

$$\sum_{S \in \mathcal{S}} \max_{x_1, x_2 \in S} \frac{P(x_1)P(x_2)}{2(P(x_1) + P(x_2))} D_1(P_{Y|x_1}, P_{Y|x_2})^2 \leq \epsilon,$$
(19)

where D_1 is the L_1 norm distance.

This bound is the easiest to interpret intuitively, as it bounds the pairwise distances between the translation distributions of any two sentences that are considered paraphrases by the encoding T. To sum up the results from this section, the information loss allowance when learning with the IB method bounds the L^1 norm distance between the translation distributions of paraphrases. Thus the entire translation distribution is considered when learning paraphrases, potentially alleviating the problems discussed in Section 3

C Proofs

C.1 Proof of Theorem 1

We know that T is a minimal sufficient statistic of Y if and only if the following condition is satisfied:

$$\frac{P(x|y)}{P(x'|y)} \text{ independent of } y \iff (20)$$
$$T(x) = T(x') \ \forall x, x' \in X$$

Rewriting $P(x|y) = \frac{P(y|x)P(x)}{P(y)}$ and cancelling terms, the LHS becomes:

$$\frac{P_y(x)}{P_y(x')} = \frac{P(y|x)}{P(y|x')} \frac{P(x)}{P(x')}.$$
(21)

Since $\frac{P(x)}{P(x')}$ does not depend on y, the entire term will not depend on y if and only if $\frac{P(y|x)}{P(y|x')}$ is independent of y. It is easy to see that the ratio of two distributions of y will be independent of y if and only if they are the exact same distribution, and thus we can conclude that if T is a minimal sufficient statistic of Y then T(x) = $T(x') \iff P(y|x) = P(y|x') \forall y \in Y$, or, equivalently, $T(x) = T(x') \iff S(x, x') = 1$.

Thus, we have

$$P(x_p|T(x_s) = P(X = x_p|T(X) = T(x_s))$$

= $P(X = x_p|S(X, x_s) = 1)$
= $\frac{P(X = x_p, S(X, x_s) = 1)}{P(S(X, x_s) = 1)}$
= $\frac{P(X = x_p)P(S(X, x_s) = 1)|X = x_p)}{P(S(X, x_s) = 1)}$
= $\frac{P(x_p)S(x_p, x_s)}{Z}$, (22)

where $Z = P(S(X, x_s) = 1)$ is the normalizer that does not depend on x_p , as we wanted to prove.

C.2 Proof of Theorem 3

We first expand the information loss:

$$I(X,Y) - I(T,Y)$$

$$= \mathbb{E}_X K L(P_{Y|X}||P_Y)$$

$$- \mathbb{E}_T K L(P_{Y|T}||P_Y) = \sum_x P(x)$$

$$\left[\sum_y P(y|x) log(P(y|x))$$

$$- P(y|x) log(P(y))\right]$$

$$- \sum_x P(x) \left[\sum_y P(y|T(x)) log(P(y|T(x)))$$

$$- P(y|T(x)) log(P(y))\right]$$
(23)

Now, for matters of conditional translation probabilities P(y|T(x)), the encoding T can be fully characterized by the equivalence class it defines on X, where $x_1 \sim x_2$ iff $T(x_1) = T(x_2)$. We let S denote the partition on X induced by this equivalence class. Then, we can rewrite:

$$I(X, Y) - I(T, Y)$$

$$= \sum_{x} P(x) \left[\sum_{y} P(y|x) log(P(y|x)) \right]$$

$$- P(y|x) log(P(y)) \right]$$

$$- \sum_{x} P(x) \left[\sum_{y} P(y|T(x)) log(P(y|T(x))) \right]$$

$$- P(y|T(x)) log(P(y)) \right]$$

$$= \sum_{S \in S} \sum_{x \in S} P(x) \left[\sum_{y} P(y|x) log(P(y|x)) \right]$$

$$- P(y|x) log(P(y)) \right]$$

$$- \sum_{S \in S} \sum_{x \in S} P(x) \left[\sum_{y} P(y|x \in S) log(P(y|x \in S)) - P(y|x \in S) log(P(y)) \right]$$

$$(24)$$

$$\begin{split} \sum_{S \in \mathcal{S}} \sum_{x \in S} P(x) \sum_{y} \left[P(y|x) log(P(y|x)) - P(y|x) log(P(y)) \right] \\ &= \sum_{S \in \mathcal{S}} \left[P(x_1^S) \sum_{y} P(y|x_1^S) log(P(y|x_1^S)) + \dots + P(x_{m_S}^S) \sum_{y} P(y|x_{m_S}^S) log(P(y|x_{m_S}^S)) \right] \\ &- \left[\sum_{y} P(x_1^S) P(y|x_1^S) log(P(y)) + \dots + P(x_{m_S}^S) P(y|x_{m_S}^S) log(P(y)) \right] \\ &= \sum_{S \in \mathcal{S}} \left[P(x_1^S) \sum_{y} P(y|x_1^S) log(P(y|x_1^S)) + \dots + P(x_{m_S}^S) \sum_{y} P(y|x_{m_S}^S) log(P(y|x_{m_S}^S)) \right] \\ &- \left[\sum_{y} \beta^S \alpha_1^S P(y|x_1^S) log(P(y)) + \dots + \beta^S \alpha_{m_S}^S P(y|x_{m_S}^S) log(P(y)) \right] \\ &= \sum_{S \in \mathcal{S}} \left[P(x_1^S) \sum_{y} P(y|x_1^S) log(P(y|x_1^S)) + \dots + P(x_{m_S}^S) \sum_{y} P(y|x_{m_S}^S) log(P(y|x_1^S)) + \dots + P(x_{m_S}^S) \sum_{y} P(y|x_{m_S}^S) log(P(y|x_{m_S}^S)) \right] \\ &- \left[\sum_{S \in \mathcal{S}} \left[P(x_1^S) \sum_{y} P(y|x_{m_S}^S) log(P(y|x_{m_S}^S)) \right] \\ &- \left[\beta^S \sum_{y} P(y|x \in S) log(P(y)) \right] \end{split}$$
(26)

For a certain $S \in S$, we denote its elements by $S = \{x_1^S, ..., x_{m_S}^S\}$. Then, we have

$$P(y|x \in S) = \frac{P(y, x \in S)}{P(x \in S)}$$

= $\frac{P(y, x_1^S) + \dots + P(y, x_{m_S}^S)}{P(x_1^S) + \dots + P(x_{m_S}^S)}$
= $\alpha_1^S P(y|x_1^S) + \dots + \alpha_{m_S}^S P(y|x_{m_S}^S),$
(25)

where $\alpha_i^S = \frac{P(x_i^S)}{P(x_1^S) + \ldots + P(x_{m_S}^S)}$. We also define $\beta^S = P(x_1^S) + \ldots + P(x_{m_S}^S)$. Now, we can rewrite the first expression of the RHS in Equation 24:

And now we rewrite the second term in the RHS of Equation 24:

$$\sum_{S \in S} \sum_{x \in S} P(x) \sum_{y} \left[P(y|x \in S) log(P(y|x \in S)) - P(y|x \in S) log(P(y)) \right]$$

$$= \sum_{S \in S} \left[P(x_{1}^{S}) \sum_{y} P(y|x \in S) log(P(y|x \in S)) + P(x_{m_{S}}^{S}) \sum_{y} P(y|x \in S) log(P(y|x \in S))) \right]$$

$$- \left[P(x_{1}^{S}) \sum_{y} P(y|x \in S) log(P(y)) + P(x_{m_{S}}^{S}) \sum_{y} P(y|x \in S) log(P(y))) \right]$$

$$= \sum_{S \in S} \left[\beta^{S} \sum_{y} P(y|x \in S) log(P(y|x)) \right]$$

$$- \left[\beta^{S} \sum_{y} P(y|x \in S) log(P(y)) \right]$$

$$= \sum_{S \in S} \left[P(x_{1}^{S}) \sum_{y} P(y|x_{m_{S}}^{S}) log(P(y|x \in S))) + P(x_{m_{S}}^{S}) \sum_{y} P(y|x_{m_{S}}^{S}) log(P(y|x \in S))) \right]$$

$$- \left[\beta^{S} \sum_{y} P(y|x \in S) log(P(y)) \right],$$

$$(27)$$

$$\begin{split} I(X,Y) &- I(T,Y) \\ &= \sum_{S \in \mathcal{S}} \left[P(x_1^S) \sum_{y} P(y|x_1^S) log(P(y|x_1^S)) + \dots \\ &+ P(x_{m_S}^S) \sum_{y} P(y|x_{m_S}^S) log(P(y|x_{m_S}^S)) \right] \\ &- \sum_{S \in \mathcal{S}} \left[P(x_1^S) \sum_{y} P(y|x_1^S) log(P(y|x \in S)) \\ &+ P(x_{m_S}^S) \sum_{y} P(y|x_{m_S}^S) log(P(y|x \in S))) \right] \\ &= \sum_{S \in \mathcal{S}} P(x_1^S) \sum_{y} \left[P(y|x_1^S) log(P(y|x_1^S)) \\ &- P(y|x_1^S) log(P(y|x \in S))) \right] + \dots \\ &+ P(x_{m_S}^S) \sum_{y} \left[P(y|x_{m_S}^S) log(P(y|x_{m_S})) \\ &- P(y|x_{m_S}^S) log(P(y|x \in S))) \right] \\ &= \sum_{S \in \mathcal{S}} P(x_1^S) KL(P_{Y|x_1^S} ||P_{Y|S}) + \dots \\ &+ P(x_{m_S}^S) KL(P_{Y|x_{m_S}^S} ||P_{Y|S}) \end{split}$$
(28)

As we wanted to show.

C.3 Proof of Theorem 4

By Theorem 3, it is enough to show that

$$\sum_{S \in \mathcal{S}} P(x_1^S) KL(P_{Y|x_1^S} || P_{Y|S}) + \dots + P(x_{m_S}^S) KL(P_{Y|x_{m_S}^S} || P_{Y|S}) \geq \sum_{S \in \mathcal{S}} \sum_{i=1}^{m^S} \frac{P(x_i^S) (\beta_i^S)^2}{2} D_1 (P_{Y|x_i^S}, P_i^S)^2 (29)$$

For that, it is enough to show that

$$P(x_{i}^{S})KL(P_{Y|x_{i}^{S}}||P_{Y|S}) \\ \geq \frac{P(x_{i}^{S})(\beta_{i}^{S})^{2}}{2}D_{1}(P_{Y|x_{i}^{S}}, P_{i}^{S})^{2}$$
(30)

where we have used Equation 25 in the last equality.

for every i. Now, by Pinsker's inequality, for a given i, we have that

Substituting (26) and (27) into (24), we get:

$$\begin{split} P(x_{i}^{S})KL(P_{Y|x_{i}^{S}}||P_{Y|S}) &= P(x_{i}^{S})\\ KL(P_{Y|x_{i}^{S}}||\alpha_{1}P_{Y|x_{1}^{S}} + \dots + \alpha_{m^{S}}P_{Y|x_{m^{S}}^{S}})\\ &\geq \frac{P(x_{i}^{S})}{2}D_{1}(\alpha_{1}P_{Y|x_{1}^{S}} + \dots \\ &+ \alpha_{m^{S}}P_{Y|x_{m^{S}}^{S}}, P_{Y|x_{i}^{S}})^{2}\\ &= \frac{P(x_{i}^{S})}{2(P(x_{1}^{S}) + \dots + P(x_{m^{S}}^{S}))^{2}}||_{1}(P(x_{1})P_{Y|x_{1}^{S}} + \dots \\ &+ P(x_{m^{S}})P_{Y|x_{m^{S}}^{S}} \\ &- (P(x_{1}^{S}) + \dots + P(x_{m^{S}}^{S}))P_{Y|x_{i}^{S}}||^{2}\\ &= \frac{P(x_{i}^{S})}{2(P(x_{1}^{S}) + \dots + P(x_{m^{S}}^{S}))^{2}}\\ ||_{1}(P(x_{1}^{S})P_{Y|x_{1}^{S}} + \dots P(x_{m^{S}}^{S})P_{Y|x_{i}^{S}} + \dots \\ &+ P(x_{m^{S}}^{S})P_{Y|x_{m^{S}}^{S}} \\ &- (P(x_{1}^{S}) + \dots + P(x_{m^{S}}^{S}))P_{Y|x_{i}^{S}}||^{2}\\ &= \frac{P(x_{i}^{S})(P(x_{1}^{S}) + \dots + P(x_{m^{S}}^{S}))^{2}}{2(P(x_{1}^{S}) + \dots + P(x_{m^{S}}^{S}))^{2}}\\ ||_{1}P_{i}^{S} - P_{Y|x_{i}^{S}}||^{2} \\ &= \frac{P(x_{i}^{S})(\beta_{i}^{S})^{2}}{2}D_{1}(P_{i}^{S}(Y), P_{Y|x_{i}^{S}})^{2} \end{split}$$
(31)

where the hat represents that element of the sum being skipped, as we wanted to show. \Box

C.4 Derivation of Equation 18

$$\sum_{i=1}^{m^{S}} \frac{P(x_{i}^{S})(\beta_{i}^{S})^{2}}{2} D_{1}(P_{Y|x_{i}^{S}}, P_{i}^{S})^{2}$$

$$= \left[\frac{P(x_{1}^{S})(\beta_{1}^{S})^{2}}{2} + \frac{P(x_{2}^{S})(\beta_{2}^{S})^{2}}{2}\right] D_{1}(P_{Y|x_{1}^{S}}, P_{Y|x_{2}^{S}})^{2}$$

$$= \left[\frac{P(x_{1}^{S})P(x_{2}^{S})^{2}}{2(P(x_{1}^{S}) + P(x_{2}^{S}))^{2}} + \frac{P(x_{2}^{S})P(x_{1}^{S})^{2}}{2(P(x_{1}^{S}) + P(x_{2}^{S}))^{2}}\right]$$

$$D_{1}(P_{Y|x_{1}^{S}}, P_{Y|x_{2}^{S}})^{2}$$

$$= \left[\frac{P(x_{1}^{S})P(x_{2}^{S})(P(x_{1}^{S}) + P(x_{2}^{S}))}{2(P(x_{1}^{S}) + P(x_{2}^{S}))^{2}}\right]$$

$$D_{1}(P_{Y|x_{1}^{S}}, P_{Y|x_{2}^{S}})^{2}$$

$$= \frac{P(x_{1}^{S})P(x_{2}^{S})}{2(P(x_{1}^{S}) + P(x_{2}^{S}))}D_{1}(P_{Y|x_{1}^{S}}, P_{Y|x_{2}^{S}})^{2}$$

$$(32)$$

D Human evaluation judging criteria

We ask human evaluators to compare systems on three different dimensions, according to the following instructions:

Meaning. Which of the paraphrases better preserves the meaning of the original, without adding or losing information?

Surface similarity. Which of the paraphrases is more similar compared to the original, using more similar phrasing or words? You should chose the text using more similar words or phrasing, regardless of meaning.

Fluency. Which text is a more fluent English sentence? You should choose the sentence that contains the least grammatical mistakes, and sounds more natural.

E Full paraphrase sample

We present the full list of 20 sampled paraphrases in Table 3.

Original	MT (beam)	MT (sampling)	Ours (beam)	Ours (sampling)
As of August 30th,	As at 30 August,	It had assigned	As of 30 August, the	On 30 August, the
the city had allocated	the city had allocated	158,300 employees	city had employed	City had a staff of
a labor force of	158,300 persons per	per day to the project	158,300 persons per	158,300 people per
158,300 per day in	day to the project,	as of 30 August, with	day for the project,	day in the project, a
the project, with the aggregate labor con-	with an overall con- tribution of 936,500	a total staff contri- bution of 936,500,	a total of 936,500 of whom had been al-	total of 936,500 of whom had been pro-
tribution amounting	persons, and had com-	and it had concluded	located for the con-	vided for the con-
to 936,500, and had	pleted the construc-	the construction of	struction of 230,000	struction of 330,000
completed 730,000	tion of 730,000 cu-	730,000 cubic metres	square meters of earth	square foot of earth
cubic meters of earth	bic metres of land and	of earth and rock.	and stone.	andstone work.
and stone.	stone.			
The United States	The United States and	The United States	The United States	The United States
and North Korea	North Korea are ex-	and North Korea are	and North Korea are	and North Korea are
are scheduled to	pected to hold talks	scheduled for talks	scheduled to hold	scheduled to hold
hold talks on Friday	on Friday on United	Friday on the US	talks Friday on the	talks on Friday on
regarding US access to a suspected un-	States access to a sus- pected underground	access to a suspected underground nuclear	access of the United States to a suspected	United States access to a suspected nearby
derground nuclear	nuclear site near Py-	site near Pyongyang.	nearby Pyongyang	Pyongyang nuclear
site in the vicinity of	ongyang.	site near 1 jongjang.	ground nuclear site.	site.
Pyongyang.	0,00		8	
For long, Xi'ning has	Xi'ning has for a long	Xi'ning had for	Since long Xi'ning's	Since long Xi'ning
made insufficient in-	time not invested suf-	a long time not	investment in urban	has not made ade-
vestment in the con-	ficiently in the con-	invested enough	infrastructure has	quate investment in
struction of urban in-	struction of urban in-	in construction of	been insufficient,	urban infrastructure,
frastructure facilities,	frastructure, with a	urban infrastructure,	with a total invest-	with an overall
with the total invest- ment made being only	total investment of only about RMB 400	with total investment	ment of only 400	investment of only \$400 million MB
about RMB400 mil-	million over the 46-	amounting only to around RMB 400m	million cubic metres in total, for 46 years	over 46 years from
lion during the 46-	year period between	during the 46-year	between the founding	the creation of the
year period between	the founding of New	period between the	of the New China in	new China to 1995,
the founding of the	China and 1995, as	founding of New	1995, thus limiting	thus restricting the
New China to 1995.	a result of which	China and 1995.	the development	development of urban
As a result, the back-	lagging and under-	Therefore, backward	of infrastructure in	infrastructure, both
ward and underdevel-	developed infrastruc-	and underdeveloped	the underdeveloped	underdeveloped and
oped infrastructure fa-	ture has limited the	infrastructure had	and underdeveloped	underdeveloped.
cilities have restricted the city's economic	city's economic devel- opment.	impeded economic development of the	areas.	
development.	opinent.	city.		
Japan, Australia, New	Japan, Australia, New	Japan, Australia, New	Japan, Australia, New	Japan, Australia, New
Zealand and South	Zealand and South	Zealand and South	Zealand and South	Zealand and South
Korea Expresses Sup-	Korea expressed their	Korea show their sup-	Korea expressed their	Korea expressed sup-
port, saying that the	support, stating that	port, affirming that	support, stating that	port, stating that the
U.S. Has No Other	the United States had	the United States does	the United States had	United States had no
Choice	no other choice.	not have no Alterna-	no other choice.	other choice.
Champannul: 1	Chama1: 1	tive Chamamundin also	Champan1: 1	Champarer din 6 d
Chernomyrdin also pointed out that	Chernomyrdin also pointed out that	Chernomyrdin also stressed that, in Rus-	Chernomyrdin also noted that there were	Chernomyrdin further noted that there were
there were also many	there were also many	sia, last year, there	many problems in	many further prob-
problems in Russia	problems in Russia	were many problems	Russia last year, such	lems in Russia last
last year, such as the	last year, such as	too. These included	as poor taxation	year, such as weak
poor performance	poor fiscal perfor-	a deteriorating fiscal	performance, plans	taxation performance,
of taxation, invest-	mance, investment	performance, invest-	for investment still	plans for further in-
ment plans yet to be	plans that had not	ment plans that have	to be completed, the	vestment still to be
completed, reduced	yet been completed,	yet to be completed,	reduction in foreign	completed, the reduc-
surplus in foreign trade, and ineffective	the reduction of the foreign trade surplus	the reduction of foreign trade sur-	trade surplus, and inadequate fiscal	tion in foreign trade surplus, and ineffec-
fiscal and financial	and ineffective fiscal	pluses, and inefficient	and financial mea-	tive fiscal and finan-
measures taken by	and financial mea-	fiscal and financial	sures taken by the	cial measures taken
the government.	sures taken by the	measures taken by	Government.	by the Government.
	government.	the Government, etc.		-
Based on the plan, the	According to the plan,	According to the plan,	According to this	Under the plan, the
GDP in Russia next	Russia's GDP will	Russian GDP will rise	plan, GDP in Russia	GDP in Russia will
year is to increase by	rise by 2 per cent next	2 per cent next year	will increase by 2%	increase 2 per cent
2%, and the inflation	year and the inflation	and the rate of infla-	next year and the	next year and the un-
rate is to go down to 5% to 8%.	rate will rise from 5 per cent to 8 per cent.	tion will be reduced from 5 per cent to 8	inflation rate will drop from 5% to 8%.	inflation rate increase from 5% to 8%.
570 10 0 70.		per cent.		110111 5 /0 10 0 /0.
l	1	Per cent.	1	l

	7 71	7 71		
Zuo Zhongmo,	Zuo Zhongmo,	Zuo Zhongmo,	It is Zuo Zhongmo,	The Assistant
deputy secretary-	Under-Secretary-	Deputy Secretary-	Under-Secretary-	Secretary-General
general of the	General of the	General of the	General of the	of the Conference,
Conference, said,	Conference, said:	Conference, said,	Conference, who	Zuo Zhongmo, said,
"This is not just an	"This is not just an	"It is not just an	said: It is not just	It is not just about
issue of agriculture.	agriculture issue,	agriculture issue; the	about agriculture; the	agriculture, as the
These reclaimed	these recovered lands	land recovered from	reclaimed lands can	reclaimed lands can
lands can serve the	can serve the overall	them can serve the	be used for general	be used for general
general development	development goals	overall development	development in vari-	development pur-
	of various sectors,	1	ous sectors, including	poses from various
purposes of various		goals of different		
sectors, including	including forestry,	sectors including	forestry, industry and	sectors, including
forestry, industry and	industry and tourism.	forestry, industry and	tourism."	forestry, industry and
tourism."		tourism."		tourism.
In the United States,	In the United States,	In the United States	In the United States,	Inondations in Cal-
California and other	California and other	of America, Cali-	California and other	ifornia, and other
southern states were	southern states were	FORNA and other	southern states,	southern States in
flooded at the begin-	flooded early this	southern states were	floods occurred	early this year, fol-
ning of this year, fol-	year, followed by	flooded early this	in early this year,	lowed by droughts in
lowed by a drought	droughts in many	year, followed by	followed by drought	many endroits in the
in many places in the	parts of the South.	drought in many parts	in many areas of the	south.
south.	parts of the South.	of the south.	south.	south.
	In the meanting	Meanwhile, US	At the same time,	At the same time-
Meanwhile, the	In the meantime,	,		At the same time,
US Congress is	the United States	Congress debates	the United States	the United States
discussing whether	Congress is dis-	whether or not to	Congress is exam-	Congress is consider-
or not to approve the	cussing whether or	accede to the Protocol	ining whether or	ing whether or not to
Protocol reached in	not to approve the	agreed at the Kyoto	not to approve the	approve the Protocol
the Kyoto Conference	Protocol concluded at	Conference in Japan.	Protocol at the Kyoto	made at the Kyoto
in Japan.	the Kyoto Conference		Conference in Japan.	Conference in Japan.
	in Japan.			
The index would fall	The index would fall	The Index would fall	The index would de-	The index would de-
3.9% if the sales of	by 3.9 per cent if vehi-	3.9% if the vehicle	cline by 3.9% if the	cline by 3.9% if ve-
vehicles were not in-	cle sales were not in-	sales were not in-	vehicle sales were not	hicles were not in-
cluded.	cluded.	cluded, or 3.9% if the	included.	cluded in sales.
ciudea.	erudea.	vehicle sales were ex-	merudeu	eradea în sures.
		cluded.		
According to the com-	According to the com-	According to the	According to the com-	According to the
pany, in the com-	pany, over the next	1 57	pany, over the next	1 37
ing five years, the	five years, it will	make an additional	five years it will in-	invest \$90 million in
company will make	make an additional in-	\$90 million US over	vest an additional \$90	the next five years,
an additional invest-	vestment of US\$90	the next five years	million in the U.S., its	with its expected
ment of US\$90 mil-	million, with a pro-	with a planned annual	expected annual out-	annual output of
lion, with an antici-	jected annual produc-	productivity value of	put of \$300 million.	approximately \$300
pated annual output	tion value of US\$300	\$300 million US.		million.
value of US\$300 mil-	million.			
lion.				
Some people worry	There are concerns	There is concern that	Some may be con-	Some people worry
that this will affect	that this may af-	this may affect what	cerned that this will	that this will impact
the business of large-	fect the operations	large Canadian firms	affect the major Cana-	on the great enter-
sized Canadian enter-	of large Canadian	have in their business.	dian enterprises.	prises in Canada.
prises.	companies.	have in their business.	ann enerprises.	Prises in Canada.
A Majority of Hong	A majority of Hong	The Hong Kong	The vast majority of	The vast majority of
				Hong Kong residents
Kong Residents	Kong residents de-	City of Hong Kong's	Hong Kong residents	
Decline to Con-	cline to regard them-	minority people	feel they are being re-	have become disen-
sider Themselves as	selves as Chinese	are not recognis-	ferred as Chinese	franchised as Chinese
Chinese		ing themselves as		
L	TT 11 1 2	Chinese	TT	
He said the 83-year-	He said that the 83-	He said that the 83-	He stated that a 83-	He indicated that a 83-
old woman has been	year-old woman had	year-old woman had	year-old woman had	year-old woman had
hospitalized for over-	been hospitalized for	been hospitalized for	been hospitalized for	been hospitalized for
shock.	overheating.	overheat.	a headache.	a head injuries.
However, statistics	However, statistics	However, Immigra-	However, the statis-	However, the figures
released by the	published by the	tion Bureau statis-	tics of the Office	from the Immigration
Immigration Bureau	İmmigration Bureau	tics show that al-	of Immigration	Bureau show that,
showed that although	show that, although	though there were	show that, although	although 11,978 new
there were 11,978	there were 11,978	11,978 new British	11,978 new British	British immigrants
new British immi-	new British immi-	immigrants to Aus-	immigrants arrived	had entered Australia
grants coming to	grants to Australia	tralia from 1996 to	in Australia between	between 1996 and
Australia between	between 1996 and	1997, 3,737 individ-	1996 and 1997, 3,737	1997, 3,737 had left
1996 and 1997, 3,737	1997, 3,737 people	uals left the country	had left the country	the country during
people left the coun-	left the country	during that period.	during the same	the same period.
try during the same	during the same		period.	
period.	period.	1637		

(Devetering ment of	Langueza Eta		(T-l	
(Reuters report from	Japan's Finance	The finance minister	(Tokyo report)	(Tokyo report) The
Tokyo)Japanese	Minister, Kiichi	in Japan, Kiichi	Japan's Minister	Japanese Finance
Finance Minister	Miyazawa, was	Miyazawa, was	of Finance Kiichi	Minister Kiichi Mi
Kiichi Miyazawa was	forced yesterday not	forced to leave the	Mi Theawa was	ichiawa was pres-
pressured not to quit	to leave his post.	post yesterday.	pressured not to quit	sured on not to leave
office yesterday.			yesterday.	yesterday.
US Admitted Hun-	US Admitted Hun-	U.S. Admitted Hun-	The US killed hun-	Several hundred dead
dreds of Deaths of	dreds of Deaths of	dreds of Deaths of	dreds of Iraqi civil-	of Iraqi civilians in
Iraqi Civilians in Air	Iraqi Civilians in Air	Iraqi Civilians in Air	ians in an air strike,	air strikes; a UK re-
Strike and UK Re-	Strike and UK Re-	Strike and UK Re-	and a reporter in the	porter said that its spe-
porter Claimed the	porter Claimed the	porter Claimed the	UK said the target	cific goal is, essen-
Target Being Defi-	Target Being Defi-	Target Being Defi-	was essentially non-	tially, non-military.
nitely Non-military	nitely Non-military	nitely Non- Military	military.	
During the Eighth	During the eighth	During the eighth	During the eighth five-	During the eighth
Five-Year Plan Pe-	period of the five-year	period of the Five-	year plan (from 1991	five-year plan (from
riod (from 1991 to	plan (1991-1995),	Year Plan (from 1991	to 1995), businesses	1991 to 1995),
1995), township en-	municipal enterprises	to 1995), Fujian	in the townships of	businesses in the
terprises in Fujian	in Fujian Province	Provincial Municipal	Fujian Province con-	townships of Fujian
Province contributed	paid taxes totalling	Enterprises had paid	tributed a total of	Province contributed
an aggregate total of	RMB 18.56 billion	taxes totalling RMB	\$18.56 billion in tax	tax contributions
RMB18.56 billion in	and exported prod-	18.56 billion and	contributions, \$105.5	totalling \$118.56
tax, and achieved a to-	ucts totalling RMB	exported revenues	billion in commodi-	billion in 1991-95, as
tal of RMB105.5 bil-	105.5 billion.	totalling RMB 105.5	ties for export.	well as \$1005.5 bil-
lion worth of export		billion.		lion in commodities
commodities.		e i i i i i i i i i i i i i i i i i i i		for export.
In May this year, Dole	In May of this year,	In May this year, Dole	In May of this year,	In May of this year,
admitted using Viagra	Dole admitted to us-	admitted that he uses	Dole accepted the	Dole recognized
on a trial basis, and	ing Viagra on an ex-	Viagra as an exper-	use of marijuana for	the use of pesticide
gave high remarks to	perimental basis and	imental patient and	trials and reported	in trials and had
the drug after use, de-	commented very pos-	provided very posi-	strong post-treatment	reported very good
scribing it as "a magic	itively on the drug af-	tive feedback on the	remarks, describing it	after-treatment, de-
drug."	ter its use, describing	drug after its use de-	as a magical drug.	scribing it as the "
ulug.	it as "a magical drug."	scribing it "a magical	as a magical drug.	magical drug".
	it as a magical drug.	medicine".		magical drug .
These meenle and and	These individuals can		They can provide an	They can mayide
These people can set		These may lead to ex-	They can provide ex-	They can provide ex-
examples and they	provide examples and	amples and direct in- fluence on the better-	amples and can di-	amples and have di-
can have direct in-	have a direct influ-		rectly influence the	rect influence on im-
fluence over the im-	ence on the improve-	ment of local human	improvement of local	proving local human
provement of local hu-	ment of local human	rights conditions and	human rights condi-	rights and the pro-
man rights conditions	rights and employee	on the protection of	tions and the protec-	tection of employees'
and the protection of	protection conditions.	wage earners.	tion of employees.	conditions.
employees.				

Table 3: Sample paraphrases generated by the different methods.